1	Phthalates modulate steroid 5-reductase transcripts in the Western clawed frog embryo
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31 Abstract

32 Phthalates are used worldwide in the manufacturing of plastics, added to cosmetic products, 33 personal care products, pharmaceuticals, medical devices, and paints; and are widely detected in 34 soil, surface water, and organism tissues. Phthalate esters have been previously shown to 35 interfere with the endocrine system in vertebrates. However, few studies have investigated the 36 effects of phthalates on testosterone-converting enzymes that affect hormone levels and 37 reproduction. In the present study, we exposed the Western clawed frog (Silurana tropicalis) to 38 0.1, 1, and 10 µM diethylhexyl phthalate (DEHP), dibutyl phthalate (DBP), and diethyl phthalate 39 (DEP) during early amphibian embryonic development. Additional DBP exposures were 40 conducted ex vivo using mature frog testes. Malformations and mRNA levels of genes associated 41 to reproduction and oxidative stress were evaluated. 0.1 µM DEHP, DBP, and DEP induced an 42 array of malformations, including incomplete gut coiling, edemas, and eye malformations. 43 Moreover, all three phthalates increased the expression of androgen-related genes, such as 44 steroid-5 α -reductase 1, 2, 3, steroid-5 β -reductase, and and rogen receptor at concentrations 45 ranging from 0.1 to 10 μ M depending on the phthalate and gene. Data suggest that the phthalate 46 esters tested are teratogens to the amphibian embryo and that these phthalates exhibit 47 an androgenic activity in amphibians.

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50 Keywords: Androgen disruption; DHEP; DBP; DEP; Srd5α1; Srd5α2; Srd5α3; Srd5β.
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1. Introduction

53 Phthalates are used worldwide in the manufacturing of plastics (Daniels, 2009). Leaching 54 due to the non-covalent bonding of phthalates to polymers leads to the introduction of phthalates 55 into our ecosystems. Phthalates are also used as additives in various cosmetic products, medical 56 devices, personal care products, pharmaceuticals, and paints (reviewed in Magdouli et al., 2013). 57 Due to their wide use, phthalates are commonly detected in soil, surface water, and organism 58 tissues (Bauer and Herrmann, 1997; Blair et al., 2009). Diethylhexyl phthalate (DEHP) is one of 59 the most used plasticizers, and was detected in various environmental compartments (reviewed 60 in Magdouli et al., 2013). For example, DEHP was detected at concentrations ranging between 61 0.01 and 25 µg/L in rivers in Japan (Suzuki et al., 2001; Yuwatini et al., 2006) and reported in 62 the influent of a wastewater treatment plant in France at concentrations up to 44 μ g/L (Dargnat et 63 al., 2009). Dibutyl phthalate (DBP) and diethyl phthalate (DEP) are two other plasticizers that 64 have been widely detected in waters. These phthalate esters were detected in the Tama River in Japan at concentrations ranging from 0.088 to 0.54 µg/L DBP and 0.004 to 0.31 µg/L DEP 65 66 (Suzuki et al., 2001). The False Creek in Vancouver, BC, Canada also showed concentrations in seawater of ~ 0.1 μ g/L DEP (Blair et al., 2009). 67

Phthalate esters have been shown to interfere with vertebrate development on different levels. The main mechanism of action behind phthalate induced transcriptional changes has been reported to be the peroxisome proliferation-activated receptors (PPARs, Gazouli et al., 2002). In addition, heat shock proteins have been shown to be modulated after phthalate exposure in different species and are attributed to early warning signs of cellular stress (reviewed in Gupta et al., 2010). Cellular oxidative stress is caused by the presence of reactive oxygen species, which can lead to DNA damage in cells and result in abnormalities. For example, proteins such as

glutathione transferase, glutathione peroxidase, and heat shock protein 70 have been previously
reported to be altered when exposed to the phthalate DEHP (reviewed in Mathieu-Denoncourt et
al., 2015a).

78 In addition, research has shown that phthalates can have androgenic and/or anti-androgenic 79 properties and can adversely affect development and reproduction of male vertebrates (Latini et 80 al., 2006; Kay et al., 2014). For example, feminization of gonads by exposure to DBP was found 81 in juvenile Murray rainbowfish (Bhatia et al., 2015). In addition, disrupted spermatogenesis was 82 observed in the African clawed frog after DBP exposure (Lee and Veeramachaneni, 2005). The 83 mechanism of action by which phthalates mediate their action is still not completely understood 84 (Mathieu-Denoncourt et al., 2015a). Previous research suggested that phthalates interfere with 85 hormone synthesis by modulating the expression of sex steroid-related genes (Wong and Gill, 86 2002; Lehmann et al., 2004; Thompson et al., 2004). For example, decreased mRNA and protein 87 levels of StAR have been observed in rat testis after DEHP exposure (Borch et al., 2006). StAR 88 is responsible to transport cholesterol to the inner mitochondria in order to synthesize steroids, 89 including androgens. Decreased expression levels of *star* have also been correlated with reduced 90 levels of the androgen testosterone (T) (Borch et al., 2006), suggesting that T metabolism could 91 be directly affected by phthalate exposure.

Testosterone is converted to the potent androgen 5α -dihydrotestosterone (5α -DHT) by steroid- 5α -reductase (Srd 5α) and to 5β -dihydrotestosterone (5β -DHT) by steroid- 5β -reductase (Srd 5β). Few mammalian studies have investigated if phthalates are capable of modulating Srd 5α and Srd 5β . Exposure to mono-ethylhexyl phthalate (MEHP) decreased Srd 5α protein levels in a primary cell culture of immature rat Leydig cells (Svechnikov et al., 2008). Similarly, exposure to DBP in rats significantly decreased Srd 5α 2 protein in the proximal penis (Kim et al., 2010). In

98	contrast, a concentration dependent increase of $Srd5\alpha$ activity was detected in testis after DEHP
99	exposure of pubertal rat (Kim et al., 2003). These studies show that phthalates can modulate
100	Srd5 α . However, no studies have addressed the effects of phthalates on Srd5 β expression.
101	Srd5 are involved in vital biological functions (reviewed in Langlois et al., 2010a) and their
102	dysregulation leads to a variety of diseases in humans, in particular in the male reproductive
103	system and liver (reviewed in Azzouni et al., 2012). Thus, there is a need to determine how
104	phthalates with and rogenic and/or anti-androgenic properties affect Srd5 α and Srd5 β in lower
105	vertebrates, such as amphibians.
106	The overall objective of the present study was to understand the effect of the three phthalates
107	DEHP, DBP, and DEP in the Western clawed frog (Silurana tropicalis). Specifically, we
108	exposed the Western clawed frog to DEHP, DBP, and DEP during early embryonic development
109	and investigated malformations and mRNA levels of genes involved in oxidative stress and
110	reproduction. As the frog embryos responded to DBP, we further chemically-challenged mature
111	frog testes ex vivo in order to analyze if DBP could interfere with normal testis regulation in
112	males. Thus, this study presents novel insights in regards to interactions between phthalates and
113	srd5 during two critical periods of S. tropicalis.
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118 **2. Materials and Methods**

119 **2.1 Experimental design**

Maintenance of male and female *S. tropicalis* occurred in dechlorinated and aerated water at the Queen's University Animal Care facilities (Kingston, ON, Canada) in accordance with guidelines of the Institution's animal care protocols and the Canadian Council on Animal Care. Animals were kept in a 12:12 h light:dark cycle with a water temperature of 26 ± 1 °C.

124 In vivo exposure was executed by exposing eggs of S. tropicalis to phthalates. Breeding 125 procedure was performed as described in Langlois et al. (2010b). Briefly, eggs were collected 126 and kept in Frog Embryo Teratogenesis Assay-Xenopus (FETAX) solution consisting of 625 mg 127 NaCl, 96 mg NaHCO₃, 75 mg MgSO₄, 60 mg CaSO₄·2H₂O, 30 mg KCl, 15 mg CaCl₂/L, and 0.04 ppm gentamycin sulphate. The fertilized eggs were dejellied using 2% (w/v) L-cysteine. 128 129 200 embryos (divided in 5 jars) were exposed to 0.1, 1, and 10 µM DEHP (Sigma, Oakville, ON, 130 Canada), DBP (Sigma, Oakville, ON, Canada), or DEP (Sigma, Oakville, ON, Canada) once the 131 eggs reached Nieuwkoop and Faber (NF) stage 11 (Nieuwkoop, 1994). NF 11 embryos were also 132 exposed to two negative controls: a water only control and a solvent control (0.05% Ethanol) and 133 to a positive control to test alteration of srd5 mRNA levels; finasteride (100 μ M; a known Srd5 134 inhibitor; Langlois et al., 2010c). The FETAX solution was kept at 26 °C and changed every 24 135 h. Daily water change also ensured a steady source of phthalate exposure, as phthalates are 136 known to degrade over time. Dead embryos were discarded once a day and recorded. Embryos 137 were sampled in pools (n = 10) at stage NF 46 (after an exposure time of 72 h) and flash frozen 138 on dry ice until RNA isolation.

139 The *ex vivo* assay used has been previously optimized for frogs and described in 140 Bissegger et al. (2014). Briefly, six male adult frogs were anesthetized in 0.1% MS-222 (ethyl 3-141 aminobenzoate methanesulfonate, Sigma, Oakville, ON, Canada). Testes were carefully 142 dissected from each animal. Each testis (n = 6 per treatment group, one testis per frog was used 143 as a control sample and the other testis was exposed to DBP) was weighed (to correct for steroid 144 production) and placed in a separate 1.5 mL centrifuge tube filled with 500 µL ice cold Lebovitz 145 (L-15 media, Sigma, Oakville, ON, Canada) containing 10 mM HEPES, 50 µg/mL gentamicin 146 (Fisher Scientific, Ottawa, ON, Canada) and 2% synthetic serum replacement (Sigma, Oakville, 147 ON, Canada) at pH 7.4. Once all animals were dissected, the testes were transferred into 148 designated wells in a 24-well plate containing 500 μ L ice cold L-15 media. Prior to the start of 149 the incubation, the media in each well of the 24-well plate was replaced by 500 μ L of L-15 150 media containing 0.05% ethanol (control samples) or L-15 media containing 10 μ M of DBP. The 151 24-well plates were incubated for 6 h at 26 °C using an orbital shaker at 100 rpm. After 6 h, the 152 organs were snap-frozen on dry ice and stored at -80 °C for subsequent RNA isolation. The 153 media of each sample was also collected and stored at -80 °C for steroid analysis.

154 **2.2 Malformation analysis**

After the embryonic exposure, a subset (n = 46 – 103) of randomly collected animals at NF
46 was fixed in 10% formalin for each treatment in order to conduct morphological analysis.
Malformation analysis was performed based on the Atlas of Abnormalities (Bantle et al., 1998).
A Nikon SMZ18 microscope (Nikon, Mississauga, ON, Canada) was used to observe
malformations in eyes (reduction in size, asymmetric formation, incomplete separation from the
brain and cyclops), tails (shortening and flexure), hearts (failure to coil in an 'S' shape), guts

161 (failure to coil), gills (shredded appearance), and head and face (reduction in size and unusual162 shape).

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2.3 Analysis of phthalate concentration

164 Phthalate concentrations present in the water during the embryo exposure were measured using liquid chromatography coupled to high resolution mass spectrometry (LC-HRMS) 165 166 optimized for DEHP, DBP, and DEP. Chromatographic separation was performed using an 167 Accela 600 LC system (Thermo Scientific, Waltham, MA, USA) with a Zorbax HDHR Eclipse 168 plus C18 column combined with C18 Eclipse plus (12.5 X 2.1 mm ID., 1.8 µm) guard column 169 (Agilent Technologies, Santa Clara, CA, USA) and using a gradient of two mobile phases. Initial 170 mobile phase conditions consisted of 2 mM ammonium formate in 0.1% formic acid buffer and 171 0.1% formic acid in methanol ran at a ratio of 60:40, respectively. From 1 to 6 min, the gradient 172 was changed gradually to 1:99 followed by a hold at 1:99 for 4.75 min. The initial buffer 173 composition was then held for 4.25 min until the next sample was started. The flow rate was 0.3 174 mL/min. Ten uL of the sample or its dilution were injected using an autosampler kept at 4 °C. As 175 an internal standard in each sample, dimethyl-d6 phthalate (CDN Isotopes, Pointe-Claire, QC, 176 Canada) was used at a concentration of 1 mg/L. MS detection was performed using a high 177 resolution Orbitrap detector with a pneumatic assisted heated electrospray ion (HESI) source set 178 to 3500V. Capillary and vaporization temperatures were 250 °C and 350 °C, respectively. 179 Helium was used as a collision gas at a pressure of 2.5 mTor. Data was analyzed using the 180 Thermo Xcalibur software (Thermo Scientific, Waltham, MA, USA). The limits of detection 181 were 0.95 μ g/L for DEHP, 1.93 μ g/L for DBP, and 1.12 μ g/L for DEP.

2.4 RNA isolation and cDNA synthesis

183 Total RNA from embryo (NF 46) samples was isolated with the E.Z.N.A Total RNA kit II 184 (VWR, Mississauga, ON, Canada). Sample homogenization and disruption was carried out by a 185 Mixer Mill MM400 (Retsch, Newtown, PA, USA) at 20 MHz for 1 min. In contrast, total RNA 186 from testes was isolated using the Trizol reagent (Life Technologies Inc., Burlington, ON, 187 Canada) because of higher tissue weight. Sample homogenization and disruption was done using 188 a sonicator (Fisher Scientific, Toronto, ON, Canada). RNA was resuspended or eluted in 20 µL 189 nuclease free water for both embryo and testes samples and the concentration and quality was 190 assessed using the NanoDrop-2000 spectrophotometer (Fisher Scientific, Toronto, ON, Canada). 191 Residual genomic DNA was eliminated by the TURBO DNA-free kit (Life Technologies Inc., 192 Burlington, ON, Canada). Total cDNA from embryo samples was obtained from 1 µg RNA and 193 0.5 µg random primers utilizing the GoScript reverse transcriptase (Fisher Scientific, Toronto, 194 ON, Canada). In contrast, cDNA from testes samples was obtained from 0.5 μ g RNA using the 195 QuantiTect Reverse Transcription Kit (Qiagen, Toronto, ON, Canada).

196 **2.5 Real-time RT-PCR**

197 The expression levels of genes associated with reproduction (*srd5a1*, *srd5a2*, *srd5a3*, *srd5β*, 198 *star*, aromatase (*cyp19*), estrogen receptor (*era*), androgen receptor (*ar*)); oxidative stress 199 (glutathione transferase (*gst*), glutathione peroxidase (*gpx*), heat shock protein 70 (*hsp70*)); and a 200 nuclear receptor protein regulating DNA transcription (peroxisome proliferator activated 201 receptor γ (*ppar* γ)) were determined relative to the reference gene ornithine decarboxylase (*odc*) 202 by real-time RT-PCR using the SYBR Green detection system. All primers except *gst* and *gpx* 203 were previously designed and optimized (Langlois et al. 2010c; Soriano et al., 2013; Mathieu-

204 Denoncourt et al., 2015b). Primers for gst and gpx were designed and optimized in the present 205 study and gene products have been confirmed by sequencing. Assay conditions are presented in 206 Table 1. Each sample was diluted 1:80 (embryo samples) or 1:40 (testes samples) and analyzed 207 in duplicate using the GoTaq qPCR Master Mix (Fisher Scientific, Toronto, ON, Canada) with 208 the optimized concentration of forward and reverse primer (0.1 to 0.65 µM) on a CFX96 209 Touch[™] real-time RT-PCR machine (Bio Rad, Mississauga, ON, Canada). The program used to 210 run all samples included an enzyme activation step at 95 °C for 2 min followed by 40 cycles with 211 95 °C for 15 sec and 58 or 62 °C (depending on target gene) for 1 min. After the amplification 212 phase, a dissociation curve was established in order to ensure the presence of a single amplicon. Reaction efficiencies were 100 ± 10 % with an R² > 0.990 and calculated by the CFX Manager 213 214 Software (Bio Rad, Mississauga, ON, Canada).

In each assay, a standard curve (0.048 to 50 ng), a no template control, and a no reverse transcription control (to ensure the absence of genomic DNA in the samples) were run with the samples. The standard curve was generated by pooling equal amounts of the treated and control samples and was then serially diluted 1:4 to obtain concentrations from 50 to 0.048 ng. The standard curve was then used to interpolate and calculate the mRNA level of target and reference gene in each sample. The mRNA level of each target gene was calculated relative to the reference gene *odc*.

222 **2.6 Sex steroid measurement**

For the *ex vivo* exposure, concentrations of T and 5α-DHT excreted from the testes into
the media were measured using commercially available enzyme-linked immunosorbent assays
(T: Cedarlane, Burlington, ON, Canada; 5α-DHT: Diagnostics Biochem Canada, Dorchester,

226	ON, Canada). Media samples were thawed on ice and diluted in the immunoassay buffer. The
227	immunoassay protocol was followed as described by the manufacturer. All samples were
228	measured in duplicate. The absorbance of samples after the designated incubation time of 1 h for
229	5α -DHT and 2 h for T were measured using a TECAN Infinit M1000 PRO microplate
230	absorbance reader (TECAN, Männedorf, Switzerland) at 415 nm for T and at 450 nm for 5α -
231	DHT. The limit of detection according to the manufacturer was 6 pg/mL for T and 5 α -DHT.
232	Hormone concentrations were normalized to tissue weight.

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2.7 Statistical analysis

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234 All statistical analysis except malformation analysis was performed using the software 235 GraphPad Prism 5.0 (GraphPad Software, La Jolla, CA, USA). Differences of phthalate 236 concentrations between 0 and 24 h, and differences between phthalate treatment concentrations 237 were analyzed using an unpaired t-test. Gene expression results of the embryo samples were 238 analyzed after removing outliers using one-way analysis of variance (ANOVA) with a 239 subsequent post-hoc test (Dunnett's) to determine significant differences between treatments. 240 Gene expression results of the testes exposed to DBP ex vivo were analyzed using an unpaired t-241 test. The effect of DBP exposure on hormone concentration in *ex vivo* exposed testis was also 242 analyzed using an unpaired t-test. Analysis of malformation data was performed using the software XLSTAT (2014.4.06, AddinsoftTM). Differences in malformation frequencies were 243 244 determined using chi-square tests on contingency tables followed by a post-hoc test based on 245 adjusted residuals for each malformation type and phthalate compound. Significant differences 246 were reported when p < 0.05.

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3. Results

3.1 Water analysis

251 LC-HRMS analysis showed that all water and solvent control samples were below the 252 detection limit for DEHP, DBP, and DEP. Average measured concentrations (before exposure) 253 were as follows: 138.6 µg/L, 618.9 µg/L, and 2932.3 µg/L for DEHP; <LOD, 60.6 µg/L, and 254 2064.6 µg/L for DBP; and 11.3 µg/L, 85.4 µg/L, and 1278.0 µg/L for DEP (Table 2). DBP 255 significantly degraded over the 24 h exposure time (85.4 μ g/L: p = 0.042; 1278.0 μ g/L: p =256 0.017). The measured concentrations are lower than the nominal concentrations, which may be 257 due to losses associated with adsorption to glassware and material used during sampling and 258 sample preparation.

3.2 Mortality and malformation of embryos

260 Survival rates of both, the water and solvent controls were above 90%, as recommended by 261 the American Society for Testing and Materials (2004; Table 3). Embryos exposed to DEHP, 262 DBP, and DEP did not result in a significantly higher mortality rate than control embryos. 263 However, phthalate exposure increased malformation rates. Exposure to DEHP, DBP, and DEP increased both, incomplete gut coiling (21.2%, 25.5%, and 31%; respectively) and eye 264 265 malformations (17.3%, 19.1%, and 51.7%; respectively). Moreover, DEHP and DEP increased 266 tail abnormalities (21.2% and 44.8%; respectively), and DEP increased the occurrence of edemas 267 (13.8%).

3.3 Gene expression of larvae exposed to phthalates

269	Exposure to phthalate esters modulated androgen-related mRNA levels. Exposure to 685
270	μ g/L DEHP increased the expression of <i>srd5</i> β and <i>ar</i> (ANOVA: <i>srd5</i> β : 1.4-fold increase, <i>p</i> =
271	0.0057; <i>ar</i> : 1.4-fold increase, $p = 0.0228$, Figures 1 and 2). Frogs exposed to DEHP at a
272	concentration 10X higher (i.e., 2,932 μ g/L) responded with increased mRNA levels of all
273	four <i>srd5</i> genes (ANOVA: <i>srd5a1</i> : 1.4-fold increase, $p = 0.0001$; <i>srd5a2</i> : 1.7-fold increase, p
274	= 0.0003; <i>srd5a3</i> : 1.5-fold increase, $p = 0.0010$; <i>srd5β</i> : 1.8-fold increase, $p = 0.0001$).
275	Interestingly, DBP resulted in significant changes at the lowest (below the detection limit of
276	2 μ g/L) and highest (2,065 μ g/L) concentrations only. Low DBP stimulated <i>srd5</i> β
277	transcription (ANOVA: 1.4-fold increase, $p = 0.0302$) and high DBP increased the mRNA
278	level of <i>srd5a1</i> , <i>srd5a2</i> , <i>srd5a3</i> , <i>srd5β</i> , and <i>ar</i> (ANOVA: <i>srd5a1</i> : 1.3-fold increase, $p =$
279	0.0046; $srd5\alpha 2$: 1.6-fold increase, $p = 0.0029$; $srd5\alpha 3$: 1.4-fold increase, $p = 0.0178$; $srd5\beta$:
280	1.6-fold increase, $p = 0.0001$; ar: 1.4-old increase, $p = 0.0287$). Exposure to DEP resulted in
281	a change of expression of <i>srd5a1</i> (ANOVA: 1278 μ g/L: 1.3-fold increase, <i>p</i> = 0.0094) and
282	<i>srd5</i> β (ANOVA: 85 µg/L: 1.5-fold increase, $p = 0.0042$). The three phthalates did not affect
283	the transcript levels for cyp19, era, star, gst, gpx, or ppary. As expected, finasteride exposure
284	significantly decreased the mRNA level of <i>srd5a2</i> (ANOVA: 2-fold decrease, $p = 0.01$). In
285	addition to androgen related gene expression changes, hsp70 resulted in significantly
286	changed mRNA levels for <2 μ g/L DBP (ANOVA: 1.5-fold decrease, $p = 0.01$) and 11 μ g/L
287	DEP (ANOVA: 1.6-fold decrease, $p = 0.014$, Figure 3).

3.4 Frog testes exposed to DBP ex vivo

289	The total amount of T and 5α -DHT was measured in the media of control and DBP-
290	exposed testes tissues. Interestingly, neither the secreted concentration of T or 5α -DHT into
291	the media was significantly altered after DBP exposure ($p > 0.05$; Figure 4). In addition, the
292	mRNA levels of <i>srd5a1</i> , <i>srd5a2</i> , <i>srd5a3</i> , and <i>srd5β</i> were also not significantly modified after
293	DBP exposure (Figure 5).

4. Discussion

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296 Both, phthalate esters and their metabolites have been linked to endocrine disruption in 297 wildlife (Mathieu-Denoncourt et al., 2015b). Amphibians, in particular, are at a high risk due to 298 their external egg development and permeable skin, which allows chemical penetration. One of 299 the phthalates, DBP, used in the present study showed significant degradation after 24 h, 300 suggesting that embryos have been also exposed to its major metabolite mono-n-butyl phthalate 301 (MBP; Silva et al., 2007). The contribution of DBP and its metabolites are thus confounded 302 when investigating the interference with the amphibian development and reproductive system in 303 the current study. As we were interested in sub-lethal effects, such as endocrine disruption, we 304 first established that the phthalate concentrations chosen did not affect the survival rate. 305 Similarly, previous studies have shown that exposure up to 1,000 µg/L DBP did not result in 306 decreased mortality of fathead minnow embryos (Mankidy et al., 2013), while exposure to 5,000, 307 10,000, and 15,000 µg/L DBP significantly decreased the survivability of Xenopus laevis larvae 308 (Lee et al., 2005). Likewise, higher DEP concentrations as the ones used in the present study 309 (e.g., 10,000 µg/L and above), decreased survival rate of fathead minnow and zebrafish embryos

310	(Kim et al., 2015; Mankidy et al., 2013). Similar to the findings in our study, 10 μ g/L DEHP did
311	not result in significantly decreased mortality in Japanese medaka (Chikae et al., 2004).
312	In the present study, exposure to the lowest concentrations of DEHP, DBP, and DEP
313	augmented the occurrence of malformations in S. tropicalis, in particular the presence of
314	incomplete gut coiling, tail abnormalities, and eye malformations. Previous studies have shown
315	that endocrine disrupting chemicals don't always follow a normal dose response curve where the
316	observable effects increase with increasing dose (reviewed in Vandenberg et al., 2012). Thus, the
317	present finding of a higher effect at low doses is not unexpected for phthalates. Other studies
318	have also observed that phthalate exposures to similar concentrations as used in this study
319	resulted in malformed animals. For example, exposure to DBP and DEP at concentrations
320	exceeding 500 μ g/L significantly increased malformations, including abnormal gut coiling,
321	cardiac abnormalities, and malformed faces, eyes, and brains in X. laevis (Bantle et al., 1999;
322	Lee et al., 2005; Gardner et al., 2016). Furthermore, S. tropicalis exposed to dimethyl phthalate
323	(DMP) and dicyclohexyl phthalate (DCHP) also resulted in malformations, such as edemas,
324	improperly developed hearts, tail abnormalities, improperly coiled guts, and/or absent gills
325	(Mathieu-Denoncourt et al., 2016).

Malformations of the tail and gut are often attributed to cellular stress. Heat shock proteins
are known as early warning signs of cellular stress and have been previously shown to be
induced after phthalate exposure in different species (reviewed in Gupta et al., 2010). In the
present study, the lowest DBP and DEP concentrations significantly decreased mRNA levels of *hsp70*. In contrary, 1000 µg/L butyl benzyl phthalate (BBP) and DEHP induced *hsp70* mRNA
levels in *Chironomus riparius* larvae (Planelló et al., 2011) and exposure of *S. tropicalis* larvae
to DCHP also increased *hsp70* mRNA levels (Mathieu-Denoncourt et al., 2016). Cellular

333 oxidative stress is caused by the presence of reactive oxygen species, which can lead to DNA 334 damage in cells. Cells can metabolize reactive oxygen species by producing antioxidant 335 enzymes, including glutathione transferase and glutathione peroxidase that transform reactive 336 oxygen species to less reactive compounds such as oxygen. In the present study, the expression 337 of gst and gpx did not change suggesting that the developmental abnormalities are not likely the 338 result of oxidative stress. However, in the current study, protein activity was not measured and it 339 is known that mRNA levels and protein activities do not always correlate (Koussounadis et al., 340 2015). Therefore, it is possible that proteins involved in oxidative stress changed in activity level 341 but no change in mRNA level was detected after phthalate exposure.

342 In addition to inducing malformations, phthalates are known to alter the endocrine system in 343 mammalian species. Previous studies have suggested that phthalates can interfere with 344 steroidogenesis and affect both the female and male reproductive systems. Multiple regulating 345 pathways involved in the maintenance of steroid homeostasis have been shown to be affected by 346 phthalates (reviewed in Mathieu-Denoncourt et al., 2015a). In order to examine the effects of the 347 studied phthalates in amphibians, a series of endocrine related targets were analyzed. First, the 348 expression of a gene involved in cholesterol transport (e.g., *star*) was analyzed as previous 349 reports that demonstrated that phthalates interfere with this critical step in steroid synthesis 350 (reviewed in Mathieu-Denoncourt et al., 2015a). In the present study, none of the phthalates of 351 interest modulated *star* transcription, reinforcing the point that each phthalate presents unique 352 molecular mechanisms of action. In addition, previous literature has also demonstrated that the 353 synthesis and signaling of the female sex steroids were affected by phthalate treatments. For 354 example, mRNA levels of *cvp19*, the enzyme responsible to aromatize T to estradiol, decreased 355 in rodent cell lines when treated with MEHP and DEHP (Lovekamp and Davis, 2001; Gupta et

al., 2010). In contrast, in amphibians, exposure to DCHP during embryogenesis in the Western
Clawed frog increased *cyp19* mRNA level; however, DMP and its metabolite, MMP did not alter *cyp19* expression in the same species (Mathieu-Denoncourt et al., 2016). The later study is
similar to the data found in this study as none of DEHP, DBP, or DEP modulated the expression
of estrogen-related genes, such as *cyp19*, and *era*.

361 Androgen synthesis is also known to be altered by phthalate exposure in mammalian species. 362 Generally, it is recognized that phthalates do not exert their action through ar (reviewed in 363 Rouiller-Fabre et al., 2015). As an example, juvenile and adult liver tissues exposed to MMP did 364 not alter transcript levels of ar (Mathieu-Denoncourt et al., 2015a). Similarly, ar expression was 365 not changed following exposure to 10,000 µg/L DEP and 1,000 µg/L DBP in fathead minnow 366 embryos (Mankidy et al., 2013). In contrast, our data revealed that exposure to 10 µM DEHP and 367 DBP increased *ar* transcription. Agonists of AR have been shown to induce transcriptional 368 changes (Li et al., 2017), suggesting that DEHP and DBP can act as agonists of AR. In addition 369 to the measured increase in ar mRNA level, srd5 expression was also augmented in the frog 370 larvae. All three phthalates increased srd5 α 1 transcripts. In addition, srd5 α 2 and srd5 α 3 371 expression levels were also increased by DEHP and DBP exposures. Similarly in mammalian 372 species, DEHP amplified the activity of SRD5 α in the pubertal rat testes (Kim et al., 2003). An 373 increase of srd5a could lead to a higher than normal conversion of T to 5a-DHT. However, other 374 studies demonstrated that phthalate exposure decreases SRD5a activity. For example, a decrease 375 in Srd5a2 activity was demonstrated after DBP exposure *in vitro* in gonad microsomal 376 homogenates isolated from the common carp (Thibaut and Porte, 2004). Moreover, prenatal 377 exposure to DBP in rats significantly decreased $Srd5\alpha 2$ protein expression in the proximal penis 378 (Kim et al., 2010). In contrast, MMP, DMP, and DCHP did not alter *srd5α2* mRNA level in *S*.

tropicalis (Mathieu-Denoncourt et al., 2016). These results suggest that the chemical nature of the phthalates as well as the developmental stage or tissue are important as to how the specific compound interferes with the level of certain genes/proteins.

382 Interestingly, not only $srd5\alpha$ isoforms, but also the $srd5\beta$ transcript level increased after 383 DEHP, DBP, and DEP treatments. Srd5ß is known to be involved in clearing excess steroids in 384 bird brains (Steimer and Hutchison, 1981). The observation that $srd5\beta$ increased after phthalate 385 treatment may suggest a disturbance of the normal balance of sex steroids. However, no previous 386 studies have assessed the effects of phthalates on $srd5\beta$ expression and limited studies have 387 examined this gene. Treatments with methyltrienolone and atrazine have been shown to alter 388 $srd5\beta$ levels in human prostate cells and frog liver, respectively (Bolton et al., 2007; Langlois et 389 al., 2010a). Our results provide evidence that phthalates can interfere with $srd5\beta$ and perhaps 390 result in adverse reproductive effects. Since $srd5\beta$ is involved in many other biological functions, 391 including bile acid synthesis and erythropoiesis, other adverse effects may be observed. To test 392 this hypothesis, exposure of phthalates to animals throughout development and sexual 393 differentiation would be required. Taken together, these findings suggest that phthalates exert 394 their action through different mechanisms depending on species and tissues and affect androgen 395 synthesis in various ways.

As androgen-related genes were altered by DBP during frog early development, further
investigations were pursued in DBP-exposed testes of adult males due to a high androgen
synthesis in gonads. However, DBP *ex vivo* exposure did not alter the T or 5α-DHT steroid
levels nor did it alter *srd5a* expression in frog testes. Previous studies had demonstrated that
phthalates, including DEHP, DBP, DEP, MEP, monobutyl phthalate, dipentyl phthalate (DPeP),
monopentyl phthalate (MPeP), benzylbutyl phthalate (BzBP), mono-n-octyl phthalate (MnOP),

402 and MEHP decreased T levels in mammalian species (reviewed in Mathieu-Denoncourt et al., 403 2015a). As an example, DBP exposure of prenatal male rats resulted in significant decreased of 404 T levels, which was accompanied by a decreased expression of $Srd5\alpha 2$ in testicular tissues (Jiang 405 et al., 2016). Similarly, primary cultures of rat Leydig cells exposed to MEHP decreased Srd5 α 406 activity in immature, but not in adult Leydig cells (Svechnikov et al., 2008). The lack of any 407 observed effect in our study may be due to the fact that the *ex vivo* exposure was stopped after 408 6h, which may have been too short of an exposure time to see any changes at the transcriptional 409 level. Nevertheless, our embryonic data suggest that phthalates can induce transcriptional 410 changes of genes associated with reproduction.

411 This study demonstrated that DEHP, DEP, and DBP interfere with normal frog development 412 by inducing an array of malformations to the developing animals. Exposure to these three 413 phthalates also increased the expression of androgen-related genes, in particular the four srd5 414 during amphibian embryogenesis, which suggests that DEHP, DEP, and DBP have an 415 androgenic activity in the amphibian embryo. Thus, this data shows evidence that certain 416 phthalates act via the srd5 signaling pathway. Furthermore, this finding also supports previous 417 studies suggesting that phthalate esters induce adverse effects in vertebrates by altering important 418 biological functions, including a hormonal imbalance.

419

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423

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Target gene	Primer direction	Sequence (5' - 3')	Annealing temperature (°C)	Primer (nM)
gst	Forward	ATTGCGTGGGAGATGAGGTG	60	350
	Reverse	ATTGTGGGATAGGGGGCAAG		
gpx	Forward	CGAACCCAACTTCCCCTTGT	60	350
	Reverse	TAGGATACGGAAGTTGCCCC		

Table 1 qPCR primers and assay conditions of *gpx* and *gst* genes for *S. tropicalis*.

583	Table 2 Di(2-ethylhexyl) phthala	te (DEHP), di-n-butyl phthalate ((DBP), and diethyl phthalate	(DEP) measured in
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the water of exposed embryos at 0 h and after 24 h. Average concentrations are bold. Legend: Ctr, Control; LOD,

limit of detection; Stdev, standard deviation.

Nominal Concentration (µg/L)		Measured Concentration (µg/L)	Stdev (µg/L)	
Water Ctr	0 h	<lod< th=""><th>0.0</th></lod<>	0.0	
	24 h	<lod< td=""><td>0.0</td></lod<>	0.0	
Solvent Ctr	0 h	<lod< td=""><td>0.0</td></lod<>	0.0	
	24 h	<lod< td=""><td>0.0</td></lod<>	0.0	
39 DEHP	0 h	247.1	285.1	
	24 h	30.0	14.0	
	Average	138.6	216.2	
390 DEHP	0 h	552.9	453.6	
	24 h	684.8	183.3	
	Average	618.9* ^a	317.8	
3900 DEHP	0 h	4304.8	3864.5	
	24 h	1559.9	1082.3	
	Average	2932.3* ^a	2950.0	
28 DBP	0 h	<lod< td=""><td>0.0</td></lod<>	0.0	
	24 h	<lod< td=""><td>0.0</td></lod<>	0.0	
	Average	<lod< td=""><td>0.0</td></lod<>	0.0	
280 DBP	0 h	121.2	44.5	
	24 h	<lod*<sup>b</lod*<sup>	0.0	
	Average	60.6 * ^a	72.2	
2800 DBP 0 h		3033.4	533.1	
	24 h	1095.8* ^b	173.9	
	Average	2064.6* ^a	1119.0	
22 DEP	0 h	13.0	2.3	
	24 h	9.7	0.2	
	Average	11.3	2.3	
220 DEP	0 h	86.4	6.6	
	24 h	84.4	1.3	
	Average	85.4* ^a	4.3	
2200 DEP	0 h	1291.3	125.4	
	24 h	1264.6	129.6	
	Average	1278.0* ^a	114.9	

^{*a} indicates a significant concentration difference to the previous lower concentration ^{*b} indicates significant degradation between 0 h and 24 h of exposure 587

589 **Table 3** Effects of di(2-ethylhexyl) phthalate (DEHP), di-n-butyl phthalate (DBP), and diethyl phthalate (DEP)

590 spiked water on mortality and malformation of *S. tropicalis* larvae at exposure completion (Nieuwkoop and Faber

591 stage 46). The mortality data are expressed as percent mean \pm SD (%) and the malformation results are expressed as

592 a percentage (%) of malformed animals to the total number of animals analyzed. Asterisks indicate statistically

593 significant differences between treatments (DEHP, DBP, and DEP) and solvent (0.05% ethanol) control.

Treatments	Mortality	Average malformation (%)	Malformations observed (%)				
(µg/L)	(%)		Tail	Edema	Eye	Heart	Gut
Water Ctrl	4.5 ± 2.1	9.5 ± 5.1	12.8	2.3	12.8	0.0	11.6
Solvent Ctrl	5.0 ± 4.0	7.0 ± 2.2	8.7	6.8	4.9	0.0	9.7
39 DEHP	4.4 ± 3.1	$16.5 \pm 6.4*$	21.2*	7.7	17.3	0.0	21.2*
390 DEHP	3.8 ± 3.2	8.2 ± 4.5	14.3*	4.1	6.1	0.0	10.2
3,900 DEHP	5.6 ± 2.4	12.3 ± 9.6	21.3*	2.1	21.3*	0.0	8.5
28 DBP	3.8 ± 3.2	$14.5 \pm 4.0*$	14.9	2.1	19.1*	0.0	25.5*
280 DBP	8.8 ± 6.0	5.2 ± 4.4	10.0	0.0	8.0	0.0	8.0
2,800 DBP	5 ± 2.0	6.1 ± 3.2	4.3	0.0	8.7	0.0	15.2
22 DEP	8.1 ± 4.3	33.8 ± 8.1*	44.8*	13.8	51.7*	0.0	31.0*
220 DEP	4.4 ± 2.4	$18.6 \pm 5.5*$	30.5*	8.5	28.8*	1.7	10.2
2,200 DEP	8.1 ± 3.8	12.3 ± 8.2	21.2*	1.9	13.5*	0.0	7.7

594

595

597 Figure Captions

- 598 **Figure 1** mRNA levels of A) $srd5\alpha 1$, B) $srd5\alpha 2$, C) $srd5\alpha 3$, and D) $srd5\beta$ in frog embryos
- 599 following exposure to FIN, DEHP, DBP, and DEP. Data are expressed relative to the reference
- 600 gene *odc*. Bars represent the mean + SEM. Data were analyzed using one-way analysis of
- 601 variance (n = 4-8; p < 0.05). Legend: DBP, dibutyl phthalate; di-2-ethylhexyl phthalate DEHP,
- diethyl hexyl phthalate; DEP, diethyl phthalate; FIN, finasteride; SC, Solvent Control; WC,
- 603 Water Control; *, significant different from control at p < 0.05.
- 604 **Figure 2** mRNA levels of A) *ar*, B) *erα*, C) *cyp19*, and D) *star* in frog embryos following
- 605 exposure to FIN, DEHP, DBP, and DEP. Data are expressed relative to the reference gene *odc*.
- Bars represent the mean + SEM. Data were analyzed using one-way analysis of variance (n = 4-
- 607 8; p < 0.05). Legend: DBP, dibutyl phthalate; di-2-ethylhexyl phthalate DEHP, diethyl hexyl
- 608 phthalate; DEP, diethyl phthalate; FIN, finasteride; SC, Solvent Control; WC, Water Control; *,
- 609 significant different from control at p < 0.05.
- 610 Figure 3 mRNA levels of A) *hsp70*, B) *gst*, C) *gpx*, and D) *ppary* in frog embryos following
- 611 exposure to FIN, DEHP, DBP, and DEP. Data are expressed relative to the reference gene *odc*.
- Bars represent the mean + SEM. Data were analyzed using one-way analysis of variance (n = 4-
- 613 8; p < 0.05). Legend: DBP, dibutyl phthalate; di-2-ethylhexyl phthalate DEHP, diethyl hexyl
- 614 phthalate; DEP, diethyl phthalate; FIN, finasteride; SC, Solvent Control; WC, Water Control; *,
- 615 significant different from control at p < 0.05.

616 **Figure 4** Concentration of testosterone and 5α-dihydrotestosterone in the media after *ex vivo*

- 617 exposure of testes to dibutyl phthalate (DBP). Bars represent the mean + STD. Data were
- 618 analyzed using a two tailed t-test (n = 6). Legend: DBP, dibutyl phthalate; SC, Solvent Control.

- 619 Figure 5 mRNA levels of A) $srd5\alpha 1$, B) $srd5\alpha 2$, C) $srd5\alpha 3$, and D) $srd5\beta$ in frog testes after ex
- 620 *vivo* exposure to 10 μM dibutyl phthalate (DBP). Data are expressed relative to the reference
- 621 gene *odc*. Bars represent the mean + SEM. Data were analyzed using a two tailed t-test (n = 4).
- 622 Legend: DBP, dibutyl phthalate; SC, Solvent Control.

Fig 1



Phthalate concentration (µg/L)





Phthalate concentration (µg/L)



Phthalate concentration (µg/L)





Fig 5