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**EQUIFINALITY AND AUTOMATIC CALIBRATION, WHAT IS THE IMPACT OF
HYPOTHESIZING AN OPTIMAL PARAMETER SET ON MODELLED HYDROLOGICAL
PROCESSES?**

Étienne Foulon^{1*}, Alain N. Rousseau¹

1 INRS-ETE/Institut National de la Recherche Scientifique—Eau Terre Environnement, 490
rue de la Couronne, Québec City, G1K 9A9, Québec, Canada

*Corresponding author: etiennefoulon59@gmail.com, 418-271-2687

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Abstract

Accepting the concept of equifinality may result in larger uncertainty associated with model predictions than that of the optimal parameter set paradigm. ~~Depite~~Despite the existence of uncertainty ~~earacterisation~~characterization methods, the semi-distributed hydrological model HYDROTEL has been used within the latter paradigm. What is the impact of ~~hypothetizing~~hypothesizing an optimal parameter set? This paper focuses on the assesment of the impact of equifinality of calibration parameters with respect to modelled hydrological variables and indices, namely: (i) daily flows; (ii) seasonal seven- and thirty-day low flows; and maximum flow; (iii) snow water equivalent (SWE); (iv) shallow ground water variations; and (v) actual evapotranspiration. This assessment is presented for ten southern Québec watersheds of the St. Lawrence River. The watershed models ~~are~~were calibrated and validated for 1982-1991 and 1991-2002, respectively. Automatic calibration ~~is~~was performed using the Dynamically Dimensioned Search (DDS) algorithm based on the maximization of two objective functions (OFs): (i) the Kling-Gupta efficiency; and (ii) the Nash-log. DDS was executed to calibrate 12 hydrological parameters for one optimization trial for each watershed and each OF with a budget of 5000 model runs. To analyse ~~the~~-parameter uncertainty and resulting equifinality, 250 sets of parameters were extracted from each trial run. Calibration performances for both OFs were between 0.75 and 0.95, while the selected 250 best sets of parameters had OF values differing by less than 1%. Results ~~show~~showed that the overall OF uncertainty was ~~more important~~larger than the parameter uncertainty for all modelled processes except the SWE. Nevertheless, seasonal results ~~suggests~~suggested ~~that~~-parameter uncertainty ~~can~~could be greater than OF uncertainty for specific seasons or years, although it was not possible to make a general outcome stand out. In particular for impact studies where

the variables of interest are not daily flows but rather hydrological indices or variables, parameter uncertainty will need to be accounted for.

Résumé

Accepter l'existence du concept d'équifinalité c'est reconnaître l'incertitude liée à l'existence d'une famille de solutions donnant des résultats de qualité similaire obtenus avec la même fonction objectif. Malgré l'existence de méthodes de caractérisations de cette incertitude, le modèle hydrologique HYDROTEL a été principalement utilisé jusqu'à maintenant selon le paradigme du calage optimal unique sans évaluer *a posteriori* les conséquences de ce choix. Cette étude propose d'évaluer l'impact du choix du jeu de paramètres optimisés sur certaines variables et indicateurs hydrologiques simulés, à savoir: (i) les débits journaliers; (ii) les débits d'étiage à 7 et 30 jours et les débits maximum; (iii) l'équivalent en eau de la neige (EEN), (iv) les variations du contenu en eau du sol peu profond; et (v) l'évapotranspiration réelle. Dans ce contexte, HYDROTEL est mis en place sur dix bassins versant du Québec méridional entre 1982 et 2002. Pour chacune des fonctions objectif (FO) (Kling Gupta efficiency et Nash-log) et chacun des bassins, l'algorithme *Dynamically Dimensioned Search* (DDS) dispose d'un budget de 5000 répétitions pour optimiser les 12 paramètres de calage d'HYDROTEL sur 1981-1991. Ainsi, 250 jeux de paramètres sont conservés pour évaluer l'incertitude paramétrique et l'équifinalité résultante. Les résultats de calage indiquent des fonctions objectif comprises entre 0,75 et 0,95, tandis que pour chaque modèle les 250 meilleures répétitions présentent des fonctions objectif égales à 1% près. Globalement, pour tous les processus simulés excepté pour l'EEN, l'incertitude relative aux FO était plus importante que celle relative aux jeux de paramètres. Cependant, les résultats saisonniers suggèrent que l'incertitude paramétrique peut dépasser celle due aux FO dans certaines conditions particulières. Elle devra donc être prise en compte, en particulier pour les études

48 d'impacts et de risque hydrologique dont les variables d'intérêt sont principalement des
49 indicateurs hydrologiques simulés et non pas les débits journaliers.

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51 Introduction

52 The equifinality concept refers to the existence of many parameter sets (and multiple model
53 structures) associated with the same ‘optimal’ measure of efficiency (Beven 2006a; Beven
54 and Freer 2001). Within a realistic parameter space, for a given mechanistic model of a
55 complex environmental system, many local optima may exist. Despite the computational
56 costs, equifinality has been revealed for many types of models and especially for rainfall-
57 runoff models (Beven 1993; Beven and Binley 1992; Duan *et al.* 1992; Fu *et al.* 2015; Futter
58 *et al.* 2015; Li *et al.* 2012; Linhoss *et al.* 2013; Prada *et al.* 2016; Romanowicz *et al.* 1994;
59 Zeng *et al.* 2016; Zhang *et al.* 2012).

60 The main consequence of accepting the concept of equifinality is that the uncertainty
61 associated with model predictions might be larger than that assessed within the optimal
62 parameter set paradigm. Different types of approaches allow to deal with such an uncertainty
63 (Vrugt *et al.* 2009a). Some approaches have their roots within a formal statistical (Bayesian)
64 framework, but require in-depth understanding of mathematics and statistics as well as
65 experience in implementing (Fisher and Beven 1996; Freer *et al.* 1996) these methods on
66 computers (Vrugt *et al.* 2009b). This probably explains the success of the generalized
67 likelihood uncertainty estimation (GLUE) method of (Beven and Binley 1992). It operates
68 within the context of Monte Carlo analysis coupled with Bayesian or fuzzy estimation and
69 propagation of uncertainty. It is relatively easy to implement and requires no modifications to
70 existing codes of simulation models. More recently, Tolson and Shoemaker (2007) presented
71 how the dynamically dimensioned search (DDS) optimization algorithm could replace random
72 sampling in typical applications of GLUE. They also introduced a more efficient uncertainty
73 analysis methodology called DDS-approximation of uncertainty (DDS-AU) that differs from
74 the automatic calibration and uncertainty assessment using response surfaces (ACUARS)

75 methods (Mugunthan and Shoemaker 2006). The former approach requires many optimisation
76 trials while the latter approach uses only one trial coupled with a declustering technique.

77 The idea of an optimal parameter set remains strong in environmental sciences and even
78 stronger in hydrological modelling. For a physically-based, semi-distributed, model such as
79 HYDROTEL (Bouda *et al.* 2014; Bouda *et al.* 2012; Fortin *et al.* 2001b; Turcotte *et al.*
80 2007a; Turcotte *et al.* 2003), this frame of mind is rooted in two perceptions: (i) multiple
81 feasible descriptions of reality lead to ambiguity and are possibly viewed as a failure of the
82 modelling exercise (Beven 2006a); and (ii) a manual search for an “optimum” is already
83 computationally expensive (Turcotte *et al.* 2003) while an automatic search may provide only
84 a slight increase in model efficiency in comparison with the latter manual calibration (Bouda
85 *et al.* 2014). This is why in the last decade, at the risk of avoiding important issues of model
86 acceptability and uncertainty (Beven 2006a), HYDROTEL has almost always been applied
87 within the optimal parameter set paradigm.

88 For example, in several studies (Aissia *et al.* 2012; Fortin *et al.* 2001a; Fossey and Rousseau
89 2016a, 2016b; Fossey *et al.* 2015; Fossey *et al.* 2016; Khalili *et al.* 2011; Minville *et al.* 2009;
90 Oreiller *et al.*; Quilbé *et al.* 2008; Rousseau *et al.* 2013), HYDROTEL has been manually
91 calibrated following the four-step, trial-and-error, process-oriented, multiple-objective
92 calibration strategy introduced by Turcotte *et al.* (2003). It has also been calibrated using the
93 shuffled complex evolution algorithm (SCE-UA) designed by Duan *et al.* (1993) to find the
94 optimal set of parameters while avoiding local optima (Bouda *et al.* 2014; Gaborit *et al.* 2015;
95 Ludwig *et al.* 2009; Ricard *et al.* 2013; Trudel *et al.* 2016). But two exceptions emerge from
96 the literature, Bouda *et al.* (2012); Poulin *et al.* (2011) both used the SCE-UA algorithm to
97 generate multiple parameter sets and assessed the uncertainty of hydrological modelling under
98 the equifinality assumption. Poulin *et al.* (2011), based on one snow-dominated watershed,
99 concluded that model uncertainty (conceptual models *versus* more physical-based models for

example) can be more significant than parameter uncertainty. Meanwhile, Bouda *et al.* (2012), from their work on two watersheds, stressed the need for further research that may lead to the implementation of a systematic uncertainty analysis in an operational hydrological forecasting system. Nevertheless, they both highlighted the need for additional validation of their results on additional watersheds.

It is important to mention that the technico-philosophical debate started in 2006 (Beven 2006b, 2008) about the methods that should or should not be used to estimate the uncertainties associated with hydrological forecasting is beyond the scope of this paper. Indeed, the debate is still ongoing about the relative performances of formal (DREAM) and informal (GLUE) Bayesian approaches in estimating the consequences of equifinality (Beven 2009; Vrugt *et al.* 2009b, 2009c) and about the multiple sources of uncertainty and non-stationarity in the analysis and modelling of hydrological systems (Beven 2016; Nearing *et al.* 2016). In this paper, equifinality is simply explored through the implementation of the automatic calibration algorithm DDS (~~Dynamically Dimensioned Search~~) (Tolson and Shoemaker 2007), which has been reported as being superior to SCE-UA (Arsenault *et al.* 2014; Yen *et al.* 2016). Our contribution builds on the work carried out on hydrological uncertainty to show in practical terms why equifinality does need to be taken into account by answering one simple question taken out of the technico-philosophical debate: what are the consequences of not accounting for equifinality while calibrating HYDROTEL for an environmental impact study? Here, hydrological uncertainty (defined by the spread resulting from multiple calibrations) is assessed for five modelled hydrological variables and indices: (i) daily flows, (ii) seasonal hydrological indices such as the seven-day low flow (7d-Qmin), 30-day low flow (30d-Qmin), and the maximum flow (Qmax), (iii) snow water equivalent (SWE), (iv) shallow ground water content variations (GWC) and (v) actual evapotranspiration (AET). Innovation resides in three elements. A calibration strategy close to that of manual calibration was used

125 in order to demonstrate the need to account for equifinality in impact assessment studies aside
126 from the technico-philosophical debate started in 2006. Moreover, using 10 watersheds across
127 Québec avoided limiting the significance of the results to a specific region. Last, the relative
128 importance of OF uncertainty and parameter uncertainty were differentiated according to the
129 variable being considered and its temporal scale (yearly or seasonal).

130 The next two sections of this paper introduce the modelled watersheds and the methods; the
131 ~~third section introduces the~~ results and ensuing discussions. Throughout the paper, ~~the~~ readers
132 should keep in mind that the results do not aim at assessing the formal statistical uncertainty
133 associated with the hydrological processes, but rather at showing the concrete consequences
134 of equifinality on modelled hydrological processes

Study area and data

This study was carried out in southern Québec (Canada) on ten watersheds spread out in five hydrographic regions of the St. Lawrence River ([Figure 1](#)). These ten watersheds, namely (i) Batiscan, (ii) Bécancour, (iii) Chamouchouane, (iv) Châteauguay, (v) Chaudière, (vi) Du Loup, (vii) Gatineau, (viii) Mistassini, (ix) Rouge, and (x) Yamaska have modelled drainage areas ranging from 855 up to 15,042 km² and various land cover patterns. Table 1 ~~shows-indicates that all the~~ watersheds, but Yamaska, have a forested (evergreen + deciduous trees) area ~~that represents~~ covering more than 90% of the modelled land cover. Yamaska is the only watershed with a significant portion of urban area. Batiscan has over 40% of evergreen while Gatineau, Chaudière, Rouge and Du Loup have 17, 21.5, 25.6 and 28.4% of evergreen, respectively, and the remaining five watersheds have an evergreen area representing less than 10% of their total land cover. It is also noteworthy that Châteauguay, Bécancour and Chaudière have 17.0, 8.2 and 3.9% of cropland while the remaining seven watersheds have less than 1%.

According to available meteorological data (1981-2002, 1995 and 1996 being unavailable) from National Resources Canada, the region surrounding the St. Lawrence River delineated in [Figure 1](#) (~~Figure 1 (-78 ÷ -70; 45 ÷ 52)~~) is characterized by a mean annual temperature of 1.8°C and mean annual total precipitation of 940 mm. All watersheds are snow-dominated with peak flow occurring in spring. A summary of the hydroclimatic characteristics of the watersheds is provided in [Table 2](#) and [Table 3](#) for two hydrological seasons, that is summer (June, 1st to November, 30th) and winter (December, 1st to May, 31st). While the mean summer rainfall is 545 mm and quite homogenous among the watersheds (standard deviation of 30 mm), mean winter rainfall is more heterogeneous with a mean of 208 mm and a standard deviation of 64 mm. Meanwhile, mean snowfall is 271 mm with a standard deviation of 52 mm. Mean summer (10.8°C) and winter (-4.8°C) temperatures are also quite variable

with respective standard deviations of 1.8 and 2.8 °C. This shows that in terms of climate characteristics, the studied watersheds are quite heterogeneous. In terms of hydrological characteristics, mean summer and winter daily flows are 1.2 and 1.9 mm, respectively, with standard deviations of 0.44 and 0.23. Winter flows are higher than summer flows on average because winter includes the snow melt and thus the spring peak flows. Higher variability in the summer flows is attributed to summer rainfall and convective storms that are more variable than snowfalls. The hydrological indices mean values indicate that the watersheds, despite being somewhat located along the St. Lawrence River, have heterogeneous characteristics with mean 7d-Qmin ranging from 2 up to 156 m³s⁻¹ and from 4 to 120 m³s⁻¹ for summer and winter, respectively. Heterogeneity is even higher for mean Qmax: ~~that~~ rangeranging from 29 up to 595 m³s⁻¹ and from 84 to 1350 m³s⁻¹ for summer and winter, respectively.

<[Table 1: Land cover of the ten studied watersheds in southern Québec, Canada](#)~~Table 1: Land cover of the ten studied watersheds in southern Québec, Canada~~>

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Figure 1: Location of the study watersheds in Québec, Canada, and around the St. Lawrence River

<[Table 2: Summary \(1982-2002\) of the climate characteristics of the study watersheds](#)~~Table 2: Summary (1982-2002) of the climate characteristics of the study watersheds~~>

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<[Table 3: Summary \(1982-2002\) of the hydrological characteristics of the study watersheds](#)~~Table 3: Summary (1982-2002) of the hydrological characteristics of the study watersheds~~>

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Material and Methods

Hydrological model

HYDROTEL is a process-based, continuous, semi-distributed hydrological model (Bouda *et al.* 2014; Bouda *et al.* 2012; Fortin *et al.* 2001b; Turcotte *et al.* 2007a; Turcotte *et al.* 2003) that is currently used for inflow forecasting by Hydro-Quebec, Quebec’s major power utility, and the Quebec Hydrological Expertise Centre (CEHQ) which is in charge of the management and safety of publicly owned dams (Turcotte *et al.* 2004). It was designed to use available remote sensing and GIS data and use either a 3-hour or a daily time step. It is based on the spatial segmentation of a watershed into relatively homogeneous hydrological units (RHHUs, elementary subwatersheds or hillslopes as desired) and interconnected river segments (RSs) draining the aforementioned units. A semi-automatic, GIS-based framework called PHYSITEL (Noël *et al.* 2014; Rousseau *et al.* 2011; Turcotte *et al.* 2001) allows easy watershed segmentation and parameterization of the hydrological objects (RHHUs and RSs). The model is composed of seven computational modules, which run in successive steps. Each module simulates a specific ~~hydrological~~ process (meteorological data interpolation, snowpack dynamics, soil temperature and freezing depth, potential evapotranspiration, vertical water budget, overland water routing, channel routing). ~~and the reader~~ Readers is are referred to Fortin *et al.* (2001b) and Turcotte *et al.* (2007a) for more details on these aspects of HYDROTEL.

The main parameters of HYDROTEL can be subdivided into three groups ~~presented in (see~~ Table 4~~Table 4)~~. The first group includes the snow parameters and the second group includes the soil parameters. The last three individual parameters are related to the interpolations of temperature and precipitation according to the average of the three nearest meteorological stations weighed in by the square of the inverse distances between the RHHU and the three stations (a.k.a. the Reciprocal-Distance-Squared method).

~~<Table 4: HYDROTEL key parameters>~~ ~~Table 4: HYDROTEL key parameters~~

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Data acquisition

Observed climate data for 1981-2002 were computed on a $0.75^\circ \times 0.75^\circ$ grid by isotropic kriging following the method described in Poirier *et al.* (2012) using the meteorological data provided by National Resources Canada. Each grid-point served as a meteorological station in HYDROTEL. Flow data were extracted from the CEHQ data base; which operates around 230 hydrometric stations (CEHQ, 2012). Stations were selected for their data availability and proximity to the outlets of the watersheds. For Batiscan (#050304 [-72.4° long., 46.6° lat.]), Bécancour (#024007 [-72.3° long., 46.2° lat.]), Châteauguay (#030905 [-73.8° long., 45.3° lat.]) and Rouge (#040204 [-74.7° long., 45.7° lat.]), stations were located at the outlet of each watershed while for Chamouchouane (#061901 [-72.5° long., 48.7° lat.]), Chaudière (#023402 [-71.2° long., 46.6° lat.]), Du Loup (#052805 [-73.2° long., 46.6° lat.]), Gatineau (#040830 [-75.8° long., 47.1° lat.]), Mistassini (#062102 [-72.3° long., 48.9° lat.]) and Yamaska (#030304 [-72.9° long., 45.5° lat.]), the nearest stations were selected (see [Figure 1](#)).

Calibration/validation and parameter sets generation

~~The calibration of HYDROTEL for~~ Model calibration on each watershed was carried out using a global optimization algorithm, ~~dynamically dimensioned search (DDS)~~ presented in Tolson and Shoemaker (2007). It allows systematic and impartial calibration of HYDROTEL through all the watersheds using a fixed methodology. The shuffled complex evolution (SCE) algorithm (Duan *et al.* 1992; Duan *et al.* 1994; Duan *et al.* 1993) was also considered; viewed as the dominant optimization algorithm before 2007 with more than 300 different applications referring to the original set of SCE papers. However, it has since been proved that DDS is better suited for distributed watershed models requiring extensive computational time ([Arsenault *et al.* 2014; Tolson and Shoemaker 2007; Yen *et al.* 2016](#)). ~~Indeed,~~ DDS performs

a low number of model evaluations before converging to a good calibration solution (~~Arsenault et al. 2014; Tolson and Shoemaker 2007; Yen et al. 2016~~). According to Yen et al. (2016), DDS outperforms other optimization techniques in both convergence speed and searching ability for parameter sets that satisfy statistical guidelines (~~Moriasi et al. 2007~~) while requiring only one algorithm parameter (perturbation factor, default value 0.2) in the optimization process. This default value was used in this paper.

Automatic calibration was performed based on the maximization of four objective functions (OFs) computed from observed flow data: (i) Kling-Gupta efficiency (KGE); (ii) Nash-log; that is the Nash-Sutcliffe efficiency (NSE) calculated on log transformed flows; (iii) NSE_Q and (iv) NSE_{√Q} computed on root squared flows. DDS was executed for one optimization trial for each watershed and each OF with a budget of 5000 model runs - the trial was initiated from the same random set of parameter values for every watershed. To analyse the parameter uncertainty and resulting equifinality, the 250 sets of parameters resulting in the best OF values were extracted from each trial run. Then each model was run over a validation period using the corresponding 250 sets of parameters (10 models times 4 OFs). However, this paper solely focused on two of the four OFs studied namely KGE and Nash-log because including the two other ~~OFs-functions~~ would not help distinguishing the dominant type of uncertainty. Indeed, overall results for NSE are close to KGE results except around peak flows (*Gupta et al., 2009*) while NSE_{√Q} represents a tradeoff between KGE and Nash-log. ~~Plus, KGE is currently the most used OF in hydrological model calibration and u~~Using the combination of KGE and Nash-log provides a contrasted calibration procedure that in turn favors high flows and low flows.

$$KGE = 1 - \sqrt{(r - 1)^2 + (\alpha - 1)^2 + (\beta - 1)^2} \quad \text{Eq 1}$$
where r is the linear correlation coefficient between simulated and observed values; α is a measure of relative variability in the simulated and observed values, that is the ratio between

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simulated and observed standard deviations; and β stands for the bias, that is the ratio between the mean simulated and mean observed flows.

$$\text{Nash-log} = \frac{2 \cdot \alpha_{\log} \cdot r_{\log} - \alpha_{\log}^2 - \beta_{\log}^2}{n} \quad \text{Eq 2}$$

where α_{\log} and r_{\log} are the linear correlation coefficient and measure of relative variability between the log transformed simulated and observed flows, respectively; and β_{\log} stands for the ratio between the bias of log transformed simulated and observed flows, normalized by the standard deviation of observed values.

The calibration period extended from December 1st, 1982 to November 30th, 1991; that is nine entire hydrological years. The validation period started on December 1st, 1991 and ended on November 30th, 2002 (remembering that the 1995-1996 meteorological data series were unavailable); that is eight complete hydrological years (hydrological years 1994 – December 1st, 1994 to November 30th, 1995, and 1995 – December 1st, 1995 to November 30th, 1996 were unavailable), corresponding to nine summers and eight winters (January to the end of May 1997 is used as a spin-up to make sure that the model is on the right track). In each case, a 1-year spin-up period was used to minimize initialization errors. During the 1995-1996 meteorological data gap, the model was fed with data from 1993-1994 to prevent the rivers from drying out. These simulation periods (calibration and validation) simply resulted from the split-sample strategy applied to the available meteorological and hydrological data. The length of the calibration period was not so long as to increase computational costs too much, but not so short as to have issues related to the interannual variability of climate data compared with the validation period. Figure 2 illustrates the appropriateness of this approach in terms of mean annual and seasonal temperatures and precipitations. As seen in the simulation periods, the simulation periods are relatively similar: precipitations and temperatures are within [614; 911 mm] and [-1; +6°C], and [646; 845 mm] and [-0.2; 6.4°C], for the calibration and validation periods, respectively.

282 | Out of the eighteen (18) key calibration parameters ([Table 4](#)~~Table 4~~), twelve (12) were
283 | actually adjusted in this study: six (6) snow parameters; five (5) soil parameters; and one (1)
284 | interpolation coefficient. Sensitivity analyses were not formally carried out for any of the
285 | watersheds beforehand, but these calibrated parameters are amongst the model most sensitive
286 | parameters (Turcotte *et al.* 2003). This selection of parameters was based on: (i) information
287 | provided by previous analyses (Ben Nasr 2014; Bouda *et al.* 2014), (ii) knowledge built
288 | through the operational use of HYDROTEL (Turcotte *et al.* 2004) and (iii) experience gained
289 | during the development of a ~~hydroclimatic~~ Hydroclimatic Atlas conveying the potential
290 | impact of climate change on water resources for the 2050 horizon over Southern Québec
291 | (CEHQ 2013, 2015). The remaining parameters were fixed according to: (i) a regionalization
292 | study (Turcotte *et al.* 2007b), (ii) results from the application of a global calibration strategy
293 | (Ricard *et al.* 2013) used in CEHQ (2013, 2015), and (iii) from previous manual calibration
294 | exercises.

295 | **Figure 2: Relationship between mean annual and seasonal temperatures and**
296 | **precipitations for the calibration and validation periods**

297

298 Results

299 As previously mentioned model uncertainty related to parameters used for the calibration of
300 HYDROTEL and to the choice of the OF was assessed through five modelled hydrological
301 variables and indices: (i) modelled streamflows, (ii) hydrological indices computed from the
302 latter, and three internal variables, namely (iii) snow water equivalent (SWE), (iv) actual
303 evapotranspiration (AET) and (v) shallow ground water content variations (GWC). In this
304 paper, parameter equifinality refers to the range that each calibration parameter covers within
305 the predefined physical limits attributed to each parameter. Meanwhile parameter uncertainty
306 refers to the consequences of parameter equifinality with respect to the model outputs.
307 Finally, OF uncertainty refers to the effects of using two different functions on the model
308 outputs. For each subsection, a different watershed is used as a showcase while the other nine
309 and their related figures are referred to as alternate watersheds and available as supplemental
310 information upon request to the corresponding author. This choice was made to focus on the
311 global picture ~~recounted~~ conveyed by this paper instead of focusing on the characteristics of a
312 single watershed.

313 Parameter equifinality

314 Figure 3 shows the range covered by the 250 sets of parameters used in setting up the 20
315 models in HYDROTEL. The figure was computed by putting together for each model a radar
316 plot of the calibration parameter values. For every set of parameters, a line ~~is~~ was drawn to
317 link every individual parameter. The computation of the 250 lines ~~makes~~ made it possible to
318 picture the range covered by the selected sets of parameters within a predefined physical
319 interval that limits the automatic calibration algorithm. These limits were based on the
320 information provided by previous sensitivity analyses, operational experience, and previous
321 calibration exercises.

For most watershed models, the parameter equifinality is limited. Indeed, parameter equifinality for the Batiscan watershed, for the KGE OF, covers a maximum of 9.2% of the physical range for the deciduous melting threshold parameter (C in Figure 3), but about 5% for the rain/snow limit (A in Figure 3) for example. The maximum parameter equifinality is obtained for the evergreen melting threshold on the Yamaska watershed for the KGE OF with an equifinality covering 45.6% of the physical range. Overall, the “most equifinal parameters” are the evergreen melting rate (B in Figure 3) and threshold (E in Figure 3).

Figure 3: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. The dark blue diagrams refer to the KGE objective function OF while the light blue diagrams refer to the Nash-log OF.

Streamflows

A tangible evidence of the equifinality of the 20 models is displayed by the narrow ranges of OF values resulting from the 250 calibrations and validations. This was expected despite the careful consideration given to the number of calibration parameters used to avoid over parametrization and limit the possibility of equifinality. [Figure 4](#) shows the KGE and Nash-log values obtained in calibration and validation for the Chamouchouane watershed. KGE as well as Nash-log calibration values belong to equally narrow ranges [0.9464; 0.9472] and [0.9064; 0.9072]. For the validation period, ranges are larger, but still quite narrow with 100% and 68% of KGE and Nash-log values fitting in the equally narrow ranges [0.8225; 0.8305] and [0.6340; 0.6420], respectively. Model performances are not as good in validation as in calibration. But as [Table 6](#) shows, differences in performances overpass a 15% difference only three times out of the 20 models. Moreover, the validation period performances either increase as well or as decrease in comparison with calibration values, and

that vouches for the split-sample strategy chosen. Indeed, [Table 6](#) introduces the median loss of performances computed from the individual losses of each of the 250 calibrations/validations which are different from what could be computed from [Table 5](#).

[Table 5](#) shows that results of [Figure 4](#) are also valid for the alternate watersheds included in this paper. Indeed, for the calibration period, both KGE and Nash-log values can be constrained in a 0.01 interval while, for the validation period, ~~values they~~ are within a 0.15 interval. What is notable is that ranges seem larger for the Nash-log than for the KGE OFs. Also, the performances in calibration using the Nash-log OF are lower; whereby the mean of the KGE values is 0.916, the mean of the Nash-log values is 0.840. ~~In-For~~ validation, this gap widens with a mean KGE of 0.823 and a mean Nash-log of 0.679. This important difference may be attributed to the relative inability of Nash-log to represent high flows. Indeed, high flows are less correctly ~~assessed-reproduced~~ by Nash-log ~~than-when~~ low flows are assessed using the KGE OF. This explains the observed difference in performances.

The simulated streamflow envelopes shown in [Figure 5](#) clearly illustrate parameter uncertainty with respect to [the](#) Rouge watershed. The hydrographs were computed according to the following steps: (i) for every 250 simulated flow series, mean values were generated for each day of the year, over the calibration (9 hydrological years) and validation periods (8 hydrological years); (ii) then for each model and simulation period, daily minimum and maximum values were taken from the entire set of mean series and plotted in order to obtain streamflow envelopes. As depicted in [Figure 5](#) ~~which introducing-introduces~~ the individual streamflow uncertainty envelopes for the alternate watersheds, the impact of parameter uncertainty is:

- small (most of the time under 0.1 mm/day) for both simulation periods and OFs,

- concentrated around the spring peak flow for the Nash-log OF (reaching a maximum of 1mm/day).

The OF uncertainty is shown by the global envelope that encompasses individual bands associated with the KGE and Nash-log series of modelled streamflows. Figure 5 and alternate figures show that OF uncertainty is more important than parameter uncertainty most of the year (except during the recession of the spring peak flow where the envelopes overlap). Moreover, the spread of the global envelope for the ten watersheds reveals that OF uncertainty is generally more pronounced in the fall and the spring peak flows.

Figure 4: Distribution of the OF values for the Chamouchouane watershed: (a) KGE calibration period; (b) KGE validation period; (c) Nash-log calibration period; (d) Nash-log validation period

~~<Table 5: Summary of the KGE and Nash-log values for the ten watersheds over the calibration and validation periods>~~
~~Table 5: Summary of the KGE and Nash-log values for the ten watersheds over the calibration and validation periods~~

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~~<Table 6: Median of the KGE and Nash-log loss of performance (positive values) between the calibration and validation periods>~~
~~Table 6: Median of the KGE and Nash-log loss of performance (positive values) between the calibration and validation periods~~

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Figure 5: Streamflow uncertainty envelopes for the Rouge watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes respectively stand for simulated flows under the KGE and Nash-log objective functions, while the blue line depicts the observed values.

393 Hydrological indices

394 [Figure 6](#) introduces, for the Chamouchouane watershed the boxplots of the seasonal
 395 hydrological indices for each OF. The two boxplots per year represent the parameter
 396 uncertainty (250 sets of parameter) for the KGE and Nash-log OFs for each hydrological
 397 index. The reunion of the two boxplots represent the OF uncertainty. Results do not show the
 398 30d-Qmin distributions as they are quite similar to the 7d-Qmin distributions, their median
 399 being just slightly greater and their [interquartile](#) range being similar.

400 [Figure 6](#) shows that the impact of parameter uncertainty is rather small during both
 401 simulation periods (calibration and validation). Indeed for both OFs and both simulation
 402 periods, differences between the 1st and 3rd quartiles remain under 5% of the hydrological
 403 indices values. Parameter uncertainty is more important for winter Nash-log hydrological
 404 indices than for KGE values, whereas they are comparable for summer indices. The impact of
 405 OF uncertainty is for all hydrological indices, for almost every year, and for both simulation
 406 periods the impact is more important than that of the parameter uncertainty. It is especially the
 407 case for winter 7d-Qmin and 30d-Qmin where ~~OF-the~~ uncertainty is at least five (5) times
 408 larger than [the](#) parameter uncertainty. This also applies to winter Qmax where it is at least
 409 twice as much important. The main findings ~~that stand for~~ [characterizing](#) almost all watersheds
 410 are the following:

- 411 • Parameter uncertainty is :
 - 412 ○ quite stable across years and simulation periods,
 - 413 ○ smaller in summer than in winter especially for Qmax,
 - 414 ○ ~~comparable-similar~~ for both OFs, both seasons and all hydrological indices
 - 415 (besides a few exceptions related to the performance of the calibration).
- 416 • OF uncertainty is:
 - 417 ○ rather stable across years for every individual seasonal hydrological index,

- more important than parameter uncertainty across the years, simulation periods, and seasons,
- ~~higher~~**larger** in winter than in summer and more important for 7d-Qmin and 30d-Qmin.

Figure 6: Boxplots of the seasonal hydrological indices for the Chamouchaoune watershed for the calibration (1) and validation (2) periods: (as1) and (as2) display the distribution of the maximum summer peakflows; (aw1) and (aw2) the distribution of maximum winter peakflows; (bs1) and (bs2) the distribution of summer-7-day minimum flows; and (bw1) and (bw2) the distribution of winter-7-day minimum flows. The black and green boxplots ~~stand-illustrate for the distribution of~~ simulated flows under the KGE and Nash-log OFs, **respectively, while the blue dots depict the observed values. The superscripts *w* and *d* on the x-axis indicate the wettest and driest years of each simulation period, respectively.**

Snow water equivalent

~~Figure 7~~**Figure 7** shows the SWE uncertainty envelopes for the Yamaska watershed for the calibration and validation periods as well as the two OFs. The envelopes were computed using the same method as that used for the streamflows, except that since HYDROTEL is a semi-distributed model, mean areal values over the RHHUs were first computed to produce a single data series for each calibrated parameter set and each simulation period.

~~Figure 7~~**Figure 7** shows that parameter uncertainty relative to SWE is less important at the beginning and the end of the snow season while being at a maximum at the peak where the envelopes are the widest. OF uncertainty for SWE, ~~opposite-contrary~~ to that for streamflows, is less important than parameter uncertainty as the individual envelopes overlap almost the entire snow season. Parameter uncertainty is ~~higher-more important~~ for the Nash-log OF than for the KGE OF. However, these observations ~~do-not-hold~~**cannot be generalized** when

443 examining in details the results for the alternate watersheds. Nonetheless, the overall results
444 can be separated into six groups:

- 445 (i) For Yamaska and Chateauguay, parameter uncertainty is ~~higher-larger~~ than the OF
446 uncertainty for the whole year with individual envelopes being wider at the
447 beginning of February and at the end of March. SWE is higher for the Nash-log
448 OF than for the KGE OF.
- 449 (ii) For Chamouchouane and Mistassini, parameter uncertainty is ~~higher-larger~~ than
450 the OF uncertainty for the whole year with individual envelopes overlapping the
451 entire year.
- 452 (iii) For Gatineau, parameter uncertainty is ~~higher-larger~~ than the OF uncertainty from
453 November to the end of February. OF uncertainty then becomes ~~higher-larger~~ than
454 parameter uncertainty with individual envelopes not overlapping anymore.
455 Individual envelopes are quite narrow throughout the year and KGE simulated
456 SWE is slightly more important than the Nash-log simulated values.
- 457 (iv) For Batiscan, results are similar to those of group (iii); differing only with respect
458 to the fact that individual envelopes become slightly wider indicating a more
459 important parameter uncertainty
- 460 (v) For Du Loup and Rouge, results indicate a ~~higher-larger~~ OF uncertainty for the
461 whole year with narrow individual envelopes not overlapping. KGE simulated
462 SWE values are more important than Nash-log values with a maximum difference
463 of 50 mm at peak values.
- 464 (vi) For Bécancour and Chaudière, results are similar to those of group (v) differing
465 only with respect to the fact that individual envelopes become wider, indicating
466 that parameter uncertainty is ~~higher-larger~~.

467 | **Figure 7: ~~Snow-water equivalent~~ (SWE) uncertainty envelopes for the Yamaska**
468 | **watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The**
469 | **black and green envelopes ~~stand-illustrate the distribution of~~ simulated flows under**
470 | **the KGE and Nash-log ~~objective-functions~~ OFs. The line indicates the period of**
471 | **overlapping between the uncertainty envelopes.**

472

473 Actual evapotranspiration

474 Figure 8 ~~shows-depicts~~ the seasonal AET for the Bécancour watershed obtained for both
 475 simulation periods and OFs. They were computed as the sum of AET over each hydrological
 476 year and season after applying the same methodology as that for the areal SWE in getting a
 477 single data series. Parameter uncertainty can be assessed through the amplitude of each
 478 boxplot while OF uncertainty is assessed through the combination of the KGE boxplots
 479 (black) and Nash-log boxplots (green).

480 Figure 8 shows that parameter uncertainty for the summer season covers around 5% of the
 481 AET values for both simulation periods and OFs; but for winter goes as far as 50%. For
 482 summer, OF uncertainty is less significant than parameter uncertainty for many years ~~where~~
 483 as illustrated by the overlapping of the individual boxplots ~~overlap~~ (1981, 1983, 1985, 1986,
 484 1987, 1988, 1992, 1994, 1998, 2000 and 2002). Nevertheless, OF uncertainty is more
 485 important than parameter uncertainty for all years but for winter 1990. Also, it is noteworthy
 486 that parameter uncertainty is less variable across years during summer than ~~in~~ winter; indeed
 487 boxplots have the same width ~~across years~~. Last, Nash-log parameter uncertainty is
 488 comparable or ~~higher-larger~~ than summer KGE parameter uncertainty ~~in summer~~ whereas it is
 489 the opposite ~~in-for~~ winter. However, these observations ~~do not hold~~ cannot be generalized
 490 when examining in details the results ~~for-of~~ the other watersheds (alternate watersheds).
 491 Nonetheless, the overall results can be separated into six groups:

492 (i) For Batiscan, Châteauguay, Du Loup and Yamaska, both types of uncertainty are
 493 stable-constant across simulation periods, years and seasons. OF uncertainty remains
 494 around 5% and does not go beyond 10% of the simulated AET values and is more
 495 important than parameter uncertainty, while parameter uncertainty is ~~comparable~~
 496 similar for both OFs.

(ii) For Rouge, results are similar to those of group (i) differing only with respect to OF uncertainty being larger, around 10%, for both seasons of the simulation periods and all years.

(iii) For Gatineau and Mistassini, results are similar to those of group (ii) but ~~present~~ have a ~~higher~~ larger parameter uncertainty for Nash-log simulated values than for KGE values. This behavior is more pronounced in summer than in winter, and more so for Mistassini than for Gatineau.

(iv) For Chaudière, results are similar to those of group (ii) but ~~present~~ have an OF uncertainty that flirts with 20%.

(v) For Chamouchouane, results are similar to those of group (i) because of the ~~stable~~ constant OF and parameter uncertainties. The difference is that OF uncertainty is nonexistent as individual boxplots overlap for all seasons, years and simulation periods. Parameter uncertainty related to the Nash-log OF is more important than that of KGE simulated values

(vi) For Bécancour, results were described in the previous paragraph and are different from the other groups as they ~~present~~ display variability across years and seasons that other watersheds do not show.

The only result, apart from the relative ~~stability~~ consistency across the years highlighted in group (vi), that stands across all watersheds, but Bécancour in summer and Yamaska is that simulated AET values are higher for all years and all seasons under the Nash-log OF. This is not a surprising result as it pertains to the nature of the OF with respect to the water balance. That is, if a smaller percentage of precipitations gets discharged through rivers (Nash-log vs KGE), another way to balance the equation for HYDROTEL is to increase water output through evapotranspiration.

Figure 8: Seasonal actual evapotranspiration for the Bécancour watershed: (a) summer calibration; (b) summer validation; (c) winter calibration and (d) winter validation. The black and green boxplots stand for simulated AET distributions under the KGE and Nash-log OFs, respectively. The outliers are represented by red crosses. The superscripts w and d on the x-axis stand for the wettest and driest years of each simulation period, respectively.

Shallow groundwater variations

Figure 9 shows the envelopes of areal GWC variations for the calibration and validation periods as well as the two OFs for the Du Loup watershed. The envelopes were computed using the same method as that used for the areal SWE.

Figure 9 shows that parameter uncertainty is small and ~~stable-constant~~ for both OFs throughout the whole year with a maximum uncertainty under 2 mm. On the contrary, OF uncertainty is substantial for the whole year (20 to 40 mm for the calibration period, 10 to 20 mm for the validation period), but between January and March. For this latter period, the shallow ground water reserves are at their lowest point and individual envelopes overlap during the calibration period or are close to overlapping during the validation period. However, these observations do not hold when examining in details the results for the alternate watersheds. Nonetheless, the overall results can be separated into six groups:

- (i) For Rouge and Mistassini, the GWC variation patterns are similar to those of Du Loup. Maximum reserves are ~~attained-reached~~ in early May after the snow has melted; they continuously decrease until early September where they reach their minimum to increase until the end of the fall season in early December. Finally, they decrease again to a near minimum value around early March ~~when-theat the onset of~~ melt season ~~-starts~~. OF and parameter uncertainties were described in the previous paragraph.

- (ii) For Batiscan, results show similar GWC variation patterns to those of group (i). The difference lies in the parameter uncertainty that covers most the OF uncertainty, but still remains under 10 mm. Indeed, for the calibration period, OF uncertainty is less important than parameter uncertainty from November until the end of September. For the validation period, the overlapping is reduced from December until the end of May. Still, even in the remaining months, OF uncertainty is less important than that of group (i); incidentally not getting larger than 20 mm.
- (iii) For Chamouchouane and Gatineau, results show similar GWC variation patterns to those of groups (i) and (ii), but behave almost at the opposite of group (i) with respect to OF and parameter uncertainties. OF uncertainty is non-existent for the whole year, but for a few days around peak value. Parameter uncertainty is small (less than 2 mm) and individual envelopes overlap.
- (iv) For Bécancour, results show similar GWC variation patterns to those of group (i) apart from the decrease during the snow season that is less pronounced. Parameter uncertainty is more important for both OFs as that of group (i); it represents a maximum of 10 mm for both OFs in the calibration period, but around 5 mm and close to 10 mm respectively for Nash-log and KGE simulated GWC. OF uncertainty as a result is still more significant than parameter uncertainty despite a lag between the OFs that make the individual envelopes overlap around peakflow values.
- (v) For Chaudière, results show similar GWC variation patterns to those of Bécancour (group (iv)) but is clearly different from any other watershed with respect to the OF and parameter uncertainties. The Nash-log parameter uncertainty covers almost all KGE values and ~~presents~~has 40 and 20 mm wide intervals.

respectively, for the calibration and validation periods. The KGE parameter uncertainty is less than 2 mm for the whole year which results in a non-existent OF uncertainty for the calibration period while still being significant between August and December for the validation period.

- (vi) For Châteauguay and Yamaska, the GWC variation patterns differ from those of groups (i) to (v). The GWC is at a minimum around the end of August. The reserves are then replenished from September until the end of November, before decreasing only slightly, as opposed to groups (i) and (ii), during the snow season and attaining their maximum values after the snow has melted. Parameter uncertainty is small, under 2 and 5 mm ~~respectively~~ for KGE and Nash-log simulated GWC, respectively, and relatively ~~stable-constant~~ across the year. OF uncertainty is more important (maximum of 20 and 30 mm ~~maximum-respectively~~ for calibration and validation, respectively) for the whole year, but just after peak value (May and June) for the calibration period and around peak value (April) for the validation period

It is noteworthy that the two variation patterns relative to GWC, highlighted in the above groups, reflect the geographical location of the watersheds. Indeed, Bécancour, Châteauguay, Chaudière and Yamaska are located on the south shore of the St. Lawrence River, while Batisca, Chamouchouane, Du Loup, Gatineau, Mistassini and Rouge are located on the north shore.

Figure 9: Shallow groundwater content uncertainty envelopes for the Du Loup watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes ~~stand for~~ illustrate the distribution of simulated flows under the KGE and Nash-log ~~objective functions~~ OFs, respectively.

596 Discussion

597 Automatic calibration with DDS

598 In the Material and Methods section-0, it is mentioned that DDS is better suited than SCE-
599 UA (Duan *et al.* 1992; Duan *et al.* 1994; Duan *et al.* 1993) for distributed watershed models
600 ~~that require requiring~~ extensive ~~computing computational~~ time and, thus, ~~impose leading to~~ a
601 low number of model evaluations before converging to a good solution (Arsenault *et al.* 2014;
602 Tolson and Shoemaker 2007; Yen *et al.* 2016). This is mostly due to DDS dynamically
603 adjusting the neighborhood of the best solution by changing the dimension of the search
604 (Tolson and Shoemaker 2007). In other terms, DDS mimics manual calibrations of watershed
605 models as follows: (i) early in the calibration exercise, a number of model parameters are
606 modified to overcome relatively poor solutions, and (ii) later, to avoid losing the current gain
607 in objective function values, parameters are modified one at a time. To avoid introducing a
608 bias in the search algorithm, this paper used a random initial solution, but used the same
609 random solution for every watershed in order to keep the experiments ~~comparable~~ consistent.
610 The stochastic nature of DDS means that multiple optimization trials initialized with different
611 initial solutions can terminate at different final solutions (Tolson and Shoemaker 2008). To be
612 consistent with the framework described in the introduction, that is a majority of the
613 HYDROTEL application studies involved manual calibration, we decided to work with only
614 one optimization trial and a budget of 5000 model runs to answer the research question with
615 respect to equifinality ~~in this constrained context~~ given this framework. Besides, the radar
616 plots of parameter equifinality shown in Figure 3 do not seem to behave in a pattern related to
617 the geographical ~~situation~~ location, the climate, or geological characteristics of each
618 watershed. Indeed, the study watersheds are part of three different geological provinces
619 (Ministère des Ressources naturelles Direction générale de Géologie Québec 2012): (i) the
620 Greenville Province made of allochthonous material north of the St. Lawrence River; (ii) the

621 St. Lawrence platform around the ~~river~~River; and (iii) the Appalachian province made of
 622 Humber material south of the River. They also belong to three climate classes defined by
 623 Litynski (1988) but mostly to class 14 that stands for moderate temperature, subhumid
 624 precipitations and long growing season. As a consequence, parameter regionalization is not
 625 obvious. This was pointed out as well by Ricard *et al.* (2013) who showed that a global
 626 calibration strategy over southern Québec was preferable although in some cases –the
 627 performances of watershed calibration using HYDROTEL was reduced when compared to
 628 local calibrations.

629 **OF uncertainty**

630 Overall, results for all the studied hydrological processes suggest that OF uncertainty is more
 631 important than ~~the~~parameter uncertainty. In other words, OF uncertainty is seen when the
 632 largest of the individual envelopes or boxplots relative to each objective function (KGE and
 633 Nash-log) is smaller than the reunion of either envelopes or boxplots. ~~The reader~~Readers
 634 should note that results obtained for the NSE_Q and $NSE_{\sqrt{Q}}$ OFs are in complete agreement
 635 with the previous statement. ~~Figure 5~~Figure 5 and alternate figures do not clearly show the
 636 impact of OF uncertainty because individual envelopes often overlap. However, when
 637 considering the seasonal hydrological indices (~~Figure 6~~Figure 6 and alternate figures), the
 638 SWE (~~Figure 7~~Figure 7 and alternate figures), the ~~actual evapotranspiration~~AET (Figure 8
 639 and alternate figures), and the GWC (Figure 9 and alternate figures), OF uncertainty is overall
 640 clearly ~~illustrated~~highlighted.

641 Some studies highlight the importance of model structure uncertainty over parameter
 642 equifinality (Futter *et al.* 2015; Mockler *et al.* 2016; Poulin *et al.* 2011; Shoaib *et al.* 2016).
 643 Poulin *et al.* (2011) used HYDROTEL and HSAMI to assess the effects of model structure
 644 and parameter equifinality on the uncertainty related to hydrological modelling. Their study
 645 revealed that the impact of hydrological model structure was more significant than the effect

of parameter uncertainty (assessed through 68 sets of parameters). Yet, the uncertainty attributed to model structure with respect to streamflows and SWE were of the same order of magnitude than the OF uncertainty assessed in this paper. This would mandate the combination of both studies to clearly assess whether the impact of model structure and OF uncertainty are equivalent or complementary in assessing the consequences of considering the effects of equifinality on modelled hydrological processes.

[Figure 6](#) and alternate figures showed the boxplots of the seasonal hydrological indices for both OFs ([section 0-Results section](#)). They also indicated observed values as blue dots; less than 50% of the latter are not included within the interval of the simulated values for any of the hydrological indices (Qmax, 7d-Qmin, and 30d-Qmin). This could be seen as a calibration performance issue, but results suggest otherwise. Indeed, all observed values and all, but one, are included within the interval of the simulated values for the summer 7d-Qmin for the Châteauguay and Yamaska, respectively; which have the lowest performances for both OFs (refer to [Table 5 of section 0](#)). This would rather suggest that KGE and Nash-log OFs are not able to force the model to represent the hydrological indices properly. This may be related to the nature of both OFs that are computed over daily data *versus* hydrological indices computed over a period of time ([seven and 30 days for 7d-Qmin as well as 30d-Qmin, respectively](#)). However, for Qmax, this is simply related to the misrepresentation of maximum flows. This result is rather important as hydrological indices are often used in impact assessment studies. This would mandate the use of specific [objective functions](#) OFs related to low or high flows or even the use of multi-objective functions.

Parameter uncertainty

Despite the fact that the OF uncertainty is overall more important than the consequences of parameter equifinality, parameter uncertainty relative to SWE ([Results section 0](#)) is generally more important than OF uncertainty. Indeed it is more important for the whole year for

Châteauguay, Chamouchouane, Mistassini and Yamaska and, for a few months (November until the end of February), for Gatineau and Batiscau. Seasonal results also suggest that parameter uncertainty can be important or more significant even than OF uncertainty for specific seasons or years (Figure 6, Figure 8 and alternate figures). To get a better understanding of the reasons why parameter uncertainty would prevail only for a few years, driest and wettest years were defined as the hydrological years with the least total amount of precipitation for the simulation periods (indicated on the x-axis of seasonal hydrological indices and AET figures as d and w). The effects of driest and wettest years were assessed in terms of prevalence of any of the two types of uncertainties and magnitudes of uncertainties on both ~~the actual driest and wettest types of~~ years, but also on the following year. Nothing particular stood out that could be construed as a general result that could have given insights about the evolution of the prevalence of the two types of uncertainties in the following years. To get this type of insight, we would probably need to perform calibrations under different sets of contrasting conditions (dry *versus* wet years). This refers to parameter identifiability as researched by Wilby (2005) on snowless watersheds, or to the application of testing schemes such as those performed by Seiller *et al.* (2012) and inspired by Klemesš (1986).

Parameter equifinality

Ben Nasr (2014) as well as Bouda *et al.* (2014) pointed out in sensitivity analyses carried out for two snow-dominated watersheds in southern Québec (Beaurivage and Montmorency modelled using HYDROTEL), that the depth of the lower boundary of ~~the three~~ soil layers (z_1 , z_2 , z_3), the potential evapotranspiration multiplying factor (PETF), and the recession coefficient (RC) were consistently amongst the most sensitive parameters (refer to [Table 4](#) in section 0). In both ~~case studies~~, sensitivity was assessed from an initial optimal solution and parameter values were modified ($\pm 25\%$), but variations of $\pm 6.25\%$ already gave substantial flow modifications. These results are within the same order of magnitude as the

696 | equifinality measured through the proposed methodology (~~section 0~~) and explain why some
697 | parameters in Figure 2 are more equifinal than others. Typically, parameters that were
698 | identified by Ben Nasr (2014) and Bouda *et al.* (2014) as the most sensitive parameters are
699 | less equifinal than others. This result is not surprising as it pertains to the following statement:
700 | the more sensitive a parameter, the least uncertain it can be around a global optimum for the
701 | OF to remain optimum.

702 | The choice to work with 5000 model runs ensured that the OF values remained within a 0.01
703 | interval (~~section~~ refer to ~~0~~ [Table 5](#) ~~Table 5~~) for 250 sets of parameters that captured parameter
704 | equifinality. Neither did working with 500 sets of parameters provide a larger parameter
705 | equifinality, nor did working with 100 sets of parameters provide the complete parameter
706 | equifinality. This is important as Poulin *et al.* (2011) reported that parameter uncertainty
707 | increases with increasing numbers of calibration parameters and/or calibrations. This allows
708 | us to go beyond their research in making sure that our conclusions cannot be disputed with
709 | respect to the impact that parameter equifinality has on global or individual uncertainty
710 | envelopes.

711 | To make sure that working with one optimization trial did not impair the possibility of
712 | capturing the equifinality of the parameters, the smallest watershed model in terms of
713 | modelled area (to minimize computational time) with the smallest parameter equifinality was
714 | calibrated for another 5000-simulation-optimization- trial started at a different initial random
715 | solution. As shown in [Figure 10](#) ~~Figure 10~~, this demonstrates that parameter equifinality can
716 | be increased if the calibration methodology is modified. Nonetheless, the covered part of the
717 | physical range does not come close to the maximum equifinality obtained for the Yamaska
718 | watershed in ~~section 0~~ [Figure 3](#). Thus, it can be assumed that the results introduced in this
719 | paper would not be drastically modified by a change in the calibration methodology. Plus it
720 | would contradict the choice made not to conduct a formal uncertainty analysis as this

721 methodology of using two or more optimization trials would get closer to the DDS-AU
722 methodology introduced by Tolson and Shoemaker (2008).

723 **Figure 10: Radar plots of the twelve parameters used in the automatic calibration of**
724 **HYDROTEL for each study watershed. Parameter A is part of the interpolation**
725 **coefficients, parameters B through G relate to the snow model, and parameters F**
726 **through L relate to the soil group of parameters. Figure (a) refers to the KGE ~~objective~~**
727 **~~function~~OF; and (b) to the Nash-log ~~objective function~~OF. The dark and light blue data**
728 **refer to the first optimization trial of Figure 3, black data to the second optimization**
729 **trial.**

730 To summarize, it could be said that this paper shows the consequences of the existence of
731 many good sets of parameters (~~parameter equifinality assessed in section 0~~) on modelled
732 hydrological processes around a global optimum rather than properly evaluating their formal
733 statistical uncertainty. If that were the aim, the methodology would have entailed working
734 with one optimization trial per set of parameters which would have resulted in a total of
735 125 000 simulations (250 sets of parameters * 500 simulations) since DDS typically needs
736 500 simulations to find a good global solution (compared to 10,000 for SCE-UA). Note that
737 the computing time for a 10-year calibration period (with a prior 1-year spin-up), one
738 optimization trial of 5000 simulations already took an average 45 hours (on a 64-bit computer
739 with a quad-core 2.53 GHz processor) for each watershed and every OF, resulting in a total
740 calibration time of 900 hours or 37.5 days (45 hours * 10 watersheds * 2 OFs) for the results
741 presented in this paper (excluding the two OFs that were left out of this paper).

742

743 **Conclusion**

744 In the last decade, HYDROTEL has almost always been applied within the optimal parameter
745 set paradigm at the risk of avoiding important issues such as model acceptability and
746 uncertainty (Beven 2006a). This paper builds on the work carried out on hydrological
747 uncertainty by assessing the impact of equifinality and OF related uncertainty on five modelled
748 hydrological variables and indices: (i) daily flows; (ii) seasonal hydrological indices (7d-
749 Qmin, 30d-Qmin, and Qmax); (iii) snow water equivalent (SWE); (iv) shallow ground
750 water content variations (GWC) and (v) actual evapotranspiration (AET). This assessment
751 was carried out for ten watersheds spread out in five hydrographic regions of the St. Lawrence
752 River and spread across southern Québec (Canada).

753 Overall, as introduced in Table 7, the results for all the studied hydrological processes, but the
754 SWE, suggest that OF uncertainty is more important than that arising from parameter
755 equifinality. This would mean that within the context of a study with a limited budget, it
756 would be advisable to prioritize using different objective functions to using many sets of
757 optimal parameters. This result is rather important as it reinforces the choice made in the last
758 decade with HYDROTEL. Nonetheless, parameter uncertainty with respect to SWE is more
759 important than OF uncertainty for eight of the ten studied watersheds for four up to seven
760 months of the year (snow season less than 7-month long). Plus, despite satisfactory
761 performances for both simulation periods, parameter uncertainty with respect to streamflows
762 is rather small during the whole year, except around spring peak flow; while OF uncertainty is
763 generally more pronounced in the fall and during the spring peak flows. Overall, this shows
764 that one type of uncertainty or the other is rather significant during half of the year. Seasonal
765 results with respect to hydrological indices and AET also suggest that parameter uncertainty
766 can be important, or more significant even, than OF uncertainty for specific seasons or years.
767 These results are of the utmost importance for impact assessment studies where the variables

of interest are not solely the daily flow data used for calibration, but rather hydrological indices or internal variables. This would mean that parameter uncertainty ~~needs~~does need to be taken into account or at least needs to be further researched to better understand the mechanisms ~~driving parameter uncertainty~~behind the phenomena. This study demonstrates, using a substantial set of watersheds; that aside from the technico-philosophical debate started in 2006, equifinality is not so technical to take into account and has tangible significant effect on the uncertainties associated with modeled hydrological processes. As such, we recommend that future work systematically include equifinality by using at least two sets of equifinal parameters without forgetting to assess OF uncertainty.

It is noteworthy that the methodology applied in this paper for the HYDROTEL model can be replicated for other hydrological models. Uncertainty associated with OFs and parameter equifinality still needs to be better understood and studied. To improve our understanding of HYDROTEL, and other physically based hydrological models, future work should focus on identifying or using OFs tailored for hydrological indices relevant to impact assessment studies. Finally, for a specific assessment, there is a need to consider as well the question of the uncertainty associated with model structure.

<Table 7: Dominant type of uncertainty for each study watershed for the five modelled hydrological variables~~Table 7: Dominant type of uncertainty for each study watershed for the five modelled hydrological variables~~>

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798

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1011 **Tables**1012 **Table 1: Land cover of the ten studied watersheds in southern Québec, Canada**

	Evergreen		Deciduous trees		Water		Urban		Farms		Total
	km ²	%	km ²	%	km ²	%	km ²	%	km ²	%	
<i>Batiscan</i>	1816	41.9	2264	52.3	187	4.3	0	0	67	1.6	4334
<i>Bécancour</i>	255	9.7	2144	81.6	16	0.6	0	0	214	8.2	2629
<i>Chamouchouane</i>	817	5.4	13156	87.5	1040	6.9	0	0	29	0.2	15042
<i>Châteauguay</i>	112	5.0	1722	77.4	13	0.6	0	0	379	17.0	2227
<i>Chaudière</i>	1229	21.5	4206	73.4	71	1.2	0	0	223	3.9	5728
<i>Du Loup</i>	243	28.4	557	65.1	55	6.4	0	0	1	0.1	855
<i>Gatineau</i>	1159	17.0	5298	77.8	353	5.2	0	0	0	0	6810
<i>Mistassini</i>	569	6.1	8341	89.7	384	4.1	0	0	1	0	9295
<i>Rouge</i>	1401	25.6	3791	69.2	285	5.2	0	0	2	0	5480
<i>Yamaska</i>	23	1.7	2050	76.7	2	0.2	5	0.4	289	21.1	1389

1013 **Table 2: Summary (1982-2002) of the climate characteristics of the study watersheds**

	Rain (mm)						Snow (mm)			T_{max} Mean Temp. (°C)					
	Summer			Winter			Annual			Summer			Winter		
	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max
Batiscan	337	558	645	97	180	403	286	356	416	8 ₋₄	10 ₋₃	11 ₋₅	-7 ₋₇	-5 ₋₄	-3 ₋₁
Bécancour	392	585	809	129	260	490	169	260	372	10 ₋₃	11 ₋₉	13 ₋₃	-5 ₋₀	-2 ₋₉	-1 ₋₀
Chamouchouane	293	518	690	85	131	248	219	290	383	6 ₋₄	8 ₋₆	10 ₋₀	-11 ₋₄	-8 ₋₇	-5 ₋₆
Chateauguay	402	512	620	174	269	429	137	193	252	12 ₋₄	13 ₋₈	15 ₋₂	-3 ₋₈	-1 ₋₁	0 ₋₉
Chaudière	421	590	794	179	253	392	216	266	316	9 ₋₅	11 ₋₂	12 ₋₅	-5 ₋₄	-3 ₋₃	-1 ₋₅
Du Loup	423	547	643	154	233	480	178	224	247	8 ₋₅	10 ₋₂	11 ₋₅	-7 ₋₅	-5 ₋₃	-3 ₋₀
Gatineau	324	519	671	86	145	242	224	290	350	7 ₋₉	9 ₋₇	11 ₋₄	-8 ₋₈	-6 ₋₄	-3 ₋₆
Mistassini	278	515	729	81	126	236	224	300	384	5 ₋₉	8 ₋₂	9 ₋₇	-12 ₋₀	-9 ₋₂	-6 ₋₁
Rouge	372	529	613	100	175	333	248	327	368	9 ₋₂	10 ₋₈	11 ₋₉	-6 ₋₉	-4 ₋₄	-2 ₋₀
Yamaska	476	577	743	180	305	526	122	204	294	11 ₋₆	13 ₋₁	14 ₋₅	-3 ₋₉	-1 ₋₅	0 ₋₆

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1015 **Table 3: Summary (1982-2002) of the hydrological characteristics of the study watersheds**

	Q (mm/day)						Qmax (m3/s)						7d-Qmin (m3/s)						30d-Qmin (m3/s)					
	Summer			Winter			Summer			Winter			Summer			Winter			Summer			Winter		
	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max
Batiscan	0.9	1.6	2.4	1.4	2.1	3.1	140	265	528	349	558	837	17	31	57	18	24	35	22	37	72	19	26	43
Bécancour	0.6	1.1	1.9	1.5	2.1	2.9	69	203	402	296	494	848	2	7	21	7	120	402	3	10	32	8	13	21
Chamouchouane	1.1	1.8	2.6	1.2	1.5	1.9	404	781	1370	610	1350	2159	112	156	199	60	78	102	128	184	245	61	81	116
Chateauguay	0.3	0.6	1.3	1.1	1.8	2.6	27	168	623	193	460	1091	2	4	10	6	10	18	2	6	17	7	12	32
Chaudière	0.5	1.1	2.1	1.6	2.2	3.0	236	646	1318	847	1339	2140	4	10	26	12	19	32	5	17	45	14	23	47
Du Loup	0.3	0.8	1.3	1.0	1.6	2.3	12	29	79	55	84	130	1	2	6	3	4	5	1	3	7	3	4	6
Gatineau	1.0	1.5	2.4	1.1	1.7	2.5	202	425	1200	413	731	1500	19	38	56	20	32	46	21	50	92	22	34	48
Mistassini	1.2	1.9	2.7	1.3	1.7	2.3	314	595	959	604	1257	2050	58	92	129	27	39	67	70	119	159	28	41	76
Rouge	0.8	1.2	1.7	1.3	2.0	2.9	118	243	376	381	588	914	11	27	45	24	36	50	6	32	61	25	39	59
Yamaska	0.4	0.8	1.7	1.2	2.0	2.7	44	142	239	182	320	559	1	1	3	2	4	7	1	2	6	2	6	13

1016 **Table 4: HYDROTEL key parameters**

Type	Parameters	Units
Snow parameters	MFEF - Melt factor for evergreen forests*	mm/d.°C
	MFDF - Melt factor for deciduous forests*	mm/d.°C
	MFOA - Melt factor for open areas*	mm/d.°C
	TEF - Threshold air temperature for melt in evergreen forests*	°C
	TDF - Threshold air temperature for melt in deciduous forests*	°C
	TOA - Threshold air temperature for melt in open areas*	°C
	Melt rate at the snow-soil interface	mm/d
Soil parameters	Compaction coefficient	-
	PETF - Potential evapotranspiration multiplication factor*	-
	z1- Depth of the lower boundary of soil layer #1*	m
	z2- Depth of the lower boundary of soil layer #2*	m
	z3- Depth of the lower boundary of soil layer #3*	m
	RC - Recession coefficient*	m/h
	Extinction coefficient	-
Interpolation coefficients	Maximum variation of soil moisture content	-
	TSL - Threshold air temperature for partitioning solid and liquid precipitation*	°C
	Precipitation vertical gradient	mm/100m
	Temperature vertical gradient	°C/100m

1017 *Parameter calibrated in this paper
1018 ^a For a complete description of snow parameters, the reader is referred to Turcotte et al. (2007a)
1019 ^b For a complete description of soil parameters, the reader is referred to Fortin et al. (2001b)

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1021 **Table 5: Summary of the KGE and Nash-log values for the ten watersheds over the**
 1022 **calibration and validation periods**

	Calibration						Validation					
	KGE			Nash-log			KGE			Nash-log		
	1st decile	Median	9th decile	1st decile	Median	9th decile	1st decile	Median	9th decile	1st decile	Median	9th decile
<i>Batiscan</i>	0.946	0.946	0.947	0.894	0.896	0.897	0.799	0.805	0.810	0.670	0.674	0.694
<i>Bécancour</i>	0.872	0.874	0.875	0.795	0.799	0.801	0.797	0.807	0.814	0.701	0.706	0.717
<i>Chamouchouane</i>	0.947	0.947	0.947	0.907	0.907	0.907	0.823	0.826	0.829	0.632	0.637	0.641
<i>Chateauguay</i>	0.859	0.860	0.860	0.767	0.768	0.768	0.763	0.767	0.775	0.692	0.695	0.699
<i>Chaudière</i>	0.916	0.916	0.916	0.805	0.810	0.815	0.869	0.871	0.875	0.695	0.709	0.721
<i>Du Loup</i>	0.944	0.945	0.945	0.842	0.842	0.842	0.792	0.796	0.802	0.700	0.703	0.704
<i>Gatineau</i>	0.907	0.907	0.907	0.827	0.828	0.828	0.766	0.768	0.771	0.684	0.686	0.691
<i>Mistassini</i>	0.955	0.955	0.956	0.904	0.905	0.905	0.873	0.875	0.876	0.646	0.652	0.660
<i>Rouge</i>	0.947	0.947	0.947	0.887	0.887	0.887	0.876	0.878	0.880	0.700	0.702	0.704
<i>Yamaska</i>	0.828	0.832	0.835	0.761	0.762	0.764	0.833	0.839	0.845	0.609	0.626	0.637

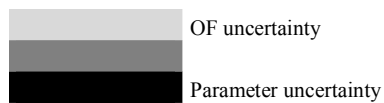
1024 **Table 6: Median of the KGE and Nash-log loss of performance (positive values) between**
1025 **the calibration and validation periods**

	KGE	Nash-log
<i>Batiscan</i>	15%	14%
<i>Bécancour</i>	8%	-8%
<i>Chamouchouane</i>	13%	20%
<i>Chateauguay</i>	11%	-14%
<i>Chaudière</i>	5%	-6%
<i>Du Loup</i>	16%	1%
<i>Gatineau</i>	15%	0%
<i>Mistassini</i>	8%	18%
<i>Rouge</i>	7%	9%
<i>Yamaska</i>	-1%	-6%

1026

1027 **Table 7: Dominant type of uncertainty for each study watershed for the five modelled**
 1028 **hydrological variables**

	Daily Streamflows	7d-and 30d- Qmin	Qmax	SWE	AET	GWC
<i>Batiscan</i>						
<i>Bécancour</i>						
<i>Chamouchouane</i>						
<i>Châteauguay</i>						
<i>Chaudière</i>						
<i>Du Loup</i>						
<i>Gatineau</i>						
<i>Mistassini</i>						
<i>Rouge</i>						
<i>Yamaska</i>						



Figures Captions

[Figure 1: Location of the study watersheds in Québec, Canada, and around the St. Lawrence River](#)
~~Figure 1: Location of the study watersheds in Québec, Canada, and around the St. Lawrence River~~

Figure 2: Relationship between mean annual and seasonal temperatures and precipitations for the calibration and validation periods

[Figure 3: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. The dark blue diagrams refer to the KGE OF while the light blue diagrams refer to the Nash-log OF.](#)
~~Figure 3: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. The dark blue diagrams refer to the KGE objective function OF while the light blue diagrams refer to the Nash-log OF.~~

[Figure 4: Distribution of the OF values for the Chamouchouane watershed: \(a\) KGE calibration period; \(b\) KGE validation period; \(c\) Nash-log calibration period; \(d\) Nash-log validation period](#)
~~Figure 4: Distribution of the OF values for the Chamouchouane watershed: (a) KGE calibration period; (b) KGE validation period; (c) Nash-log calibration period; (d) Nash-log validation period~~

[Figure 5: Streamflow uncertainty envelopes for the Rouge watershed: \(a\) calibration \(9-year mean\) and \(b\) validation periods \(8-year mean\). The black and green envelopes stand for simulated flows under the KGE and Nash-log OFs, respectively, while the blue line depicts the observed values.](#)
~~Figure 5: Streamflow uncertainty envelopes for the Rouge watershed: (a)~~

calibration (9 year mean) and (b) validation periods (8 year mean). The black and green envelopes respectively stand for simulated flows under the KGE and Nash-log objective functions OFs, respectively, while the blue line depicts the observed values.

Figure 6: Boxplots of the seasonal hydrological indices for the Chamouchaoune watershed for the calibration (1) and validation (2) periods: (as1) and (as2) display the distribution of the maximum summer peakflows; (aw1) and (aw2) the distribution of maximum winter peakflows; (bs1) and (bs2) the distribution of summer-7-day minimum flows; and (bw1) and (bw2) the distribution of winter-7-day minimum flows. The black and green boxplots illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively, while the blue dots depict the observed values. The superscripts *w* and *d* on the x-axis indicate the wettest and driest years of each simulation period, respectively.

Figure 6: Boxplots of the seasonal hydrological indices for the Chamouchaoune watershed for the calibration (1) and validation (2) periods: (as1) and (as2) display the distribution of the maximum summer peakflows; (aw1) and (aw2) the distribution of maximum winter peakflows; (bs1) and (bs2) the distribution of summer 7 day minimum flows; and (bw1) and (bw2) the distribution of winter 7 day minimum flows. The black and green boxplots stand for illustrate the distribution of simulated flows under the KGE and Nash-log OFs, while the blue dots depict the observed values. The superscripts *w* and *d* on the x axis indicate the wettest and driest years of each simulation period, respectively.

Figure 7: SWE uncertainty envelopes for the Yamaska watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs.

Figure 7: Snow water equivalent (SWE) uncertainty envelopes for the Yamaska watershed: (a) calibration (9 year mean) and (b) validation periods (8 year mean). The black and green envelopes stand

for illustrate the distribution of simulated flows under the KGE and Nash-log objective functions OFs. The line indicates the period of overlapping between the uncertainty envelopes.

Figure 8: Seasonal actual evapotranspiration for the Bécancour watershed: (a) summer calibration; (b) summer validation; (c) winter calibration and (d) winter validation. The black and green boxplots stand for simulated AET distributions under the KGE and Nash-log OFs, respectively. The outliers are represented by red crosses. The superscripts w and d on the x-axis stand for the wettest and driest years of each simulation period, respectively.

Figure 9: Shallow groundwater content uncertainty envelopes for the Du Loup watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively.

Figure 9: Shallow groundwater content uncertainty envelopes for the Du Loup watershed: (a) calibration (9 year mean) and (b) validation periods (8 year mean). The black and green envelopes stand for illustrate the distribution of simulated flows under the KGE and Nash-log objective functions OFs, respectively.

Figure 10: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. Figure (a) refers to the KGE OF; and (b) to the Nash-log OF. The dark and light blue data refer to the first optimization trial of Figure 3, black data to the second optimization trial.

Figure 10: Radar plots of the twelve parameters used in the

1105 automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the
1106 interpolation coefficients, parameters B through G relate to the snow model, and parameters F
1107 through L relate to the soil group of parameters. Figure (a) refers to the KGE objective
1108 function OF, and (b) to the Nash log objective function OF. The dark and light blue data refer
1109 to the first optimization trial of Figure 3, black data to the second optimization trial.

EQUIFINALITY AND AUTOMATIC CALIBRATION, WHAT IS THE IMPACT OF HYPOTHESIZING AN OPTIMAL PARAMETER SET ON MODELLED HYDROLOGICAL PROCESSES?

Étienne Foulon^{1*}, Alain N. Rousseau¹

1 INRS-ETE/Institut National de la Recherche Scientifique—Eau Terre Environnement, 490 rue de la Couronne, Québec City, G1K 9A9, Québec, Canada

*Corresponding author: etiennefoulon59@gmail.com, 418-271-2687

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Abstract

Accepting the concept of equifinality may result in larger uncertainty associated with model predictions than that of the optimal parameter set paradigm. Despite the existence of uncertainty characterization methods, the semi-distributed hydrological model HYDROTEL has been used within the latter paradigm. What is the impact of hypothesizing an optimal parameter set? This paper focuses on the assessment of the impact of equifinality of calibration parameters with respect to modelled hydrological variables and indices, namely: (i) daily flows; (ii) seasonal seven- and thirty-day low flows; and maximum flow; (iii) snow water equivalent (SWE); (iv) shallow ground water variations; and (v) actual evapotranspiration. This assessment is presented for ten southern Québec watersheds of the St. Lawrence River. The watershed models were calibrated and validated for 1982-1991 and 1991-2002, respectively. Automatic calibration was performed using the Dynamically Dimensioned Search (DDS) algorithm based on the maximization of two objective functions (OFs): (i) the Kling-Gupta efficiency and (ii) the Nash-log. DDS was executed to calibrate 12 hydrological parameters for one optimization trial for each watershed and each OF with a budget of 5000 model runs. To analyse parameter uncertainty and resulting equifinality, 250 sets of parameters were extracted from each trial run. Calibration performances for both OFs were between 0.75 and 0.95, while the selected 250 best sets of parameters had OF values differing by less than 1%. Results showed that the overall OF uncertainty was larger than the parameter uncertainty for all modelled processes except the SWE. Nevertheless, seasonal results suggested parameter uncertainty could be greater than OF uncertainty for specific seasons or years, although it was not possible to make a general outcome stand out. In particular for impact studies where the variables of interest are not daily flows but rather hydrological indices or variables, parameter uncertainty will need to be accounted for.

25 **Résumé**

26 Accepter l'existence du concept d'équifinalité c'est reconnaître l'incertitude liée à l'existence
27 d'une famille de solutions donnant des résultats de qualité similaire obtenus avec la même
28 fonction objectif. Malgré l'existence de méthodes de caractérisations de cette incertitude, le
29 modèle hydrologique HYDROTEL a été principalement utilisé jusqu'à maintenant selon le
30 paradigme du calage optimal unique sans évaluer *a posteriori* les conséquences de ce choix.
31 Cette étude propose d'évaluer l'impact du choix du jeu de paramètres optimisés sur certaines
32 variables et indicateurs hydrologiques simulés, à savoir: (i) les débits journaliers; (ii) les
33 débits d'étiage à 7 et 30 jours et les débits maximum; (iii) l'équivalent en eau de la neige
34 (EEN), (iv) les variations du contenu en eau du sol peu profond et (v) l'évapotranspiration
35 réelle. Dans ce contexte, HYDROTEL est mis en place sur dix bassins versant du Québec
36 méridional entre 1982 et 2002. Pour chacune des fonctions objectif (FO) (Kling Gupta
37 efficiency et Nash-log) et chacun des bassins, l'algorithme *Dynamically Dimensioned Search*
38 (DDS) dispose d'un budget de 5000 répétitions pour optimiser les 12 paramètres de calage
39 d'HYDROTEL sur 1981-1991. Ainsi, 250 jeux de paramètres sont conservés pour évaluer
40 l'incertitude paramétrique et l'équifinalité résultante. Les résultats de calage indiquent des
41 fonctions objectif comprises entre 0,75 et 0,95, tandis que pour chaque modèle les 250
42 meilleures répétitions présentent des fonctions objectif égales à 1% près. Globalement, pour
43 tous les processus simulés excepté pour l'EEN, l'incertitude relative aux FO était plus
44 importante que celle relative aux jeux de paramètres. Cependant, les résultats saisonniers
45 suggèrent que l'incertitude paramétrique peut dépasser celle due aux FO dans certaines
46 conditions particulières. Elle devra donc être prise en compte, en particulier pour les études
47 d'impacts et de risque hydrologique dont les variables d'intérêt sont principalement des
48 indicateurs hydrologiques simulés et non pas les débits journaliers.

49

50 **Introduction**

51 The equifinality concept refers to the existence of many parameter sets (and multiple model
52 structures) associated with the same ‘optimal’ measure of efficiency (Beven 2006a; Beven
53 and Freer 2001). Within a realistic parameter space, for a given mechanistic model of a
54 complex environmental system, many local optima may exist. Despite the computational
55 costs, equifinality has been revealed for many types of models and especially for rainfall-
56 runoff models (Beven 1993; Beven and Binley 1992; Duan *et al.* 1992; Fu *et al.* 2015; Futter
57 *et al.* 2015; Li *et al.* 2012; Linhoss *et al.* 2013; Prada *et al.* 2016; Romanowicz *et al.* 1994;
58 Zeng *et al.* 2016; Zhang *et al.* 2012).

59 The main consequence of accepting the concept of equifinality is that the uncertainty
60 associated with model predictions might be larger than that assessed within the optimal
61 parameter set paradigm. Different types of approaches allow to deal with such an uncertainty
62 (Vrugt *et al.* 2009a). Some approaches have their roots within a formal statistical (Bayesian)
63 framework, but require in-depth understanding of mathematics and statistics as well as
64 experience in implementing (Fisher and Beven 1996; Freer *et al.* 1996) these methods on
65 computers (Vrugt *et al.* 2009b). This probably explains the success of the generalized
66 likelihood uncertainty estimation (GLUE) method of (Beven and Binley 1992). It operates
67 within the context of Monte Carlo analysis coupled with Bayesian or fuzzy estimation and
68 propagation of uncertainty. It is relatively easy to implement and requires no modifications to
69 existing codes of simulation models. More recently, Tolson and Shoemaker (2007) presented
70 how the dynamically dimensioned search (DDS) optimization algorithm could replace random
71 sampling in typical applications of GLUE. They also introduced a more efficient uncertainty
72 analysis methodology called DDS-approximation of uncertainty (DDS-AU) that differs from
73 the automatic calibration and uncertainty assessment using response surfaces (ACUARS)

74 methods (Mugunthan and Shoemaker 2006). The former approach requires many optimisation
75 trials while the latter approach uses only one trial coupled with a declustering technique.

76 The idea of an optimal parameter set remains strong in environmental sciences and even
77 stronger in hydrological modelling. For a physically-based, semi-distributed, model such as
78 HYDROTEL (Bouda *et al.* 2014; Bouda *et al.* 2012; Fortin *et al.* 2001b; Turcotte *et al.*
79 2007a; Turcotte *et al.* 2003), this frame of mind is rooted in two perceptions: (i) multiple
80 feasible descriptions of reality lead to ambiguity and are possibly viewed as a failure of the
81 modelling exercise (Beven 2006a); and (ii) a manual search for an “optimum” is already
82 computationally expensive (Turcotte *et al.* 2003) while an automatic search may provide only
83 a slight increase in model efficiency in comparison with the latter manual calibration (Bouda
84 *et al.* 2014). This is why in the last decade, at the risk of avoiding important issues of model
85 acceptability and uncertainty (Beven 2006a), HYDROTEL has almost always been applied
86 within the optimal parameter set paradigm.

87 For example, in several studies (Aissia *et al.* 2012; Fortin *et al.* 2001a; Fossey and Rousseau
88 2016a, 2016b; Fossey *et al.* 2015; Fossey *et al.* 2016; Khalili *et al.* 2011; Minville *et al.* 2009;
89 Oreiller *et al.*; Quilbé *et al.* 2008; Rousseau *et al.* 2013), HYDROTEL has been manually
90 calibrated following the four-step, trial-and-error, process-oriented, multiple-objective
91 calibration strategy introduced by Turcotte *et al.* (2003). It has also been calibrated using the
92 shuffled complex evolution algorithm (SCE-UA) designed by Duan *et al.* (1993) to find the
93 optimal set of parameters while avoiding local optima (Bouda *et al.* 2014; Gaborit *et al.* 2015;
94 Ludwig *et al.* 2009; Ricard *et al.* 2013; Trudel *et al.* 2016). But two exceptions emerge from
95 the literature, Bouda *et al.* (2012); Poulin *et al.* (2011) both used the SCE-UA algorithm to
96 generate multiple parameter sets and assessed the uncertainty of hydrological modelling under
97 the equifinality assumption. Poulin *et al.* (2011), based on one snow-dominated watershed,
98 concluded that model uncertainty (conceptual models *versus* more physically-based models for

example) can be more significant than parameter uncertainty. Meanwhile, Bouda *et al.* (2012), from their work on two watersheds, stressed the need for further research that may lead to the implementation of a systematic uncertainty analysis in an operational hydrological forecasting system. Nevertheless, they both highlighted the need for additional validation of their results on additional watersheds.

It is important to mention that the technico-philosophical debate started in 2006 (Beven 2006b, 2008) about the methods that should or should not be used to estimate the uncertainties associated with hydrological forecasting is beyond the scope of this paper. Indeed, the debate is still ongoing about the relative performances of formal (DREAM) and informal (GLUE) Bayesian approaches in estimating the consequences of equifinality (Beven 2009; Vrugt *et al.* 2009b, 2009c) and about the multiple sources of uncertainty and non-stationarity in the analysis and modelling of hydrological systems (Beven 2016; Nearing *et al.* 2016). In this paper, equifinality is simply explored through the implementation of the automatic calibration algorithm DDS (Tolson and Shoemaker 2007), which has been reported as being superior to SCE-UA (Arsenault *et al.* 2014; Yen *et al.* 2016). Our contribution builds on the work carried out on hydrological uncertainty to show in practical terms why equifinality does need to be taken into account by answering one simple question taken out of the technico-philosophical debate: what are the consequences of not accounting for equifinality while calibrating HYDROTEL for an environmental impact study? Here, hydrological uncertainty (defined by the spread resulting from multiple calibrations) is assessed for five modelled hydrological variables and indices: (i) daily flows, (ii) seasonal hydrological indices such as the seven-day low flow (7d-Qmin), 30-day low flow (30d-Qmin), and the maximum flow (Qmax), (iii) snow water equivalent (SWE), (iv) shallow ground water content variations (GWC) and (v) actual evapotranspiration (AET). Innovation resides in three elements. A calibration strategy close to that of manual calibration was used in order to demonstrate the need to account for

equifinality in impact assessment studies aside from the technico-philosophical debate started in 2006. Moreover, using 10 watersheds across Québec avoided limiting the significance of the results to a specific region. Last, the relative importance of OF uncertainty and parameter uncertainty were differentiated according to the variable being considered and its temporal scale (yearly or seasonal).

The next two sections of this paper introduce the modelled watersheds and the methods, the results and ensuing discussions. Throughout the paper, readers should keep in mind that the results do not aim at assessing the formal statistical uncertainty associated with the hydrological processes, but rather at showing the concrete consequences of equifinality on modelled hydrological processes

Study area and data

This study was carried out in southern Québec (Canada) on ten watersheds spread out in five hydrographic regions of the St. Lawrence River (Figure 1). These ten watersheds, namely (i) Batiscan, (ii) Bécancour, (iii) Chamouchouane, (iv) Châteauguay, (v) Chaudière, (vi) Du Loup, (vii) Gatineau, (viii) Mistassini, (ix) Rouge, and (x) Yamaska have modelled drainage areas ranging from 855 up to 15,042 km² and various land cover patterns. Table 1 indicates all watersheds, but Yamaska, have a forested (evergreen + deciduous trees) area covering more than 90% of the modelled land cover. Yamaska is the only watershed with a significant portion of urban area. Batiscan has over 40% of evergreen while Gatineau, Chaudière, Rouge and Du Loup have 17, 21.5, 25.6 and 28.4% of evergreen, respectively, and the remaining five watersheds have an evergreen area representing less than 10% of their total land cover. It is also noteworthy that Châteauguay, Bécancour and Chaudière have 17.0, 8.2 and 3.9% of cropland while the remaining seven watersheds have less than 1%.

According to available meteorological data (1981-2002, 1995 and 1996 being unavailable) from National Resources Canada, the region surrounding the St. Lawrence River delineated in Figure 1 is characterized by a mean annual temperature of 1.8°C and mean annual total precipitation of 940 mm. All watersheds are snow-dominated with peak flow occurring in spring. A summary of the hydroclimatic characteristics of the watersheds is provided in Table 2 and Table 3 for two hydrological seasons, that is summer (June 1st to November 30th) and winter (December 1st to May 31st). While the mean summer rainfall is 545 mm and quite homogenous among the watersheds (standard deviation of 30 mm), mean winter rainfall is more heterogeneous with a mean of 208 mm and a standard deviation of 64 mm. Meanwhile, mean snowfall is 271 mm with a standard deviation of 52 mm. Mean summer (10.8°C) and winter (-4.8°C) temperatures are also quite variable with respective standard deviations of 1.8 and 2.8 °C. This shows that in terms of climate characteristics, the studied watersheds are

quite heterogeneous. In terms of hydrological characteristics, mean summer and winter daily flows are 1.2 and 1.9 mm, respectively, with standard deviations of 0.44 and 0.23. Winter flows are higher than summer flows on average because winter includes the snow melt and thus the spring peak flows. Higher variability in the summer flows is attributed to summer rainfall and convective storms that are more variable than snowfalls. The hydrological indices mean values indicate that the watersheds, despite being somewhat located along the St. Lawrence River, have heterogeneous characteristics with mean 7d-Qmin ranging from 2 up to 156 m³s⁻¹ and from 4 to 120 m³s⁻¹ for summer and winter, respectively. Heterogeneity is even higher for mean Qmax; ranging from 29 up to 595 m³s⁻¹ and from 84 to 1350 m³s⁻¹ for summer and winter, respectively.

<Table 1: Land cover of the ten studied watersheds in southern Québec, Canada >

Figure 1: Location of the study watersheds in Québec, Canada, and around the St. Lawrence River

<Table 2: Summary (1982-2002) of the climate characteristics of the study watersheds>

<Table 3: Summary (1982-2002) of the hydrological characteristics of the study watersheds>

Material and Methods

Hydrological model

HYDROTEL is a process-based, continuous, semi-distributed hydrological model (Bouda *et al.* 2014; Bouda *et al.* 2012; Fortin *et al.* 2001b; Turcotte *et al.* 2007a; Turcotte *et al.* 2003) that is currently used for inflow forecasting by Hydro-Quebec, Quebec's major power utility, and the Quebec Hydrological Expertise Centre (CEHQ) which is in charge of the management and safety of publicly owned dams (Turcotte *et al.* 2004). It was designed to use available remote sensing and GIS data and use either a 3-hour or a daily time step. It is based on the spatial segmentation of a watershed into relatively homogeneous hydrological units (RHHUs, elementary subwatersheds or hillslopes as desired) and interconnected river segments (RSs) draining the aforementioned units. A semi-automatic, GIS-based framework called PHYSITEL (Noël *et al.* 2014; Rousseau *et al.* 2011; Turcotte *et al.* 2001) allows easy watershed segmentation and parameterization of the hydrological objects (RHHUs and RSs). The model is composed of seven computational modules, which run in successive steps. Each module simulates a specific process (meteorological data interpolation, snowpack dynamics, soil temperature and freezing depth, potential evapotranspiration, vertical water budget, overland water routing, channel routing). Readers are referred to Fortin *et al.* (2001b) and Turcotte *et al.* (2007a) for more details on these aspects of HYDROTEL.

The main parameters of HYDROTEL can be subdivided into three groups (see Table 4). The first group includes the snow parameters and the second group includes the soil parameters. The last three individual parameters are related to the interpolations of temperature and precipitation according to the average of the three nearest meteorological stations weighed in by the square of the inverse distances between the RHHU and the three stations (a.k.a. the Reciprocal-Distance-Squared method).

<Table 4: HYDROTEL key parameters>

Data acquisition

Observed climate data for 1981-2002 were computed on a $0.75^{\circ} \times 0.75^{\circ}$ grid by isotropic kriging following the method described in Poirier *et al.* (2012) using the meteorological data provided by National Resources Canada. Each grid-point served as a meteorological station in HYDROTEL. Flow data were extracted from the CEHQ data base, which operates around 230 hydrometric stations (CEHQ, 2012). Stations were selected for their data availability and proximity to the outlets of the watersheds. For Batiscan (#050304 [-72.4° long, 46.6° lat]), Bécancour (#024007 [-72.3° long., 46.2° lat.]), Châteauguay (#030905 [-73.8° long., 45.3° lat.]) and Rouge (#040204 [-74.7° long., 45.7° lat.]), stations were located at the outlet of each watershed while for Chamouchouane (#061901 [-72.5° long., 48.7° lat.]), Chaudière (#023402 [-71.2° long., 46.6° lat.]), Du Loup (#052805 [-73.2° long., 46.6° lat.]), Gatineau (#040830 [-75.8° long., 47.1° lat.]), Mistassini (#062102 [-72.3° long., 48.9° lat.]) and Yamaska (#030304 [-72.9° long., 45.5° lat.]), the nearest stations were selected (see Figure 1).

Calibration/validation and parameter sets generation

Model calibration on each watershed was carried out using a global optimization algorithm, DDS presented in Tolson and Shoemaker (2007). It allows systematic and impartial calibration of HYDROTEL through all the watersheds using a fixed methodology. The shuffled complex evolution (SCE) algorithm (Duan *et al.* 1992; Duan *et al.* 1994; Duan *et al.* 1993) was also considered; viewed as the dominant optimization algorithm before 2007 with more than 300 different applications referring to the original set of SCE papers. However, it has since been proved that DDS is better suited for distributed watershed models requiring extensive computational time (Arsenault *et al.* 2014; Tolson and Shoemaker 2007; Yen *et al.* 2016). DDS performs a low number of model evaluations before converging to a good calibration solution. According to Yen *et al.* (2016), DDS outperforms other optimization

techniques in both convergence speed and searching ability for parameter sets that satisfy statistical guidelines while requiring only one algorithm parameter (perturbation factor, default value 0.2) in the optimization process. This default value was used in this paper.

Automatic calibration was performed based on the maximization of four objective functions (OFs) computed from observed flow data: (i) Kling-Gupta efficiency (KGE); (ii) Nash-log; that is the Nash-Sutcliffe efficiency (NSE) calculated on log transformed flows; (iii) NSE_Q and (iv) $NSE_{\sqrt{Q}}$ computed on root squared flows. DDS was executed for one optimization trial for each watershed and each OF with a budget of 5000 model runs - the trial was initiated from the same random set of parameter values for every watershed. To analyse parameter uncertainty and resulting equifinality, the 250 sets of parameters resulting in the best OF values were extracted from each trial run. Then each model was run over a validation period using the corresponding 250 sets of parameters (10 models times 4 OFs). However, this paper solely focused on two of the four OFs studied namely KGE and Nash-log because including the two other functions would not help distinguishing the dominant type of uncertainty. Indeed, overall results for NSE are close to KGE results except around peak flows (*Gupta et al.*, 2009) while $NSE_{\sqrt{Q}}$ represents a tradeoff between KGE and Nash-log. Using the combination of KGE and Nash-log provides a contrasted calibration procedure that in turn favors high flows and low flows.

$$KGE = 1 - \sqrt{(r - 1)^2 + (\alpha - 1)^2 + (\beta - 1)^2} \quad \text{Eq 1}$$

where r is the linear correlation coefficient between simulated and observed values; α is a measure of relative variability in the simulated and observed values, that is the ratio between simulated and observed standard deviations; and β stands for the bias, that is the ratio between the mean simulated and mean observed flows.

$$\text{Nash-log} = 2 \cdot \alpha_{\log} \cdot r_{\log} - \alpha_{\log}^2 - \beta_{\log n}^2 \quad \text{Eq 2}$$

where α_{\log} and r_{\log} are the linear correlation coefficient and measure of relative variability between the log transformed simulated and observed flows, respectively; and $\beta_{\log n}$ stands for the ratio between the bias of log transformed simulated and observed flows, normalized by the standard deviation of observed values.

The calibration period extended from December 1st, 1982 to November 30th, 1991; that is nine entire hydrological years. The validation period started on December 1st, 1991 and ended on November 30th, 2002 (remembering that the 1995-1996 meteorological data series were unavailable); that is eight complete hydrological years (hydrological years 1994 – December 1st, 1994 to November 30th, 1995, and 1995 – December 1st, 1995 to November 30th, 1996 were unavailable), corresponding to nine summers and eight winters (January to the end of May 1997 is used as a spin-up to make sure that the model is on the right track). In each case, a 1-year spin-up period was used to minimize initialization errors. During the 1995-1996 meteorological data gap, the model was fed with data from 1993-1994 to prevent the rivers from drying out. These simulation periods (calibration and validation) followed the split-sample strategy applied to the available meteorological and hydrological data. The length of the calibration period was not so long as to increase computational costs too much, but not so short as to have issues related to the interannual variability of climate data compared with the validation period. Figure 2 illustrates the appropriateness of this approach in terms of mean annual and seasonal temperatures and precipitations. For the calibration and validation, the simulation periods were relatively similar: precipitations and temperatures are within [614, 911 mm] and [-1, +6°C], and [646, 845 mm] and [-0.2, 6.4°C], respectively.

Out of the eighteen (18) key calibration parameters (Table 4), twelve (12) were actually adjusted in this study: six (6) snow parameters; five (5) soil parameters; and one (1) interpolation coefficient. Sensitivity analyses were not formally carried out for any of the

watersheds beforehand, but these calibrated parameters are amongst the model most sensitive parameters (Turcotte *et al.* 2003). This selection of parameters was based on: (i) information provided by previous analyses (Ben Nasr 2014; Bouda *et al.* 2014), (ii) knowledge built through the operational use of HYDROTEL (Turcotte *et al.* 2004) and (iii) experience gained during the development of a Hydroclimatic Atlas conveying the potential impact of climate change on water resources for the 2050 horizon over Southern Québec (CEHQ 2013, 2015). The remaining parameters were fixed according to: (i) a regionalization study (Turcotte *et al.* 2007b), (ii) results from the application of a global calibration strategy (Ricard *et al.* 2013) used in CEHQ (2013, 2015), and (iii) from previous manual calibration exercises.

Figure 2: Relationship between mean annual and seasonal temperatures and precipitations for the calibration and validation periods

Results

As previously mentioned model uncertainty related to parameters used for the calibration of HYDROTEL and to the choice of the OF was assessed through five modelled hydrological variables and indices: (i) modelled streamflows, (ii) hydrological indices computed from the latter, and three internal variables, namely (iii) snow water equivalent (SWE), (iv) actual evapotranspiration (AET) and (v) shallow ground water content variations (GWC). In this paper, parameter equifinality refers to the range that each calibration parameter covers within the predefined physical limits attributed to each parameter. Meanwhile parameter uncertainty refers to the consequences of parameter equifinality with respect to the model outputs. Finally, OF uncertainty refers to the effects of using two different functions on the model outputs. For each subsection, a different watershed is used as a showcase while the other nine and their related figures are referred to as alternate watersheds and available as supplemental information upon request to the corresponding author. This choice was made to focus on the global picture conveyed by this paper instead of focusing on the characteristics of a single watershed.

Parameter equifinality

Figure 3 shows the range covered by the 250 sets of parameters used in setting up the 20 models in HYDROTEL. The figure was computed by putting together for each model a radar plot of the calibration parameter values. For every set of parameters, a line was drawn to link every individual parameter. The computation of the 250 lines made it possible to picture the range covered by the selected sets of parameters within a predefined physical interval that limits the automatic calibration algorithm. These limits were based on the information provided by previous sensitivity analyses, operational experience, and previous calibration exercises.

For most watershed models, the parameter equifinality is limited. Indeed, parameter equifinality for the Batiscan watershed, for the KGE OF, covers a maximum of 9.2% of the physical range for the deciduous melting threshold parameter (C in Figure 3), but about 5% for the rain/snow limit (A in Figure 3) for example. The maximum parameter equifinality is obtained for the evergreen melting threshold on the Yamaska watershed for the KGE OF with an equifinality covering 45.6% of the physical range. Overall, the “most equifinal parameters” are the evergreen melting rate (B in Figure 3) and threshold (E in Figure 3).

Figure 3: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. The dark blue diagrams refer to the KGE OF while the light blue diagrams refer to the Nash-log OF.

Streamflows

A tangible evidence of the equifinality of the 20 models is displayed by the narrow ranges of OF values resulting from the 250 calibrations and validations. This was expected despite the careful consideration given to the number of calibration parameters used to avoid over parametrization and limit the possibility of equifinality. Figure 4 shows the KGE and Nash-log values obtained in calibration and validation for the Chamouchouane watershed. KGE as well as Nash-log calibration values belong to equally narrow ranges [0.9464, 0.9472] and [0.9064, 0.9072]. For the validation period, ranges are larger, but still quite narrow with 100% and 68% of KGE and Nash-log values fitting in the equally narrow ranges [0.8225, 0.8305] and [0.6340, 0.6420], respectively. Model performances are not as good in validation as in calibration. But as Table 6 shows, differences in performances overpass a 15% difference only three times out of the 20 models. Moreover, the validation period performances either increase or as decrease in comparison with calibration values, and that vouches for the split-

sample strategy chosen. Indeed, Table 6 introduces the median loss of performances computed from the individual losses of each of the 250 calibrations/validations which are different from what could be computed from Table 5.

Table 5 shows that results of Figure 4 are also valid for the alternate watersheds included in this paper. Indeed, for the calibration period, both KGE and Nash-log values can be constrained in a 0.01 interval while, for the validation period, they are within a 0.15 interval. What is notable is that ranges seem larger for the Nash-log than for the KGE OFs. Also, the performances in calibration using the Nash-log OF are lower; whereby the mean of the KGE values is 0.916, the mean of the Nash-log values is 0.840. For validation, this gap widens with a mean KGE of 0.823 and a mean Nash-log of 0.679. This important difference may be attributed to the relative inability of Nash-log to represent high flows. Indeed, high flows are less correctly reproduced by Nash-log when low flows are assessed using the KGE OF. This explains the observed difference in performances.

The simulated streamflow envelopes shown in Figure 5 clearly illustrate parameter uncertainty with respect to the Rouge watershed. The hydrographs were computed according to the following steps: (i) for every 250 simulated flow series, mean values were generated for each day of the year, over the calibration (9 hydrological years) and validation periods (8 hydrological years); (ii) then for each model and simulation period, daily minimum and maximum values were taken from the entire set of mean series and plotted in order to obtain streamflow envelopes. As depicted in Figure 5 which introduces the individual streamflow uncertainty envelopes for the alternate watersheds, the impact of parameter uncertainty is:

- small (most of the time under 0.1 mm/day) for both simulation periods and OFs,
- concentrated around the spring peak flow for the Nash-log OF (reaching a maximum of 1mm/day).

The OF uncertainty is shown by the global envelope that encompasses individual bands associated with the KGE and Nash-log series of modelled streamflows. Figure 5 and alternate figures show that OF uncertainty is more important than parameter uncertainty most of the year (except during the recession of the spring peak flow where the envelopes overlap). Moreover, the spread of the global envelope for the ten watersheds reveals that OF uncertainty is generally more pronounced in the fall and the spring peak flows.

Figure 4: Distribution of the OF values for the Chamouchouane watershed: (a) KGE calibration period; (b) KGE validation period; (c) Nash-log calibration period; (d) Nash-log validation period

<Table 5: Summary of the KGE and Nash-log values for the ten watersheds over the calibration and validation periods>

<Table 6: Median of the KGE and Nash-log loss of performance (positive values) between the calibration and validation periods>

Figure 5: Streamflow uncertainty envelopes for the Rouge watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes stand for simulated flows under the KGE and Nash-log OFs, respectively, while the blue line depicts the observed values.

Hydrological indices

Figure 6 introduces, for the Chamouchouane watershed the boxplots of the seasonal hydrological indices for each OF. The two boxplots per year represent the parameter uncertainty (250 sets of parameter) for the KGE and Nash-log OFs for each hydrological index. The reunion of the two boxplots represent the OF uncertainty. Results do not show the 30d-Qmin distributions as they are quite similar to the 7d-Qmin distributions, their median being just slightly greater and their interquartile range being similar.

Figure 6 shows that the impact of parameter uncertainty is rather small during both simulation periods (calibration and validation). Indeed for both OFs and both simulation periods, differences between the 1st and 3rd quartiles remain under 5% of the hydrological indices values. Parameter uncertainty is more important for winter Nash-log hydrological indices than for KGE values, whereas they are comparable for summer indices. The impact of OF uncertainty is for all hydrological indices, for almost every year, and for both simulation periods the impact is more important than that of the parameter uncertainty. It is especially the case for winter 7d-Qmin and 30d-Qmin where the uncertainty is at least five (5) times larger than the parameter uncertainty. This also applies to winter Qmax where it is at least twice as much important. The main findings characterizing almost all watersheds are the following:

- Parameter uncertainty is :
 - quite stable across years and simulation periods,
 - smaller in summer than in winter especially for Qmax,
 - similar for both OFs, both seasons and all hydrological indices (besides a few exceptions related to the performance of the calibration).
- OF uncertainty is:
 - rather stable across years for every individual seasonal hydrological index,

- more important than parameter uncertainty across the years, simulation periods, and seasons,
- larger in winter than in summer and more important for 7d-Qmin and 30d-Qmin.

Figure 6: Boxplots of the seasonal hydrological indices for the Chamouchaoune watershed for the calibration (1) and validation (2) periods: (as1) and (as2) display the distribution of the maximum summer peakflows; (aw1) and (aw2) the distribution of maximum winter peakflows; (bs1) and (bs2) the distribution of summer-7-day minimum flows; and (bw1) and (bw2) the distribution of winter-7-day minimum flows. The black and green boxplots illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively, while the blue dots depict the observed values. The superscripts *w* and *d* on the x-axis indicate the wettest and driest years of each simulation period, respectively.

Snow water equivalent

Figure 7 shows the SWE uncertainty envelopes for the Yamaska watershed for the calibration and validation periods as well as the two OFs. The envelopes were computed using the same method as that used for the streamflows, except that since HYDROTEL is a semi-distributed model, mean areal values over the RHHUs were first computed to produce a single data series for each calibrated parameter set and each simulation period.

Figure 7 shows that parameter uncertainty relative to SWE is less important at the beginning and the end of the snow season while being at a maximum at the peak where the envelopes are the widest. OF uncertainty for SWE, contrary to that for streamflows, is less important than parameter uncertainty as the individual envelopes overlap almost the entire snow season. Parameter uncertainty is more important for the Nash-log OF than for the KGE OF. However,

these observations cannot be generalized when examining in details the results for the alternate watersheds. Nonetheless, the overall results can be separated into six groups:

- (i) For Yamaska and Chateauguay, parameter uncertainty is larger than the OF uncertainty for the whole year with individual envelopes being wider at the beginning of February and at the end of March. SWE is higher for the Nash-log OF than for the KGE OF.
- (ii) For Chamouchouane and Mistassini, parameter uncertainty is larger than the OF uncertainty for the whole year with individual envelopes overlapping the entire year.
- (iii) For Gatineau, parameter uncertainty is larger than the OF uncertainty from November to the end of February. OF uncertainty then becomes larger than parameter uncertainty with individual envelopes not overlapping anymore. Individual envelopes are quite narrow throughout the year and KGE simulated SWE is slightly more important than the Nash-log simulated values.
- (iv) For Batiscan, results are similar to those of group (iii); differing only with respect to the fact that individual envelopes become slightly wider indicating a more important parameter uncertainty
- (v) For Du Loup and Rouge, results indicate a larger OF uncertainty for the whole year with narrow individual envelopes not overlapping. KGE simulated SWE values are more important than Nash-log values with a maximum difference of 50 mm at peak values.
- (vi) For Bécancour and Chaudière, results are similar to those of group (v) differing only with respect to the fact that individual envelopes become wider, indicating that parameter uncertainty is larger.

Figure 7: SWE uncertainty envelopes for the Yamaska watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs. The line indicates the period of overlapping between the uncertainty envelopes.

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Actual evapotranspiration

Figure 8 depicts the seasonal AET for the Bécancour watershed obtained for both simulation periods and OFs. They were computed as the sum of AET over each hydrological year and season after applying the same methodology as that for the areal SWE in getting a single data series. Parameter uncertainty can be assessed through the amplitude of each boxplot while OF uncertainty is assessed through the combination of the KGE boxplots (black) and Nash-log boxplots (green).

Figure 8 shows that parameter uncertainty for the summer season covers around 5% of the AET values for both simulation periods and OFs; but for winter goes as far as 50%. For summer, OF uncertainty is less significant than parameter uncertainty for many years as illustrated by the overlapping of the individual boxplots (1981, 1983, 1985, 1986, 1987, 1988, 1992, 1994, 1998, 2000 and 2002). Nevertheless, OF uncertainty is more important than parameter uncertainty for all years but for winter 1990. Also, it is noteworthy that parameter uncertainty is less variable across years during summer than winter; indeed boxplots have the same width. Last, Nash-log parameter uncertainty is comparable or larger than summer KGE parameter uncertainty whereas it is the opposite for winter. However, these observations cannot be generalized when examining in details the results of the other watersheds (alternate watersheds). Nonetheless, the overall results can be separated into six groups:

- (i) For Batiscan, Châteauguay, Du Loup and Yamaska, both types of uncertainty are constant across simulation periods, years and seasons. OF uncertainty remains around 5% and does not go beyond 10% of the simulated AET values and is more important than parameter uncertainty, while parameter uncertainty is similar for both OFs.
- (ii) For Rouge, results are similar to those of group (i) differing only with respect to OF uncertainty being larger, around 10%, for both seasons of the simulation periods and all years.

(iii) For Gatineau and Mistassini, results are similar to those of group (ii) but have a larger parameter uncertainty for Nash-log simulated values than for KGE values. This behavior is more pronounced in summer than in winter, and more so for Mistassini than for Gatineau.

(iv) For Chaudière, results are similar to those of group (ii) but have an OF uncertainty that flirts with 20%.

(v) For Chamouchouane, results are similar to those of group (i) because of the constant OF and parameter uncertainties. The difference is that OF uncertainty is nonexistent as individual boxplots overlap for all seasons, years and simulation periods. Parameter uncertainty related to the Nash-log OF is more important than that of KGE simulated values

(vi) For Bécancour, results were described in the previous paragraph and are different from the other groups as they display variability across years and seasons that other watersheds do not show.

The only result, apart from the relative consistency across the years highlighted in group (vi), that stands across all watersheds, but Bécancour in summer and Yamaska is that simulated AET values are higher for all years and all seasons under the Nash-log OF. This is not a surprising result as it pertains to the nature of the OF with respect to the water balance. That is, if a smaller percentage of precipitations gets discharged through rivers (Nash-log vs KGE), another way to balance the equation for HYDROTEL is to increase water output through evapotranspiration.

Figure 8: Seasonal actual evapotranspiration for the Bécancour watershed: (a) summer calibration; (b) summer validation; (c) winter calibration and (d) winter validation. The black and green boxplots stand for simulated AET distributions under the KGE and Nash-log OFs, respectively. The outliers are represented by red crosses. The superscripts w and d on the x-axis stand for the wettest and driest years of each simulation period, respectively.

Shallow groundwater variations

Figure 9 shows the envelopes of areal GWC variations for the calibration and validation periods as well as the two OFs for the Du Loup watershed. The envelopes were computed using the same method as that used for the areal SWE.

Figure 9 shows that parameter uncertainty is small and constant for both OFs throughout the whole year with a maximum uncertainty under 2 mm. On the contrary, OF uncertainty is substantial for the whole year (20 to 40 mm for the calibration period, 10 to 20 mm for the validation period), but between January and March. For this latter period, the shallow ground water reserves are at their lowest point and individual envelopes overlap during the calibration period or are close to overlapping during the validation period. However, these observations do not hold when examining in details the results for the alternate watersheds. Nonetheless, the overall results can be separated into six groups:

- (i) For Rouge and Mistassini, the GWC variation patterns are similar to those of Du Loup. Maximum reserves are reached in early May after the snow has melted; they continuously decrease until early September where they reach their minimum to increase until the end of the fall season in early December. Finally, they decrease again to a near minimum value around early March at the onset of melt season. OF and parameter uncertainties were described in the previous paragraph.

- (ii) For Batiscan, results show similar GWC variation patterns to those of group (i). The difference lies in the parameter uncertainty that covers most the OF uncertainty, but still remains under 10 mm. Indeed, for the calibration period, OF uncertainty is less important than parameter uncertainty from November until the end of September. For the validation period, the overlapping is reduced from December until the end of May. Still, even in the remaining months, OF uncertainty is less important than that of group (i); incidentally not getting larger than 20 mm.
- (iii) For Chamouchouane and Gatineau, results show similar GWC variation patterns to those of groups (i) and (ii), but behave almost at the opposite of group (i) with respect to OF and parameter uncertainties. OF uncertainty is non-existent for the whole year, but for a few days around peak value. Parameter uncertainty is small (less than 2 mm) and individual envelopes overlap.
- (iv) For Bécancour, results show similar GWC variation patterns to those of group (i) apart from the decrease during the snow season that is less pronounced. Parameter uncertainty is more important for both OFs as that of group (i); it represents a maximum of 10 mm for both OFs in the calibration period, but around 5 mm and close to 10 mm respectively for Nash-log and KGE simulated GWC. OF uncertainty as a result is still more significant than parameter uncertainty despite a lag between the OFs that make the individual envelopes overlap around peakflow values.
- (v) For Chaudière, results show similar GWC variation patterns to those of Bécancour (group (iv)) but is clearly different from any other watershed with respect to the OF and parameter uncertainties. The Nash-log parameter uncertainty covers almost all KGE values and has 40 and 20 mm wide intervals, respectively, for the

calibration and validation periods. The KGE parameter uncertainty is less than 2 mm for the whole year which results in a non-existent OF uncertainty for the calibration period while still being significant between August and December for the validation period.

- (vi) For Chateauguay and Yamaska, the GWC variation patterns differ from those of groups (i) to (v). The GWC is at a minimum around the end of August. The reserves are then replenished from September until the end of November, before decreasing only slightly, as opposed to groups (i) and (ii), during the snow season and attaining their maximum values after the snow has melted. Parameter uncertainty is small, under 2 and 5 mm for KGE and Nash-log simulated GWC, respectively, and relatively constant across the year. OF uncertainty is more important (maximum of 20 and 30 mm for calibration and validation, respectively) for the whole year, but just after peak value (May and June) for the calibration period and around peak value (April) for the validation period

It is noteworthy that the two variation patterns relative to GWC, highlighted in the above groups, reflect the geographical location of the watersheds. Indeed, Bécancour, Châteauguay, Chaudière and Yamaska are located on the south shore of the St. Lawrence River, while Batiscan, Chamouchouane, Du Loup, Gatineau, Mistassini and Rouge are located on the north shore.

Figure 9: Shallow groundwater content uncertainty envelopes for the Du Loup watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively.

Discussion

Automatic calibration with DDS

In the Material and Methods section, it is mentioned that DDS is better suited than SCE-UA (Duan *et al.* 1992; Duan *et al.* 1994; Duan *et al.* 1993) for distributed watershed models requiring extensive computational time and, thus, leading to a low number of model evaluations before converging to a good solution (Arsenault *et al.* 2014; Tolson and Shoemaker 2007; Yen *et al.* 2016). This is mostly due to DDS dynamically adjusting the neighborhood of the best solution by changing the dimension of the search (Tolson and Shoemaker 2007). In other terms, DDS mimics manual calibrations of watershed models as follows: (i) early in the calibration exercise, a number of model parameters are modified to overcome relatively poor solutions, and (ii) later, to avoid losing the current gain in objective function values, parameters are modified one at a time. To avoid introducing a bias in the search algorithm, this paper used a random initial solution, but used the same random solution for every watershed in order to keep the experiments consistent.

The stochastic nature of DDS means that multiple optimization trials initialized with different initial solutions can terminate at different final solutions (Tolson and Shoemaker 2008). To be consistent with the framework described in the introduction, that is a majority of the HYDROTEL application studies involved manual calibration, we decided to work with only one optimization trial and a budget of 5000 model runs to answer the research question with respect to equifinality given this framework. Besides, the radar plots of parameter equifinality shown in Figure 3 do not seem to behave in a pattern related to the geographical location, the climate, or geological characteristics of each watershed. Indeed, the study watersheds are part of three different geological provinces (Ministère des Ressources naturelles Direction générale de Géologie Québec 2012): (i) the Greenville Province made of allochthonous material north of the St. Lawrence River; (ii) the St. Lawrence platform around the River; and

(iii) the Appalachian province made of Humber material south of the River. They also belong to three climate classes defined by Litynski (1988) but mostly to class 14 that stands for moderate temperature, subhumid precipitations and long growing season. As a consequence, parameter regionalization is not obvious. This was pointed out as well by Ricard *et al.* (2013) who showed that a global calibration strategy over southern Québec was preferable although in some cases the performances of watershed calibration using HYDROTEL was reduced when compared to local calibrations.

OF uncertainty

Overall, results for all the studied hydrological processes suggest that OF uncertainty is more important than parameter uncertainty. In other words, OF uncertainty is seen when the largest of the individual envelopes or boxplots relative to each objective function (KGE and Nash-log) is smaller than the reunion of either envelopes or boxplots. Readers should note that results obtained for the NSE_Q and $NSE_{\sqrt{Q}}$ OFs are in complete agreement with the previous statement. Figure 5 and alternate figures do not clearly show the impact of OF uncertainty because individual envelopes often overlap. However, when considering the seasonal hydrological indices (Figure 6 and alternate figures), the SWE (Figure 7 and alternate figures), the AET (Figure 8 and alternate figures), and the GWC (Figure 9 and alternate figures), OF uncertainty is overall clearly highlighted.

Some studies highlight the importance of model structure uncertainty over parameter equifinality (Futter *et al.* 2015; Mockler *et al.* 2016; Poulin *et al.* 2011; Shoaib *et al.* 2016). Poulin *et al.* (2011) used HYDROTEL and HSAMI to assess the effects of model structure and parameter equifinality on the uncertainty related to hydrological modelling. Their study revealed that the impact of hydrological model structure was more significant than the effect of parameter uncertainty (assessed through 68 sets of parameters). Yet, the uncertainty attributed to model structure with respect to streamflows and SWE were of the same order of

magnitude than the OF uncertainty assessed in this paper. This would mandate the combination of both studies to clearly assess whether the impact of model structure and OF uncertainty are equivalent or complementary in assessing the consequences of considering the effects of equifinality on modelled hydrological processes.

Figure 6 and alternate figures showed the boxplots of the seasonal hydrological indices for both OFs (Results section). They also indicated observed values as blue dots; less than 50% of the latter are not included within the interval of the simulated values for any of the hydrological indices (Qmax, 7d-Qmin, and 30d-Qmin). This could be seen as a calibration performance issue, but results suggest otherwise. Indeed, all observed values and all, but one, are included within the interval of the simulated values for the summer 7d-Qmin for the Châteauguay and Yamaska, respectively; which have the lowest performances for both OFs (refer to Table 5). This would rather suggest that KGE and Nash-log OFs are not able to force the model to represent the hydrological indices properly. This may be related to the nature of both OFs that are computed over daily data *versus* hydrological indices computed over a period of time (seven and 30 days for 7d-Qmin as well as 30d-Qmin, respectively). However, for Qmax, this is simply related to the misrepresentation of maximum flows. This result is rather important as hydrological indices are often used in impact assessment studies. This would mandate the use of specific OFs related to low or high flows or even the use of multi-objective functions.

Parameter uncertainty

Despite the fact that the OF uncertainty is overall more important than the consequences of parameter equifinality, parameter uncertainty relative to SWE (Results section) is generally more important than OF uncertainty. Indeed it is more important for the whole year for Châteauguay, Chamouchouane, Mistassini and Yamaska and, for a few months (November until the end of February), for Gatineau and Batiscau. Seasonal results also suggest that

parameter uncertainty can be important or more significant even than OF uncertainty for specific seasons or years (Figure 6, Figure 8 and alternate figures). To get a better understanding of the reasons why parameter uncertainty would prevail only for a few years, driest and wettest years were defined as the hydrological years with the least total amount of precipitation for the simulation periods (indicated on the x-axis of seasonal hydrological indices and AET figures as d and w). The effects of driest and wettest years were assessed in terms of prevalence of any of the two types of uncertainties and magnitudes of uncertainties on both types of years, but also on the following year. Nothing particular stood out that could be construed as a general result that could have given insights about the evolution of the prevalence of the two types of uncertainties in the following years. To get this type of insight, we would probably need to perform calibrations under different sets of contrasting conditions (dry *versus* wet years). This refers to parameter identifiability as researched by Wilby (2005) on snowless watersheds, or to the application of testing schemes such as those performed by Seiller *et al.* (2012) and inspired by Klemeš (1986).

Parameter equifinality

Ben Nasr (2014) as well as Bouda *et al.* (2014) pointed out in sensitivity analyses carried out for two snow-dominated watersheds in southern Québec (Beaurivage and Montmorency modelled using HYDROTEL), that the depth of the lower boundary of the three soil layers (z_1 , z_2 , z_3), the potential evapotranspiration multiplying factor (PETF), and the recession coefficient (RC) were consistently amongst the most sensitive parameters (refer to Table 4). In both studies, sensitivity was assessed from an initial optimal solution and parameter values were modified ($\pm 25\%$), but variations of $\pm 6.25\%$ already gave substantial flow modifications. These results are within the same order of magnitude as the equifinality measured through the proposed methodology and explain why some parameters in Figure 2 are more equifinal than others. Typically, parameters that were identified by Ben Nasr (2014) and Bouda *et al.* (2014)

as the most sensitive parameters are less equifinal than others. This result is not surprising as it pertains to the following statement: the more sensitive a parameter, the least uncertain it can be around a global optimum for the OF to remain optimum.

The choice to work with 5000 model runs ensured that the OF values remained within a 0.01 interval (refer to Table 5) for 250 sets of parameters that captured parameter equifinality. Neither did working with 500 sets of parameters provide a larger parameter equifinality, nor did working with 100 sets of parameters provide the complete parameter equifinality. This is important as Poulin *et al.* (2011) reported that parameter uncertainty increases with increasing numbers of calibration parameters and/or calibrations. This allows us to go beyond their research in making sure that our conclusions cannot be disputed with respect to the impact that parameter equifinality has on global or individual uncertainty envelopes.

To make sure that working with one optimization trial did not impair the possibility of capturing the equifinality of the parameters, the smallest watershed model in terms of modelled area (to minimize computational time) with the smallest parameter equifinality was calibrated for another 5000-simulation-optimization trial started at a different initial random solution. As shown in Figure 10, this demonstrates that parameter equifinality can be increased if the calibration methodology is modified. Nonetheless, the covered part of the physical range does not come close to the maximum equifinality obtained for the Yamaska watershed in Figure 3. Thus, it can be assumed that the results introduced in this paper would not be drastically modified by a change in the calibration methodology. Plus it would contradict the choice made not to conduct a formal uncertainty analysis as this methodology of using two or more optimization trials would get closer to the DDS-AU methodology introduced by Tolson and Shoemaker (2008).

Figure 10: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. Figure (a) refers to the KGE OF; and (b) to the Nash-log OF. The dark and light blue data refer to the first optimization trial of Figure 3, black data to the second optimization trial.

To summarize, it could be said that this paper shows the consequences of the existence of many good sets of parameters on modelled hydrological processes around a global optimum rather than properly evaluating their formal statistical uncertainty. If that were the aim, the methodology would have entailed working with one optimization trial per set of parameters which would have resulted in a total of 125 000 simulations (250 sets of parameters * 500 simulations) since DDS typically needs 500 simulations to find a good global solution (compared to 10,000 for SCE-UA). Note that the computing time for a 10-year calibration period (with a prior 1-year spin-up), one optimization trial of 5000 simulations already took an average 45 hours (on a 64-bit computer with a quad-core 2.53 GHz processor) for each watershed and every OF, resulting in a total calibration time of 900 hours or 37.5 days (45 hours * 10 watersheds * 2 OFs) for the results presented in this paper (excluding the two OFs that were left out of this paper).

Conclusion

In the last decade, HYDROTEL has almost always been applied within the optimal parameter set paradigm at the risk of avoiding important issues such as model acceptability and uncertainty (Beven 2006a). This paper builds on the work carried out on hydrological uncertainty by assessing the impact of equifinality and OF related uncertainty on five modelled hydrological variables and indices: (i) daily flows; (ii) seasonal hydrological indices (7d-Qmin, 30d-Qmin, and Qmax); (iii) snow water equivalent (SWE); (iv) shallow ground water content variations (GWC); and (v) actual evapotranspiration (AET). This assessment was carried out for ten watersheds spread out in five hydrographic regions of the St. Lawrence River and spread across southern Québec (Canada).

Overall, as introduced in Table 7, the results for all the studied hydrological processes, but the SWE, suggest that OF uncertainty is more important than that arising from parameter equifinality. This would mean that within the context of a study with a limited budget, it would be advisable to prioritize using different objective functions to using many sets of optimal parameters. This result is rather important as it reinforces the choice made in the last decade with HYDROTEL. Nonetheless, parameter uncertainty with respect to SWE is more important than OF uncertainty for eight of the ten studied watersheds for four up to seven months of the year (snow season less than 7-month long). Plus, despite satisfactory performances for both simulation periods, parameter uncertainty with respect to streamflows is rather small during the whole year, except around spring peak flow; while OF uncertainty is generally more pronounced in the fall and during the spring peak flows. Overall, this shows that one type of uncertainty or the other is rather significant during half of the year. Seasonal results with respect to hydrological indices and AET also suggest that parameter uncertainty can be important, or more significant even, than OF uncertainty for specific seasons or years. These results are of the utmost importance for impact assessment studies where the variables

of interest are not solely the daily flow data used for calibration, but rather hydrological indices or internal variables. This would mean that parameter uncertainty does need to be taken into account or at least needs to be further researched to better understand the mechanisms behind the phenomena. This study demonstrates, using a substantial set of watersheds; that aside from the technico-philosophical debate started in 2006, equifinality is not so technical to take into account and has tangible significant effect on the uncertainties associated with modeled hydrological processes. As such, we recommend that future work systematically include equifinality by using at least two sets of equifinal parameters without forgetting to assess OF uncertainty.

It is noteworthy that the methodology applied in this paper for the HYDROTEL model can be replicated for other hydrological models. Uncertainty associated with OFs and parameter equifinality still needs to be better understood and studied. To improve our understanding of HYDROTEL, and other physically based hydrological models, future work should focus on identifying or using OFs tailored for hydrological indices relevant to impact assessment studies. Finally, for a specific assessment, there is a need to consider as well the question of the uncertainty associated with model structure.

<Table 7: Dominant type of uncertainty for each study watershed for the five modelled hydrological variables>

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980 **Tables**

981 **Table 1: Land cover of the ten studied watersheds in southern Québec, Canada**

	Evergreen		Dediciuous trees		Water		Urban		Farms		Total
	km²	%	km²	%	km²	%	km²	%	km²	%	
<i>Batiscan</i>	1816	41.9	2264	52.3	187	4.3	0	0	67	1.6	4334
<i>Bécancour</i>	255	9.7	2144	81.6	16	0.6	0	0	214	8.2	2629
<i>Chamouchouane</i>	817	5.4	13156	87.5	1040	6.9	0	0	29	0.2	15042
<i>Châteauguay</i>	112	5.0	1722	77.4	13	0.6	0	0	379	17.0	2227
<i>Chaudière</i>	1229	21.5	4206	73.4	71	1.2	0	0	223	3.9	5728
<i>Du Loup</i>	243	28.4	557	65.1	55	6.4	0	0	1	0.1	855
<i>Gatineau</i>	1159	17.0	5298	77.8	353	5.2	0	0	0	0	6810
<i>Mistassini</i>	569	6.1	8341	89.7	384	4.1	0	0	1	0	9295
<i>Rouge</i>	1401	25.6	3791	69.2	285	5.2	0	0	2	0	5480
<i>Yamaska</i>	23	1.7	2050	76.7	2	0.2	5	0.4	289	21.1	1389

982 **Table 2: Summary (1982-2002) of the climate characteristics of the study watersheds**

	Rain (mm)						Snow (mm)			Mean Temp. (°C)					
	Summer			Winter			Annual			Summer			Winter		
	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max
<i>Batiscan</i>	337	558	645	97	180	403	286	356	416	8.4	10.3	11.5	-7.7	-5.4	-3.1
<i>Bécancour</i>	392	585	809	129	260	490	169	260	372	10.3	11.9	13.3	-5.0	-2.9	-1.0
<i>Chamouchouane</i>	293	518	690	85	131	248	219	290	383	6.4	8.6	10.0	-11.4	-8.7	-5.6
<i>Chateauguay</i>	402	512	620	174	269	429	137	193	252	12.4	13.8	15.2	-3.8	-1.1	0.9
<i>Chaudière</i>	421	590	794	179	253	392	216	266	316	9.5	11.2	12.5	-5.4	-3.3	-1.5
<i>Du Loup</i>	423	547	643	154	233	480	178	224	247	8.5	10.2	11.5	-7.5	-5.3	-3.0
<i>Gatineau</i>	324	519	671	86	145	242	224	290	350	7.9	9.7	11.4	-8.8	-6.4	-3.6
<i>Mistassini</i>	278	515	729	81	126	236	224	300	384	5.9	8.2	9.7	-12.0	-9.2	-6.1
<i>Rouge</i>	372	529	613	100	175	333	248	327	368	9.2	10.8	11.9	-6.9	-4.4	-2.0
<i>Yamaska</i>	476	577	743	180	305	526	122	204	294	11.6	13.1	14.5	-3.9	-1.5	0.6

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984 **Table 3: Summary (1982-2002) of the hydrological characteristics of the study watersheds**

	Q (mm/day)						Qmax (m3/s)						7d-Qmin (m3/s)						30d-Qmin (m3/s)					
	Summer			Winter			Summer			Winter			Summer			Winter			Summer			Winter		
	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max	Min	Mean	Max
Batiscan	0.9	1.6	2.4	1.4	2.1	3.1	140	265	528	349	558	837	17	31	57	18	24	35	22	37	72	19	26	43
Bécancour	0.6	1.1	1.9	1.5	2.1	2.9	69	203	402	296	494	848	2	7	21	7	120	402	3	10	32	8	13	21
Chamouchouane	1.1	1.8	2.6	1.2	1.5	1.9	404	781	1370	610	1350	2159	112	156	199	60	78	102	128	184	245	61	81	116
Chateauguay	0.3	0.6	1.3	1.1	1.8	2.6	27	168	623	193	460	1091	2	4	10	6	10	18	2	6	17	7	12	32
Chaudière	0.5	1.1	2.1	1.6	2.2	3.0	236	646	1318	847	1339	2140	4	10	26	12	19	32	5	17	45	14	23	47
Du Loup	0.3	0.8	1.3	1.0	1.6	2.3	12	29	79	55	84	130	1	2	6	3	4	5	1	3	7	3	4	6
Gatineau	1.0	1.5	2.4	1.1	1.7	2.5	202	425	1200	413	731	1500	19	38	56	20	32	46	21	50	92	22	34	48
Mistassini	1.2	1.9	2.7	1.3	1.7	2.3	314	595	959	604	1257	2050	58	92	129	27	39	67	70	119	159	28	41	76
Rouge	0.8	1.2	1.7	1.3	2.0	2.9	118	243	376	381	588	914	11	27	45	24	36	50	6	32	61	25	39	59
Yamaska	0.4	0.8	1.7	1.2	2.0	2.7	44	142	239	182	320	559	1	1	3	2	4	7	1	2	6	2	6	13

985 **Table 4: HYDROTEL key parameters**

Type	Parameters	Units
<i>Snow parameters</i>	MFEF - Melt factor for evergreen forests*	mm/d.°C
	MFDF - Melt factor for deciduous forests*	mm/d.°C
	MFOA - Melt factor for open areas*	mm/d.°C
	TEF - Threshold air temperature for melt in evergreen forests*	°C
	TDF - Threshold air temperature for melt in deciduous forests*	°C
	TOA - Threshold air temperature for melt in open areas*	°C
	Melt rate at the snow-soil interface	mm/d
<i>Soil parameters</i>	Compaction coefficient	-
	PETF - Potential evapotranspiration multiplication factor*	-
	z1- Depth of the lower boundary of soil layer #1*	m
	z2- Depth of the lower boundary of soil layer #2*	m
	z3- Depth of the lower boundary of soil layer #3*	m
	RC - Recession coefficient*	m/h
	Extinction coefficient	-
<i>Interpolation coefficients</i>	Maximum variation of soil moisture content	-
	TSL - Threshold air temperature for partitioning solid and liquid precipitation*	°C
	Precipitation vertical gradient	mm/100m
	Temperature vertical gradient	°C/100m

986 *Parameter calibrated in this paper

987 ^a For a complete description of snow parameters, the reader is referred to Turcotte et al. (2007a)988 ^b For a complete description of soil parameters, the reader is referred to Fortin et al. (2001b)

989

990 **Table 5: Summary of the KGE and Nash-log values for the ten watersheds over the**
991 **calibration and validation periods**

	Calibration						Validation					
	KGE			Nash-log			KGE			Nash-log		
	1st decile	Median	9th decile	1st decile	Median	9th decile	1st decile	Median	9th decile	1st decile	Median	9th decile
<i>Batiscan</i>	0.946	0.946	0.947	0.894	0.896	0.897	0.799	0.805	0.810	0.670	0.674	0.694
<i>Bécancour</i>	0.872	0.874	0.875	0.795	0.799	0.801	0.797	0.807	0.814	0.701	0.706	0.717
<i>Chamouchouane</i>	0.947	0.947	0.947	0.907	0.907	0.907	0.823	0.826	0.829	0.632	0.637	0.641
<i>Chateauguay</i>	0.859	0.860	0.860	0.767	0.768	0.768	0.763	0.767	0.775	0.692	0.695	0.699
<i>Chaudière</i>	0.916	0.916	0.916	0.805	0.810	0.815	0.869	0.871	0.875	0.695	0.709	0.721
<i>Du Loup</i>	0.944	0.945	0.945	0.842	0.842	0.842	0.792	0.796	0.802	0.700	0.703	0.704
<i>Gatineau</i>	0.907	0.907	0.907	0.827	0.828	0.828	0.766	0.768	0.771	0.684	0.686	0.691
<i>Mistassini</i>	0.955	0.955	0.956	0.904	0.905	0.905	0.873	0.875	0.876	0.646	0.652	0.660
<i>Rouge</i>	0.947	0.947	0.947	0.887	0.887	0.887	0.876	0.878	0.880	0.700	0.702	0.704
<i>Yamaska</i>	0.828	0.832	0.835	0.761	0.762	0.764	0.833	0.839	0.845	0.609	0.626	0.637

992

Table 6: Median of the KGE and Nash-log loss of performance (positive values) between the calibration and validation periods

	KGE	Nash-log
<i>Batiscan</i>	15%	14%
<i>Bécancour</i>	8%	-8%
<i>Chamouchouane</i>	13%	20%
<i>Chateauguay</i>	11%	-14%
<i>Chaudière</i>	5%	-6%
<i>Du Loup</i>	16%	1%
<i>Gatineau</i>	15%	0%
<i>Mistassini</i>	8%	18%
<i>Rouge</i>	7%	9%
<i>Yamaska</i>	-1%	-6%

Table 7: Dominant type of uncertainty for each study watershed for the five modelled hydrological variables

	Daily Streamflows	7d-and 30d- Qmin	Qmax	SWE	AET	GWC
<i>Batiscan</i>						
<i>Bécancour</i>						
<i>Chamouchouane</i>						
<i>Châteauguay</i>						
<i>Chaudière</i>						
<i>Du Loup</i>						
<i>Gatineau</i>						
<i>Mistassini</i>						
<i>Rouge</i>						
<i>Yamaska</i>						

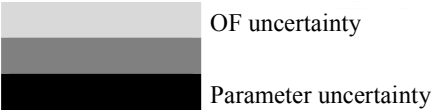


Figure Captions

Figure 1: Location of the study watersheds in Québec, Canada, and around the St. Lawrence River

Figure 2: Relationship between mean annual and seasonal temperatures and precipitations for the calibration and validation periods

Figure 3: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. The dark blue diagrams refer to the KGE OF while the light blue diagrams refer to the Nash-log OF.

Figure 4: Distribution of the OF values for the Chamouchouane watershed: (a) KGE calibration period; (b) KGE validation period; (c) Nash-log calibration period; (d) Nash-log validation period

Figure 5: Streamflow uncertainty envelopes for the Rouge watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes stand for simulated flows under the KGE and Nash-log OFs, respectively, while the blue line depicts the observed values.

Figure 6: Boxplots of the seasonal hydrological indices for the Chamouchouane watershed for the calibration (1) and validation (2) periods: (as1) and (as2) display the distribution of the maximum summer peakflows; (aw1) and (aw2) the distribution of maximum winter peakflows; (bs1) and (bs2) the distribution of summer-7-day minimum flows; and (bw1) and (bw2) the distribution of winter-7-day minimum flows. The black and green boxplots illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively,

while the blue dots depict the observed values. The superscripts w and d on the x-axis indicate the wettest and driest years of each simulation period, respectively.

Figure 7: SWE uncertainty envelopes for the Yamaska watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs.

Figure 8: Seasonal actual evapotranspiration for the Bécancour watershed: (a) summer calibration; (b) summer validation; (c) winter calibration and (d) winter validation. The black and green boxplots stand for simulated AET distributions under the KGE and Nash-log OFs, respectively. The outliers are represented by red crosses. The superscripts w and d on the x-axis stand for the wettest and driest years of each simulation period, respectively.

Figure 9: Shallow groundwater content uncertainty envelopes for the Du Loup watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively.

Figure 10: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. Figure (a) refers to the KGE OF; and (b) to the Nash-log OF. The dark and light blue data refer to the first optimization trial of Figure 3, black data to the second optimization trial.

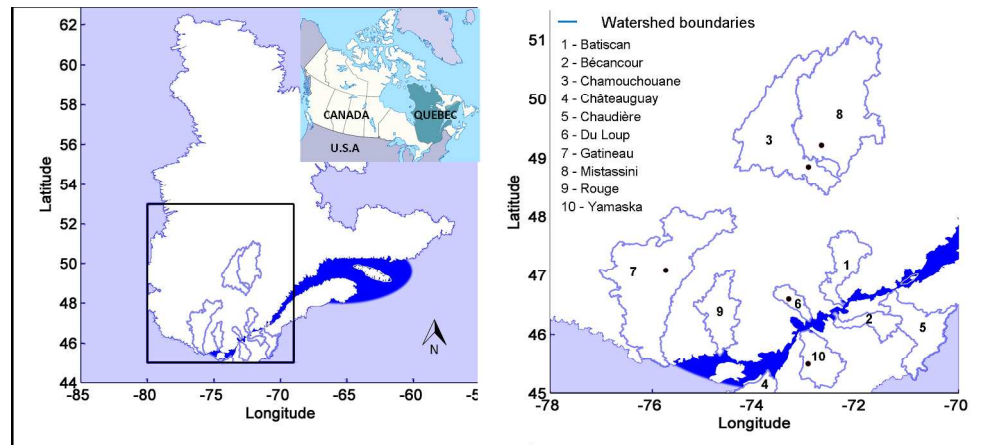


Figure 1: Location of the study watersheds in Québec, Canada, and around the St. Lawrence River

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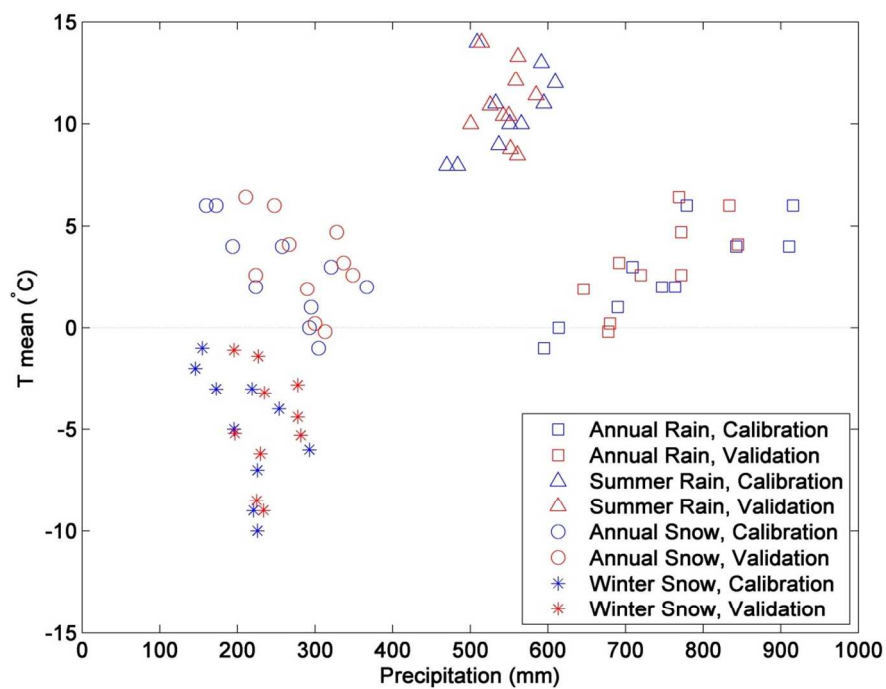


Figure 2: Relationship between mean annual and seasonal temperatures and precipitations for the calibration and validation periods

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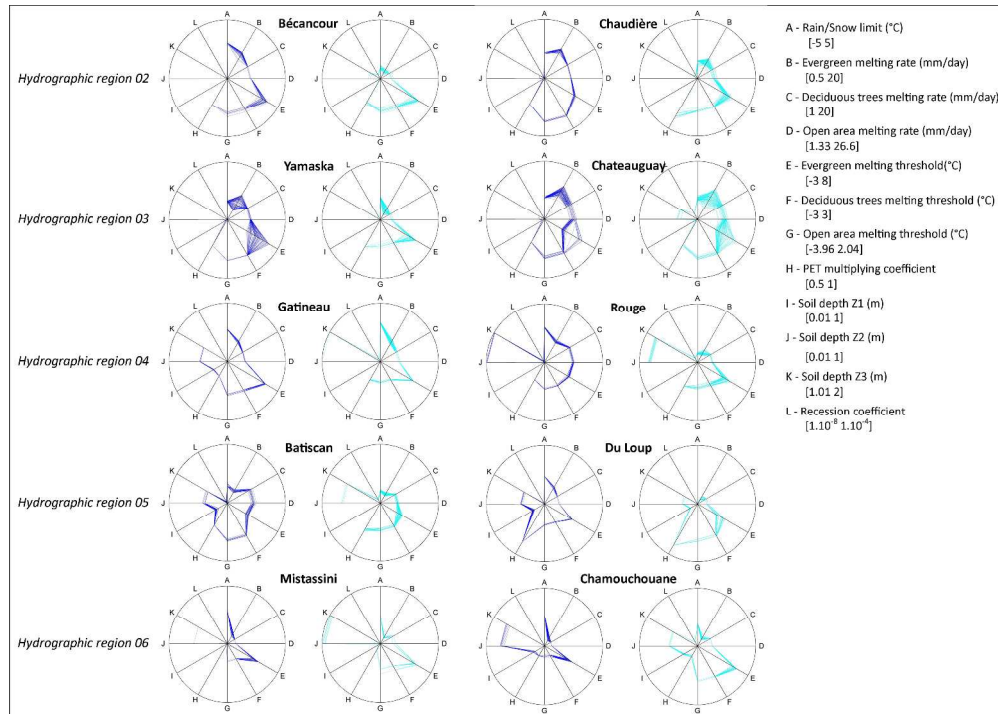


Figure 3: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. The dark blue diagrams refer to the KGE OF while the light blue diagrams refer to the Nash-log OF.

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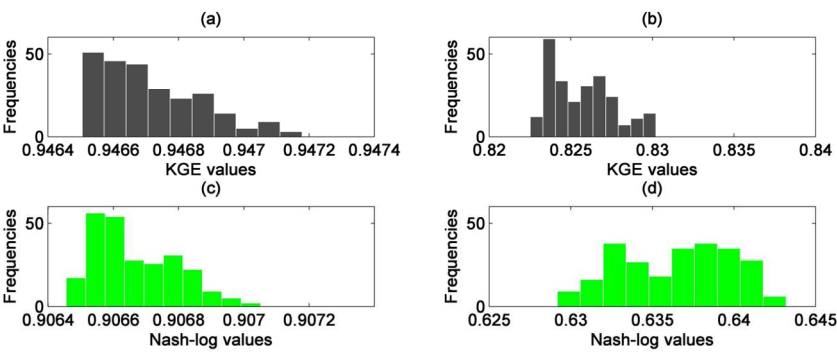


Figure 4: Distribution of the OF values for the Chamouchouane watershed: (a) KGE calibration period; (b) KGE validation period; (c) Nash-log calibration period; (d) Nash-log validation period

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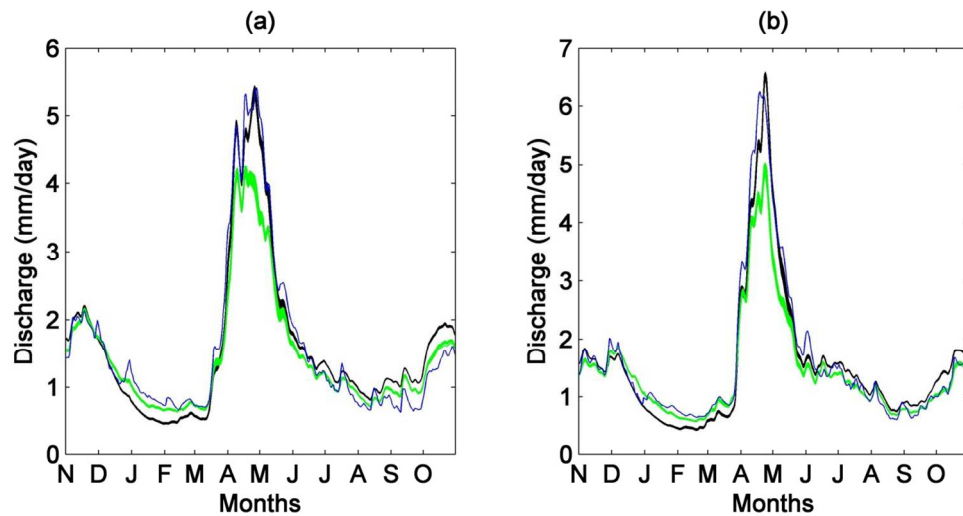


Figure 5: Streamflow uncertainty envelopes for the Rouge watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes stand for simulated flows under the KGE and Nash-log OFs, respectively while the blue line depicts the observed values.

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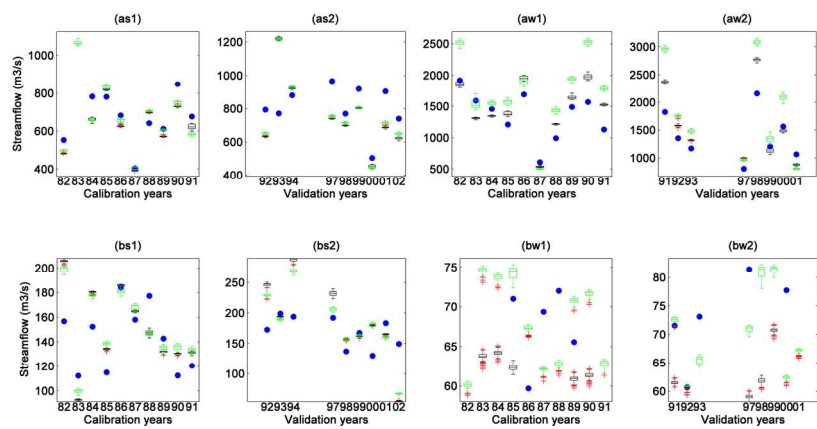
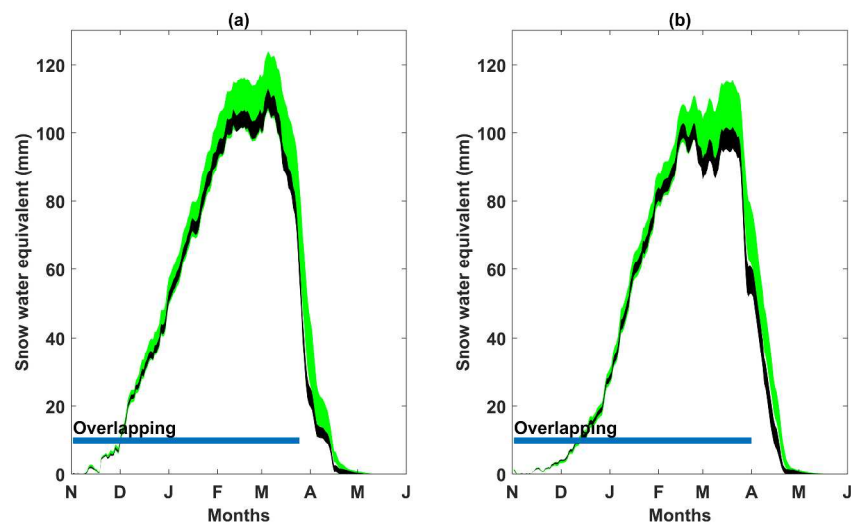


Figure 6: Boxplots of the seasonal hydrological indices for the Chamouchaoune watershed for the calibration (1) and validation (2) periods: (as1) and (as2) display the distribution of the maximum summer peakflows; (aw1) and (aw2) the distribution of maximum winter peakflows; (bs1) and (bs2) the distribution of summer-7-day minimum flows; and (bw1) and (bw2) the distribution of winter-7-day minimum flows. The black and green boxplots illustrate the distribution of simulated flows under the KGE and Nash-log OFs, while the blue dots depict the observed values. The superscripts w and d on the x-axis indicate the wettest and driest years of each simulation period, respectively.

177x82mm (300 x 300 DPI)



SWE uncertainty envelopes for the Yamaska watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs. The line indicates the period of overlapping between the uncertainty envelopes.

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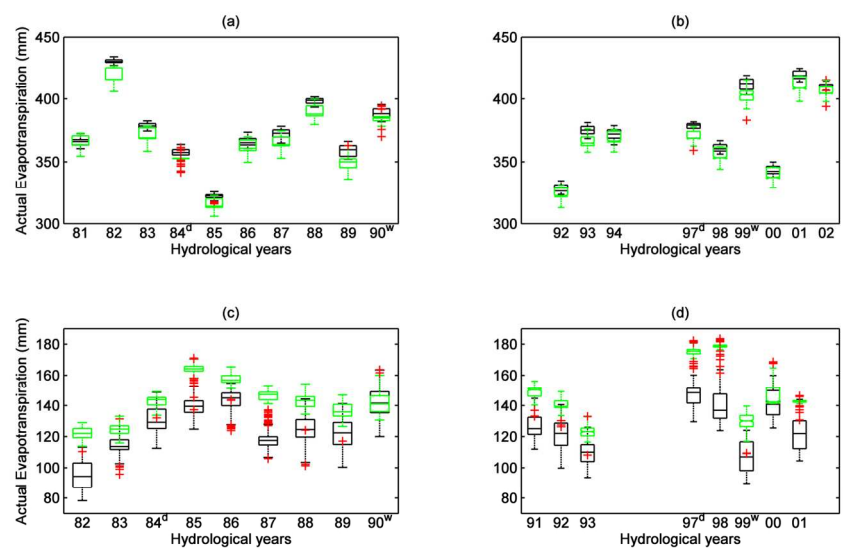


Figure 8: Seasonal actual evapotranspiration for the Bécancour watershed: (a) summer calibration; (b) summer validation; (c) winter calibration and (d) winter validation. The black and green boxplots stand for simulated AET distributions under the KGE and Nash-log OF, respectively. The outliers are represented by red crosses. The superscripts w and d on the x-axis stand for the wettest and driest years of each simulation period, respectively.

152x91mm (300 x 300 DPI)

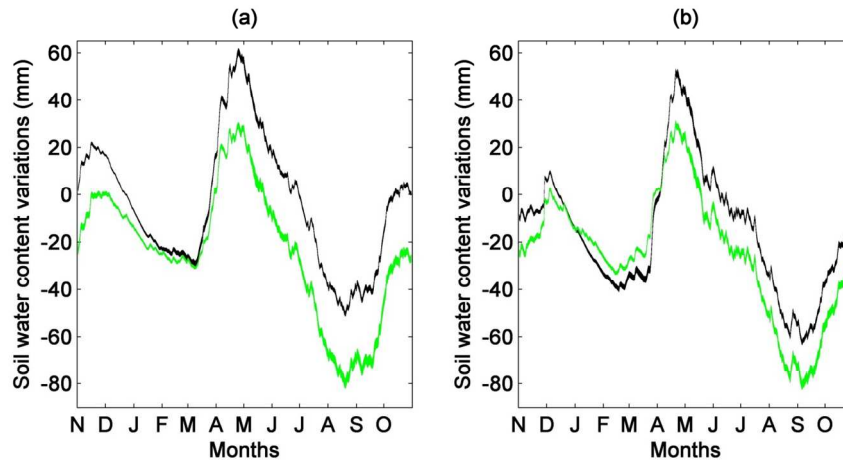


Figure 9: Shallow groundwater content uncertainty envelopes for the Du Loup watershed: (a) calibration (9-year mean) and (b) validation periods (8-year mean). The black and green envelopes illustrate the distribution of simulated flows under the KGE and Nash-log OFs, respectively.

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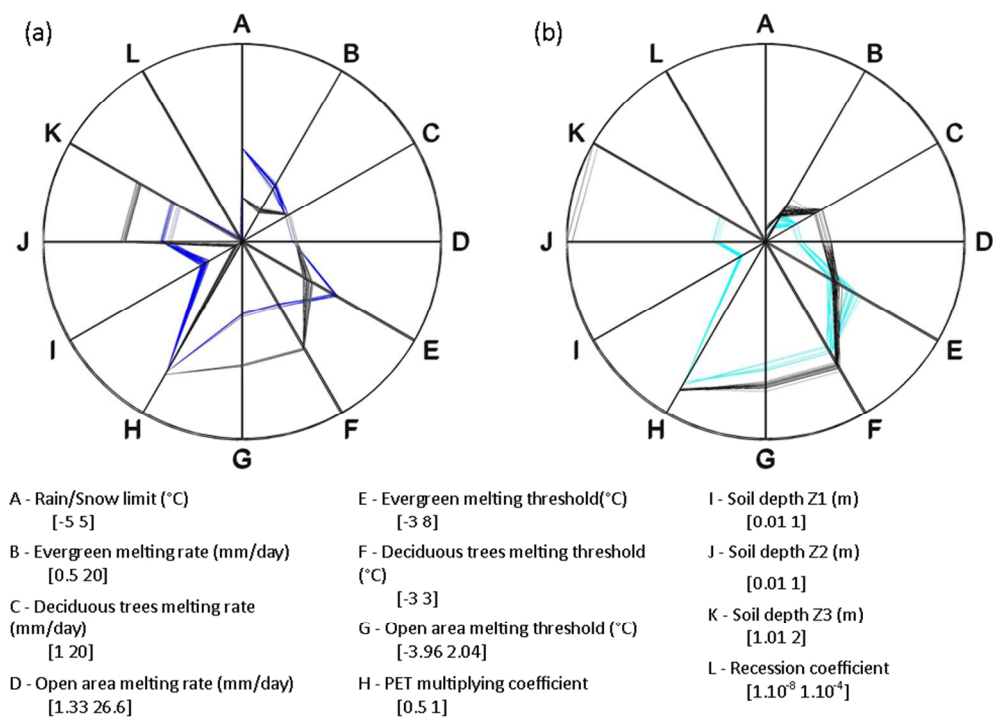


Figure 10: Radar plots of the twelve parameters used in the automatic calibration of HYDROTEL for each study watershed. Parameter A is part of the interpolation coefficients, parameters B through G relate to the snow model, and parameters F through L relate to the soil group of parameters. Figure (a) refers to the KGE OF; and (b) to the Nash-log OF. The dark and light blue data refer to the first optimization trial of Figure 3, black data to the second optimization trial.

261x190mm (96 x 96 DPI)