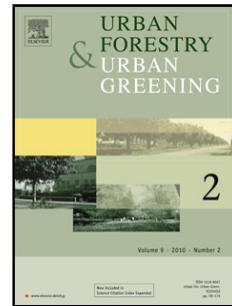


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Title: Growing on the street: Multilevel correlates of street tree growth in Montreal

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**Title :** Growing on the street: Multilevel correlates of street tree growth in Montreal

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**Abstract :**

Tree planting has been favoured in many North American cities, including Montreal which aims to increase its canopy from 20% to 25% in 2025. However, the mortality rate of street trees is especially high in the first few years after planting. Studies have shown that variables that are intrinsic to the tree and those related to its location, the urban form and the socio-demographic characteristics of the surrounding environment are significantly associated either with trees' survival rate or with vegetation cover. In this research we examine variables that have statistical associations with tree growth, which is the diameter at breast height divided by the number of years on the ground, for approximately 28,000 street trees in Montreal. Independent variables were nested into three spatial scales: the tree (species and physical variables), the street section (urban form variables), and the census tract (socio-demographic variables). Multilevel models reveal that 65.51% of the growth variance is potentially explained by the species and planting physical conditions such as the *east* and *north* sides (positive associations with the growth), *signage* as an obstruction (negative association). 28.54% of the growth variance is potentially explained by the urban form, in our case building age (convex relationship with the growth), mixed zoning (negatively) and residential zoning (positively). At the neighbourhood level, although none of our variables is significant, 6.95% of the growth variance is be potentially explained by other missing variables. New planting programs should hence consider the urban form in order to improve tree growth.

**Keywords :** street trees, tree growth, planting conditions, urban form, multilevel models

**Highlights:**

- Correlates of street tree growth are analyzed at the three spatial scales
- Tree species and their planting conditions are predominant
- Urban form has smaller associations with tree growth
- Socio-demographic variables are not significant
- Planting can be more efficient when considering multilevel factors on street trees.

***Introduction***

The benefits of trees, whether from an environmental, economic or social perspective, have been extensively demonstrated in numerous studies. Trees are a major asset in combatting air pollution and heat-island effects, in rainwater management, and in public health programs, especially since trees help to prevent and reduce stress and encourage the practising of physical activities (de Vries, van Dillen, Groenewegen, & Spreeuwenberg, 2013; Mullaney, Lucke, & Trueman, 2015; Nowak, Crane, & Stevens, 2006; Roy, Byrne, & Pickering, 2012). In the current environmental context, largely linked to climate change, urban forests play a crucial role and are attracting the attention of governments, researchers and citizens. North American cities have therefore begun to invest in the planting and protection of urban trees (Hubacek & Kronenberg, 2013), by launching campaigns such as “One million tree” in the United States (McPherson, Simpson, Xiao, & Wu, 2011; Morani, Nowak, Hirabayashi, & Calfapietra, 2011) and 300,000 trees in Montreal (City of Montréal, 2011), our study area.

Nevertheless, unlike natural forests, urban forests are created and managed by human beings (Clark, Matheny, Cross, & Wake, 1997; Sanders, 1984) through a number of interventions such as planting, maintenance, removal and replacement (Roman, Battles, & McBride, 2014). The urban environment imposes more difficult living conditions on trees. Indeed, for species of the same age, trees in the urban environment generally have a smaller diameter at breast height (DBH) and thus a lower growth rate than trees in the woods (Quigley, 2004). The most common causes of mortality in newly-planted trees are hydric stress (Foster & Blaine, 1978; Nielsen, Bühler, & Kristoffersen, 2007; Whitlow, Bassuk, & Reichert, 1992) and damage resulting from vandalism (Jim, 1987; Richardson & Shackleton, 2014).

Trees in urban settings also tend to have a shorter average life expectancy than trees in suburban environments (Moll, 1989). However, the average life expectancy for street trees varies from study to study: 7 years (Moll, 1989), 10 years (Foster & Blaine, 1978), 15 years (Nowak, Kuroda, & Crane, 2004), and between 19 and 28 years (Roman & Scatena, 2011).

Several studies have also shown that the annual mortality rate for trees in urban environments, and particularly for street trees, ranges from 3% to 9.97% (Impens & Delcarte, 1979; Lawrence, Escobedo, Staudhammer, & Zipperer, 2012; Nowak et al., 2004; Roman et al., 2014; Roman & Scatena, 2011) and may reach up to 19% (Nowak, McBride, & Beatty, 1990). In this regard, street trees are more vulnerable in the first few years after planting (Roman et al., 2014). A number of studies have evaluated the losses as varying from 9% to 19.5% in the first year (Impens & Delcarte, 1979; Roman et al., 2014), 17% to 34% in the second year (Nowak et al., 1990; Roman et al., 2014), 25% after three years (Roman et al., 2014), and 50% after four years (Miller & Miller, 1991). Trees studied by Lu et al. (2010) shows between 6 and 9 years, the mortality rate becomes stable (Lu et al., 2010). This body of literature is informative but comprehensive and systematic investigations of all factors influencing tree growth rather rare. Yet understanding the role of those factors is important in order to properly manage the planting and maintenance of trees in the specific conditions of urban environments.

The main objective of this article is to examine the associations of three groups of variables—that is, biological and physical, urban form, and socio-demographic conditions—with the growth of street trees in Montreal while considering each variable within its own scale of analysis. The growth of street trees is computed by dividing tree size (measured by the diameter at breast height) by the number of years that the tree is on the ground. The study tries to answer the following research questions: i) How is the variance in the growth distributed on the three levels (the tree, the urban form context, and the socio-demographic context)? ii) What are the associations of each variable on the tree size? To answer to these questions, we relate the growth of trees to independent variables such as the trees' biological and physical characteristics, urban form (measured at the street section level), and socio-demographics (measured at the census tract level) by using multilevel statistical models. The biological and physical variables are examined on the level of individual trees (species, types of location, types of obstructions, and geographic orientations). The urban form variables are considered on the level of street sections (median age of buildings, types of housing). Finally, the socio-demographic variables are examined on the level of census tracts (immigration, tenure mode, education, housing value, life stage).

Recent research on urban forest suggests that the multilevel modelling is better-suited for the study of urban vegetation that is influenced by multiple variables operating at several spatial scales (Locke, Landry, Grove, & Roy Chowdhury, 2016; Pham, Philippe, Landry, & Lewnard, 2017). More importantly, multi-level models prove to be able to partition variation attributed to each group of variables (according to their scale) and to generate results that are more robust. We

hence opt for multilevel models in this research. In our case, the analyses are performed on a very fine scale (each tree), and in considering the urban form around each tree, which enables us to obtain a quantitative and precise evaluation of the associations of the variables. Moreover, it is important to examine whether street tree growth is associated with the socio-demographic context in order to better inform public policies related to urban forest management.

The study area is the City of Montreal as it was before the municipal mergers of 2002: that is, nine of the current nineteen Montreal boroughs (Figure 1). The boroughs were chosen according to the availability of tree inventories and the database on street sections. The area of the study is 164 km<sup>2</sup>, and the street tree canopy percentage is assessed at 5.45% (Pham, Apparicio, Séguin, & Gagnon, 2011).

**<< Figure 1 >>**

### ***Literature review and research design***

In order to understand the variables influencing tree growth, the literature review here focuses on urban tree mortality and theories explaining vegetation cover and trees in the city. In general, the variables are grouped into three categories: biological and physical variables, urban form variables, and socio-demographic variables. To make our literature review exhaustive we present here all studies pertaining on tree mortality, mortality rate, growth, size as well as tree cover.

#### ***Biological and physical variables***

The biological and physical variables correspond to Level 1 (the tree). They are often documented in studies dealing with urban tree mortality. First, the specific characteristics of trees—biological variables such as the species and age—are closely linked to the DBH and are important to consider when examining growth and life expectancy (Koeser, Hauer, Norris, & Krouse, 2013; Lesser, 1996; Miller & Miller, 1991). Stoffberg et al. (2008) have shown that tree growth and diameter vary according to the species. The age of the tree at the time of planting also seems to have an association to young trees and small trees are more vulnerable than mature trees (Koeser et al., 2013; Nowak et al., 2004). However, age of tree at the time planting is not always available in databases, such as in our case. We could not examine this variable in this study.

Physical variables are associated with the tree's immediate environment and living conditions. They refer to the types of location, soil characteristics, stress, and damage (Quigley, 2004). The mortality rate increases when trees are affected by poor site conditions: that is, little space for

developing roots, compacted and inappropriate soil, and lack of care and maintenance (Pauleit et al., 2002). The trunk diameter is correlated to the root spread, suggesting that the bigger the space and the root spread are, the larger the tree trunk is (Grabosky & Gilman, 2004). Urban trees are generally planted in a sidewalk cutout, on a sidewalk planting strip next to the curb, or on a border along the inner edge of the sidewalk. For example 94% of the trees studied by Lu et al. (2010) were planted in a sidewalk cutout and had a 76.1% survival rate.

*Variables related to the urban form and socio-demographic context*

Concerning the variables related to the urban form and socio-demographic context where the public tree is located, we present them according to theories that explain their associations with trees' development and cover: population density, urban form, social stratification and an ecology of prestige. The variables documented in the literature and the corresponding theories are summarized in Table 1.

**<< Table 1 >>**

Given the small body of literature on street trees, in the following review we present studies on urban vegetation or urban trees in general. Although trees in our study are under municipally led management, it is important to consider all the documented urban form variables and test them in the models. The urban form variables correspond to Level 2 (street section). At this level, several variables linked to population density and urban form are determinant.

Population density is correlated to housing types which in turn influence physical characteristics at the street level (such as sidewalk width, setback width), and it may determine pit size. Yet population density has a wide range of associations on vegetation, and several studies show that it cannot in itself explain the space occupied by urban vegetation since the relationship between the canopy and population density varies from city to city. In some cases, it is negatively correlated: that is, the greater the population density is, the less dense vegetation cover there is (Boone, Cadenasso, Grove, Schwarz, & Buckley, 2010; Mennis, 2006; Troy, Grove, O'Neil-Dunne, Pickett, & Cadenasso, 2007). Other studies show the opposite: that is, they obtain a positive correlation between a high population density and a dense vegetation cover (Gillespie et al., 2011; Liu, Daily, Ehrlich, & Luck, 2003; Pham, Apparicio, Landry, Séguin, & Gagnon, 2013). Some studies do not establish any correlation (Conway & Hackworth, 2007; Heynen & Lindsey, 2003).

It is hence difficult to gauge the real impact of population density on vegetation. Recent studies have recommended using a more elaborate theory: the theory of urban form (Bigsby, McHale, & Hess, 2013; Pham et al., 2017).

Among the variables associated with urban form, land use types seem to be associated to tree growth. Depending on the type of land use, certain species of trees are more vulnerable than others (Nowak et al., 2004). Some species are therefore preferred because of their growth rate and life expectancy. In commercial and industrial areas, fast-growing trees are favoured, whereas in parks and residential areas and on institutional properties, trees with a long life expectancy are often selected (Quigley, 2004).

The type of land use also affects the mortality rate of trees. Commercial, industrial, and transportation-related uses generally increase the mortality rate of most street trees. This is caused by more intense activity around the trees (busy automobile and pedestrian traffic) resulting in a higher risk of damage (collisions) and vandalism (Nowak et al., 2004). On the other hand, residential uses lower the mortality rate. This is explained by a low level of activity around the trees and by more care being provided by residents, which reduces soil compaction and prevents hydric stress (Lu et al., 2010; Nowak et al., 2004). A study based on 58 American cities with a population density of 386 inhabitants per square kilometre, established the average vegetation cover according to the different types of land use. The discrepancies between the percentage of canopy associated with the various land uses can be explained by the space available for vegetation according to the structure of each type of use and the function, which determines the real potential space available for trees (Nowak et al., 1996).

In sum, the role of these variables in influencing tree growth is explained by the space available for planting, which comes down to the physical conditions in which the tree lives, as mentioned above. Urban form variables (such as land use and population density) are also influenced by the amount of pedestrian and automobile traffic, which in turn influence tree growth.

#### *Socio-demographic variables*

The socio-demographic variables correspond to Level 3 (census tract). The role of these variables is explained by the theories of social stratification, lifestyle and luxury effects. Since this study focuses on public trees, the theory of luxury effects does not apply.

The social stratification theory can explain how residents with different socioeconomic statuses may influence tree planting and management on public and private lands, or may choose to locate

in areas with more green amenities. People with higher incomes tend to move to locations with more amenities such as trees (Troy et al., 2007). As initially proposed by Logan and Molotch (1987), people with differential access to power and income can influence public investment in amenities such as trees (Grove et al., 2006). Variables generally used to characterize social stratification include income, education, immigration, housing value, etc. (see Table 1).

The lifestyle theory hypothesizes that locational choices and environmental management decisions at neighbourhood levels are motivated by group identity and social status associated with lifestyle (Grove, Locke, & O'Neil-Dunne, 2014). Lifestyle can be correlated with family size, marital status, and life stage (Troy et al., 2007). Recent studies have shown significant associations between socio-demographic variables and street tree cover (e.g. Landry & Chakraborty, 2009; Pham et al., 2017).

#### *Multilevel modeling*

As was explained in the introduction, the analyses are performed on three levels: biological and physical variables on the level of individual trees, urban form variables on the level of street sections, and socio-demographic variables on the level of census tracts. A street section is defined as the part of the street between two intersections. This spatial scale allows us to characterize the urban form (see Pham et al. 2017). Census tracts are small and relatively stable areas that generally have a population of between 2,500 and 8,000 persons (Statistics Canada, 2006). This scale is often used to examine the correlations of socio-demographic variables in studies on variations in vegetation cover in the urban environment in Canada (Pham et al., 2017; Tooke, Klinkenberg, & Coops, 2010).

Models are formalized in equations 1 to 4 (Bell, Ene, Smiley, & Shonenberger, 2013). On Level 1 (equation 1), for each street, an intercept (the average growth on each street) and the coefficients of the biological and physical variables on Level 1 (trees) are first estimated against the growth.

$$Y_{ijk} = \beta_0 jk + \beta_1 jk + e_{ijk} \quad (\text{Level 1 - tree}) \quad (1)$$

Where  $Y_{ijk}$  represents the growth,  $i$  represents the tree,  $j$  represents the street section, and  $k$  represents the census tract.  $\beta_0 jk$  represents the constant,  $\beta_1 jk$  represents the slope and  $e_{ijk}$  represents the random error associated with each group.

Then, on Level 2 (equation 2), in each census tract, this intercept (the average growth on each street) is used to estimate the coefficients of the urban form variables and an intercept

representing the average growth in each census tract. In other words, the coefficients of the biological and physical variables are used to calculate the average value of the biological and physical correlations.

$$\beta_{0jk} = \gamma_{00k} + u_{0jk} \quad (\text{Level 2 - street}) \quad (2)$$

$\gamma_{00k}$  represents the mean constant within group k (census tract),  $u_{0jk}$  represents a random error associated with each group j (street section) within each group k (census tract).  $\beta_{1jk}$  represents the slope,  $\gamma_{10k}$  represents the average regression slope within group k (census tract).  $u_{1jk}$  is the difference between each group j (street section) and the average relationship within each group k (census tract). It is a random variable of zero mean and variance  $\sigma^2 u_1$ .

Finally, on Level 3 (equations 3 and 4), the intercept for each census tract is used to estimate the coefficients of the socio-demographic variables and an intercept representing the average growth in all census tracts.

$$\gamma_{00k} = \gamma_{000} + v_{00k} \quad (\text{Level 3 - census tract}) \quad (3)$$

$$\gamma_{10k} = \gamma_{100} + v_{10k} \quad (4)$$

$\gamma_{000}$  represents the average constant for all groups,  $\gamma_{100}$  is the average regression slope for all groups k (census tract).  $v_{00k}$  represents a random error associated with each group k (census tract).  $v_{10k}$  represents the difference between each group k (census tract) and the average relationship within each group k (census tract).

On each level, we can introduce fixed and random effects into the intercept as well as into the independent variables. Fixed effects account for baseline differences in the dependent variable across units in order to identify global associations between independent and dependent variables. Random effects allow associations to differ among neighbourhoods and streets: random intercepts mean that we obtain an intercept by street and by census tract, while random slopes (of independent variables) mean that we allow associations between the growth and variables varying across streets and census tracts.

Recent studies have used multilevel and mixed models to examine correlates of urban trees (Locke et al., 2016; Pham et al., 2017). This has proven to be efficient since urban settings are very complex in terms of their form and population profile. In this study, we further develop models at a finer scale by using tree-level data.

### ***Methodology***

We use three data sources at three different levels of analysis, which also correspond to three spatial scales: the tree, the street section, and the census tract. Each tree is embedded in a street section and each street section is embedded in a census tract. We use multilevel regression models with mixed effects (that is, including fixed effects and random effects) to perform the analyses. The data, the variables chosen, and the models used are explained in the following sections.

#### *Data*

The data relating to street trees in Montreal came from the City of Montreal's Open Data Portal (<http://donnees.ville.montreal.qc.ca/>). This database constitutes a geolocalized inventory of public trees in fourteen Montreal boroughs. The inventory was conducted between 2012 and 2014 by city inspectors.

The spatial database on street sections also came from the City of Montreal. The data relating to property lots and building footprints (produced in 2008) were spatially linked to the street sections in order to characterize the urban form conditions for each section. Only streets with residential buildings were retained (n=2,728). The socioeconomic data were obtained from the 2006 Canadian census. The geographic division chosen is the census tract. In this case, 234 census tracts were selected. The descriptive statistics of the number of trees per streets as well as of the number of streets per census tract are provided in Table 3. Given the variables have different units; we standardize them by centering them around their mean and then dividing by one standard deviation. The structuring of the databases was performed in ArcGIS 10.3 and SAS 9-4.

#### *Dependent variable*

27,889 street trees in the nine boroughs chosen are analyzed. They are generally planted on a border (56.5%) or in a sidewalk cutout (39.6%). Ash and maple are the two most common species and represent 63.4% of the population of trees studied. The dependent variable is the tree growth (Table 3), with the average being 1.37 cm/year. This variable was computed by dividing the DBH by the number of years on the ground (which is created from two existing information fields in the City of Montreal's database on public trees: the year of planting, and the year corresponding to the most recent updating of the data).

#### *Independent variables – Level 1: Biological and physical*

Unfortunately, there was no information on the pit size in the database. All the available biological and physical variables are categorical. To ease the interpretation of our models we choose a category in each variable, that has the smallest growth. The biological variable of the tree are the species. Fifty-eight types of species were listed in the City of Montreal's database on public trees. To facilitate the data processing and analyses, the different species were grouped according to their taxonomic classification and their morphologic and horticultural characteristics (Agriculture et Agroalimentaire Canada, 2013; Brouillet et al., 2010). This produced fifteen groups (Table 2). The category of *ash* is the reference variable.

#### **<< Table 2>>**

The so-called physical variables of the tree are the variables linked to the type of planting: that is, the geographic orientation, type of location, and presence of an obstruction (these qualitative variables are listed in Table 2). The geographic orientation of the tree refers to its position in relation to the street, i.e. north side, south side, east side, or west side which are defined by the city according to the north of Montreal and not the geographical north (Figure 2). On streets that go along the north-south direction, trees are planted along the east-west direction. Along with the height of the buildings and the width of the streets, the orientation has an impact on tree growth in connection with the amount of light received (K. Logan, 1-16., 1965) and hydric stress linked to sun exposure (Whitlow et al., 1992). The *west* is the reference.

#### **<< Figure 2 >>**

Types of location were grouped into five categories as follows: border (space between the building and the sidewalk), sidewalk cutout, sidewalk planting strip (continuous alignment between the sidewalk and the curb), park (near the sidewalk running alongside parks), and other (green island, terrace, curb extension) (Figure 3). Most of the trees are planted on borders and in sidewalk cutouts (96.1%) (Table 2). The *other* category is the reference variable.

#### **<< Figure 3 >>**

The twenty-eight types of obstructions were also grouped into six categories as follows: wire, street lamp, building, signage, tree, and other (protective arch, balcony, fire hydrant, fence, underground pipes, etc.). More than 50% of the trees do not have any obstructions, and 27.7% are near wires (Table 2). The *building* category is the reference variable.

*Independent variables – Level 2: Characteristics of the street section*

The second level consists of 13 variables associated with the characteristics of the street section, the characteristics of the buildings, and land use types. The characteristics of the street include three variables: the street width, length, and setback. The building characteristics consist of six variables: the median age of the buildings, the percentage of duplexes, triplexes, multi-unit dwellings and single-family homes, and the number of housing units. Land use types include four variables: residential, commercial, industrial, and mixed (residential and commercial) zoning (Table 3).

*Independent variables – Level 3: Characteristics of the census tract*

The third level, represented by the census tract, consists of five socio-demographic variables: the percentage of recent immigrants, the percentage of renters, the percentage of households with children, the percentage of university graduates, and the housing value (Table 3). These variables were chosen based on the literature on urban vegetation, and especially the theories of social stratification and lifestyle (see also Table 1).

**<<Table 3>>**

*Statistical models: Multilevel analysis*

In our models, two types of effects are analyzed with the multilevel model: that is, fixed effects and random effects. We allow all the independent variables to have fixed effects. We allow the intercept to vary randomly on the level of the streets and census tracts, which means that the intercept of the models changes from one street to another and from one tract to another, in order to capture the fact that some variables that influence the growth may be missing. This then enables us to obtain more robust results. Since the last model contains 24 variables nested into three levels, we do not allow the independent variables to vary randomly because this implies adding pairs of interactions of variables and could make the models very complex. The variance inflation factor (VIF) had been calculated earlier in order to ensure that there was no excessive

multicollinearity between the independent variables, i.e. VIF should be lower than 5 (Chatterjee & Hadi, 2006). Our VIF values vary from 1.00 to 4.05.

To quantify the relative importance of the levels of analysis (i.e. the variance of tree growth attributed to each level of analysis), we conduct statistical models in four stages by using four models. Model 1 does not have any independent variable, but only the constant. This allows us to quantify the variation in the growth across the census tracts. Model 2 contains the biological and physical characteristics of the tree (Level 1). The characteristics of the street sections (Level 2) are added in Model 3, and the characteristics of the population (Level 3) are added in Model 4. Using covariance estimates produced by the four models, we compute two intraclass correlation coefficients (ICC). ICCs are calculated in equations 5 and 6 (adapted from Bell et al. (2013)):

$$\text{ICC}_{\text{street}} = \sigma^2_{\text{street}} / (\sigma^2_e + \sigma^2_{\text{street}} + \sigma^2_{\text{CT}}) \quad (5)$$

$$\text{ICC}_{\text{CT}} = \sigma^2_{\text{CT}} / (\sigma^2_e + \sigma^2_{\text{street}} + \sigma^2_{\text{CT}}) \quad (6)$$

Where  $\text{ICC}_{\text{street}}$  and  $\text{ICC}_{\text{CT}}$  are the ICC at the street and census tract levels, respectively.  $\sigma^2_e$  is a covariance estimate for the residuals (at the tree level),  $\sigma^2_{\text{street}}$  is a covariance estimate for the street intercept, and  $\sigma^2_{\text{CT}}$  is a covariance estimate for the census-tract intercept. These estimates are produced by Model 1.

$\text{ICC}_{\text{street}}$  indicates similarity (correlation) of the growth between trees on the same street (within the same census tract), or, alternatively,  $\text{ICC}_{\text{street}}$  informs the total variation of the growth existing between streets.  $\text{ICC}_{\text{CT}}$  indicates similarity between streets in the same census tracts.

We compare the model fit by using the Akaike information criterion (AIC) produced in each model. Smaller values represent better fitting models. All the models were conducted in SAS 9.4 software with the Proc Mixed procedure. Models were fitted using maximum likelihood estimation.

## Results

### *Variance of tree growth attributed to each level*

AICs' results (67201, 65200, 65175 and 65179 across the four models) show a drastic reduction in the AIC in Model 2 compared with Model 1 (Table 4). The introduction of the biological and physical variables of the tree in Model 2 (age, species, geographic orientation, type of location, and presence of an obstruction) is therefore very important and largely helps to explain the variance of tree growth. This is confirmed by ICCs also. However, adding socio-economimc

variables do not help improve the estimation (model 4 having a slightly higher AIC than model 3).

#### <<Table 4>>

$\text{ICC}_{\text{street}}$  shows 28.54% between similarity of tree growth between streets, or in other words all variables at the street level can potentially explain up to 28.54% of the total variation of tree growth.  $\text{ICC}_{\text{tract}}$  indicates 6.95% of similarity of tree growth between census tracts, suggesting that socio-political variables operating at the tract level can only explain a very minor part of the variance of tree growth. Adding these two numbers, we get 35.49% of similarity of tree growth at these two levels. Therefore, the majority (65.51%) of the variation in the growth is due to the characteristics of the trees. This is confirmed by changes of variances across the four models. Adding the tree variables (in Model 2) helps reduce variances on the three levels, but adding the street variables (in Model 3) helps reduce only slightly variances at the street and tract levels (from 0.298 to 0.293 and from 0.056 to 0.041, respectively). Adding the tract variables (model 4) brings almost no change in the variance at the street and tract levels.

#### *Fixed effects at the three levels*

The coefficients relating to the species, geographic orientation, types of location and presence of an obstruction remain largely the same in all models. We interpret those produced by Model 4. At level 1, species groups are significantly associated with the growth, except for the category of the conifer and willow family (having *linden* as the reference). All the significant coefficients are positive, except *ash*. This result suggests all the species tend to grow faster than *linden*, except *ash* growing more slowly, while *conifer* and *willow* are not significant. The species that has the biggest association with tree growth is *maple*, and the one that has the smallest association is *gingko*.

As for the trees' geographic orientation, compared with the *west* side, which is the reference variable, the *north* side (coefficient of 0.029 with  $p<0.022$ ) and the *east* side (coefficient of 0.015 with  $p<0.015$ ) have positive and significant associations with the growth. Trees found on the north and east side grow faster than those on the west side. As for the trees' location, none of the

variables is significant when the *other* category is the reference. Concerning the presence of an obstruction, *signage* (-0.013, with  $p<0.013$ ) are significantly and negatively associated with the growth, while *other* (0.037, with  $p<.0001$ ) is significantly and positively associated, compared with *building* which is the reference variable.

Regarding the fixed effects of Level 2 (the street section), only four variables are significant: median age of buildings (-0.158 with  $p<0.008$ ), its squared terms (0.187 with  $p<0.002$ ), mixed zoning (-0.032 with  $p<0.043$ ) and residential zoning is (0.034 with  $p<0.06$ ). The other variables relating to the characteristics of the street section (street width, street length, types of buildings, commercial and industrial zoning, the number of housing units and setback) are not significant. This confirms what we observed above from AIC values that urban form has little association with the variance of the explained growth.

At Level 3, the socio-demographic variables are not significant at all, confirming what are observed at ICC and AIC values above. However, it is worth noting that omitting variables at the tract level that can explain 6.95% of the variance (as shown in  $ICC_{tract}$ ).

## **Discussions**

### *Comparing the explanatory power of the levels*

Our model results suggest the variables characterizing the tree and its place of planting are the most important for street tree growth, followed by the variables characterizing the street. Our coefficients show that when we take into consideration these two groups of variables, the socio-demographic variables are not significant. But our ICC values indicate other variables that are missing in this study can explain up to 6.95% of the variance of tree growth. This may be explained by the fact that the social context has little impact or no impact on municipally managed trees such as street trees in our case. Studies that demonstrate the impact of socioeconomic variables on urban vegetation cover pertain mostly on privately managed trees such as in residential land (Grove et al., 2006; Lowry, Baker, & Ramsey, 2012; Troy et al., 2007). In sum, the order of importance of the groups is in line with the findings of several authors who have affirmed the predominant role of biophysical variables and urban form (Bigsby et al., 2013; Nowak et al., 1996; Pham et al., 2017) over socioeconomic variables.

### *Level 1: Biological and physical variables*

The *east* and *north* sides (geographic orientation of the trees) are positively correlated with the growth. Montreal's street network seems to favour trees planted on the *east* and *west* sides (Figure 4) which, combined with the street width, building height and tree height, allows trees to benefit from a combination of sunshine encouraging photosynthesis (O'Rourke & Terjung, 1981; Takagi & Gyokusen, 2004) and shade reducing hydric stress (Whitlow et al., 1992).

#### <<Figure 4>>

Concerning the presence of an obstruction, the negative association of *signage* can be explained by the fact that these objects introduce important obstructions in the air above trees (compared to *building*). Studies shown that obstructions in the air that necessitate pruning reduce the volume of the crown and thus the photosynthesis needed for trees to grow (Nowak et al., 1990). What is surprising is that the *other* category of obstructions has a positive association with tree growth. Since we do not have detailed description of this category we are not able to explain this association.

#### *Level 2: Urban form variables*

The associations of the building age and its squared terms suggest a non-linear relationship between building age and tree growth. To illustrate this relationship, we compute the tree growth variable by varying *building age* from 1 to 136 (which is its maximum in this database). Building age and its squared term are converted into their standardized values. Other significant standardized variables are fixed at 0.1 which is equal 0.1 standard deviation (from their mean value). Standardized tree growth is then computed using coefficients produced by Model 4 and finally multiplied by its standard deviation to get its real unit (cm/year). In Figure 5 the relationship between *building age* and street tree growth in our study is represented by a convex curve. On streets having buildings aged from 1 to 47 years the older the buildings are, the smaller tree growth is. But on streets having buildings over than 48 years, the older the buildings are, the bigger tree growth is. This relationship is inverse of the relationship between tree cover and neighbourhood age that are documented in previous studies (e.g. Mennis, 2006; Pham et al., 2013).

#### <<Figure 5>>

Mixed zoning combines residential and commercial zoning. In general, the mixed zones analyzed have a combination of commercial uses on the ground floor and residential uses on upper floors.

The negative correlation may therefore be due to activities associated with commercial zoning; that is, more intense activity around trees (busy automobile and pedestrian traffic) and a higher risk of damage linked to denser circulation and vandalism (as shown in the Montreal photos in Figure 6). Trees also suffer more hydric stress and soil compaction, variables that increase the mortality rate of trees, in commercial areas (Nowak et al., 2004).

<<**Figure 6**>>

### ***Conclusion***

On the methodological level, this study shows that the use of variables associated with the canopy and the survival and mortality rates of trees to identify associations with tree growth is justified and reliable. In addition, multilevel analysis is a practical way of revealing the explanatory power of each level. The introduction of random effects demonstrates that the role of biological and physical variables varies across street sections, and, similarly, across census tracts. The results of the analyses suggest that the planting and management of urban trees should take the urban form around trees into account. Overall, the multilevel analysis and random effects ensure the robustness of the results.

Some limitations should be noted with this study. First, the data does not include the pit size which is noted in the literature as an important factors tree growth. Second, the tree inventory contains a number of errors, which include the lack of a planting date for several trees. It may be that these are the oldest trees and that our database is not representative of street trees in Montreal. Third, we are not able to include all species, so that we have to group them and we may not have captured certain nuances in the association of the species on tree growth. Finally, random effects of the independent variables, interactions between variables across levels and spatial autocorrelations are not tested. This is because our models containing three levels and include 24 variables, we decided not to make the model too complex.

Our results suggest some potential recommendations that may be useful for practitioners. The impact of the variables that are negatively associated with tree growth may be reduced if we try to create other conditions that are favourable for trees to grow. For example, when planting on streets having a mixed function and recent buildings, one should encourage maximum tree growth by giving the trees more space (planting in borders, for example), add soil pit protection to prevent trampling and avoiding obstructions.

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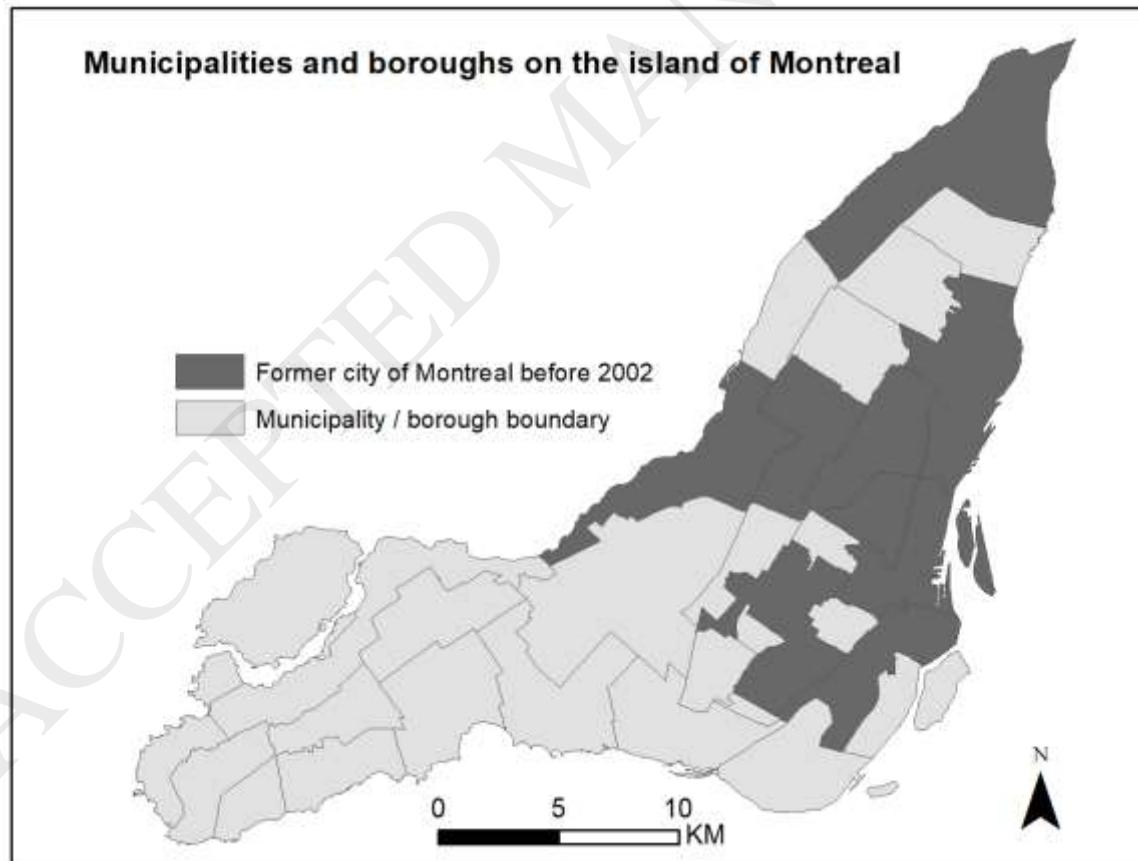
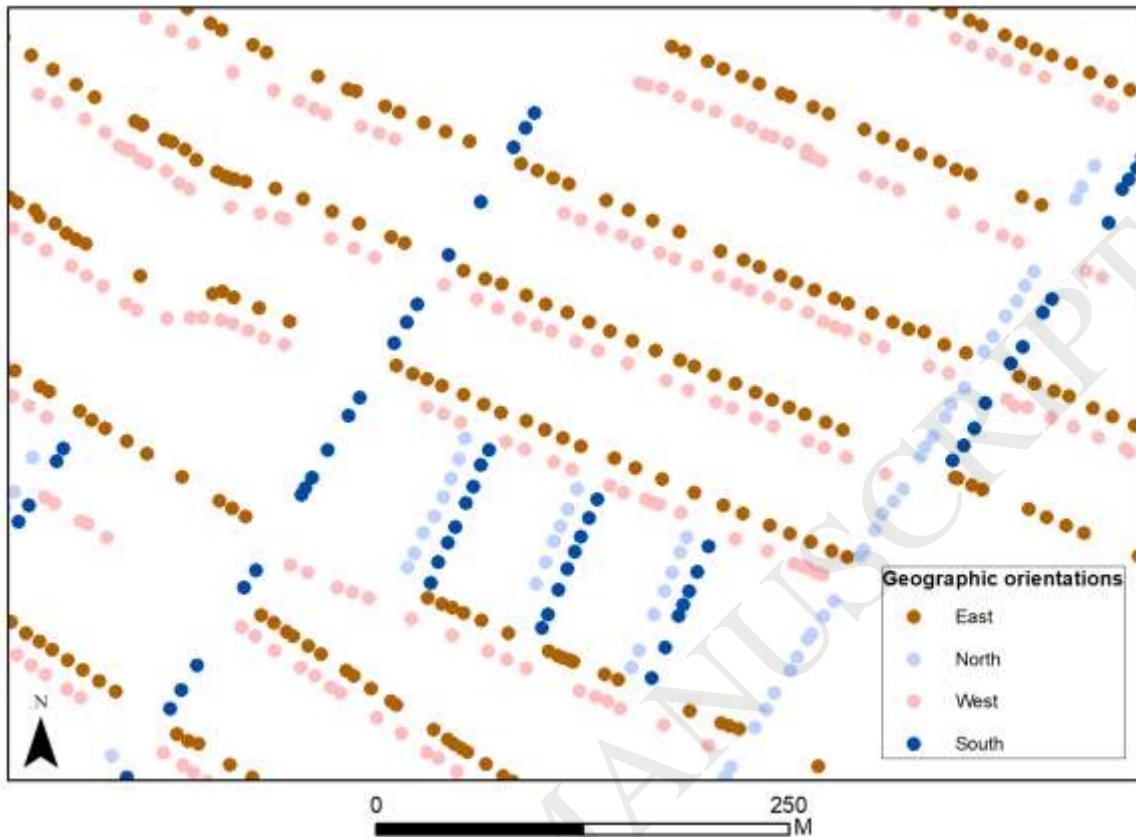


Figure 1. City of Montreal before the 2002 mergers, and its boroughs



**Figure 2.** Geographic orientations of Montreal trees



**Figure 3.** Three of the most common types of location: border (left), sidewalk cutout (centre), and sidewalk planting strip (right). Source: Authors, August 2016.



**Figure 4.** Left: Trees situated to the south in sunshine. Right: Trees situated to the east and west in sunshine. Source: Author, August 2016.



**Figure 5.** Simulated relationship between building age and the tree growth



**Figure 6.** Examples of vandalism. Source: Author, August 2016.

**Table 1.** Summary of variables used in earlier studies

Scale of Analysis	Theories	Variables	Variables Used	Authors
Street section	Population density	Inhab./km <sup>2</sup>	- Number of housing units - Number of inhabitants per square kilometre	(Cook et al., 2012; Grove et al., 2006b; Nowak et al., 1990)
	Urban form	Characteristics of the streets, buildings, and types of zoning.	Street length and width Median age of buildings	(Dobbs et al., 2013; Lu et al., 2010) (Bigsby et al., 2014; Grove et al., 2006b; Heynen and Lindsey, 2003)
			Percentages of single-family homes, duplexes, triplexes, multi-unit dwellings	(Nowak et al., 1990)
			Percentage of residential, mixed (commercial and residential), commercial, and industrial zoning	(Conway and Hackworth, 2007; Lu et al., 2010; Nowak et al., 2004, 1996)

<b>Census tract</b>	Social stratification	Variables related to income, education, and minority groups.	Percentage of university graduates	(Heynen and Lindsey, 2003; Luck et al., 2009; Nowak et al., 1990; Pham et al., 2013; Szantoi et al., 2012)
			Percentage of visible minorities	(Flocks et al., 2011; Pham et al., 2013; Szantoi et al., 2012)
			Percentage of renters	(Conway and Hackworth, 2007; Landry and Chakraborty, 2009; Nowak et al., 1990; Perkins et al., 2004; Szantoi et al., 2012)
	Ecology of prestige  (Lifestyle)	Variables related to the life cycle, family size, and lifestyle preferences.	Percentage of households with children	(Boone et al., 2010; Grove et al., 2006b; Szantoi et al., 2012; Troy et al., 2007)
			Housing value	(Grove et al., 2014)

**Table 2.** Description of the qualitative variables on the level of the trees. The reference categories in the regressions are marked “ref” in this table.

	<b>Qualitative Variables (Level 1)</b>	<b>% of Trees</b>	<b>Average growth (cm/year)</b>
Species	Nut trees	2.22	
	Shrubs	0.23	
	Other leafy trees	0.40	
	Conifers	0.25	
	Maple	31.29	
	Birch family	0.06	
	Willow family	0.35	
	Ash	31.99	
	Ginkgo biloba	0.63	
	Legumes	7.25	
	Hackberry	3.03	
	Elm	2.66	
	Small flowering trees	2.62	
	Small fruit trees	3.87	
	Linden (ref)	13.15	
Orientation	North	12.03	
	South	11.50	
	East	39.15	
	West (ref)	37.32	
Location	Border (ref)	56.50	
	Sidewalk cutout	39.50	

	Sidewalk planting strip	3.22	
	Park	0.42	
	Other	0.36	
Obstructions	Wire ( <i>ref</i> )	0.28	
	Street lamp	7.87	
	Building	5.08	
	Signage	1.78	
	Tree	1.07	
	Other	3.30	

**Table 3.** Descriptive statistics of variables before standardization (without qualitative variables such as groups of species, types of location, types of obstructions, and geographic orientations).

	Variables (Unit)	Min.	Max.	Mean	Standard Deviation
<b>Dependent variable</b> n=27,889	Growth (cm/year)	0.10	29.00	1.37	0.77
<b>Level 2</b> <b>Street section</b> (n= 2,728)	Street width (m)	1.00	114.62	14.11	6.51
	Street length (m)	1.00	606.45	185.52	108.17
	Median age of buildings	2.00	136.00	56.35	22.69
	Single-family (%)	0.00	100.00	22.28	33.27
	Duplex (%)	0.00	100.00	31.78	29.43
	Triplex (%)	0.00	100.00	20.64	23.25
	Multi-unit dwelling (%)	0.00	100.00	25.31	30.65
	Residential (%)	0.05	100.00	83.48	26.02
	Commercial (%)	0.00	95.08	0.12	2.96
	Mixed (%)	0.00	92.39	4.63	12.11
	Industrial (%)	0.00	92.96	0.34	4.47
	Number of housing units	1.00	117.00	28.73	19.02
<b>Level 3</b> <b>Census tract</b> (n=234)	Setback (m)	0.00	32.25	6.59	3.04
	Recent immigrants (%)	12.00	1.00	35.00	7.00
	Households with children (%)	33.00	7.00	65.00	11.00
	Renters (%)	69.00	10.00	96.00	12.00
	Housing value (\$)	263,000	148,000	793,000	49.00
<b>Distribution of units</b> <b>per cluster</b>	Univ. graduates (%)	18.00	3.00	46.00	8.00
	Number of trees per street	1.00	231.00	11.96	13.73
	Number of streets per census tract	1.00	840.00	125.54	148.15

**Table 4.** Multilevel results (only the significant variables are presented). See Table 2 for the reference categories.

	Model 1			Model 2			Model 3			Model 4		
	Estimates	Z-value	p									
Covariance estimates												
Intercept_tract	0.078	5.070	<.0001	0.056	4.520	<.0001	0.041	3.890	<.0001	0.040	3.940	<.0001
Intercept_Street	0.319	20.850	<.0001	0.298	20.660	<.0001	0.293	20.530	<.0001	0.292	20.550	<.0001
Residual_Tree	0.721	106.730	<.0001	0.665	106.580	<.0001	0.665	106.560	<.0001	0.665	106.560	<.0001
ICC <sub>tract</sub> (%)	6.951											
ICC <sub>street</sub> (%)	28.535											
AIC	67201			65200			65175			65179		
Fixed effects	Coeff.	T-value	p									
Intercept	0.092	3.480	0.001	0.113	4.69	<.0001	0.09100	3.79	0.0002	0.08548	2.30	0.0222
Nut trees				0.093	10.34	<.0001	0.092	10.21	<.0001	0.092	10.19	<.0001
Shrubs				0.069	6.86	<.0001	0.068	6.75	<.0001	0.067	6.72	<.0001
Other leafy trees				0.034	4.61	<.0001	0.034	4.60	<.0001	0.34	4.59	<.0001
Maple				0.205	17.76	<.0001	0.204	17.66	<.0001	0.204	17.60	<.0001
Willow family				0.062	5.15	<.0001	0.061	5.06	<.0001	0.061	5.06	<.0001
Ginkgo biloba				0.039	3.60	<.0001	0.037	3.41	<.0001	0.037	3.41	<.0001
Legumes				0.075	7.44	<.0001	0.075	7.39	<.0001	0.075	7.12	<.0001
Hackberry				0.064	7.19	<.0001	0.063	7.11	<.0001	0.063	11.56	<.0001
Elm				0.152	18.41	<.0001	0.153	18.46	<.0001	0.153	18.54	<.0001
Small flowering trees				0.111	13.07	<.0001	0.111	13.07	<.0001	0.111	13.06	<.0001
Small fruit trees				0.031	3.59	0.000	0.031	3.53	0.000	0.031	3.53	0.000
North				0.027	2.53	0.012	0.029	2.29	0.022	0.029	2.29	0.022
East				0.015	2.41	0.016	0.015	2.42	0.016	0.015	2.44	0.015
Signage				-0.013	-2.48	0.013	-0.013	-2.45	0.014	-0.013	-2.48	0.013
Other (obstructions)				0.036	5.06	<.0001	0.037	5.15	<.0001	0.037	5.14	<.0001

AgeMed			-0.193	-3.38	0.001	-0.158	-2.67	0.008
AgeMed2			0.222	3.95	<.0001	0.187	3.17	0.002
MixPCT			-0.031	-1.93	0.054	-0.032	-2.02	0.043
ResiPCT			0.035	1.94	0.052	0.034	1.88	0.060

(Level 1: 27,889 trees, Level 2: 2,728 streets, Level 3: 234 census tract)