

1 **Novel biological and chemical methods of chitin extraction from crustacean waste using**
2 **saline water**

3
4 Vinayak Laxman Pachapur^{a*}, Karima Guemiza^{a*}, Tarek Rouissi^{ab}, Saurabh Jyoti Sarma^a,
5 Satinder Kaur Brar^{a**}

6
7 *^aInstitut national de la recherche scientifique, Centre - Eau Terre Environnement, 490, Rue de la*
8 *Couronne, Québec(QC), Canada G1K 9A9*

9 *^bUniversité du Québec à Chicoutimi (UQAC), 555 Boulevard Université, Chicoutimi,*
10 *Québec(QC), Canada G7H 2B1*

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12
13
14 **** Corresponding Author E-mail:**

15 Dr. Satinder Kaur Brar, Associate Professor

16 Telephone: + 418 654 3116; Fax: + 418 654 2600

17 E-mail: satinder.brar@ete.inrs.ca

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* Equal contribution

1 **Abstract**

2 BACKGROUND: Several techniques have been conventionally proposed to recover chitin from
3 shrimp waste using large amount of freshwater and chemicals. The standard chemical recovery
4 of chitin was first compared by replacing fresh water with seawater. In addition, biotechnological
5 process with *Bacillus subtilis* (B1) and *Bacillus licheniformis* (B2) using seawater during all
6 steps of chitin extraction was also studied.

7 RESULTS: The demineralization rate (DM) was statistically significant when using seawater
8 ($p=0.000020$) by chemical recovery in comparison to deproteinization (DP). Increasing HCl
9 concentration (from 1 to 1.28 M) and reaction time (from 60 to 90 min) resulted in DM similar to
10 fresh water ($p>0.05$). Highest DP rates were obtained with crude protease (B1; DP \approx 74%) and
11 (B2: DP \approx 84%), when fermentation was carried out for 24 h at an enzyme /substrate ratio of 2.
12 Maximum DP was reached (\approx 79% for B1 and \approx 82% for B2) after 15 days, while DM ranged
13 between 55-60%.

14 CONCLUSION: Combined enzymatic DP (with B2) followed by chemical DM process was
15 used to produce chitin (DP \approx 84%, DM \approx 94%) which on transformation to chitosan showed a
16 degree of deacetylation equivalent to \approx 71%. Combined approach could transform crustacean
17 waste using sea water into chitin product of commercial value.

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19 Key words: crustacean waste, chitin, demineralization, deproteination, fermentation, seawater

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1 INTRODUCTION

2 Chitin is the most abundant renewable resource after cellulose and lignin. Every year around 10¹¹
3 tonnes of chitin is produced by crustaceans, molluscs, insects and fungi. Crustacean wastes are
4 the major wastes produced from industrial processing of sea foods, such as shells of crab,
5 shrimp, prawn, krill and lobster.^{1, 2} The annual worldwide production of crustaceans for 2008
6 was around 10,795,000 in comparison to 6,091,869 tonnes in 2005 (FAO, 2008 and 2010).^{1, 3}
7 The crustacean waste causes unpleasant odors, and environmental pollution posing a problem for
8 processing plants requiring immediate treatment.⁴ Seafood processing and consumption generate
9 tonnes of shrimp waste annually, such as in 1989, the total amount of crustacean wastes
10 produced in Québec was 9,587 tonnes.⁵ Mexico accounted for 80,000 tonnes (1998), Germany
11 around 22,616 tonnes (2005), and Indonesia processed 514,589 tonnes (2005).^{3, 6} The seafood
12 processing waste consists of 45% shrimp waste, which accounts for 50-70% of the weight of raw
13 material.¹ About 5% of shrimp waste is used for animal feed and the rest is left as such leading to
14 decomposition representing its limited utilization.⁶
15 Crustacean wastes are the most important source of chitin (20-30%) along with protein (30-40%)
16 and mineral content (30-50%) at varying percentage, depending upon the species and seasonal
17 variations.² The compact matrices of chitin fibres in crustacean shells are interlaced with proteins
18 and these matrices are reinforced with the deposition of calcium salts. To achieve high
19 accessibility of chitin, they must be quantitatively removed at the highest purity to be used for
20 various applications.⁷ Chitin with its chitosan (deacetylated derivative) has applications in food,
21 biotechnological products, agriculture, wastewater treatment plant, pharmaceutical products,
22 cosmetics, and textiles.¹

1 Current industrial methods rely on chemical process for isolation of chitin involving: 1) grinding;
2 2) demineralization (DM) with strong acids; 3) deproteination (DP) with alkali medium at 90-
3 100 °C followed by; 4) pigment removal using solvent extraction or chemical oxidation.^{1, 8}
4 Furthermore, the chemical steps are generally performed under high temperature conditions
5 requiring higher energy input. Moreover, the washing steps give rise to very large volumes of
6 polluted effluents with high concentrations of mineral acid, which are technically difficult and
7 expensive to recycle. These conventional chemical extraction processes are extremely hazardous
8 to the environment, costly and consume high concentrations of mineral acids with large quantity
9 of freshwater consumption during each washing step.¹

10 To overcome the harsh chemical treatments, biotechnological processes, such as microbial
11 fermentation and application of proteolytic enzymes (enzymatic extracts or isolated enzymes)
12 have been carried out for demineralization and deproteinization by different researchers and
13 these reports are summarized in the Table 1.

14 The flow sheet of biological and chemical treatment method of chitin extraction from crustacean
15 waste is presented in Fig. 1. The highest rate of DM and DP can be reached by carrying out
16 simple fermentation but level of hygiene during production restricts its human or animal
17 consumption.¹ The rate of DM and DP obtained by using crude protease or pure industrial
18 enzymes needs additional chemical treatment step for better yield. However, several chemical
19 and biological treatments were limited to freshwater conditions and there is no study as yet
20 reported using seawater conditions. Therefore, cost-effective, easily controllable industrial
21 process for chitin extraction of high purity and degree of acetylation are required for a
22 satisfactory level of specific applications. In the present research, the standard industrial recovery
23 process of chitin is carried out by replacing fresh water with sea water. To overcome the problem

1 of harsh chemical treatment, biotechnological process of using microorganisms with *in-situ*
2 fermentation was also carried out. As a novel method, application of sea water for DM and DP of
3 crustacean waste for chitin extraction has been optimized using statistical tools.

4 **MATERIAL AND METHODS**

5 **Crustacean waste, reagents and analyses**

6 The crustacean waste was obtained from seafood processing plant located at Gaspesie, Quebec.
7 The seafood waste (shells of crab, shrimp, prawn, krill and lobster) was oven dried, powered
8 using a grinder and stored at $4 \pm 1^\circ\text{C}$.

9 Chemicals, such as nutrient broth (NB), glucose, NaOH, HCl, were purchased from Fisher
10 scientific, Canada. Yeast extract used in the present study was a gift from Lallemand, Canada.

11 The moisture and ash content of the crustacean waste were determined by AOAC (Association of
12 Official Analytical Communities) standard methods 930.15 and 942.05. The residue was dried at
13 $105 \pm 1^\circ\text{C}$ and cooled at room temperature for moisture content calculation by weight difference
14 before and after the drying process. The dried residue was separated into two portions with one
15 part placed in oven at $600 \pm 1^\circ\text{C}$ for 4 h (AOAC 1984) in a muffle furnace (Fischer Scientific
16 model 182A) to quantify ashes for DM analysis. The other part of the dried residue for its total
17 nitrogen content measured by (AOAC 984.13) Kjeldahl was placed in an automated apparatus
18 (Buchi, Switzerland) for DP analysis.¹¹

19 **Chemical method**

20 **Demineralization step**

21 Demineralization was carried out at room temperature using 1 M hydrochloric acid. Each test
22 was performed with 60 mL of acid solution and 6 g of raw material under agitation (800 rpm) for
23 60 min. The tests were performed, in triplicate, in Erlenmeyer flasks of 250 mL capacity. In the

1 same flask, the filtrate was mixed with 150 mL (3×50 mL) of fresh water for 15 min to remove
2 the maximum residual HCl and other impurities. The treated crustacean waste was oven dried at
3 60 ±1°C for 18 h and weighed for DM analysis to quantify ashes and rate of DM was calculated
4 using (Eq.1) below.^{7, 14}

$$\text{DM (\%)} = \frac{(A_0 \times O) - (A_R \times R)}{A_0 \times O} \times 100 \quad (\text{Eq. 1})$$

5 Where, A_O and A_R are ash contents (g/g) of crustacean waste before and after treatment, and O
6 and R are dry mass (g) of original sample and treated residue, respectively.

7 **Deproteination step**

8 Following the demineralization step, the demineralized shrimp shells were deproteinated with
9 1.25 M NaOH under vigorous stirring at 90 ±1°C using 60 mL of solution per gram of
10 demineralized shells.^{15, 16} After 2 h of reaction, the solid samples were washed with 150 mL
11 (3×50 mL) of fresh water. Later, treated crustacean waste was dried and weighed for nitrogen
12 analysis and rate of DP was calculated using (Eq.2) below.^{7, 14}

$$\text{DP (\%)} = \frac{(P_0 \times O) - (P_R \times R)}{(P_0 \times O)} \times 100 \quad (\text{Eq. 2})$$

13 Where, P_O and P_R are protein contents (g/g) of crustacean waste before and after treatment, and
14 O and R are dry mass (g) of original sample and treated residue, respectively.

15 **Effects of sea water on chitin extraction**

16 The previously elaborated extraction protocol with fresh water was studied using sea water and
17 artificial seawater (at 17.5 and 35% salinity) was prepared according to Lyman-Fleming
18 formula.¹⁷ The effect of seawater tests on chitin extraction were performed in triplicate for DM
19 and DP steps. The comparison between the two seawater salt concentrations and the protocol
20 with fresh water was carried out by using ANOVA and Tukey post hoc tests.

1 **Optimization of DM for chitin extraction**

2 An optimization was carried out with sea water using factorial design (2 factors, 3-levels and 3
3 blocks). The raw material was first mixed with 60 mL of hydrochloric acid (HCl) at varying
4 concentrations of 0.5, 1.5 and 1 M. The reaction flasks were stirred at 800 rpm at room
5 temperature ($20 \pm 1^\circ\text{C}$) for 1, 2 and 3 h of reaction in triplicates followed by washing step with
6 150 mL (3×50 mL) of seawater. Demineralization (DM %) was calculated at the end of each
7 experiment in order to optimize the concentration of HCl with reaction time. A Box Behnken
8 Design (BBD) with 9 runs (three levels and two factors) was used to assess the effect of acidic
9 hydrolysis conditions, such as concentration of HCl (0.5, 1, 1.5 M) and reaction time (1, 2, 3 h)
10 on rate of demineralization. Prediction using results obtained was determined for final optimum
11 condition to be carried out in triplicates.

12 Statistical analyses and the design of experiments were carried out by using STATISTICA,
13 STAT SOFT version 7. Responses surface methodology was used as a tool for the analyses and
14 the difference was considered significant at $p\text{-value} < 0.05$ (α was fixed to be 0.05).¹⁸

15 **Biological method**

16 **Bacterial strains and inoculum preparation**

17 The strains, *Bacillus licheniformis* NRS-1264 (B1) and *Bacillus subtilis* B-59994 (B2) were
18 collected from ARS, USDA (U.S Department of Agriculture). Inoculum for B1 and B2 were
19 routinely grown in the nutrient broth (NB) medium composed of (g L^{-1}): peptone 5.0, yeast
20 extract 2.0, NaCl 5.0 and pH were maintained at 7.0, before sterilization.¹³ The sterilized
21 medium volume was 100 mL in a 250 mL conical flask which was inoculated at 5% (v/v) with
22 B1 and B2 separately and incubated for 12 h at 150 rpm at $40 \pm 1^\circ\text{C}$ in an incubator shaker
23 (INFORS HT–multitron standard). For determination of colony forming unit (CFU), about 1 mL

1 of this inoculated media was taken to determine the inoculum density for each of the bacterium,
2 which was around 4.3×10^6 CFU/mL for B1 and 3.9×10^6 CFU/mL for B2.

3 **Fermentation conditions for DM and DP**

4 The fermentation set-up was performed in 250 mL flasks containing 100 mL of seawater
5 medium composed of 18 g L^{-1} crustacean waste, supplemented with 10 g L^{-1} of glucose and with
6 initial yeast extract of 0.1%. To increase the rate of DM with enhanced microbial growth and
7 acid production, readily available fermentable sugar (glucose) and nitrogen source (yeast) were
8 chosen.¹³ Initial pH of the fermentation medium was set to 7.0. After sterilization, 10% (v/v) of
9 inoculum was added and fermentation was monitored for 5, 10 ad 15 days at $40 \pm 1^\circ\text{C}$ and 150
10 rpm on a rotary shaker. Soon after the fermentation, the treated crustacean waste was separated
11 by centrifugation at $3810 \times g$ for 20 min and the supernatant was collected for enzyme analysis.
12 The residue was washed with 150 mL (3×50 mL) of seawater (35% salinity) and dried at 60 ± 1
13 $\pm 1^\circ\text{C}$ for 24 h and weighed before carrying out DM and DP analyses.

14 **Crude protease harvesting method for DM and DP**

15 *Bacillus* species are industrially well-established microorganisms for the production of extra
16 cellular proteins.¹⁹ For enzyme production, fermentation with each of the strain was carried out
17 for 5 days. The fermentation mixture was centrifuged and the supernatant collected was further
18 vacuum filtered using $0.45 \mu\text{m}$ filter paper. The supernatant was used to determine the crude
19 protease activity and accordingly used for DP and DM (as detailed in previous section) of chitin.

20 **Analysis method**

21 **Protease activity assay**

22 The protease activity was measured using casein as a substrate.^{20, 21} The filtered supernatant i.e.
23 crude enzyme was diluted 1:1 with distilled water and 0.5 mL of this dilution was mixed with 0.5

1 mL of 0.1 mM sodium carbonate buffer containing 1% (w/v) azocasein and incubated for 15 min at optimal temperature. The optimal temperature and pH for B1 (70 °C, 10.0) and B2 (60 °C, 8.0) were set. The reaction was stopped by addition of 0.5 mL of trichloroacetic acid (20% w/v). The mixture was allowed to stand at room temperature for 15 min and later centrifuged at 7656 x g for 20 min to settle the precipitate. The supernatant was collected and absorbance was measured at 280 nm using spectrophotometer (Carry 100 Bio[®], Varian USA). A standard curve was plotted using the standard solution of azocasein 0-50 mg L⁻¹ and one unit of protease activity was defined as the amount of substrate converted per minute under standard assay conditions.^{20, 21}

9 **Deacetylation experiment and determination of the degree of N-deacetylation**

10 Deacetylation was achieved by treatment of extracted chitin with sodium hydroxide (NaOH) solution (50%) at 100 °C using a solid to solvent ratio of 1:5 and a reaction time of 5 h as described by ²². The final material produced was washed with 150 mL (3×50 mL) of water/seawater (35% salinity) and dried at 60±1 °C in a vacuum oven. The degree of deacetylation (DDA) was determined using Fourier-transform infrared (FT-IR) spectroscopy. The DDA of chitosan was calculated from the absorbance 1658 cm⁻¹ (amide-I) and 3450 cm⁻¹ (OH group) according to the following equation (Eq.3).^{23, 24}

$$17 \text{ DDA(\%)} = 100 - [(A_{1658} / A_{3450}) / 1.33] \times 100 \quad (\text{Eq.3})$$

18 **RESULTS AND DISCUSSION**

19 **Chemical composition of crustacean waste**

20 The crustacean waste used in this study had moisture content around 40%, mineral content was around 41% and protein content was around 35%. The overall percentage of protein and mineral content of these wastes was comparable (30-40% and 30-50%, respectively) as reported by Arbia

1 *et al.*² Mostly, exact composition of crustacean wastes varies with species, seasons and many
2 other factors. The water content in the raw material was $7 \pm 1.0\%$ (w/v).

3 **Chitin extraction**

4 **Chemical extraction**

5 **Investigation of the effect of seawater on DM and DP**

6 The chemical treatment across different concentrations of sea water salinity for both
7 demineralization and deproteination rate is represented in Fig. 2. Extraction with fresh water,
8 seawater with 17.5 and 35% salinity resulted in demineralization rate as high as 98 ± 0.3 , 93 ± 1.1
9 and $89 \pm 0.7\%$, respectively. The deproteination rate for chemical treatment across different sea
10 water concentrations was less than 80%, indicating that higher concentration of proteins in the
11 crustacean waste requiring harsh chemical treatment. The results showed that the use of seawater
12 affected DM in comparison to DP. There was a significant difference in the DM if fresh water,
13 diluted seawater at 17.5 and 35% were used ($p=0.000020$). A comparison across the DM rates
14 using the Tukey rank test showed that the DM significantly decreased with increasing salts
15 concentration. Results of DM with fresh water ($98 \pm 0.3\%$), under same conditions were
16 comparable with $98.5 \pm 0.1\%$ obtained by Le Roux *et al.*²⁸ The same test showed that there was
17 no significant difference in the DP, whether it was fresh water or sea water ($p>0.05$). The harsh
18 chemical treatment requiring higher NaOH concentration with high temperature for increased
19 deproteination can cause undesirable deacetylation and alter depolymerization of chitin.²
20 Increased deproteination rate can be achieved by adding exo-protease and/or proteolytic bacteria
21 there by eliminating extreme chemical treatment conditions.^{1, 2} Further, to increase
22 demineralization rate using chemical treatment, optimization studies were carried out.

23

1 **Optimization of the operating conditions for DM in sea water**

2 The objective was to maximize DM, finding the right combination of HCl and duration of
3 reaction which would result in maximum DM rate. DM was performed with sea water (35%
4 salinity). The 2D response surface plots across different concentrations of HCl over reaction time
5 are presented in Fig. 3. A fitted response profile was obtained based on the time of reaction
6 against the variation of HCl concentration (M). The DM percentage can be calculated from the
7 equation of the second degree (Eq.4).

$$8 \text{ DM (\%)} = -11.8152 + 162.6035 \text{ HCl} - 63.1167 \text{ HCl}^2 + 0.6038 \text{ Time} + 0.6038 \text{ Time}^2 - 0.9387$$

9 HCl. Time (Eq.4)

10 The statistical data collected from analysis of variance (ANOVA) for optimization studies are
11 presented in Table 2. Analysis of variance showed that HCl concentration had both quadratic and
12 linear influence on DM%. However, the linear and quadratic effects of reaction time have no
13 statistically significant effect on DM% (+0.6038). The statistical analysis of regression
14 coefficient showed an acceptable coefficient ($R^2 > 0.9$) which meant that approximately more than
15 90% of the variability could be explained by the model. The proposed optimal values according
16 to desirability (maximize DM); these values were 1.28 M (HCl concentration) and 1 h 33 min
17 (reaction time). This optimum condition was studied in triplicate and the average of the results
18 was $93 \pm 1.6\%$, in the confidence interval of the theoretical maximum for the DM (84.71-
19 99.44%). Conventional use of more than 1.5 N HCl resulted with undesirable effect on molecular
20 weight, negative effect on degree of deacetylation and detrimental effect on purified chitin
21 properties.²⁵ Present finding with optimization of extraction process minimized the experimental
22 runs with relatively diluted acid for increased DM rate, which is comparable across DM rates

1 obtained using freshwater. Therefore, in terms of demineralization efficiency, compared to
2 biological extraction, the present method presented higher DM rates.

3 **Effect of incubation time on biological extraction**

4 The rates of DM and DP for B1 and B2 species across 5th, 10th and 15th day have been presented
5 in Fig. 4. Addition of the nitrogen supplement along with glucose was used to support the growth
6 of microbial biomass with simultaneous production of acids and to check the rate of DM and DP
7 corresponding to increased fermentation time.¹³ ANOVA showed that the rate of DM for B1 and
8 B2 was statistically comparable ($p>0.05$) across time and between the two species of bacteria
9 (Fig. 4) and mainly ranged between 54.5 and 62.5%. DM was mainly due to the acid produced
10 during fermentation as reported by Rao *et al* and Ghorbel-Bellaaj *et al.*^{13, 26} In this study, it
11 seemed that lower acid production was mainly achieved during fermentation for the two species,
12 B1 and B2. At 5, 10 and 15 days, DM values were almost comparable. This result was in
13 concordance by Ghorbel-Bellaaj *et al*, who observed lower acid production during fermentation
14 of *Bacillus licheniformis* (DM=59.4 - 55.5%).¹³ DM values in this study were also comparable to
15 co-culture results of *Bacillus licheniformis* + *Lactobacillus acidophilus* with 50.2% DM¹¹ and
16 slightly lower than co-culture results of *Lactobacillus salivarius* + *Enterococcus faecium* +
17 *Pediococcus acidilactici* with 68.5% DM.¹⁰ Acid produced is directly responsible for DM of
18 crustacean waste.¹³ Inability to produce acid by the two species (B1 and B2) resulted in
19 decreased DM rates in comparison to lactic acid produced by *Lactobacillus* species.

20 In the case of DP, ANOVA showed significant increase during the fermentation time ($p=0.0054$).
21 A Tukey post hoc test was carried out to compare DP values across fermentation time and for
22 two *Bacillus* species. By increasing the fermentation time, a significant increase in DP value was
23 observed at 15 days for B1 ($p=0.00962$) and B2 ($p=0.00703$) at $82\pm 4.9\%$ and $79\pm 2.8\%$,

1 respectively. *Bacillus* exhibited comparable activity during the stationary phase of growth and
2 using seawater in the medium influenced production of proteolytic activity by 150%.²⁷ The
3 efficiency of fermentation depends on various parameters, such as inoculum, substrate
4 concentration, pH variations, fermentation time and moreover, the fermented wastes impart
5 strong and pungent acidic smell.¹

6 Therefore, fermentation using *Bacillus* sp. is a time consuming approach and addition of
7 proteases will be a viable commercial way to increase DP rate. The enzyme activity of both
8 *Bacillus* species in the presence of crustacean waste (Table 3) was 137.5 and 178.75 U/mL for
9 B1 and B2, respectively. This indicated that B1 and B2 can derive its source of carbon and
10 nitrogen from crustacean waste leading to production of protease activity for increased rate of
11 protein removal.

12 **Effect of Protease on DP**

13 Since the rate of DP is not only related to the amount of protease produced, but also to the
14 efficiency and the accessibility of enzymes to the substrates¹³, direct enzymatic process of
15 protein removal was used. Enzymatic DP process was applied in seawater using a crude protease
16 from B1 and B2 across incubation periods and enzyme/substrate ratios (E/S) (Fig. 5). Increasing
17 incubation time and E/S (v/v) ratio resulted in higher protein removal. The maximum DP reached
18 was $84 \pm 1.5\%$ (B2) and $74 \pm 2.3\%$ (B1) with an incubation time of 24h and E/S=2: for the two
19 *Bacillus* species, DP followed a linear increase across time. The maximum protein removal was
20 carried out by crude B2 enzymes and which is due to its higher protease activity (+30%). For B2,
21 DP increased from $59 \pm 1.34\%$ (8h) to $65 \pm 2.1\%$ (12h) and $84 \pm 1.5\%$ (24h); for B1, DP increased
22 from $61 \pm 1.7\%$ (8h) to $63 \pm 2\%$ (12h) and $74 \pm 2.3\%$ (24h). The rate of DM was also evaluated

1 and it ranged from 55-60% for all E/S (v/v) ratios and incubation time studied which was in
2 accordance to Ghorbel-Bellaaj *et al* and results obtained with fermentation experiments.¹³

3 The effectiveness of DP depends on the presence of residual small peptides and amino acids,
4 which can be digested by enzymes with production of protein hydrolysate having required amino
5 acid index.¹ The lowest DP values were recorded at E/S=1 for B1 and ranged between 53-57%
6 while it increased from 56 ±1.34 % (8h) to 67 ±1.6% (24h) for B2. Increasing concentration of
7 enzymes resulted in an accelerated protein removal which can be clearly observed when
8 comparing DP for E/S=1 and 2 at different incubation time and for same *Bacillus* species. For
9 example, DP was 65 ±2.1% (B2, 12h) for E/S=2 while 24h was required to reach 67 ±1.6% when
10 E/S=1 was used. ²⁹ observed the same finding and demonstrated that increasing E/S from 1 to 5
11 resulted in an additional 15% protein removal with crude enzymes from *Bacillus mojavensis*.
12 Younes *et al* reported comparable DP (around 76%) at higher E/S (E/S=20, 3h incubation) using
13 the same crude enzymes⁷ from *Bacillus subtilis* and *Bacillus licheniformis* as the current study
14 but produced in standard synthetic media. The enzyme activities matched¹³ the activities of
15 strains grown in synthetic medium containing (g L⁻¹): shrimp waste powder 30, KCl 1.5,
16 K₂HPO₄ 0.5, and KH₂PO₄ and justify the high rate of protein removal (DP) (≈ 80%) which was
17 relatively comparable to the results of DP matched¹² with *Bacillus cereus* (DP= 78.6%) and to
18 the results of *Bacillus subtilis* (DP=91.2%)¹³ and higher than results of¹¹ co-culture (*Bacillus*
19 *licheniformis* + *Lactobacillus acidophilus*) (DP=47.4%) (Table1).

20 The combined enzyme digestion followed by acid treatment will be a cost-effective,
21 environmental friendly and commercial approach for treatment of crustacean waste.

22

1 **Proposed treatment method for chitin extraction**

2 It was demonstrated that the crude protease from the both *Bacillus* species and especially B2
3 could be effective to obtain high rate of DP when sea water was used instead of fresh water.
4 However, to obtain maximum mineral removal and hence purified chitin, an additional step of
5 chemical DM was necessary. For this purpose, a combined enzymatic deproteination (with B2
6 crude protease, E/S=2, for 24h) step following chemical demineralization was carried out by
7 using sea water, the deproteinated shrimp waste ($DP \approx 84 \pm 1.5\%$) was subjected to
8 demineralization at (as optimized in section 3.2.1: 1.28 M HCl and reaction time of 1h 30 min).
9 Results showed chitin with $DP \approx 84 \pm 1.5\%$ and $DM \approx 94\%$. Chitosan obtained after deacetylation
10 of this chitin showed a degree of deacetylation $\approx 71\%$ using seawater and 81% using fresh water.
11 The degree of acetylation (DA) in presence of fresh water across commercial alcalase with Na
12 sulphite along with NaOH treatment resulted in 77.6% and using *Lactobacillus* sp. along with
13 HCl followed with NaOH treatment resulted in 81.7% of DA.^{9,30} The degree of acetylation
14 suggested that demineralization and deproteination seemed to be effective⁹ and the values were
15 within the satisfactory level of specific application.³¹⁻³³ Hence, an efficient method of chitin
16 extraction using seawater with a combined chemical and biological treatment method can be
17 used for industrial purpose. Use of seawater as a replacement of freshwater will be advantageous
18 for the industrial treatment of crustaceous wastes. Most of the crustacean processing industries
19 are located near to the sea, and thus, it will minimize the transportation of sea water from the
20 source. The amount of freshwater utilization during chemical method in DM and DP for washing
21 steps was around 250 L to process 1 kg of crustacean waste. With this proposed treatment step,
22 maximum rate of DM (94%) and DP (84%) can be reached and it will be able to extract chitin
23 with highest purity.

1 The proposed biochemical approach is able to attain highest DP and DM rate across the studies
2 carried out using biological treatment in presence of salt (6% w/v) with 59.8% and 81.4%
3 respectively.³⁴ Traditional chemical treatments give rise to very large volumes of polluted
4 effluents, which are technically challenging, expensive to recycle and can no longer be used as
5 animal feed. However, with biochemical approach, the use of chemical loads is reduced,
6 crustacean bio-waste can be recycled and utilized in an efficient manner as animal feed or
7 protein powder for human consumption.³⁵ According to our estimation for industry producing 20
8 tonnes of chitin per year, the cost of using pure water (175 tonnes) will be around $\approx 27,000$ \$
9 (water price in Quebec: ≈ 1.51 \$/m³), in case of seawater the total production cost will be reduced
10 by 10-13%.³⁶ The biochemical approach can be considered as a viable commercial approach with
11 high rates of DM and DP, alternatively producing high purity of chitin. The biological treatment
12 requires use of crude protease, which can be produced in-situ using crustacean waste as a cheap
13 carbon source. This approach will illustrate a suitable crustacean utilization, crude protease
14 production, crustacean treatment, animal feed and chitin production, altogether with improved
15 in-situ sea-food processing industries. The proposed bio-chemical approach will find whole new
16 applications in converting crustacean wastes into commercial products for industrial application.

17 **CONCLUSION**

18 In the present study, crustacean waste fermentation was carried out using two *Bacillus* strains in
19 seawater. The proteolytic activity of *Bacillus* species increased in seawater used in the
20 preparation of fermentation media, which resulted in high rate of deproteinization ($\approx 84\%$ for B2
21 and $\approx 74\%$ for B1). The modification in the chemical treatment, by using sea water instead of
22 fresh-water resulted in a demineralization rate of around 94%. Hence, considering the industrial
23 requirements of DM and DP, a combined chemical and biological method of treatment using

1 seawater for chitin extraction from crustacean waste was proposed. The proposed method of
2 using seawater for chemical washing and biological treatment of crustacean wastes to extract
3 chitin is an alternative saving freshwater. The proposed biochemical treatment is advantageous
4 over traditional chemical treatment in biotransformation of crustacean wastes into improved in-
5 situ application for sea-food processing industries.

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1 **Tables**

2 **Table 1.** Reports on fermentation and enzymatic hydrolysis of crustacean wastes.

3 **Table 2.** Analyses of variance (ANOVA) for optimizing the chemical operating conditions for
4 DM

5 **Table 3.** Comparison of proteolytic activity of *Bacillus* strains across media containing
6 crustacean waste supplemented with synthetic components.

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8 **Figures**

9 **Figure 1.** Treatment steps of biological and chemical method of chitin extraction from
10 crustacean waste.

11 **Figure 2.** Demineralization (DM) and Deproteination (DP) rates obtained by chemical
12 extraction. Values denoted with different lower case letter differ significantly at $P < 0.05$ by one-
13 way ANOVA and Tukey's post hoc analyses. Each value is the mean of three independent
14 replicates.

15 **Figure 3.** Optimization of the operating conditions for DM using sea water (35 g L^{-1})

16 **Figure 4.** Demineralization (DM) and Deproteination (DP) rates obtained by fermentation of
17 crustacean waste medium supplemented with glucose and yeast extract. Fermentation was
18 carried out till 5th, 10th and 15th days using *Bacillus licheniformis* (B1) and *Bacillus subtilis* (B2).
19 Values denoted with different lower case letter differ significantly at $P < 0.05$ by one-way
20 ANOVA and Tukey's post hoc analyses. Each value is the mean of three independent replicates.

21 **Figure 5.** Optimization of the enzyme/substrate ratio (E/S) for crude protease obtained by
22 *Bacillus licheniformis* (B1) and *Bacillus subtilis* (B2). Each value is the mean of three
23 independent replicates.

1 **Table 1.** Reports on fermentation and enzymatic hydrolysis of crustacean wastes.

Microorganism s/Enzymes	Fermentation/Bioprocess	Source	DP Treatment	DP (%)	DM Treatment	DM (%)	Ref.
<i>Lactobacillus pentosus</i> 4023	500 g of chitinous with whey, nitrogen source, using immobilized-cell reactor at pH 5.5, 30 °C for 2 days	Crayfish (chitinous fraction)	with 0.25 M NaOH in 1:5 (w/v) ratio for 6 h at room temp	99.4 ± 0.2	with 0.5 M HCl in 1:5 (w/v) ratio for 6 h at room temp	98.2 ± 0.4	⁹
<i>Lactobacillus salivarius</i> + <i>Enterococcus faecium</i> + <i>Pediococcus acidilactici</i>	500 g of prawn shell with glucose solution at 35 °C for 5 days at 250 rpm	Prawn shell (Nephrops norvegicus)	with 1 M NaOH in 1:10 (w/v) ratio for 3 h at 65 °C	49.37	with 1 M HCl in 1:15 (w/v) ratio for 2 h at room temp	68.39	¹⁰
<i>Bacillus licheniformis</i> F11-1 + <i>Lactobacillus acidophilus</i>	300 g of shrimp shell waste with glucose, yeast at 37 °C, pH 7.0 for 2 days at 50 rpm	Shrimp shells (Penaeus vannamei)	at 55 °C, 250 rpm for 60 h	47.37	at 30 °C, 50 rpm for 48 h	50.23	¹¹
<i>Bacillus cereus</i> 8-1	In 12 L of 10% shrimp shell waste at pH 7.0, 37 °C, for 14 days	Shrimp shells	with fermented residue	78.6	with fermented residue	73	¹²
<i>Bacillus licheniformis</i> RP1	In 100 mL fermentation medium, 5% (w/v) shrimp shell waste with glucose at pH 7.0, 37 °C for 5 days at 200 rpm	Shrimp shells (Metapenaeus monoceros)	with fermented residue	94.4 ^a - 90.8 ^b	with fermented residue	59.4 ^a - 55.5 ^b	¹³
<i>Bacillus subtilis</i> A26	In 100 mL fermentation medium, 5% (w/v) shrimp shell waste with glucose, at pH 7.0, 37 °C for 5 days at 200 rpm	Shrimp shells (Metapenaeus monoceros)	with fermented residue	91.5 ^a - 91.2 ^b	with fermented residue	37 ^a - 79.9 ^b	¹³
Crude protease (<i>Bacillus cereus</i> SV1)	Shell waste with water (1:2) cooked for 20 min at 90 °C, homogenized with pH adjusted to 8.0, enzyme/substrate ratio (20) with	Shrimp shell (Metapenaeus monoceros)	pH 8.0 at 40 °C	88.8	1.5 M HCl in 1:10 (w/v) at 25 for 6 h	99.5	¹⁴

Microorganism s/Enzymes	Fermentation/Bioprocess	Source	DP Treatment	DP (%)	DM Treatment	DM (%)	Ref.
	incubation for 3 h at 40 °C, enzyme inactivation at 90 °C for 20 min						
Crude protease (<i>Bacillus licheniformis</i> NH1, <i>B. subtilis</i> A26)	Shrimp waste homogenate with water (1:3) at pH and temp adjusted, incubation for 6 h, enzyme inactivation at 90 °C for 20 min	Shrimp shell (Metapenaeus monoceros)	pH 10.0 at 50 °C, pH 8.0 at 40 °C	65±3,	1.5 M HCl in 1:10 (w/v) ratio at 50 °C for 6 h	-	⁷
Crude protease : <i>Bacillus subtilis</i> and <i>Bacillus licheniformis</i>	Shrimp shell waste medium supplemented with glucose	Shrimp shell (thoraces and appendices)	DP: 60 °C for 20 min at pH 7.0	84%	DM: at 25 °C for 48 h	94%	This study

^aShrimp shell waste medium, ^bShrimp shell waste medium supplemented with glucose

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1 **Table 2.** Analyses of variance (ANOVA) for optimizing the chemical operating conditions for
2 DM.

ANOVA, R ² = 0.95835					
	SS	df	MS	F	p
(1)HCl(M)(L)	1517.58	1	1517.58	73.72	0.001011
HCl(M)(Q)	337.38	1	337.38	16.39	0.015495
(2)Time(h)(L)	30.37	1	30.37	1.47	0.291293
Time(h)(Q)	9.15	1	9.15	0.44	0.541421
Error	82.34	4	20.58		
Total SS	1976.83	8			

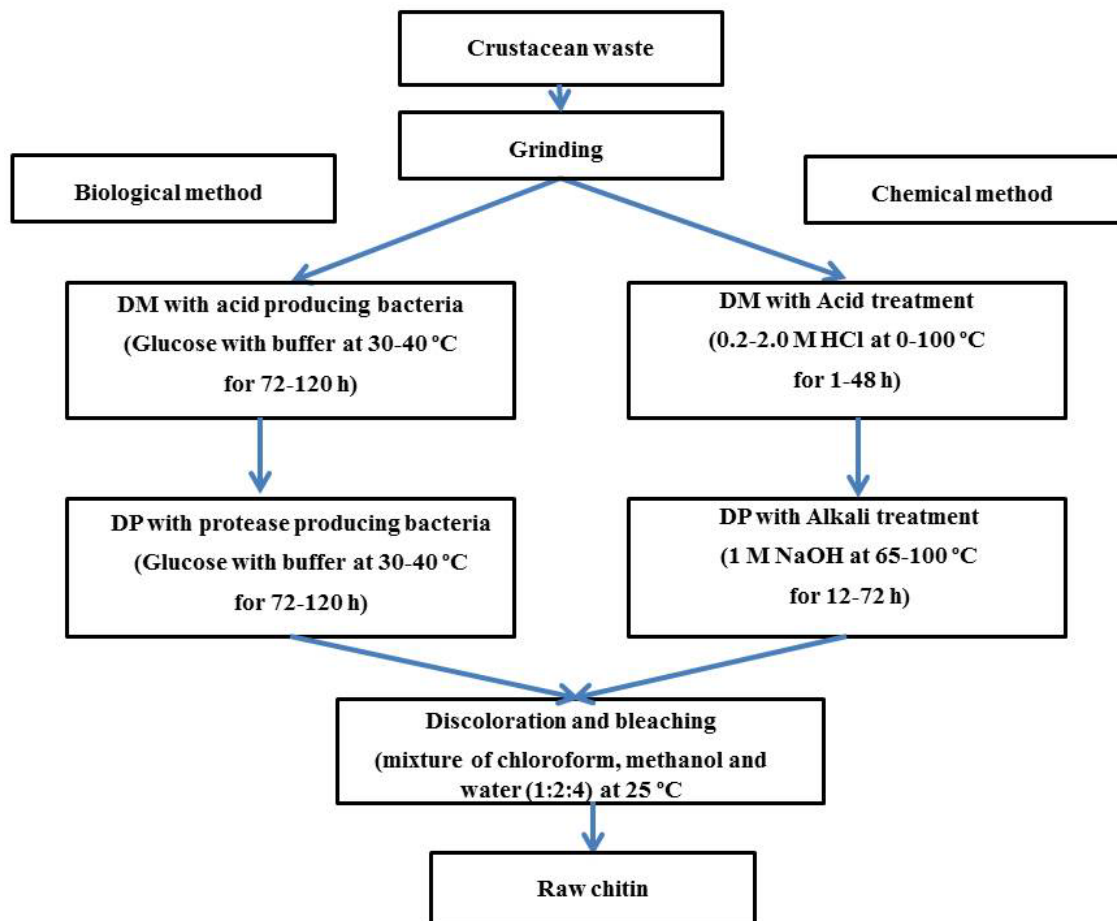
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1 **Table 3.** Comparison of proteolytic activity of *Bacillus* strains across media containing
 2 crustacean waste supplemented with synthetic components.

Strain	Media	Proteolytic activity	pH	Temperature	Ref.
<i>Bacillus mojavensis</i> A21	Luria–Bertani broth	7.72 U/mg	9.0	60 °C	⁸
<i>Bacillus subtilis</i> A26 <i>Bacillus licheniformis</i> RP1	Shrimp waste	193 U/mL 713 U/mL	8.0 10.0	60 °C 70 °C	⁴
<i>Bacillus subtilis</i> TEB1030 <i>Bacillus licheniformis</i> H402	Luria–Bertani broth	8.9 U/mL 59.7 U/mL	8.6	37 °C	¹
<i>Bacillus licheniformis</i> LBBL-11	Nutrient broth	18.00 U/mL	8.0	37 °C	²⁸
<i>Bacillus subtilis</i> B-59994 <i>Bacillus licheniformis</i> NRS-1264	Crustacean waste	137.5 U/mL 178.7 U/mL	8.0 10.0	60 °C 70 °C	Our study

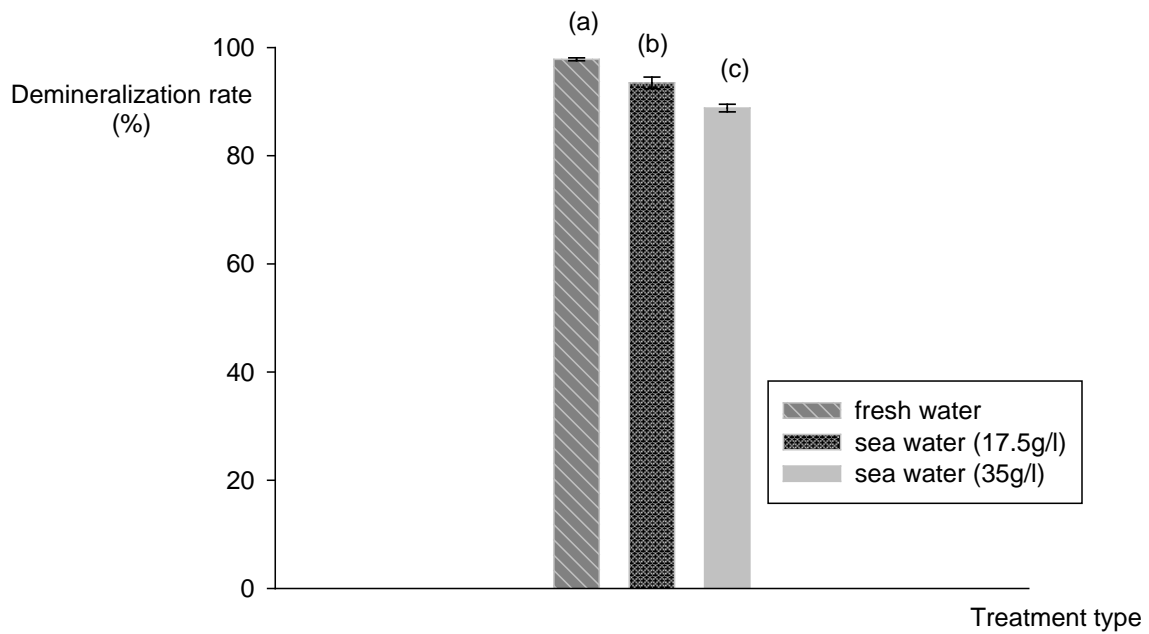
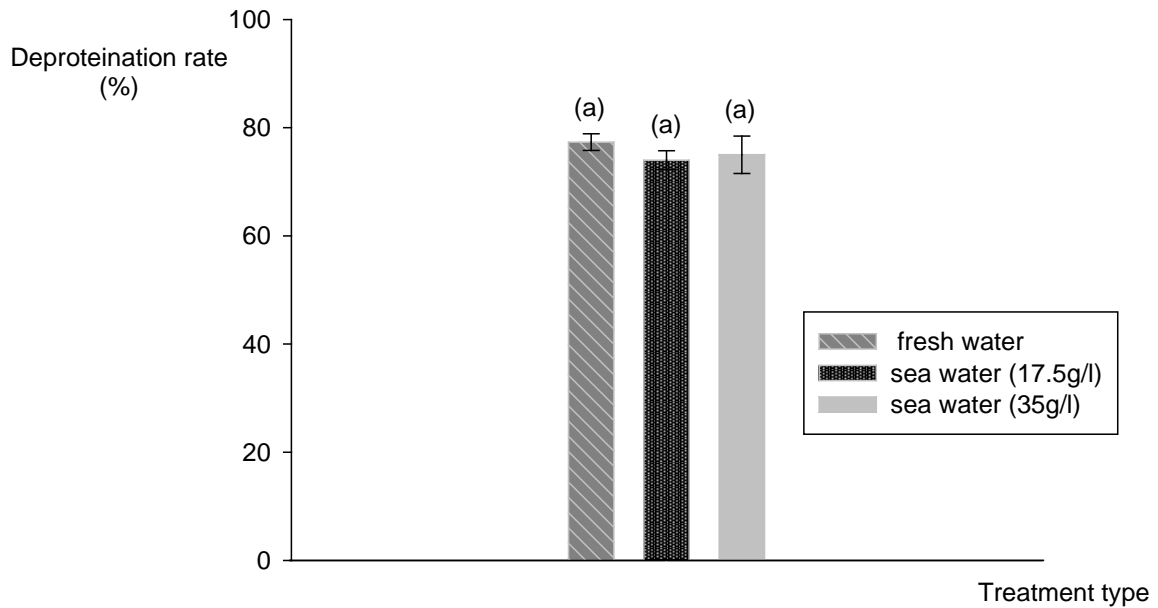
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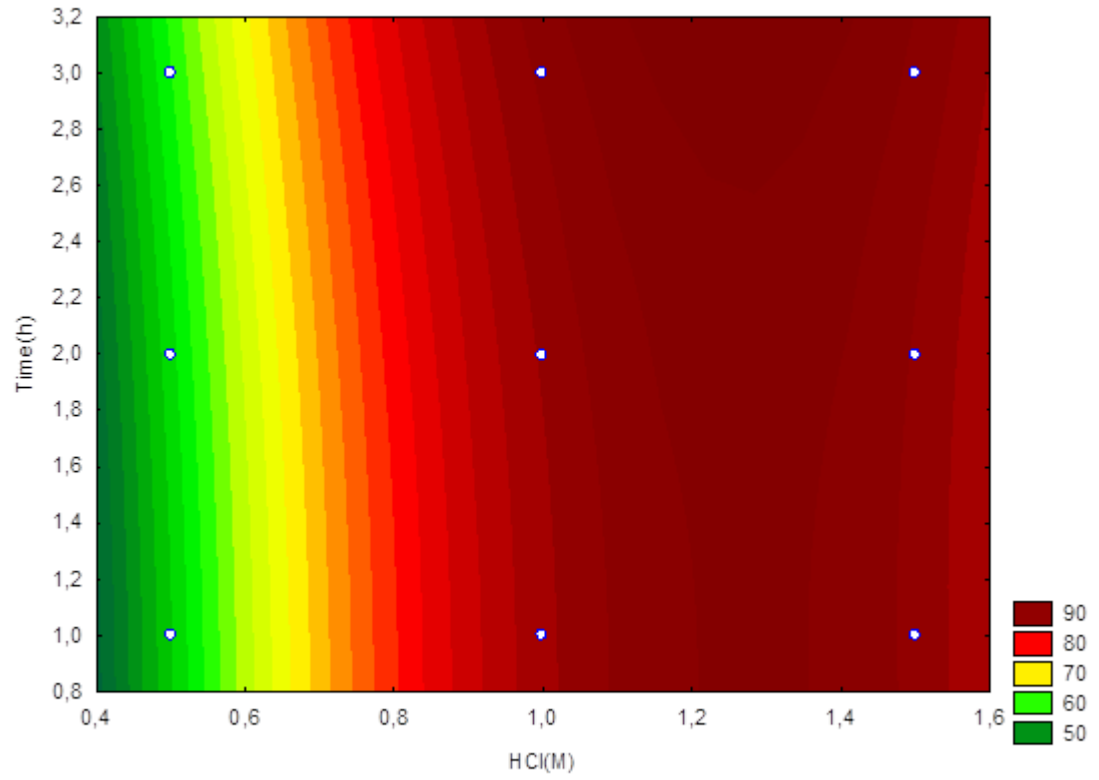


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Figure 1. Treatment steps of biological and chemical method of chitin extraction from crustacean waste.

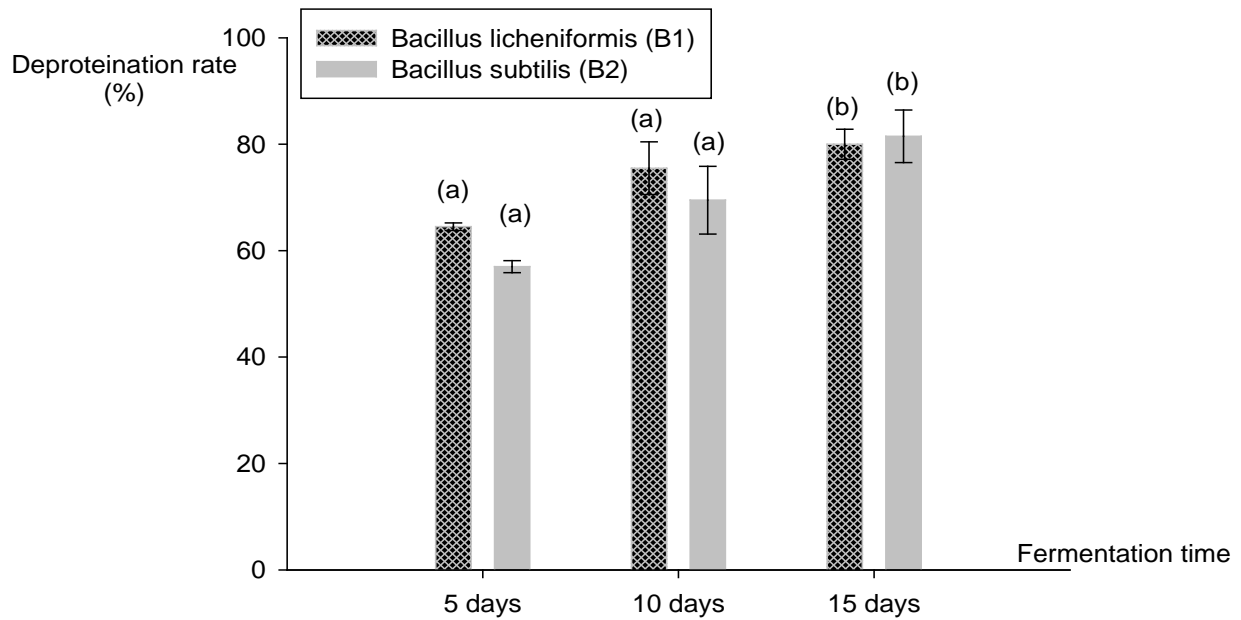
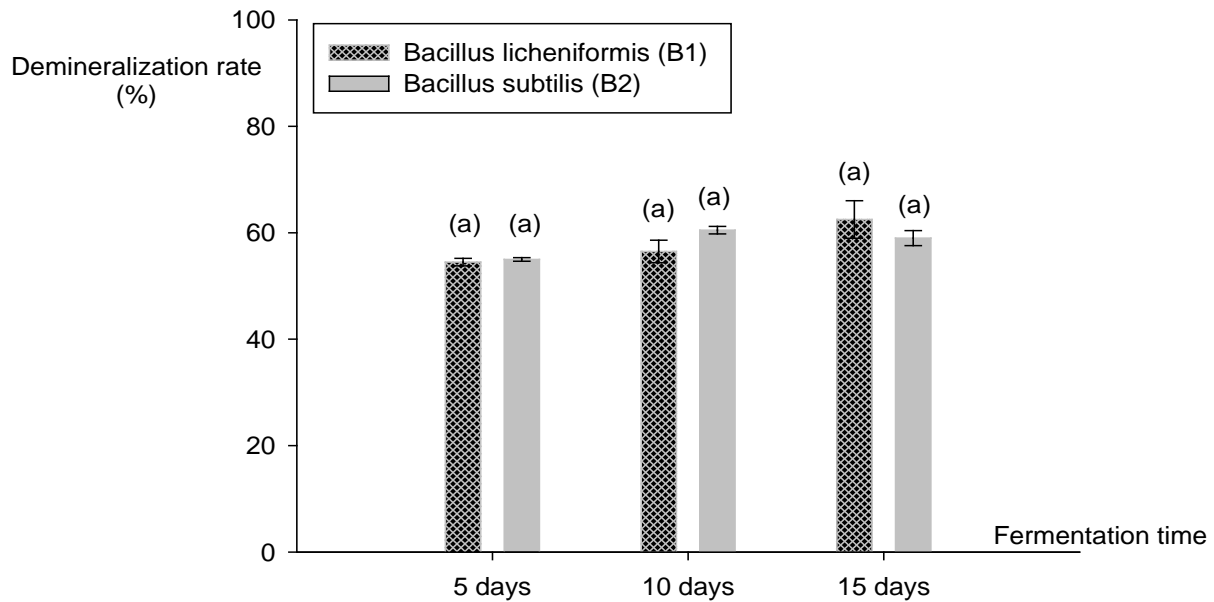


- 1 **Figure 2.** Demineralization (DM) and deproteination (DP) rates obtained by chemical extraction.
- 2 Values denoted with different lower case letter differ significantly at $P < 0.05$ by one-way ANOVA and Tukey's post hoc analyses. Each value is the mean of three independent replicates.
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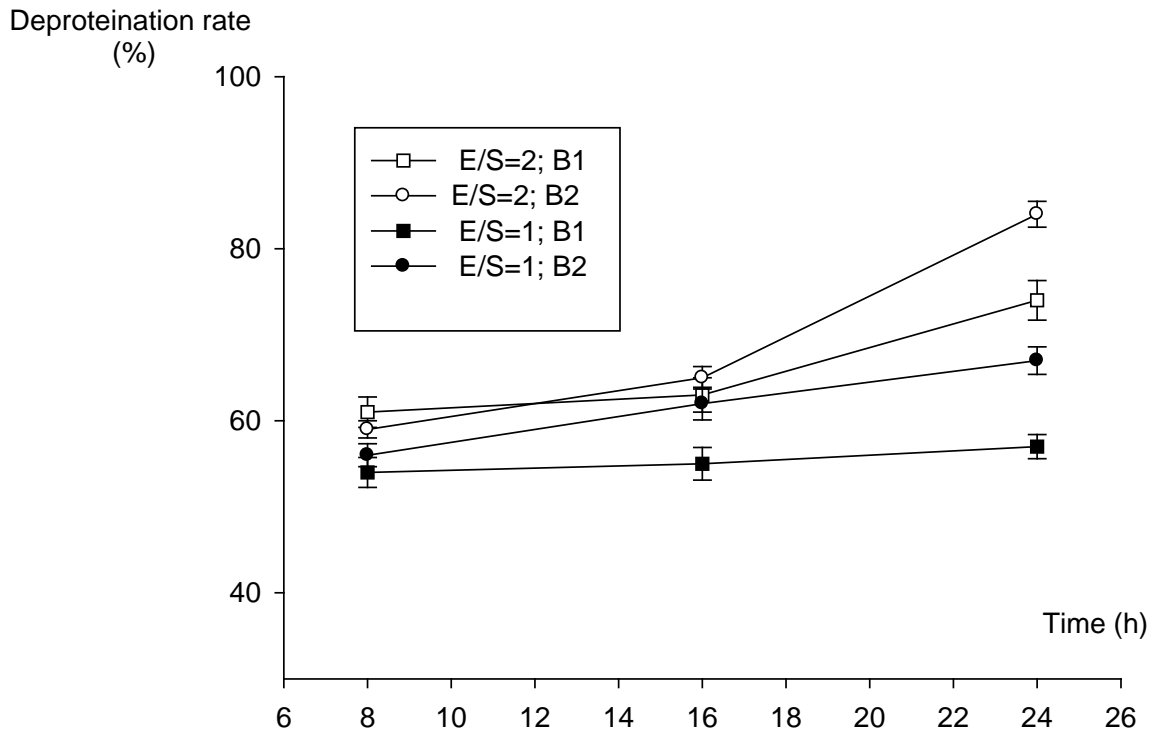
2 **Figure 3.** Optimization of the operating conditions for DM using sea water (35 g L^{-1})



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Figure 4. Demineralization (DM) and Deproteinization (DP) rates obtained by fermentation of crustacean waste medium supplemented with glucose and yeast extract. Fermentation was carried out till 5th, 10th and 15th days using *Bacillus licheniformis* (B1) and *Bacillus subtilis* (B2). Values denoted with different lower case letter differ significantly at P<0.05 by one-way ANOVA and Tukey's post hoc analyses. Each value is the mean of three independent replicates.

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3 **Figure 5.** Optimization of the enzyme/substrate ratio (E/S) for crude protease obtained by
4 *Bacillus licheniformis* (B1) and *Bacillus subtilis* (B2). Each value is the mean of three
5 independent replicates.

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