

RESEARCH ARTICLE

Apolipoprotein E4-driven effects on inflammatory and neurotrophic factors in peripheral extracellular vesicles from cognitively impaired, no dementia participants who converted to Alzheimer's disease

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Abstract

Introduction: In brain, extracellular vesicles (EVs) play an essential role in the neuron-glia interface and ensure the crosstalk between the brain and the periphery. Some studies now link the pathway dysfunction of the EVs to apolipoprotein E gene variant (APOE ϵ 4) and the risk of progression to Alzheimer's disease (AD). To better understand the role of APOE ϵ 4 in pre-clinical AD, we have determined levels of pathogenic, neurotrophic and inflammatory proteins in peripheral EVs (pEVs) and in plasma from cognitively impaired, no dementia (CIND) participants stratified upon the absence (APOE ϵ 4⁻) or the presence (APOE ϵ 4⁺) of the ϵ 4 allele of APOE.

Methods: Levels of 15 neurodegenerative, neurotrophic and neuroinflammatory proteins were quantified in pEVs and compared to their plasma levels from cognitively normal and CIND participants.

Results: Levels of neurotrophic and inflammatory markers were reduced in pEVs from APOE ϵ 4⁺. The pentraxin-2/ α -synuclein ratio measured in pEVs was able to predict AD 5 years before the onset among APOE ϵ 4⁺-CIND individuals.

Discussion: Our findings suggest an alteration of the endosomal pathway in APOE ϵ 4⁺ and that pEVs pentraxin-2/ α -synuclein ratio could serve as a useful early biomarker for AD susceptibility.

KEYWORDS

Alzheimer's disease, biomarkers, DJ-1, extracellular vesicles, lipocalin, pentraxin-2, S100B, α -synuclein

1 | INTRODUCTION

Alzheimer's disease (AD) is the predominant form of dementia and the most common neurodegenerative disorder.¹ Advanced age and the presence of the ϵ 4 allele of the apolipoprotein E gene (APOE) are

the most relevant late-onset sporadic AD-promoting factors as they interact with the core mechanisms of this neurologic disorder.^{2,3} ApoE is essential to modulate cerebral lipid homeostasis and neurogenesis with the ApoE4 isoform promoting less efficiently the transport of essential lipids to neurons. In addition, proteolytic degradation of the

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amyloid beta ($A\beta$) peptide is compromised in *APOE* $\epsilon 4$ carriers, thus increasing amyloidosis and the risk of progression to AD.⁴ However, other mechanisms involving *APOE* $\epsilon 4$ in brain malfunction related to AD pathology remain to be explored.

With the lack of efficient pharmacotherapeutic options and early AD diagnosis, the challenge is now to identify preclinical AD biomarkers. In this context, subjective cognitive decline (SCD) was defined as a stage preceding mild cognitive impairment (MCI) and probably the earliest clinical sign of AD.⁵ Before the establishment of a conceptual framework for research on SCD, various terms were used in the literature to refer to intermediate states between normal cognition and dementia such as “cognitive impairment, no dementia (CIND),” “subjective cognitive concerns,” “subjective cognitive impairment,” “subjective memory complaint,” and others.^{6,7} In addition, common techniques for AD diagnosis such as neuroimaging (magnetic resonance imaging [MRI] and positron emission tomography [PET] scan) and cerebrospinal fluid (CSF) collection are expensive, invasive, and relatively accurate, which entails the development of more cost-effectiveness and valid procedures. Therefore, identification of early and novel candidate blood-based biomarkers emerges as one of the current major challenges.

Extracellular vesicles (EVs) are membrane-shedding nanoparticles that are released from different cell types as mediators of cell-to-cell communication. EVs form a heterogeneous group with varying composition and metabolic fates and can transport and transfer various molecular components (eg, nucleic acids, proteins, and lipids) involved in the regulation of active signaling pathways.⁸ In brain, EVs are involved in neuron-glial interface, in neuroprotection, as well as in the dissemination of neuropathologic components (such as $A\beta$, tau) notably between the brain and periphery.^{9–11} Recently, we demonstrated an early reduction of t-tau and the amyloid precursor protein (APP) in plasma-derived EVs (pEVs) isolated from patients with MCI and found that p-tau₁₈₁ and APP concentrations in pEVs were correlated to cognitive performances.¹²

Cumulative evidence suggests that the dysfunctional endosomal-lysosomal pathway is a prominent pathogenic mechanism in AD.^{13,14} Neuronal endosomal changes were associated with the expression of the *APOE* $\epsilon 4$ allele in humanized mouse models as well as in humans. In transgenic *APOE* $\epsilon 4$ mice brain, the analysis of the endosomal-lysosomal system revealed an age-dependent increase in the number and size of early endosomes with an overexpression of genes involved in the normal processing of the endocytic pathway.¹⁵ Another study reported that *APOE* $\epsilon 4$ impairs the insulin receptor trafficking by trapping it in the endosomes, leading to pathogenic cerebral insulin resistance associated with AD risk.¹⁶ Moreover, it was described that *APOE* $\epsilon 4$ expression decreases EVs production in *APOE* $\epsilon 4$ mice and in post-mortem tissue of neuropathologically healthy people.¹⁷ These data strongly suggest that *APOE* $\epsilon 4$ may alter the endosomal trafficking and affect EVs composition and production from the endosomal pathway. In this context, targeting EVs-derived biomarkers in pre-clinical AD patients carrying *APOE* $\epsilon 4$ would be an innovative strategy to disclose the increased incidence of AD among *APOE* $\epsilon 4$ carriers and could eventually be used for early AD diagnosis.

RESEARCH IN CONTEXT

- 1. Systematic review:** Previous studies suggest that the apolipoprotein E gene variant *APOE* $\epsilon 4$ might disturb lysosomal-endosomal signaling pathway, which is a prominent pathogenic factor in Alzheimer's disease (AD). Our study provides the first attempt to disclose the interactions/crosstalk between the *APOE* $\epsilon 4$ variant and altered protein handling in peripheral extracellular vesicles (pEVs) and plasma from asymptomatic demented subjects that progress to AD.
- 2. Interpretation:** Our multicenter longitudinal clinical cohort study shows differential regulation of neurotrophic and inflammatory factors according to the presence of the *APOE* $\epsilon 4$ variant with a better sensitivity for pEVs compared to plasma. Our findings demonstrate that dysfunctional synaptic transmission occurs earlier than amyloid beta ($A\beta$) deposition and tangle formation in *APOE* $\epsilon 4^+$ preclinical patients at risk for developing AD and support the use of the neuronal pentraxin-2/ α -synuclein ratio as a strong candidate to predict AD onset.
- 3. Future directions:** Future investigations should consider larger sample size and include neuroimaging data to confirm the present finding.

The aim of this study was to determine whether changes in plasma and in pEVs of proteins involved in a variety of functions including neuroprotection, synaptic transmission, neuroinflammation, and neurovascular homeostasis, can predict the evolution of CIND patients to AD in *APOE* $\epsilon 4$ carriers.

2 | METHODS

2.1 | Study cohort

The present work was realized using data from the Canadian Study of Health and Aging (CSHA), a three-phase, 10-year multicenter longitudinal study. In CSHA-1 (1991-1992), participants were selected according to the Modified Mini-Mental State (3MS) Examination followed by a self-administered baseline risk factor questionnaire outlining the eligibility criteria (Table S1). A nurse, a physician, and a psychometrist clinically evaluated the participants. The physician and a neuropsychologist made independent preliminary diagnoses. A consensus diagnostic was thereafter reached between the two, according to the Diagnostic and Statistical Manual of Mental Disorders, Third Edition, Revised (DSM)-III-R for dementia,¹⁸ the National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association criteria

(NINCDS-ADRDA) for AD,¹⁹ the World Health Organization International Classification of Diseases, 10th Revision criteria (ICD-10) for vascular dementia (VaD),²⁰ and operational criteria for Lewy body dementia.²¹ Similar recruitment and diagnostic processes were realized 5 and 10 years later in CSHA-2 (1996-97) and CSHA-3 (2001-02) respectively, according to new criteria such as the DSM, Fourth Edition, (DSM-IV) for the diagnosis of dementia and AD,²² and the National Institute of Neurological Disorders and Stroke-Association Internationale pour la Recherche et l'Enseignement en Neurosciences (NINDS-AIREN) criteria to define VaD.²³ Participants who did not meet the criteria for dementia or for cognitively healthy older people were classified as CIND.

Accordingly, our study includes a convenience subsample of 24 CIND participants from CSHA-2 who were genotyped for the presence of the *APOE* ϵ 4 allele and prospectively evaluated for AD at CSHA-3. A subgroup of CIND- *APOE* ϵ 4⁺ participants who developed AD (CIND-AD *APOE* ϵ 4⁺) was further compared to age-matched healthy controls who were selected with respect to inclusion and exclusion criteria (Table S1).

All participants gave consent for use of their blood sample for subsequent analyses. This study was approved by the ethical committee at INRS (CER19-532).

2.2 | Blood sampling

Blood samples were obtained from participants, only at CSHA-2, on the same day of the clinical assessment in EDTA collection tubes and immediately centrifuged. Plasma samples were stored at -20°C at the National Microbiology Laboratory in Ottawa for 2 to 3 years, and then transferred in dry ice Styrofoam boxes to Saint-Sacrement Hospital in Quebec City where they were placed at -80°C until analysis.

2.3 | Isolation and characterization of extracellular vesicles

pEVs were extracted according to^{12,24,25} and were characterized following the directive of the methodological guidelines to study EVs.²⁶

2.3.1 | Nanoparticles tracking analysis

Various pEVs suspensions were diluted in ultra-pure filtered water (1:1000) and injected into the Nanosight NS300 system (Malvern Panalytical instruments, Inc., UK) under the same operating conditions: syringe flow (100), camera level (14), detection threshold (5), capture duration (60s), number of capture (3), particles per frame (30 to 80), and temperature (23.5°C). Videos were stored and analyzed by an appropriate software (NTA 3.2) that tracks and relates the rate of Brownian motion to particle size and concentration.

2.3.2 | Transmission electron microscopy

EVs suspensions were fixed with 2% paraformaldehyde prior to transfer on cast films of Formvar strengthened with a layer of evaporated carbon prepared on copper grids. Uranyl acetate (2%) was added as a negative staining reagent. Grids were washed and then analyzed using the Hitachi H-7100 TEM instruments.

2.3.3 | Western blot analysis

Blocked PVDF membranes containing equivalent amounts of pEVs proteins (10 μg) were incubated 16 hours at 4°C with the following primary antibodies: mouse anti-tetraspanin CD63 and anti-calnexin (1:500) (Santa Cruz Biotechnology, Inc.) and rabbit anti-TSG101 (1:2000) (MyBiosource, USA). Membranes were then washed by 0.1% TBS-Tween and incubated 1 hour at room temperature with the secondary antibodies HRP-conjugated anti-mouse (1:1000) and anti-rabbit (1:2000) (Cell Signaling, Inc). Finally, membranes were stained with the enhanced chemiluminescence kit (Bio-Rad) and visualized using the ChemiDoc imaging system.

2.3.4 | Dual immune-labeling of pEVs

Double-labeling of pEVs with the lipophilic PKH67 dye (PKH67GL-1KT, Millipore) and rabbit recombinant monoclonal TSG101 antibody conjugated to Alexa Fluor 594 was conducted as per manufacturer's instructions. Concisely, 100 μg of pEVs were pooled with 0.25 mL of PKH67 dissolved in dilution buffer and gently mixed at room temperature for 10 minutes. Staining was then blocked using exosome-depleted FBS (1/4 V/V) (Life Technologies, USA) and the reaction mixture was placed into 100 kDa filter tube (Amicon UFC810024, Millipore) followed by centrifugation at 3000 *g* for 15 minutes. The obtained pellet was washed with PBS (1X) and supernatant was collected. The labeled pEVs fraction was further stained with fluorescent anti-rabbit TSG101 coupled with Alexa Fluor 594 (1:500) (Cell Signaling, USA) for 1 hour at 25°C . Fluorescence excess was removed by filtration and by washing with PBS. Image analysis was performed using the Zeiss LSM780 laser scanning confocal microscope.

2.4 | Luminex assay

The pEVs' protein content of BDNF, APP, NSE, NPTX-2, α -Syn, DJ-1, MMP-9, S100B, PrGN, LCN-2, and ANGPTL-4 was determined by the multiplex Luminex assay (LXSAHM-11, R&D Systems, Inc.,). Similarly, the accumulation of the protein fragments $A\beta_{1-40}$, $A\beta_{1-42}$ and different forms of the protein tau (t-tau and p-tau₁₈₁) were evaluated by another Luminex assay (HNABTMAG-68K, Millipore-Sigma). Data were processed using an analytical software coupled to the Luminex 100/200 machine (Xponent 4.2, USA) and results were normalized according

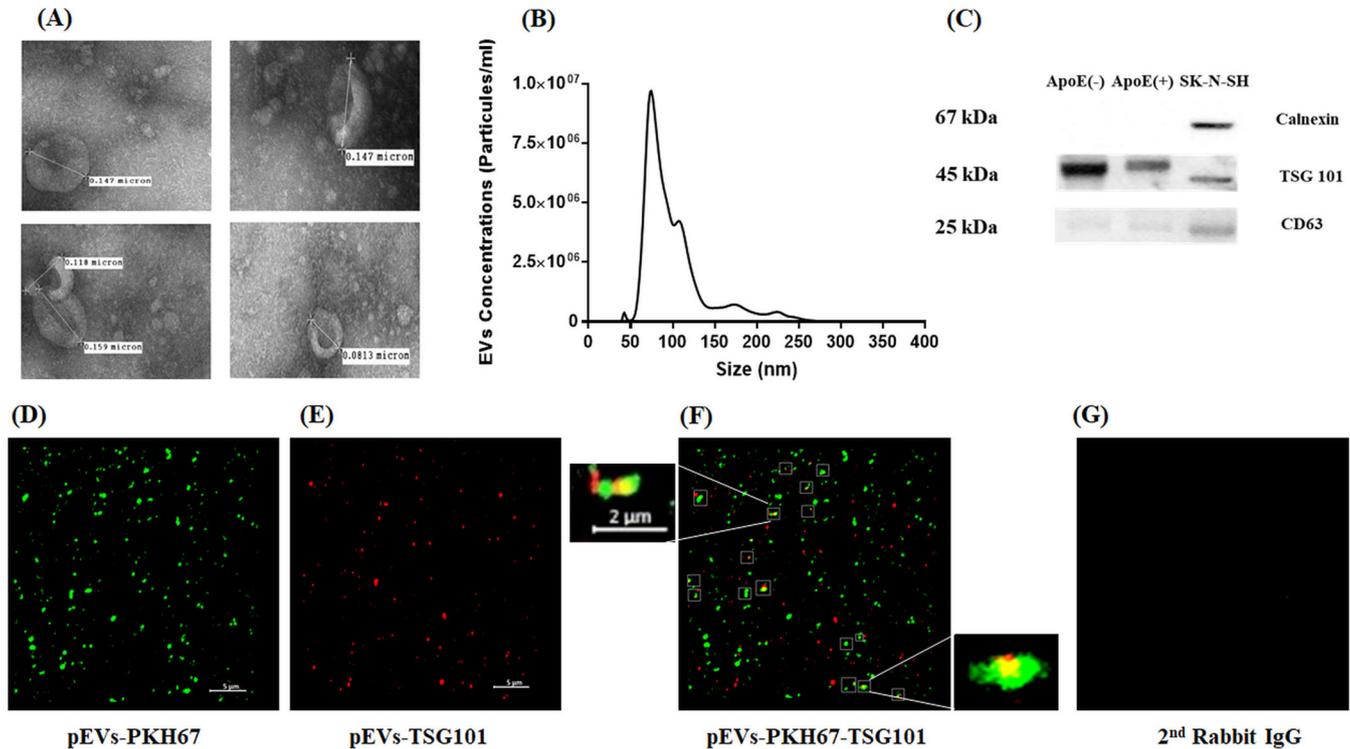


FIGURE 1 Characterization and visualization of pEVs. (A) TEM images of pEVs; magnification 40,000 \times . (B) Analysis of the size distribution and concentration. (C) Western blotting for exosomal proteins TSG101 and CD63. (D) Confocal imaging of EVs labeled with PKH67. (E) TSG101 antibodies using Alexa Fluor 594. (F) Co-localization of PKH67 and TSG101. (G) Anti-rabbit antibodies with pEVs

to the total pEVs' protein amount. Some values were below the limit detection, which reduced the average number of participants per group but maintained adequate statistical analysis. Limit detection sensibility for the analytes is described (Table S2).

2.5 | Statistical analysis

The Kolmogorov-Smirnov and Shapiro-Wilk tests were used to verify normal distribution. Statistical analysis was performed by Student's unpaired *t* test using the GraphPad Prism software version 6.0. Clinical and sociodemographic characteristics and correlation parameters analysis were carried out using the SPSS software (SPSS v18.0, Inc., IL, USA). The cognitive Mini-Mental State Exam (MMSE) scores derived from the 3MS examination were used to compare cognitive performance of the participants. All results were given as means \pm standard error of the mean (SEM) and the difference was considered statistically significant at $P < .05$.

3 | RESULTS

The main clinical features of the study participants are summarized in the Table S3. As a critical risk factor for AD, age was matched between groups. There were no statistical differences in terms of sex distribution, education, and MMSE scores. To refine the heterogeneity of the

disease, we have stratified patients according to the absence (*APOE* $\epsilon 4^-$) and the presence (*APOE* $\epsilon 4^+$) of the *APOE* $\epsilon 4$ allele. Following the screening for dementia over a 5-year period, a subgroup of *APOE* $\epsilon 4^+$ CIND-AD participants was compared to cognitively healthy older people. The main outcome clearly demonstrates a significant difference in cognitive scores. Meanwhile, similar records were obtained for education, age, and sex ratio (Table S4).

Different methods were employed to reflect the full spectrum of EVs properties (Figure 1A-G). The morphology of pEVs was cup-shaped (Figure 1A) and NTA analysis showed a distribution of particles sizes ranging from 30 to 260 nm (Figure 1B). Immunoblotting was used to detect specific EVs-associated proteins (CD63 and TSG101), whereas calnexin was absent (Figure 1C). These results were further supported by confocal microscope imaging that clearly confirms the presence of the TSG101 marker as revealed by the merging with PKH67 fluorophore (green) and the specific TSG101 fluorescent antibody (red) (Figure 1D-G).

An equal number of pEVs was reported between CIND- *APOE* $\epsilon 4^-$ and CIND- *APOE* $\epsilon 4^+$ participants (Figure 2A). Of interest, in pEVs subpopulations, the mean size was lower in *APOE* $\epsilon 4^+$ carriers than noncarriers (Figure 2B). The total protein concentration in pEVs and in plasma was similar (Figure 2C-D) and will be used to normalize the analyzed proteins levels.

The presence of *APOE* $\epsilon 4$ does not impact on the levels of $A\beta_{1-42}$, *t*-tau, and *p*-tau₁₈₁, and the ratio $A\beta_{1-42}/p$ -tau₁₈₁ in pEVs (Figure S1A-D). In addition, pEVs $A\beta_{1-40}$ and plasma levels were not detectable in

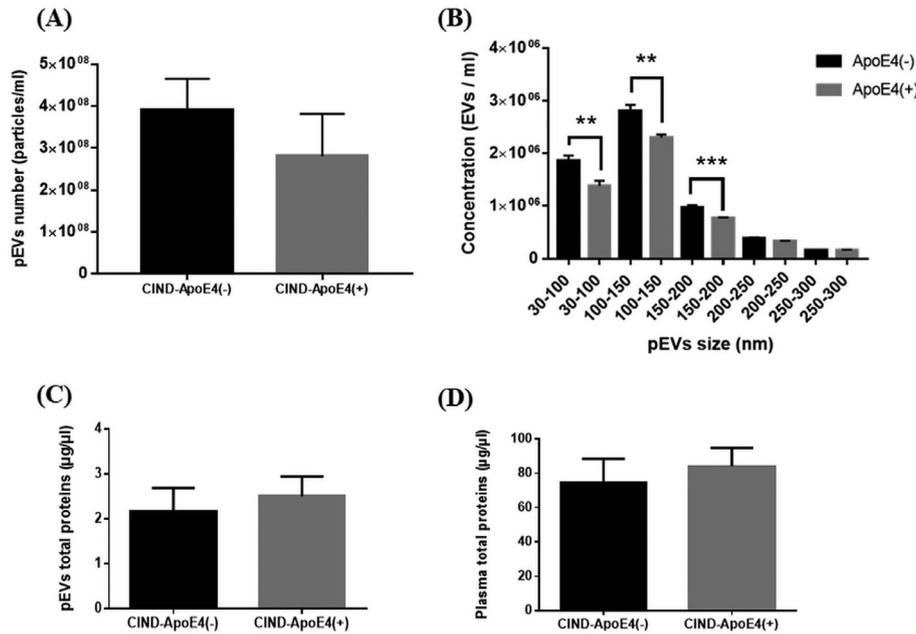


FIGURE 2 Effects of APOE ε4 variant on pEVs and plasma characteristics. (A) Effect on pEVs number. (B) Effect on pEVs size distribution. (C) Effect on pEVs protein content. (D) Effect on plasma protein content. ** $P < .01$, *** $P < .001$

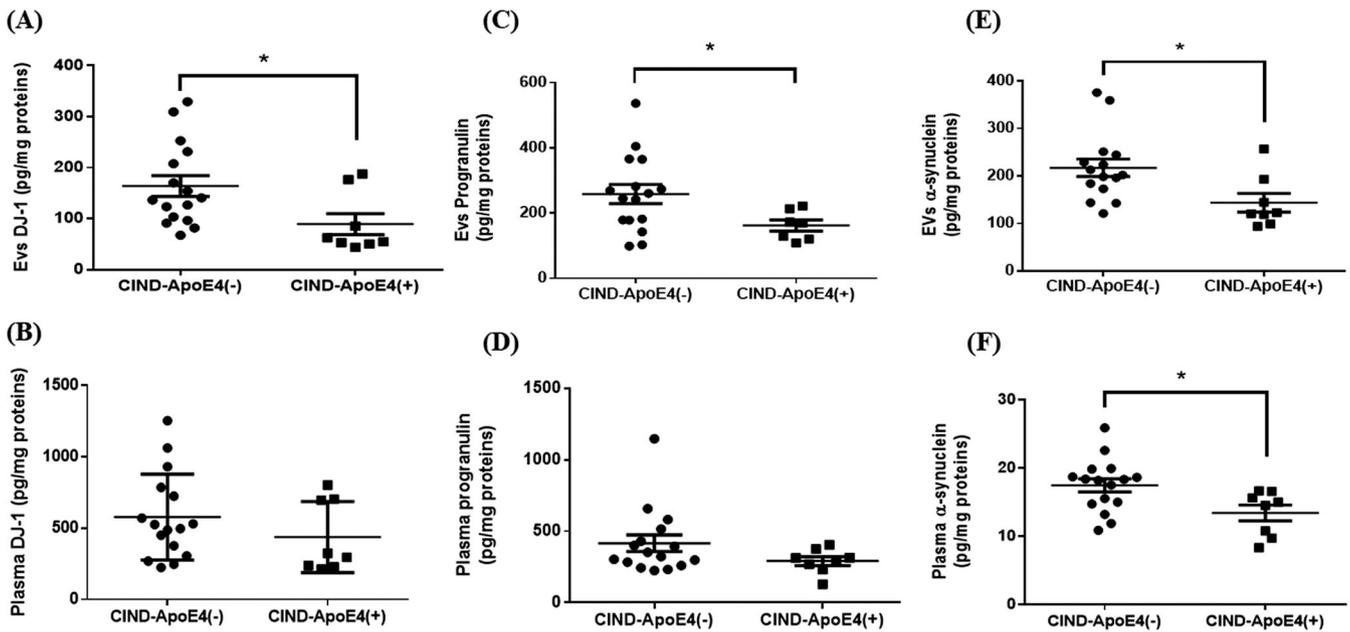


FIGURE 3 Levels of neurotrophic factors in pEVs and in plasma. Levels of DJ-1, progranulin, and α-synuclein measured in pEVs (A, C, E) and in plasma (B, D, F). Graph points indicate individual values for each participant. (–), ε4 non-carriers; (+), ε4 carriers; apoE, apolipoprotein E; CIND, cognitively impaired, no dementia; EVs, extracellular vesicles. Statistical analysis was performed using the Student t test for DJ-1 (EVs and plasma) and plasma α-synuclein. For progranulin (EVs and plasma) and EV α-synuclein, the Mann-Whitney test was used. Values are mean ± SEM with * $P < .05$ versus CIND-ApoE4(–)

most samples (data not shown). The profile of inflammatory and trophic factors measured in CIND patients showed that APOE ε4 is associated with lower levels of neurotrophic markers such as DJ-1, PrGN, and α-Syn, with a higher discriminating capacity in pEVs compared to plasma except for α-Syn (Figure 3A-F). However, there was no difference between CIND-APOE ε4⁺ and APOE ε4⁺ in pEVs and plasma levels

of NSE, APP, MMP-9, and BDNF (Fig. S2A-D). Of note, the concentrations of DJ-1, PrGN, and NSE were lower, whereas α-Syn and APP were higher in pEVs as compared to their plasma levels.

For the first time, neuroinflammatory markers (LCN-2, S100B, NPTX-2, and ANGPTL-4) were evidenced in pEVs, with the same range levels in pEVs and in plasma except for S100B, which was 100-fold

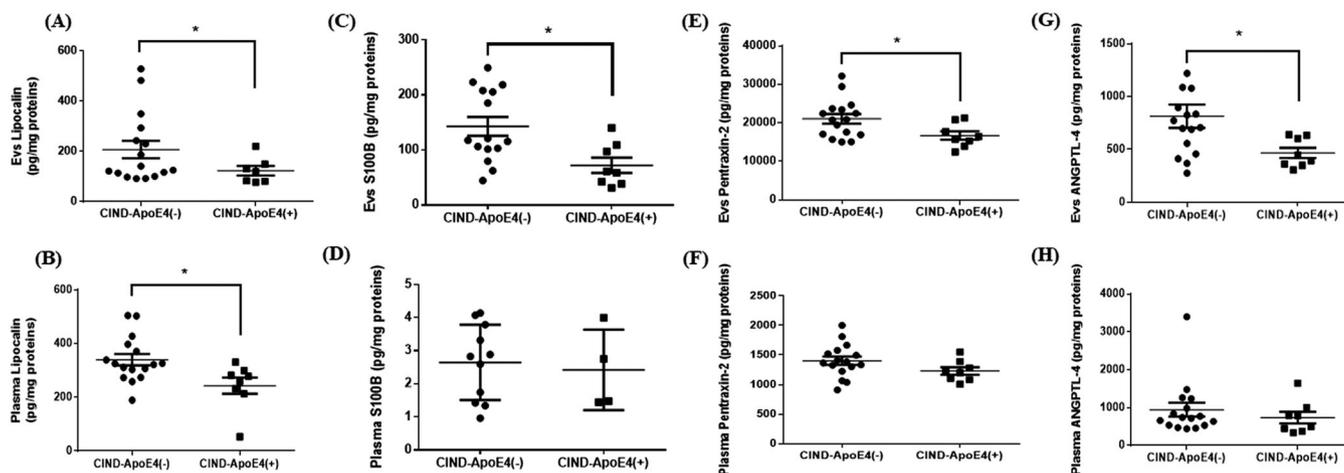


FIGURE 4 Levels of inflammatory factors in pEVs and in plasma. Levels of lipocalin, S100B, pentraxin-2, and ANGPTL-4 measured in pEVs (A, C, E, G) and in plasma (B, D, F, H). Graph points indicate individual values for every participant. (–), $\epsilon 4$ non-carriers; (+), $\epsilon 4$ carriers; apoE, apolipoprotein E; CIND, cognitively impaired, no dementia; EVs, extracellular vesicles. Statistical analysis was performed using the Student t test for S100B and pentraxin-2 (EVs and plasma) and plasma lipocalin and the Mann-Whitney test for ANGPTL-4 (EVs and plasma) and EVs lipocalin. Values are mean \pm SEM with * $P < .05$ versus CIND-ApoE4(–)

TABLE 1 Univariate correlation of plasma and EVs studied factors with APOE genotype among the study population

		NPTX-2 EVs	ANGPTL-4 EVs	DJ-1 EVs	S100B EVs	PrGN EVs	α -syn plasma	LCN-2 plasma
ApoE	r	–.431	–.415	–.444	–.518	–.414	–.475	–.487
	p	.035*	.044*	.030*	.011*	.049*	.019*	.016*
	N	24	24	24	23	23	24	24

ANGPTL-4, angiopoietin-like 4; EVs, extracellular vesicles; LCN-2, lipocalin-2; N, number of participants; NPTX-2, pentraxin-2; p, significance (2-tailed); PrGN, progranulin; r, Pearson correlation coefficient; α -syn, α -synuclein.

* , $P < 0.05$.

higher in pEVs (Figure 4A–D). In CIND-APOE $\epsilon 4^+$, LCN-2 levels were lower in plasma and in pEVs, whereas S100B, NPTX-2, and ANGPTL-4 were selectively lower in pEVs as compared to plasma (Figure 4A–D).

To further characterize the influence of the APOE $\epsilon 4$ variant on the changes of vesicular and plasma protein concentration, we have determined the linear regression causal relationship between the $\epsilon 4$ allele (as dependent variable), and the studied proteins (as independent variables). Accordingly, the presence of the APOE $\epsilon 4$ allele was negatively associated with the pEVs levels of NPTX-2, ANGPTL-4, DJ-1, S100B, and PrGN as well as the plasma level of α -Syn and LCN-2 (Table 1).

Our prospective follow-up assessment revealed that among the eight CIND-APOE $\epsilon 4^+$ individuals, five developed AD, two developed VaD, and one remained CIND 5 years later. Concerning the CIND-APOE $\epsilon 4^-$ group, six developed mixed type of dementia, five remained CIND, four developed VaD, and one developed AD 5 years later. The same protein profile was compared between the subgroup of five CIND-AD APOE $\epsilon 4^+$ patients and cognitively healthy older people. α -Syn level in pEVs was lower in the CIND-AD APOE $\epsilon 4^+$ group (Figure 5A), whereas no differences were observed for other proteins (Fig. S3). Of interest, a strong correlation was observed between NPTX-2 and α -Syn levels (Figure 5B) with the NPTX-2/ α -Syn ratio being higher in the CIND-AD

APOE $\epsilon 4^+$ group and negatively correlated with the MMSE scores (Figure 5C–D).

4 | DISCUSSION

The inheritance of the APOE $\epsilon 4$ is the most important genetic risk factor associated with late-onset AD and the major genetic predictor of sporadic AD progression, especially in patients with amnesic MCI.²⁷ To gain a thorough understanding of the role of APOE $\epsilon 4$ in AD susceptibility, we sought to determine its effects on the pEVs' cargo proteins and in plasma from CIND participants.

We found that the presence of APOE $\epsilon 4$ did not affect the total density of pEVs. However, CIND-APOE $\epsilon 4$ participants released fewer pEVs in the range of 30 to 200 nm, which typically corresponds to EVs formed by an endosomal route.²⁸ The endocytic pathway plays an important role in A β production, apoE function, and exosomes biogenesis. In brain, Cataldo et al. demonstrated that alteration of the endocytic pathway occurs very early intraneuronally, preceding A β deposition and AD neuropathological hallmarks.²⁹ In line with these intraneuronal changes, alteration of the endocytic pathway leads to decreased release of EVs in AD brain from APOE $\epsilon 4$ carriers.¹⁷ Our results

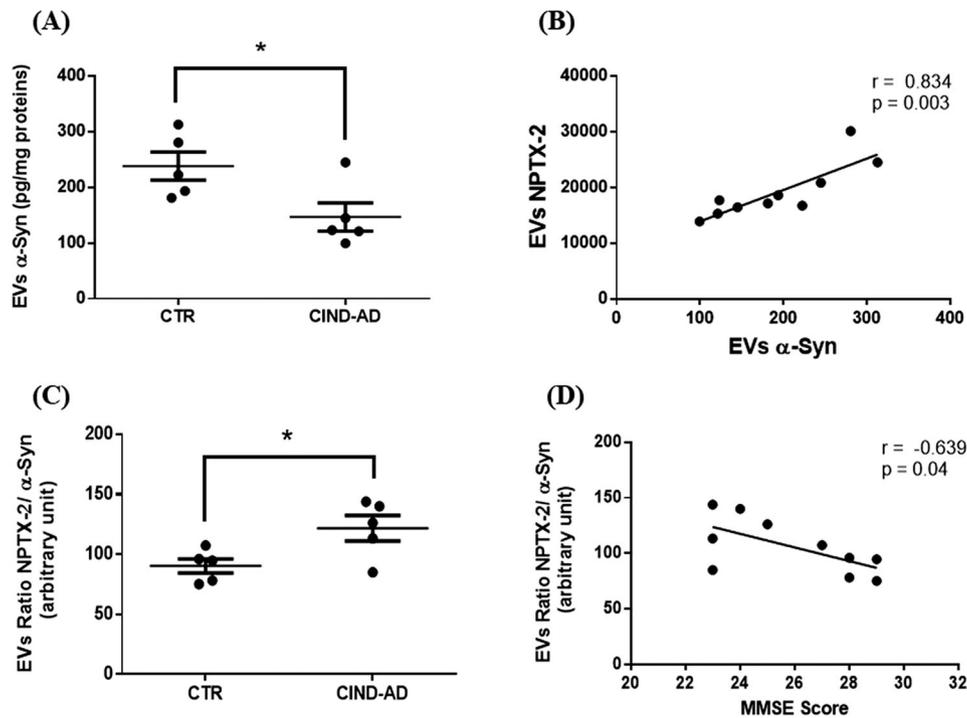


FIGURE 5 Levels and statistical correlation of pentraxin-2 and α -synuclein in pEVs with cognitive performance. (A) EVs levels of α -Syn between controls and CIND-AD patients. (B) Correlation between NPTX-2 and α -Syn levels in EVs. (C) EVs NPTX-2/ α -Syn ratio between controls and CIND-AD patients. (D) Correlation between EVs NPTX-2/ α -Syn ratio and MMSE score. Graph points indicate individual values for every participant. CIND-AD, cognitively impaired, no dementia-Alzheimer's disease; CTR, controls; EVs, extracellular vesicles; NPTX-2, pentraxin-2; p, significance (Student *t* test); *r*, Pearson correlation coefficient; α -Syn, α -synuclein. The correlation coefficient (Pearson *r*) and *P* values were determined using Pearson correlation. Statistical analysis was performed using the student *t* test for EVs α -Syn levels and EVs NPTX-2/ α -Syn ratio. Values are mean \pm SEM

demonstrated that in periphery, pEVs release was lower up to 5 years before the clinical onset of AD, before changes in neuropathogenic proteins ($A\beta$ and tau) in pEVs. Altogether these results demonstrated that in *APOE* $\epsilon 4$ carriers, EVs production was compromised very early in brain and in the periphery.

The impact of *APOE* $\epsilon 4$ on both pEVs number and protein cargo could be explained by pEVs enrichment in lipids.³⁰ EVs biogenesis depends largely on the interaction between the endosomal sorting complex required for the transport (ESCRT) and the membrane-associated lipids (mainly cholesterol, sphingolipids, and ceramides).⁸ Considering that the primary function of apoE is to transport cholesterol-laden lipids that are essential to cell membrane structure and that *APOE* $\epsilon 4$ functions less efficiently than the *APOE* $\epsilon 3$ and *APOE* $\epsilon 2$ variants in this process,³¹ suggest that *APOE* $\epsilon 4$ affect EVs formation and budding. Therefore, the pathophysiological crosstalk between *APOE* $\epsilon 4$ and the endosomal system could result in abnormal changes such as small particle size formation and selective protein processing in pre-AD *APOE* $\epsilon 4$ carriers.

In CIND- *APOE* $\epsilon 4^+$ participants, we found significant differences in trophic and inflammatory proteins in pEVs, which indicates early alteration of the pEVs cargo proteins and suggests an early reduction of cells to disseminate components by the endosomal-lysosomal mechanism. Recently, we demonstrated that these proteins are released from neuronal-derived EVs under stress conditions.²⁴ Because EVs were

suggested to shuttle from the brain to the bloodstream by crossing the multiple layers of the blood-brain barrier,³² the pattern of these proteins in pEVs could be an indicator of the modifications of the inflammatory and neurotrophic status in the brain from CIND participants. In this context, a growing number of studies are focusing on isolating and analyzing the protein signature of plasma EVs enriched from brain origin. Of interest, some synaptic and neurotrophic proteins, as in our pEVs, were found to be altered in neuronal-derived EVs.^{32,33} However, the brain specificity of these EVs was based on the immunoreactivity of EVs surface marker proteins (specifically L1CAM), which are highly expressed in the CNS but also present in other peripheral cell types. Additional research efforts are needed to optimize methods that enable high-yield capture of enriched brain EVs. Moreover, cumulative evidence now relates metabolic disorders to sporadic AD onset and can promote disease progression.³⁴ Hence, pEVs content might be relevant to disclose the role of systemic disorders in cerebral pathologies.

Our results revealed that some selected proteins (α -Syn, NPTX-2, S100B) were enriched in pEVs as compared to plasma and that pEVs displayed higher sensibility to detect protein variations, which suggest their use instead of plasma to reflect systemic changes. This is probably due to the presence of lipid bilayer membrane that surrounds and protects pEVs contents from the degradation by enzymes (ie, proteases), red blood cells, or liver and thus preserves them as a source of pathological and physiological information.

It was reported that NPTX-1 was increased in plasma from MCI, which progressed to early AD as well as in brain and plasma from E4FAD mice (*APOE* $\epsilon 4/\epsilon 4$ / *FAD*^{+/-}) following an infusion of A β oligomers.³⁵ In pEVs, we did not observe any difference in NPTX-2 level between healthy participants and CIND-AD, which confirms that NPTX-2 is increased only in the presence of an overexpression of A β ,³⁶ which was not observed in CIND-AD participants.

Misfolded proteins such as α -Syn may spread through exosomes in the brain.³⁷ However, these pathological features are not limited to the brain; they can also be found in CSF. CSF α -Syn levels were also associated with AD risk in preclinical and MCI individuals^{38,39} and were increased in *APOE* $\epsilon 4$ MCI patients who progressed to AD.⁴⁰ pEVs α -Syn could also be released by red blood cells, which highly expressed α -Syn⁴¹ and was found to be lower in *APOE* $\epsilon 4$ AD patients.⁴² For the first time, we have evidence of the presence of α -Syn in pEVs. In contrast to its CSF level, it is reduced in pEVs from CIND-*APOE* $\epsilon 4^+$ and from CIND-AD *APOE* $\epsilon 4^+$, indicating that its reduction in pEVs is observed up to 5 years before the clinical onset of AD. The observed decrease of the soluble form (monomers) could be related to an intracellular accumulation of α -Syn in form of aggregates (polymeric forms). This finding is relevant, since experimental evidence has further linked α -Syn accumulation to intracellular aggregation and hyperphosphorylation of tau⁴³ and also to the overproduction of A β via specific molecular interactions with presenilin 1.⁴⁴ Moreover, it has been suggested that apoE may be involved in the distribution of α -Syn between the extracellular and intracellular matrix and that the *APOE* $\epsilon 4$ variant specifically reduces α -Syn uptake.⁴⁵ These results underlie some biological processes that could explain the conversion of CIND patients to AD among *APOE* $\epsilon 4$ carriers.

α -Syn is a presynaptic protein that controls exocytosis at various neurotransmitter systems in the brain by managing synaptic vesicles fusion, release, and recycling.⁴⁶ NPTX-2 binds and induces clustering of postsynaptic ionotropic AMPA-type glutamatergic receptors to regulate synaptic plasticity and to maintain long-term potentiation.^{47,48} Thus both synaptic proteins work simultaneously to ensure enhanced neuronal flux transmission. Our results suggest also that the NPTX-2/ α -Syn ratio measured in pEVs allows an accurate classification of CIND participants that convert to AD. This ratio was related to the presence of the *APOE* $\epsilon 4$ allele and correlated with the MMSE scores. Taking into consideration that early memory decline in AD is attributed to synaptic loss,^{49,50} this ratio may therefore be indicative of altered synaptic function and may reflect the extent of cognitive impairment between preclinical and clinical AD stages. Because it is broadly recommended in biomarker analysis to consider a combination of markers to obtain more informative data, the present ratio could provide a better sensibility assessment for early AD diagnosis.

5 | CONCLUSIONS

This study provides comprehensive insight and enhances our knowledge of the emerging role of *APOE* $\epsilon 4$ in abnormal pEVs cargo proteins processing and the identification of blood-based biomarkers. The

measurement of ratio between NPTX-2 and α -Syn in pEVs might be an innovative strategy for monitoring the conversion of CIND patients to AD. However, it is important to note that the assessment of these markers only at the CIND stage might be insufficient to establish a solid pathological linkage. This implies the need to explore this ratio also in CIND patients after their conversion to AD in order to validate a cause-and-effect relationship. Another potential limitation of this study is the time span between plasma provision and marker assessment. In fact, plasma storage conditions can affect the level of some proteins, which tend to change when samples are frozen or exposed to different storage temperatures. However, the fluctuation of protein levels between different groups due to storage is limited because all of our plasma samples were kept in the same conditions. In addition, the sample size is adequate for statistical analysis but not large enough for epidemiological considerations. Therefore, these results require additional studies with larger samples of participants selected from the general population to establish this novel synapse-derived ratio as a reliable biomarker to anticipate AD and an extensive analysis of pEV protein content with regard to the role of the *APOE* $\epsilon 4$ variant.

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CONFLICT OF INTEREST

The authors report that they have no conflict of interest to disclose.

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