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**Engineering the plant microbiota in the context of the theory of ecological communities**

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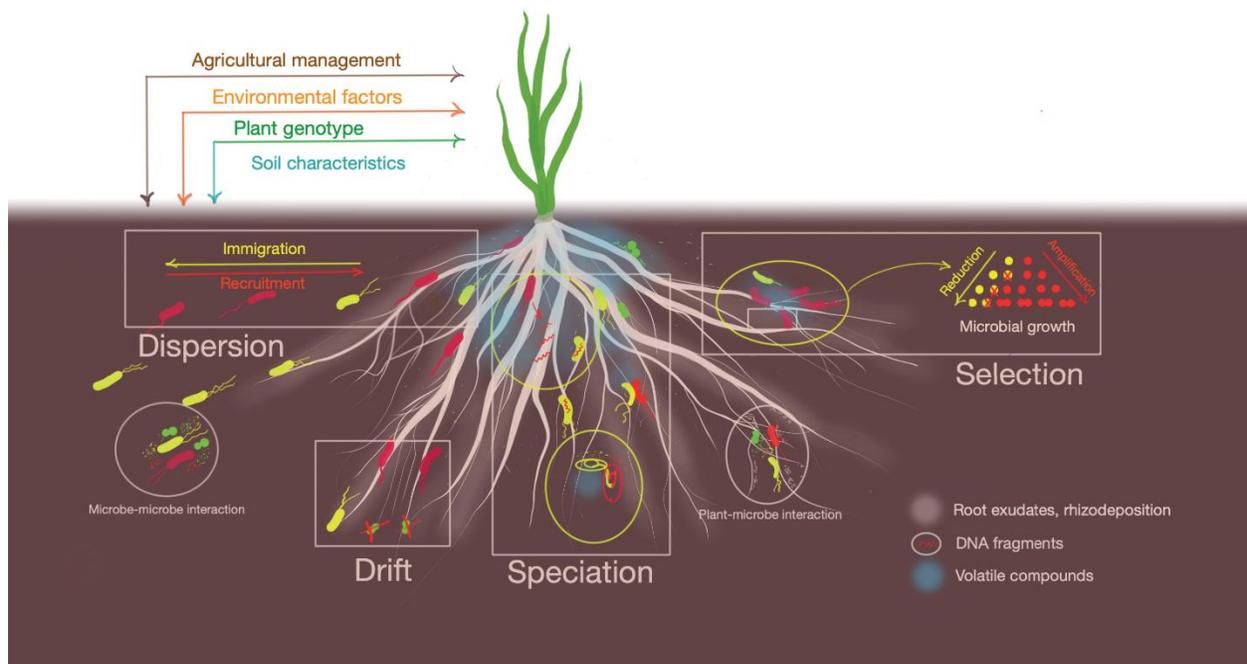
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17 **Abstract**

18 Crop-associated microorganisms are known to have a determining influence on crop growth and  
19 resistance to stresses. Indeed, microorganisms can deter pathogens, reduce stress levels, improve  
20 nutrition, and stimulate growth. However, the microbial communities associated with a plant are  
21 rarely optimal for agricultural needs. But how can we engineer crops-associated microbial  
22 communities? An interesting framework to address this question is the theory of ecological  
23 communities that stipulates four processes by which communities can change: 1) selection, 2)  
24 dispersal, 3) speciation and 4) ecological drift. Of these, speciation and dispersal can result in the  
25 addition of new species to the plant microbiota, whereas selection and drift can lead to the loss of  
26 species. We believe that if these mechanisms are sufficiently understood, they could be harnessed  
27 to purposefully engineer the crop microbiota. Here, we will discuss the recent efforts to modify  
28 the phenotype of plants that are aligned with these ecological processes.

29

30 **Graphical abstract**



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## 34 **Introduction**

35           Due to global changes, biotic and abiotic pressure on agriculture will only increase in the  
36 future, resulting in lower yields and lower quality produce while the global population climbs  
37 toward the 9 billion mark. The unprecedented rate of these changes calls for novel, rapid  
38 approaches to improve the resistance and resilience of crops to stresses. One such approach is to  
39 engineer the crop-associated microbial communities, as microorganisms are known to provide  
40 vital service to plants such as increasing nutrition, deterring pathogens, protecting from stresses,  
41 and stimulating growth, amongst others. However, because of various co-evolutionary  
42 mechanisms, microbial communities interacting stably with the plant host are not necessarily  
43 providing the optimal services to the plant and might even be deleterious. The question then  
44 becomes: How can we revert this stable equilibrium and engineer the plant microbial communities  
45 for optimal services to the crops? An interesting theoretical framework to start addressing this  
46 question is the theory of ecological communities [1] that stipulates that there are four high-level  
47 processes by which ecological communities can be modified (Fig. 1): 1) selection, by reduction or  
48 amplification of community members already present within the host, 2) dispersal, by recruitment  
49 or immigration of new community members from the environment (soil, water or air), 3)  
50 speciation, by gradual mutation or, perhaps more importantly for bacteria, horizontal gene transfer  
51 (HGT) and 4) ecological drift. We believe that, if correctly understood in their proper theoretical  
52 framework, some of these ecological processes could be harnessed to purposefully modify the  
53 microbial communities of crops. Here, we will review plant microbiota engineering efforts made  
54 in the last few years through the lens of the theory of ecological communities. Since ecological  
55 drift is the random component of community dynamics, by definition it cannot be harnessed in the  
56 context of microbiota engineering, so it will not be included in our discussion.

## 57 **Engineering the plant microbiota**

### 58 **1. Selection**

59 When facing a stress or under varying environmental conditions, plant microbial communities  
60 undergo several modifications in term of their composition, diversity, and the relative and absolute  
61 abundances of its various members [2,3]. This in turn, results in shifts at the functional level [2],  
62 which can be either beneficial or deleterious for plant fitness and resilience to the changing  
63 conditions. In view of the remarkably high diversity of microorganisms present in the plant  
64 environment and the dynamic nature of microbial communities, there must be ways to orient these  
65 shifts toward increased beneficial services.

66 Microorganisms associated to crops could be selected directly, by acting on environmental  
67 variables, such as nutrient and water availability, soil pH, soil oxygen levels, crop identity, or other  
68 biotic or abiotic factors. Arguably, this type of selection has been carried out empirically by  
69 farmers around the world since the dawn of agriculture. However, with a more microbially- and  
70 ecologically-informed framework, selection-driven approaches using simple and already known  
71 interventions have a huge potential. For example, as even closely related plant genotypes were  
72 shown to harbor different microbial community compositions [3,4,5] and functions [2], a  
73 microbially-informed crop variety selection could increase resilience to stress likely to occur  
74 during the growing season. Interestingly, some engineering attempts using inoculation (covered in  
75 the next section about dispersal) failed to introduce the desired microorganisms, but resulted in  
76 clear shifts in the plant and soil microbial community composition [6]. It was also shown that roots  
77 of common beans growing in a soil inoculated with the fungi *Metarhizium* harbored several  
78 bacterial taxa that were not found in the roots of the plants growing in the uninoculated soils, even  
79 though no differences were observed in the abundance of the inoculated *Metarhizium* [7].

80       Alternatively, microorganisms could be selected indirectly, by acting on the plant selection  
81 mechanisms [8]. This would be most interesting and practical in the plant rhizosphere, where crops  
82 typically channel 3 to 5% of their net photosynthates [9], creating a strongly selective environment  
83 for microorganisms. Some studies have reported mechanisms by which plant exudation can be  
84 modified [10,11,12], which would provide an indirect method to alter the root-associated microbial  
85 communities. For example, Arabidopsis plants that were genetically modified to exude octopine  
86 had a rhizosphere that was significantly enriched in octopine degraders [13], providing a proof of  
87 concept that plants exudation patterns could be genetically engineered to steer “selection”  
88 processes in the rhizosphere. Another interesting study conditioned soils by seeding Arabidopsis  
89 for five generation in the same soil and exposing them to an aboveground pathogen [14]. When  
90 plants were grown in this conditioned soil, they exhibited altered root exudation patterns and  
91 rhizosphere microbiota and an improved disease resistance, suggesting that following exposure to  
92 an aboveground pathogen, plants associate with beneficial rhizosphere microorganisms through a  
93 shift in their root exudation patterns and that this can benefit the following generation of plants  
94 [14]. This also highlights that foliar application of certain microorganisms could be used to steer  
95 “selection” in the rhizosphere microbial communities, toward more beneficial services to the plant.

96       In addition to rhizodeposits, other factors are emerging as having a defining factor on the plant  
97 microbial communities. For instance, many gaseous compounds such as volatile organic  
98 compounds (VOCs) [15] or hydrogen [16] were shown to have a determining influence on plant-  
99 and soil-associated microorganisms, which led to the suggestion that the plant rhizosphere does in  
100 fact extend much farther than the millimetric zone of soil surrounding the roots [17]. However, it  
101 is still unclear how microorganisms within this extended rhizosphere would affect plant phenotype,  
102 and if they could be manipulated. In one study, hydrogen was infused in soil which led to a

103 modification of the bacterial community [16] but it was not shown if this would have subsequently  
104 impacted crops. Some studies also reported that bacteria-emitted VOCs can inhibit the growth of  
105 fungal pathogens [18], and, conversely, fungal pathogens VOCs were shown to affect bacterial  
106 metabolism [19].

107 Another novel approach would be to use plant small RNAs to modify the activities of the plant-  
108 associated microbial communities. Indeed, small RNAs, and most particularly microRNAs  
109 (miRNAs), were shown to be involved in cross-kingdom communication [20,21], and their  
110 presence in the plant environment was suggested to have a key influence on the rhizosphere  
111 microbial communities [22]. The expression of plant miRNAs was shown to be regulated by  
112 micro-peptides (miPEPs) [23], which could be used as a tool to increase the transcription of  
113 specific miRNAs [24,25], suggesting an interesting avenue for modulating the gene expression  
114 and community composition of the plant microbiota.

115 Since the selection of microbes in the plant rhizosphere is thought to be based more on  
116 functions than taxonomy [26,27] we could extend our reflection on selection processes to gene  
117 expression. In that case, the shifts in the transcriptome and proteome of existing communities  
118 would be transient selection events, which would similarly lead to a differently functioning  
119 microbial community. In fact, shifts in microbial gene expression are not necessarily linked to  
120 shifts in microbial community composition, and can lead to different functioning within an  
121 otherwise identical microbial community [28]. However, without an explicit theoretical  
122 framework, it is difficult to interpret the results observed. For instance, there are three different  
123 forms of selection (constant, negative frequency-dependent and positive frequency-dependent) and  
124 the outcome in term of the abundance of the target microorganisms will depend on the form of  
125 selection that is at play.

126

127 **2. Dispersal**

128 The dispersal approach is the most frequently used in plant microbiota engineering efforts,  
129 mostly through the inoculation of single or multiple beneficial microorganisms, with the  
130 expectation that the inoculated organisms would establish themselves among the plant microbial  
131 communities and provide beneficial services to the plant. Many ecological mechanisms are  
132 counteracting this directed dispersal approach, such as priority effects, where microorganisms  
133 already occupying a niche are likely to have the upper hand on newcomers [29]. Other  
134 mechanisms, such as the one described in the “Kill the Winner” hypothesis [30], could also reduce  
135 the efficiency of microorganisms with rapid growth rates that are typically used in the inoculant  
136 industry, through preferred predation by soil animals or preferred lysis by phages. Additionally,  
137 diversity is known since a long time to be a buffer to invasion by plants and animals [31], and in  
138 the case of soil microbial communities, to determine the invasion by bacterial plant pathogens  
139 [32].

140 Recent work has pointed out that the seed microbiome was the preferred source of  
141 microorganisms during soybean development, preventing colonization from environmental (e.g.,  
142 soil) microorganisms [33]. This could complicate any inoculation efforts that are not aiming  
143 specifically at the seed microbiome. Inoculating seeds during flower development was successful  
144 for introducing beneficial bacteria that could modulate the plant phenotype [34], which led to the  
145 patenting of the approach [35]. Similarly, the plant compartment origin of the inoculated isolates  
146 was shown to have a crucial effect on the growth and nutrient assimilation of the inoculated crops  
147 [36], which is further compounded by the variability of isolates coming from the same  
148 compartment [18].

149 Multi-isolates inoculants were often shown to outperform single isolates [18,33,37,38,39,40],  
150 potentially related to an enhanced robustness and adaptability conferred by the functional  
151 redundancy within the multi-isolate consortium. It was suggested that the creation of complex  
152 synthetic communities (SynComs) [41] could provide better inoculants, but it is still debatable if  
153 these would be able to outcompete the communities already interacting with the plant. Multiple  
154 inoculations of leaves and soil resulted in a shift in the resident microbial communities, which was  
155 not necessarily conducive to a better colonization in subsequent inoculations [42,43]. Finally,  
156 because of conflicting microbial traits requirements during the typical process of creating an  
157 inoculum, current approaches were suggested to be inadequate to generate ideal soil microbial  
158 inoculants [44].

159 An approach at the intersection of dispersal and speciation is the inoculation of genetically  
160 engineered strains in the plant environment. For instance, cloned strains capable of fixing nitrogen  
161 and of excreting large amounts of this fixed nitrogen in their environment were shown to colonize  
162 the root environment under different field conditions and could still be re-isolated from roots after  
163 12 weeks [45]. Similarly, bacterial isolates engineered for phytate solubilization improved the  
164 growth of *Arabidopsis* plants supplemented with 0.8 mM sodium phytate [46], whereas endophytic  
165 isolates modified to express the ACC deaminase gene increased the resistance of banana to  
166 *Fusarium* wilt and promoted plant growth [47]. Similarly at the intersection of dispersal and  
167 speciation, it has been shown that in the longer-term (5 to 15 years), inoculated N-fixing strains  
168 evolved, resulting in an altered N-fixing efficiency, among other traits [48]. These results along  
169 with concerns related to non-target effects of the inoculants on soil microbial communities in the  
170 longer term [49], indicates that more research should be carried out to ascertain the innocuity of  
171 dispersal-based approaches on ecosystem functions.

172 Here again, without putting these results in the appropriate theoretical framework, it is difficult  
173 to explain why some “dispersal” efforts are successful and other are not. Recent work in that field  
174 showed that soybean-associated microbial communities were mostly assembled through niche-  
175 based processes [50], making it more difficult for exogenous microorganisms to invade these plant  
176 environments. However, neutral assembly processes also appeared to play a role in some plant  
177 compartments or at some plant growth stages, suggesting that these might be more amenable to  
178 microbial inoculations [50]. Modelling of the plant microbial communities based on the theory of  
179 ecological communities could also help predict the conditions necessary for successful  
180 inoculations without the need for large scale experimentations on many isolated microbial strains.  
181 In one such example, after categorizing a library of bacterial isolates for their effect on Arabidopsis  
182 phosphate content and testing the effect of a few consortia constructed from these strains, it was  
183 possible using neural network to accurately model and predict the effect of untested communities  
184 on Arabidopsis phosphate content [51]. More such predictive modelling efforts will be necessary  
185 to fully harness the potential of the “dispersal” approach.

186

### 187 **3. Speciation**

188 Since the definition of bacterial species is difficult and controversial, we will restrict our  
189 discussion on the apparition of new traits in a population already present in the plant environment.  
190 Within the framework of plant microbiota engineering, horizontal gene transfer mechanisms are  
191 particularly interesting, as it very rapidly changes the metabolic capacity of the plant microbiome  
192 [52], especially when compared to speciation through the accumulation of mutations. There are  
193 three main mechanisms of horizontal gene transfer, which could occur in the plant environment:  
194 1) natural transformation, where bacteria pick up DNA fragments from the environment, 2)

195 transduction, where bacteria are transformed by the action of phages, and 3) conjugation, where  
196 bacteria exchange a plasmid or a transposon. Interestingly, the rhizosphere is a known hotspot for  
197 HGT [52].

198 A classic example of conjugation in the plant environment is the study of Taghavi and  
199 coauthors [53], where poplars were inoculated with an endophytic *Burkholderia* strain harboring  
200 a plasmid expressing constitutively the toluene degradation gene. Poplar inoculated with the strain  
201 grew better in the presence of toluene, and toluene was more effectively removed from the soil.  
202 However, the inoculated strain could not be found in the plants, but the plasmid was readily  
203 detected, suggesting it had been transferred to resident microorganisms. This was shown to occur  
204 both in the presence and absence of toluene, highlighting that a selective pressure is not necessary  
205 to initiate HGT events. Two other HGT approaches based on conjugation were shown to be  
206 efficient to purposefully modify in place the gut [54] and the soil [55] microbial communities. For  
207 instance, Brophy and colleagues (2018) used inducible integrative and conjugative elements (ICE)  
208 to transfer DNA to either a single strain or a simple bacterial community directly in a soil. They  
209 reported that approximately 1 in 800 of the recipient strains were transformed, and that the ICE  
210 was detected in 4 out of the 6 members of the community [55]. The strength of this approach is  
211 that virtually any gene could be integrated in the ICE and transferred to, for instance, rhizosphere  
212 microbial communities.

213 Although these approaches are clearly extremely powerful, very few studies have attempted to  
214 harness HGT mechanisms for plant microbiome engineering. In the context of community  
215 ecology, speciation-based mechanisms need to work together with positive selection processes for  
216 the approach to be successful. A bacterium acquiring a new trait will not be able to establish and  
217 thrive in the plant microbial community without being positively selected. Nevertheless, these

218 approaches, like the inoculation of genetically engineered microbial isolates, would have to be  
219 accepted by the public and the regulators before being used at a larger scale, and more studies  
220 would be needed to be able to control the persistence and host range of the inoculated DNA.

221

222 **Conclusion**

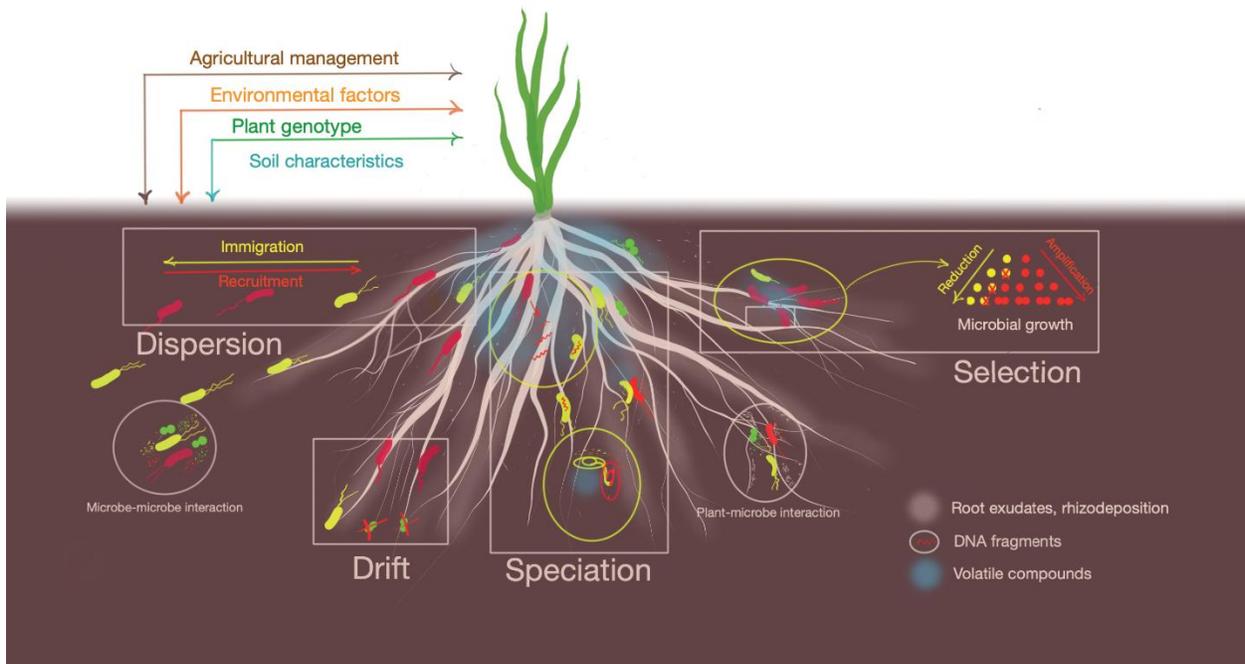
223           The plant microbiota engineering efforts discussed above were mostly conducted  
224 empirically without necessarily putting the approach in its theoretical context. We believe that by  
225 putting these efforts in the context of the theory of ecological communities, it will be possible to  
226 better choose and tailor an engineering approach, and to select which processes to combine. In  
227 addition, this theoretical framework allows for prediction and modelling, which will be invaluable  
228 tools to refine our plant microbiota engineering efforts without the necessity for substantial trial-  
229 and-error experimentation. With this framework in place, we can already predict that methods that  
230 aim at modifying microbial communities that are already in place (speciation and selection) are  
231 more promising and ecologically sounder than methods that aim at adding new microorganisms or  
232 replacing already established microbial communities (dispersal). In line with this, when  
233 inoculating wheat rhizosphere with a highly complex soil extract inoculum, it was calculated that,  
234 in the best case, 7% of the microbial communities of wheat plants under water stress was recruited  
235 from the inoculum, and that the vast majority of the changes observed were due to the selection of  
236 microorganisms already present in the plant environment [56]. Putting our plant microbiota  
237 engineering efforts back in the theory of ecological communities offer an exciting window toward  
238 increasing beneficial services to the plant.

239

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243 **Figure 1.**



244

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