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Engineering the plant microbiota in the context of the theory of ecological communities

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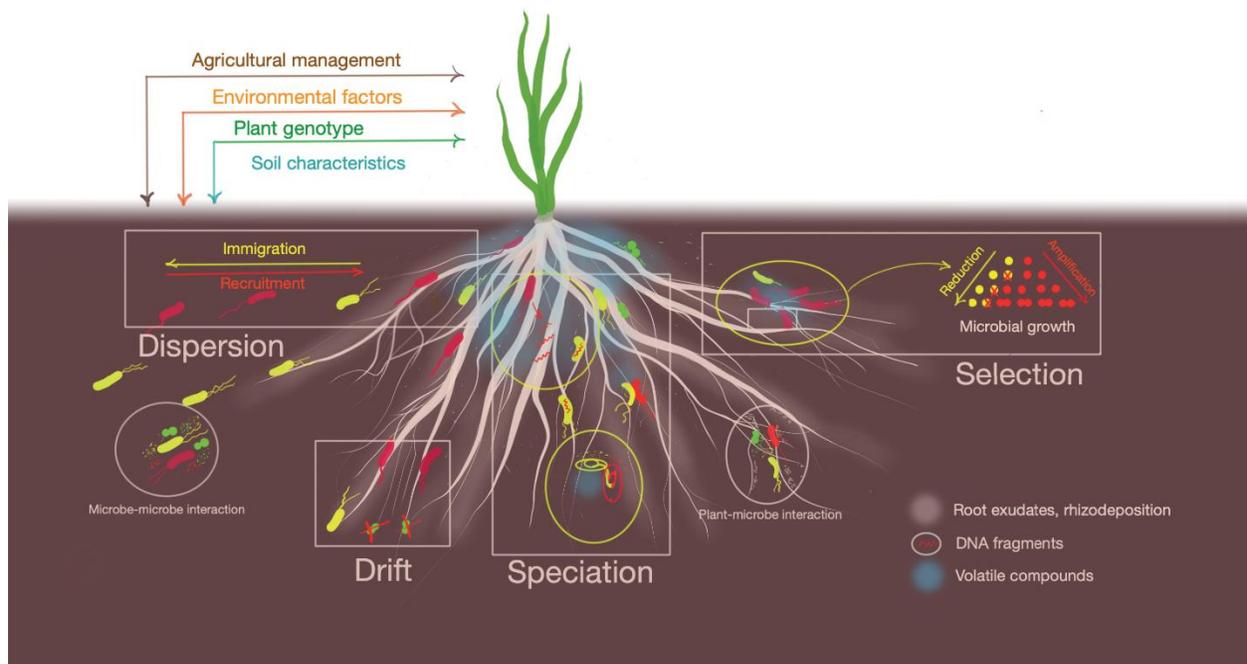
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17 **Abstract**

18 Crop-associated microorganisms are known to have a determining influence on crop growth and
19 resistance to stresses. Indeed, microorganisms can deter pathogens, reduce stress levels, improve
20 nutrition, and stimulate growth. However, the microbial communities associated with a plant are
21 rarely optimal for agricultural needs. But how can we engineer crops-associated microbial
22 communities? An interesting framework to address this question is the theory of ecological
23 communities that stipulates four processes by which communities can change: 1) selection, 2)
24 dispersal, 3) speciation and 4) ecological drift. Of these, speciation and dispersal can result in the
25 addition of new species to the plant microbiota, whereas selection and drift can lead to the loss of
26 species. We believe that if these mechanisms are sufficiently understood, they could be harnessed
27 to purposefully engineer the crop microbiota. Here, we will discuss the recent efforts to modify
28 the phenotype of plants that are aligned with these ecological processes.

29

30 **Graphical abstract**



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33

34 **Introduction**

35 Due to global changes, biotic and abiotic pressure on agriculture will only increase in the
36 future, resulting in lower yields and lower quality produce while the global population climbs
37 toward the 9 billion mark. The unprecedented rate of these changes calls for novel, rapid
38 approaches to improve the resistance and resilience of crops to stresses. One such approach is to
39 engineer the crop-associated microbial communities, as microorganisms are known to provide
40 vital service to plants such as increasing nutrition, deterring pathogens, protecting from stresses,
41 and stimulating growth, amongst others. However, because of various co-evolutionary
42 mechanisms, microbial communities interacting stably with the plant host are not necessarily
43 providing the optimal services to the plant and might even be deleterious. The question then
44 becomes: How can we revert this stable equilibrium and engineer the plant microbial communities
45 for optimal services to the crops? An interesting theoretical framework to start addressing this
46 question is the theory of ecological communities [1] that stipulates that there are four high-level
47 processes by which ecological communities can be modified (Fig. 1): 1) selection, by reduction or
48 amplification of community members already present within the host, 2) dispersal, by recruitment
49 or immigration of new community members from the environment (soil, water or air), 3)
50 speciation, by gradual mutation or, perhaps more importantly for bacteria, horizontal gene transfer
51 (HGT) and 4) ecological drift. We believe that, if correctly understood in their proper theoretical
52 framework, some of these ecological processes could be harnessed to purposefully modify the
53 microbial communities of crops. Here, we will review plant microbiota engineering efforts made
54 in the last few years through the lens of the theory of ecological communities. Since ecological
55 drift is the random component of community dynamics, by definition it cannot be harnessed in the
56 context of microbiota engineering, so it will not be included in our discussion.

57 **Engineering the plant microbiota**

58 **1. Selection**

59 When facing a stress or under varying environmental conditions, plant microbial communities
60 undergo several modifications in term of their composition, diversity, and the relative and absolute
61 abundances of its various members [2,3]. This in turn, results in shifts at the functional level [2],
62 which can be either beneficial or deleterious for plant fitness and resilience to the changing
63 conditions. In view of the remarkably high diversity of microorganisms present in the plant
64 environment and the dynamic nature of microbial communities, there must be ways to orient these
65 shifts toward increased beneficial services.

66 Microorganisms associated to crops could be selected directly, by acting on environmental
67 variables, such as nutrient and water availability, soil pH, soil oxygen levels, crop identity, or other
68 biotic or abiotic factors. Arguably, this type of selection has been carried out empirically by
69 farmers around the world since the dawn of agriculture. However, with a more microbially- and
70 ecologically-informed framework, selection-driven approaches using simple and already known
71 interventions have a huge potential. For example, as even closely related plant genotypes were
72 shown to harbor different microbial community compositions [3,4,5] and functions [2], a
73 microbially-informed crop variety selection could increase resilience to stress likely to occur
74 during the growing season. Interestingly, some engineering attempts using inoculation (covered in
75 the next section about dispersal) failed to introduce the desired microorganisms, but resulted in
76 clear shifts in the plant and soil microbial community composition [6]. It was also shown that roots
77 of common beans growing in a soil inoculated with the fungi *Metarhizium* harbored several
78 bacterial taxa that were not found in the roots of the plants growing in the uninoculated soils, even
79 though no differences were observed in the abundance of the inoculated *Metarhizium* [7].

80 Alternatively, microorganisms could be selected indirectly, by acting on the plant selection
81 mechanisms [8]. This would be most interesting and practical in the plant rhizosphere, where crops
82 typically channel 3 to 5% of their net photosynthates [9], creating a strongly selective environment
83 for microorganisms. Some studies have reported mechanisms by which plant exudation can be
84 modified [10,11,12], which would provide an indirect method to alter the root-associated microbial
85 communities. For example, Arabidopsis plants that were genetically modified to exude octopine
86 had a rhizosphere that was significantly enriched in octopine degraders [13], providing a proof of
87 concept that plants exudation patterns could be genetically engineered to steer “selection”
88 processes in the rhizosphere. Another interesting study conditioned soils by seeding Arabidopsis
89 for five generation in the same soil and exposing them to an aboveground pathogen [14]. When
90 plants were grown in this conditioned soil, they exhibited altered root exudation patterns and
91 rhizosphere microbiota and an improved disease resistance, suggesting that following exposure to
92 an aboveground pathogen, plants associate with beneficial rhizosphere microorganisms through a
93 shift in their root exudation patterns and that this can benefit the following generation of plants
94 [14]. This also highlights that foliar application of certain microorganisms could be used to steer
95 “selection” in the rhizosphere microbial communities, toward more beneficial services to the plant.

96 In addition to rhizodeposits, other factors are emerging as having a defining factor on the plant
97 microbial communities. For instance, many gaseous compounds such as volatile organic
98 compounds (VOCs) [15] or hydrogen [16] were shown to have a determining influence on plant-
99 and soil-associated microorganisms, which led to the suggestion that the plant rhizosphere does in
100 fact extend much farther than the millimetric zone of soil surrounding the roots [17]. However, it
101 is still unclear how microorganisms within this extended rhizosphere would affect plant phenotype,
102 and if they could be manipulated. In one study, hydrogen was infused in soil which led to a

103 modification of the bacterial community [16] but it was not shown if this would have subsequently
104 impacted crops. Some studies also reported that bacteria-emitted VOCs can inhibit the growth of
105 fungal pathogens [18], and, conversely, fungal pathogens VOCs were shown to affect bacterial
106 metabolism [19].

107 Another novel approach would be to use plant small RNAs to modify the activities of the plant-
108 associated microbial communities. Indeed, small RNAs, and most particularly microRNAs
109 (miRNAs), were shown to be involved in cross-kingdom communication [20,21], and their
110 presence in the plant environment was suggested to have a key influence on the rhizosphere
111 microbial communities [22]. The expression of plant miRNAs was shown to be regulated by
112 micro-peptides (miPEPs) [23], which could be used as a tool to increase the transcription of
113 specific miRNAs [24,25], suggesting an interesting avenue for modulating the gene expression
114 and community composition of the plant microbiota.

115 Since the selection of microbes in the plant rhizosphere is thought to be based more on
116 functions than taxonomy [26,27] we could extend our reflection on selection processes to gene
117 expression. In that case, the shifts in the transcriptome and proteome of existing communities
118 would be transient selection events, which would similarly lead to a differently functioning
119 microbial community. In fact, shifts in microbial gene expression are not necessarily linked to
120 shifts in microbial community composition, and can lead to different functioning within an
121 otherwise identical microbial community [28]. However, without an explicit theoretical
122 framework, it is difficult to interpret the results observed. For instance, there are three different
123 forms of selection (constant, negative frequency-dependent and positive frequency-dependent) and
124 the outcome in term of the abundance of the target microorganisms will depend on the form of
125 selection that is at play.

126

127 **2. Dispersal**

128 The dispersal approach is the most frequently used in plant microbiota engineering efforts,
129 mostly through the inoculation of single or multiple beneficial microorganisms, with the
130 expectation that the inoculated organisms would establish themselves among the plant microbial
131 communities and provide beneficial services to the plant. Many ecological mechanisms are
132 counteracting this directed dispersal approach, such as priority effects, where microorganisms
133 already occupying a niche are likely to have the upper hand on newcomers [29]. Other
134 mechanisms, such as the one described in the “Kill the Winner” hypothesis [30], could also reduce
135 the efficiency of microorganisms with rapid growth rates that are typically used in the inoculant
136 industry, through preferred predation by soil animals or preferred lysis by phages. Additionally,
137 diversity is known since a long time to be a buffer to invasion by plants and animals [31], and in
138 the case of soil microbial communities, to determine the invasion by bacterial plant pathogens
139 [32].

140 Recent work has pointed out that the seed microbiome was the preferred source of
141 microorganisms during soybean development, preventing colonization from environmental (e.g.,
142 soil) microorganisms [33]. This could complicate any inoculation efforts that are not aiming
143 specifically at the seed microbiome. Inoculating seeds during flower development was successful
144 for introducing beneficial bacteria that could modulate the plant phenotype [34], which led to the
145 patenting of the approach [35]. Similarly, the plant compartment origin of the inoculated isolates
146 was shown to have a crucial effect on the growth and nutrient assimilation of the inoculated crops
147 [36], which is further compounded by the variability of isolates coming from the same
148 compartment [18].

149 Multi-isolates inoculants were often shown to outperform single isolates [18,33,37,38,39,40],
150 potentially related to an enhanced robustness and adaptability conferred by the functional
151 redundancy within the multi-isolate consortium. It was suggested that the creation of complex
152 synthetic communities (SynComs) [41] could provide better inoculants, but it is still debatable if
153 these would be able to outcompete the communities already interacting with the plant. Multiple
154 inoculations of leaves and soil resulted in a shift in the resident microbial communities, which was
155 not necessarily conducive to a better colonization in subsequent inoculations [42,43]. Finally,
156 because of conflicting microbial traits requirements during the typical process of creating an
157 inoculum, current approaches were suggested to be inadequate to generate ideal soil microbial
158 inoculants [44].

159 An approach at the intersection of dispersal and speciation is the inoculation of genetically
160 engineered strains in the plant environment. For instance, cloned strains capable of fixing nitrogen
161 and of excreting large amounts of this fixed nitrogen in their environment were shown to colonize
162 the root environment under different field conditions and could still be re-isolated from roots after
163 12 weeks [45]. Similarly, bacterial isolates engineered for phytate solubilization improved the
164 growth of *Arabidopsis* plants supplemented with 0.8 mM sodium phytate [46], whereas endophytic
165 isolates modified to express the ACC deaminase gene increased the resistance of banana to
166 *Fusarium* wilt and promoted plant growth [47]. Similarly at the intersection of dispersal and
167 speciation, it has been shown that in the longer-term (5 to 15 years), inoculated N-fixing strains
168 evolved, resulting in an altered N-fixing efficiency, among other traits [48]. These results along
169 with concerns related to non-target effects of the inoculants on soil microbial communities in the
170 longer term [49], indicates that more research should be carried out to ascertain the innocuity of
171 dispersal-based approaches on ecosystem functions.

172 Here again, without putting these results in the appropriate theoretical framework, it is difficult
173 to explain why some “dispersal” efforts are successful and other are not. Recent work in that field
174 showed that soybean-associated microbial communities were mostly assembled through niche-
175 based processes [50], making it more difficult for exogenous microorganisms to invade these plant
176 environments. However, neutral assembly processes also appeared to play a role in some plant
177 compartments or at some plant growth stages, suggesting that these might be more amenable to
178 microbial inoculations [50]. Modelling of the plant microbial communities based on the theory of
179 ecological communities could also help predict the conditions necessary for successful
180 inoculations without the need for large scale experimentations on many isolated microbial strains.
181 In one such example, after categorizing a library of bacterial isolates for their effect on Arabidopsis
182 phosphate content and testing the effect of a few consortia constructed from these strains, it was
183 possible using neural network to accurately model and predict the effect of untested communities
184 on Arabidopsis phosphate content [51]. More such predictive modelling efforts will be necessary
185 to fully harness the potential of the “dispersal” approach.

186

187 **3. Speciation**

188 Since the definition of bacterial species is difficult and controversial, we will restrict our
189 discussion on the apparition of new traits in a population already present in the plant environment.
190 Within the framework of plant microbiota engineering, horizontal gene transfer mechanisms are
191 particularly interesting, as it very rapidly changes the metabolic capacity of the plant microbiome
192 [52], especially when compared to speciation through the accumulation of mutations. There are
193 three main mechanisms of horizontal gene transfer, which could occur in the plant environment:
194 1) natural transformation, where bacteria pick up DNA fragments from the environment, 2)

195 transduction, where bacteria are transformed by the action of phages, and 3) conjugation, where
196 bacteria exchange a plasmid or a transposon. Interestingly, the rhizosphere is a known hotspot for
197 HGT [52].

198 A classic example of conjugation in the plant environment is the study of Taghavi and
199 coauthors [53], where poplars were inoculated with an endophytic *Burkholderia* strain harboring
200 a plasmid expressing constitutively the toluene degradation gene. Poplar inoculated with the strain
201 grew better in the presence of toluene, and toluene was more effectively removed from the soil.
202 However, the inoculated strain could not be found in the plants, but the plasmid was readily
203 detected, suggesting it had been transferred to resident microorganisms. This was shown to occur
204 both in the presence and absence of toluene, highlighting that a selective pressure is not necessary
205 to initiate HGT events. Two other HGT approaches based on conjugation were shown to be
206 efficient to purposefully modify in place the gut [54] and the soil [55] microbial communities. For
207 instance, Brophy and colleagues (2018) used inducible integrative and conjugative elements (ICE)
208 to transfer DNA to either a single strain or a simple bacterial community directly in a soil. They
209 reported that approximately 1 in 800 of the recipient strains were transformed, and that the ICE
210 was detected in 4 out of the 6 members of the community [55]. The strength of this approach is
211 that virtually any gene could be integrated in the ICE and transferred to, for instance, rhizosphere
212 microbial communities.

213 Although these approaches are clearly extremely powerful, very few studies have attempted to
214 harness HGT mechanisms for plant microbiome engineering. In the context of community
215 ecology, speciation-based mechanisms need to work together with positive selection processes for
216 the approach to be successful. A bacterium acquiring a new trait will not be able to establish and
217 thrive in the plant microbial community without being positively selected. Nevertheless, these

218 approaches, like the inoculation of genetically engineered microbial isolates, would have to be
219 accepted by the public and the regulators before being used at a larger scale, and more studies
220 would be needed to be able to control the persistence and host range of the inoculated DNA.
221

222 **Conclusion**

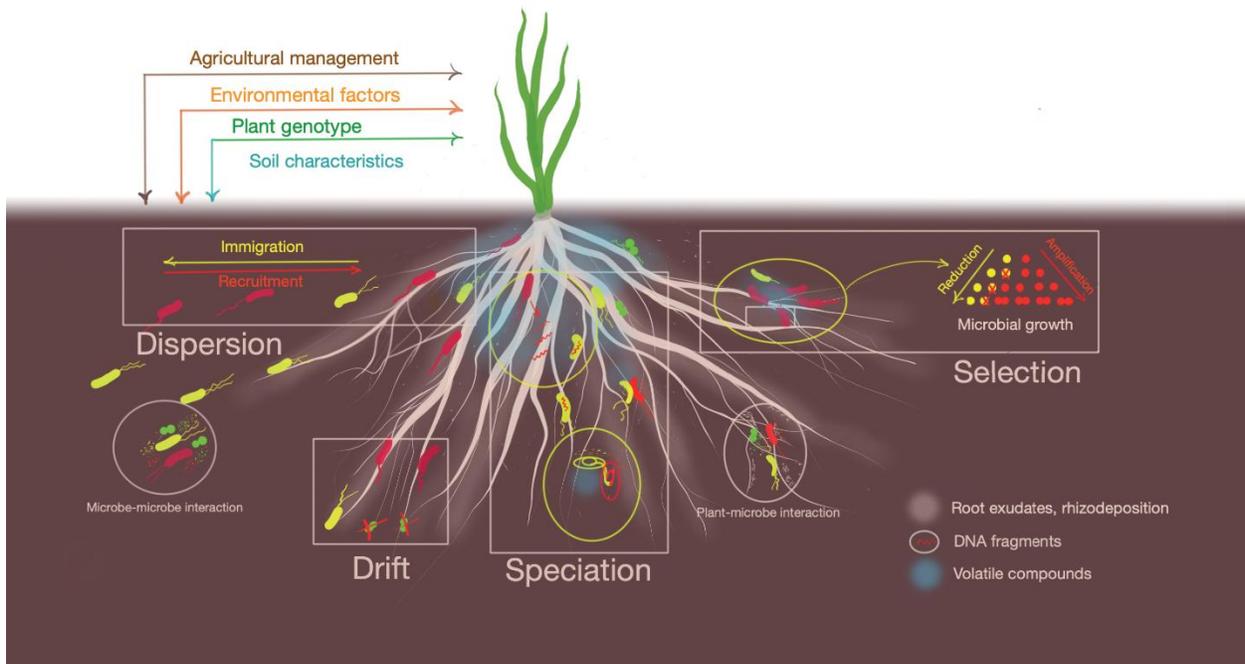
223 The plant microbiota engineering efforts discussed above were mostly conducted
224 empirically without necessarily putting the approach in its theoretical context. We believe that by
225 putting these efforts in the context of the theory of ecological communities, it will be possible to
226 better choose and tailor an engineering approach, and to select which processes to combine. In
227 addition, this theoretical framework allows for prediction and modelling, which will be invaluable
228 tools to refine our plant microbiota engineering efforts without the necessity for substantial trial-
229 and-error experimentation. With this framework in place, we can already predict that methods that
230 aim at modifying microbial communities that are already in place (speciation and selection) are
231 more promising and ecologically sounder than methods that aim at adding new microorganisms or
232 replacing already established microbial communities (dispersal). In line with this, when
233 inoculating wheat rhizosphere with a highly complex soil extract inoculum, it was calculated that,
234 in the best case, 7% of the microbial communities of wheat plants under water stress was recruited
235 from the inoculum, and that the vast majority of the changes observed were due to the selection of
236 microorganisms already present in the plant environment [56]. Putting our plant microbiota
237 engineering efforts back in the theory of ecological communities offer an exciting window toward
238 increasing beneficial services to the plant.

239

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243 **Figure 1.**



244

245

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