1 2 3	Assess agricu	ment of the effective width of riparian buffer strips to reduce suspended sediment in an ltural landscape using ANFIS and SWAT models						
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36 Abstract

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38 Riparian buffers are important features that help to mitigate suspended sediment loads within rivers running 39 through agricultural landscapes. Evaluating their effectiveness for sediment control by different modelling 40 approaches can help direct beneficial management practices. The Soil and Water Assessment Tool (SWAT) 41 model and the Adapted Neuro-Fuzzy Inference System (ANFIS) based model were used for prediction of 42 suspended sediment concentrations (SSC) and sediment loads in the Mill River watershed (PEI, Canada). 43 Those models were then used to assess the impact of riparian buffer widths in reducing sediment loads. The 44 ANFIS model predicted measured SSC more accurately than the SWAT model. The relationship between 45 buffer width and sediment reduction was asymptotic, and the relationship begins to plateau when the width 46 reaches 50 m. Increasing the buffer width from 15 to 100 m led to an increase in sediment loads retention of 47 30.5% and 36.2% of the total stream sediment load for the SWAT and ANFIS models, respectively. This 48 study highlighted that a data-driven ANFIS based model can be used to simulate the impact of land use 49 changes on the sediment delivery in a river. 50 Key words: Agriculture, Riparian buffers, Sediment yield, SWAT model, ANFIS model.

52 **1. Introduction**

53 Agriculture is reported by the United States Environmental Protection Agency as the most widespread cause of stream pollution (U.S. Environmental Protection Agency, 2000). Sediment, bacteria and nutrients 54 55 constitute the three leading sources of water pollution from agriculture (Liu et al., 2008; Beaudry, 2017; 56 FAO & IWMI, 2017), often resulting from environmental land use conflicts (Pacheco et al., 2014). In 57 addition, soil particles being transported in surface waters can be contaminated by adsorbed heavy metals or 58 organic pollutants (Kadokami et al., 2013; Song et al., 2017) and affect both aquatic flora and fauna (Beyer 59 et al., 2014). The turbidity of river water increases as a function of the increase of sediment loads with 60 negative consequences on fish habitat, the growth of aquatic plants and invertebrate species (Ramskov et 61 al., 2015; Valero et al., 2017).

62

63 Watersheds without sustainable land use and soil management practices are subjected to increased erosion 64 with high rates of soil loss (Shi et al., 2017; Mello et al., 2018; Schmidt et al., 2018). The increase of soil 65 erosion in agricultural areas is related to the precipitation regime, land slope, soil properties and farming 66 management and practices (Montgomery, 2007; Keesstra et al., 2016; Restrepo & Escobar, 2018). Riparian 67 buffer strips are multifunctional management tools that play an important role for river water quality and 68 are vital for aquatic biodiversity and riparian habitat (Mankin et al., 2007; McCracken et al., 2012; Stutter 69 et al., 2012). Implementing riparian buffer zones is the most natural mitigation measure allowing for 70 surface runoff reduction, pollutants filtering and sediment retention while additionally creating corridors of 71 riparian habitat along streams and regulating stream temperature. The optimal width of riparian buffer strips 72 can vary depending on the intended function (e.g., retention of sediment vs. nutrients Hawes & Smith, 73 2005; Chang et al., 2011; Shan et al., 2014; Miller et al., 2015) and on landscape configurations (Tim et al., 74 1995). Understanding of the impact of buffer strips for sediment control in agricultural landscape is crucial 75 to evaluating their effectiveness (Sahu & R. Gu, 2009; Betrie et al., 2011; Monteiro et al., 2016; Vigiak et 76 al., 2016; Mello et al., 2017).

78 Hydrological and erosion/sediment models, in combination with monitoring, are essential tools to assist 79 watershed managers in implementation of strategies and policies for water quality preservation, particularly 80 for the optimisation of the beneficial management practices for achieving water quality targets (Hould-81 Gosselin et al., 2016; van Vliet et al., 2016; Romano et al., 2018). Physical processes related to erosion and 82 sediment transport are modelled with empirical or/and semi-deterministic approaches and this results in two 83 main types of models, namely data-driven models and physical process-based models. Data-driven models 84 and physical process-based models have different strengths and limitations for estimating suspended 85 sediment concentrations (SSC) and sediment loads. Despite their relatively easy implementation for 86 examining impacts of changes in landscape management practices, physical process-based models can 87 require a large number of inputs, adjustment of numerous parameters and high computational time during 88 calibration, compared to data-driven models (Hamaamin et al., 2016). However, the potential of data-driven 89 models for exploring the implications of altering factors that may influence erosion, such as land-use/land-90 cover in agricultural watersheds, remains relatively unexplored.

91

92 Prince Edward Island (PEI, Canada) is a highly agricultural province and increasing degradation of the 93 environmental conditions of its streams, estuaries and coastal waters is recognized (Coffin et al., 2018). 94 Sediment deposition and suspension in rivers resulting from intense agricultural activities have been well 95 documented in the region (Alberto et al., 2016; Sirabahenda et al., 2017). Monitoring of suspended 96 sediment during 2013 - 2017 showed that the sediment loads are high in several PEI Rivers and 97 stakeholders involved in watershed management have to consider mitigating actions in order to attenuate 98 erosion (Sirabahenda et al., 2017). One cost-effective strategy to guide mitigations consists of 99 implementing robust decision-making tools.

100

101 The objective of this study was to compare the effectiveness of a semi-deterministic model and an empirical 102 model for prediction of SSC and sediment loads in an agricultural watershed. Secondly, those models are 103 used to develop a methodology to calculate effective riparian buffer widths to reduce sediment loads. To this end, a lumped nonparametric model, the Artificial Neuro-Fuzzy Interface System (ANFIS; Jang, 1993) based model, including a new parameter related to soil and land-use characteristics, was developed by Sirabahenda *et al.* (2017). This model is used along with the well-known semi-deterministic model, the Soil and Water Assessment Tool (SWAT) model with the goal of simulation of impacts from different scenarios of land use and management on sediment loads using a PEI watershed.

109

110 2 Materials and Methods

111 **2.1 Study site and data gathering**

112 The Mill River is located in Prince Edward Island, Canada and flows into the southern Gulf of St Lawrence (Figure 2). The Mill watershed covers an area of 120.4 km² and the drainage upstream of the sediment 113 114 monitoring station (46°44'39.6"N, 64°11'2.1"W) is 46.2 km². Total annual precipitation in the region is 115 1081 mm (Summerside meteorological station), with the months of December to March receiving snow. 116 Drainage basin elevations vary between 0 and 60 m ABSL. The steeper slopes are located near the river 117 banks and slopes are classified such that 59.3% and 12.7% of watershed area are under 1% and above 3%, 118 respectively. The principal soil types of the Mill watershed and their drainage classes are presented in 119 Table 1 (Research Branch Agriculture Canada/PEI Department of Agriculture, 1994). The geological 120 formation of the native rocks of those sedimentary soils is considered to be Triassic Age with dominant 121 feature of red color (van der Poll, 1983). The three main types of land use/land cover for the studied 122 watershed (Figure 2) were agriculture (31.3%), forest (60.7%) and wetlands (6.4%).

123

124 **Table 1.** Distribution area (%) of soil series for Mill Watershed.

Drainage class	Soil types names
Well drained	Charlottetown (7.5%)
Moderately drained	Margate (39.9%), Tignish (7.2%), O Leary (24.2%); Albery (3.0%)
Poorly drained	Duvar (14.5%)

126 Suspended sediment data were monitored in the Mill River using turbidity from May 15, 2013 through 127 September 30, 2017. An YSI (Yellow Springs Instrument) turbidity probe that measures reflectance of 128 infrared radiation by the suspended sediments and translates it into Nephelometric Turbidity Units (NTU), 129 was deployed. The sampling frequency was 30 min with an automatic cleaning after 24 h and a seasonal 130 recalibration of the probe. The relation between the turbidity and SSC was determined by establishing a 131 calibration curve with grab samples of river sediments with varying dilution to cover the range of measured 132 turbidity. Each grab sample was subsequently filtered, dried and weighed to determine SSC. The detailed 133 description is given by Sirabahenda et al. (2017) for calculation of the non-linear mathematical relationship 134 between SSC and turbidity for the Mill River. Meteorological data, including precipitation, temperature, 135 wind speed, solar radiation and humidity were obtained from local weather stations (Alberton Snow, Tyne 136 Valley, Harrington CDA CS and Summerside stations) operated by Environment and Climate Change 137 (http://climate.weather.gc.ca). Streamflow data were obtained from Environment and Climate Canada 138 Change Canada (Water Survey Division) for Carruthers Brook near St-Anthony station 139 (http://wateroffice.ec.gc.ca).

140

141 Please include Figure 1 here.

142

143 **2.2 SWAT model description and set up**

The SWAT model (Arnold et al., 2012; https://swat.tamu.edu) is a physical process-based model that enables simulation of the impacts of land use practices on waterbodies (qualitatively and quantitatively) at the watershed scale (Neitsch *et al.*, 2011). For spatial discretization, the watershed is partitioned into subwatersheds, which are further divided into Hydrologic Response Units (HRUs) comprised of unique combinations of land cover, slope and soil type.

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150 Processes modeled by SWAT include canopy storage, snow melt, surface runoff and infiltration, crop 151 growth, evapotranspiration, erosion and transport of sediments, nutrients and pesticides. Erosion generated by precipitation and surface runoff is calculated using the Modified Universal Soil Loss Equation (MUSLE) (Williams, 1995). The MUSLE is a function of runoff factors (peak and volume of runoff), soil erodibility factor, cover and management factor, support practice factor, a topographic factor and a coarse fragment factor. A detailed description of the physical processes involved in modelling the hydrological cycle and related to the loadings of sediments, nutrients and others pollutants and their movement through the channel network is presented by Neitsch *et al.* (2011) in the SWAT theoretical documentation (http://swatmodel.tamu.edu).

159

160 In this study, an ArcGIS-ArcView extension and graphical interface for SWAT, ArcSWAT 2012 was used 161 to set up the model by using watershed characteristics obtained from a database including Digital Elevation 162 Model (DEM), soil, land use and climatic data. GIS data layers for the stream network and 2 m contour 163 lines (PEI Department of Environment/ Energy & Forestry and Resource Inventory, 2010) were used for the 164 DEM construction and further sub-classification of areas in the Mill watershed. The Mill watershed was 165 delineated into 25 sub-basins, from a reduced resolution DEM of 10 m, which were then further partitioned 166 into Hydrologic Response Units (HRUs). The distribution of HRU within the Mill watershed was set up 167 using multiple HRUs per sub-basin option with the same minimum areal coverage threshold of 5% for land 168 use, soil and slope classes to be considered. Then, subdividing the sub-basin into homogeneous areas 169 having unique soil, land use and management combinations resulted in a total of 479 HRUs for the Mill 170 watershed. The largest sub-basin has an area of 587.3 ha while the smallest sub-basin has an area of 5.4 ha. 171 Some statistics regarding the area of those HRUs from the sub-watersheds are shown in the Table 2.

172 **Table 2** Statistics for area of the HRUs

		Statistic fo	or the HRUs f	from the sub-wat	tersheds	
Interval area [ha]	Sum area [ha]	Number of HRUs	Max [%]	Mean [%]	Min [%]	Sd [%]
1-10	1020.97	366	24.31	2.32	0.03	2.99
10-40	1626.02	87	39.19	10.54	2.08	7.52
40-80	895.13	18	51.07	26.39	7.84	14.75

80-200	1076.81	8	58.84	32.23	17.19	13.41

174 For overland flow, the modified Soil Conservation Service (SCS) curve number method (USDA 175 Soil Conservation Service, 1972) was selected to estimate the amount of runoff. The modified rational 176 method (Kuichling, 1889) was used to calculate runoff peaks and the potential evapotranspiration was 177 computed using Monteith (1965). The variable storage routing method (Williams, 1969) was chosen to 178 route water through the channel flow network to the watershed outlet. The SWAT model estimated 179 sediment erosion due to precipitation and runoff using the MUSLE and the simplified version of Bagnold 180 (1977) stream power equation was chosen for sediment routing, including sediment resuspension and 181 deposition phenomena.

182

183 The calibration was performed manually (Neitsch et al., 2002) using daily time steps by iteratively 184 adjusting the parameters and comparing simulated results to observations for streamflow and sediment 185 loads. The baseflow filter program (Arnold et al., 1995; Arnold & Allen, 1999) available on 186 https://swat.tamu.edu was used for partitioning observed stream flow into base flow and surface runoff. For 187 the hydrological model component, the surface runoff was firstly calibrated by adjusting the parameters 188 such as the curve number (CN2), soil available water capacity (SOL AWC) and soil evaporation 189 compensation factor (ESCO). Secondly, the base flow was calibrated considering the following parameters: 190 the groundwater "revap" coefficient (GW_REVAP), the threshold depth of water in the shallow aquifer for 191 "revap" to occur (REVAPMN) and the threshold depth of water in the shallow aquifer required for base 192 flow to occur (GWQMN). Finally, the parameters that impact the shape of the hydrograph such as the 193 channel hydraulic conductivity coefficient (CH_K) for the transmission losses, the roughness coefficient 194 (OV_N), the base flow alpha factor (ALPHA_BF), the temperature lapse rate (TLAPS) and 195 minimum/maximum melt rates (SMFMX and SMFMN) for snow melt, were adjusted until values were 196 acceptable for both water balance and stream flow. For the sediment model component, the following 197 parameters were adjusted for sub-watershed sediment loads in addition to runoff factors calculated during

the hydrologic calibration process: crop management factor (USLE_P), crop practice factor (USLE_C), crop residue coefficient (RSDCO) and bio-mixing efficiency (BIOMIX). The slope length factor (SLSUBBSN) and the slope of HRUs (SLOPE) were adjusted to represent realistic values of the watershed and HRUs. For channel routing, the parameters related to sediment degradation and deposition processes such as the channel cover and erodibility factors (CH_COV and CH_EROD), linear and exponential coefficient for sediment re-entrainment in channel (SPCON and SPEXP), were also adjusted during sediment calibration.

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206 The hydrological module calibration was performed for the period of January 2007 through December 207 2015, while the data from January 2000 through December 2003 were used to validate the hydrological 208 component of the SWAT model. The period 2007-2015 was selected for calibration because it 209 encompassed greater variability than 2000-2003 (larger number of high values). Also, the period 210 from January 2004 through December 2006 was fixed as the model spin up period for SWAT simulations. 211 Sediment module calibration and validation were done using data from May 2013 through December 2015, 212 and from January 2016 through September 2017 respectively. The performance of the model was evaluated 213 through graphical analysis between simulated and measured data and calculation of three statistical metrics 214 (Table 3) recommended by (Moriasi et al., 2007): the Nash-Sutcliffe efficiency (NSE), the root mean 215 square error-observations standard deviation ratio (RSR) and the percent bias (PBIAS). The Nash-Sutcliffe 216 Efficiency (Nash & Sutcliffe, 1970) is a standardized measure that determines the relative magnitude of the 217 residual variance compared to the measured data variance. The PBIAS quantifies the average tendency of 218 the simulated data to be larger or smaller than the observed data (Gupta *et al.*, 1999). The RSR is a measure 219 of the ratio of the Root Mean Square Error (RMSE) and the standard deviation of the observed data. 220

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226	Table 3. Performance rating and statistical criteria. X_i and \overline{X}_i refer to the observed data and their average
227	respectively, Y_i refer to the simulated data and <i>n</i> is the number of observations.

Performance criteria	Performance Rating : Goot time steps)	d (Moriasi et al., 2007; Monthly
Equations	Streamflow See	diment
$NSE = 1 - \frac{\sum_{i=1}^{n} (X_i - Y_i)^2}{\sum_{i=1}^{n} (X_i - \overline{X}_i)^2}$	$0.65 < NSE \le 0.75$	$0.65 < NSE \le 0.75$
$RSR = \frac{\sum_{i=1}^{n} (X_i - Y_i)^2}{\sum_{i=1}^{n} (X_i - \overline{X}_i)^2}$	$0.5 < RSR \le 0.60$	$0.5 < RSR \le 0.60$
$PBIAS = \frac{\sum_{i=1}^{n} (X_i - Y_i) \times 100}{\sum_{i=1}^{n} X_i}$	$\pm 10\% < PBIAS \le \pm 15\%$	$\pm 15\% < PBIAS \le \pm 30\%$

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229

230 2.3 ANFIS model description and set up

231 Presented for the first time by Jang (1993), the ANFIS is a data-driven model based on the Takagi-Sugeno's 232 inference system (Takagi & Sugeno, 1985) that combines the best strategies of artificial neural networks 233 and fuzzy logic. The ANFIS model has shown better performance compared to other data driven models for 234 sediment modelling applications (Kisi et al., 2009; Afan et al., 2016; Kaveh et al., 2017). The ANFIS 235 model simulation of suspended sediment in this study was performed using concomitant (i.e. zero lag) 236 variables: precipitation, streamflow and the Watershed Vulnerability Index (Sirabahenda et al. 2017). The 237 development of the Watershed Vulnerability Index is based on the key factors of the Universal Soil Loss 238 Equation (USLE) such as the soil erodibility factor K with the crops and management factor C. The use of 239 the Watershed Vulnerability Index, as an additional predictor, allows the ANFIS model to account for the 240 spatial and seasonal variability of the land-use and soil characteristics in the estimation of SSC 241 (Sirabahenda et al., 2017).

Fuzzy inference calculations were performed using Genifis1 and Evalfis functions incorporated in Matlab's fuzzy logic toolbox (MathWorks, 2015). The ANFIS training is based on a hybrid algorithm allowing error minimisation and used the least square estimator and the gradient descent method to adjust consequences and premise parameters by adapting the connection weights. For comparison, the daily datasets were split into two blocks (for training and test phases) considering the same period and the same assessment criteria for the model performance as in SWAT model simulation.

249

250 **2.4 Buffer strip scenarios**

The legislatively mandated riparian buffer strip width for Mill Watershed was targeted to be extended for sediment loads reduction in the river. Thus, buffer strip widths varying from 15 m (current regulated width) to 100 m were considered for this study. Percentage agricultural area associated with the increase in buffer strip width was computed using Geoprocessing tools 'buffer' and 'clip' in ArcGIS for the watershed studied. Then, the decreased percentage area of agriculture was calculated by dividing the agriculture surface area within every projected buffer strip by total agricultural surface area.

257

258 Different buffer width scenarios were simulated using hydro-meteorological data for the validation period. 259 For the ANFIS model, the effect of agricultural area change on sediments loads was tested by modifying the 260 Watershed Vulnerability Index. The value of this index changed as a function of agricultural area associated 261 with the prescribed buffer widths. Similarly, land use in the SWAT model was updated by decreasing 262 agriculture area and increasing the forested area by the same percentage from the watershed data in the 263 ArcSWAT tool before every simulation. Finally, the estimated sediment trapping efficiency was quantified 264 as the difference between sediment loads simulated by both models under the projected riparian strip width 265 and its current conditions.

266

267 **3 Results**

268 **3.1 SWAT and ANFIS sediment simulation results**

269 The first output calibrated for the SWAT model was the streamflow with NSE values of 0.81 and 0.78 270 respectively for the calibration and validation periods. The RSR and PBIAS were respectively 0.53 and 271 17.6% for the model calibration period, while they were respectively 0.55 and 24.4% for the validation 272 period. Those quantitative statistics indicated a good performance rating according to the ranges of statistics 273 values recommended (Table 3) and reported for monthly time steps by Moriasi et al. (2007). However, 274 streamflows are under-predicted by the SWAT model, similar to results reported by Anaba et al. (2017). 275 Factors that most influence streamflow underestimation could be the limitations from the use of SCS Curve 276 numbers (CN2) for days with several downpours for surface runoff calculation (Qiu et al., 2012; Abbaspour 277 et al., 2015) and the spatial variability of precipitation that was not fully captured by the relatively distant 278 rain gauges.

279

280 For SSC estimations, Figure 3 shows the time series of flow, precipitation and SSC for calibration phase 281 and validation phase. Low SSC values were often overestimated by both models. For events with high 282 SSC, the ANFIS model predicted SSC more accurately than the SWAT model, although both models 283 underestimated most peaks. The SSC underestimation for high concentrations by SWAT may be due to the 284 simulated streamflow underestimation related to the limitations of the surface runoff calibration processes. 285 Figure 4 and Figure 5 show respectively the log-scaled scatterplots of predicted SSC versus observed SSC 286 for SWAT and ANFIS models. Their high slope and low y-intercept of the best-fit regression line indicated 287 that those models reproduce relatively well the magnitudes of the observed SSC (Willmott, 1981). 288 Performance criteria calculated from daily predicted SSC are presented in Table 4 and their values are all 289 higher than the monthly standards suggested by Moriasi et al. (2007).

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291 Please include Figure 2 here.

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295	Please include Figure 4 here.
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301	Table 4. Model performance evaluation calculated using daily values.

		Statistical]	Statistical performances indicators					
		NS	SE	RS	SR	PBI	AS	
Variable		calibration	validation	calibration	validation	calibration	validation	
Sediment	SWAT	0.76	0.71	0.49	0.56	19.6	23.5	
	ANFIS	0.79	0.75	0.45	0.49	15.2	19.7	

³⁰²

Those model performance indicators suggest that the ANFIS model used in combination with the Watershed Vulnerability Index can be an alternative and efficient tool to predict SSC comparatively to SWAT model for the Mill River. Although both models have predictive capacity, ANFIS outperformed SWAT. It was found also by Roushangar *et al.* (2014) that ANFIS models gave more accurate total bed material load transport rates than a deterministic model for the Qotur River in Northwestern Iran.

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The ANFIS model estimated a total sediment load of 6561 tonnes with an overestimation of 1.7 % during calibration phase and with an underestimation of 13% during validation, compared to the total sediment load observed. The SWAT model estimated the total sediment load as 4836 tonnes with an underestimation of 24 % and 22% compared to the total sediment load observed for calibration and validation phases, respectively.

314

315 **3.2** Riparian strip effective width and sediment retention rate

The change in simulated sediment retention rates were 30.5 % and 36.2 % of the total stream sediment load for the 100 m wide forested buffer strip for the SWAT and ANFIS models, respectively. These sediment trapping rates represent the net benefit over what the Mill watershed presently has with 15 m buffer strips.

319 The sediment retention rate increases initially with buffer width and starts to reach a plateau for widths 320 above 50 m. There is a diminishing benefit in making the riparian buffer strip wider than 50 m. Thus, by 321 doubling the buffer width from 50 m to 100 m, there is only an increase of sediment retention rate of 4.6 % 322 for the SWAT model and 4.0 % for the ANFIS model. Figure 5shows the variation of sediment trapping 323 efficiency and the decreased percentage area of agriculture in function of the increased buffer widths (i.e. 324 additional buffer width from the original 15 m). An exponential model was also fitted to the simulated 325 retention rates as a function of the increased buffer widths. The equations, provided in Figure 5, can be used 326 by managers to interpolate between the modelled retention rates. Based on the calculation with these 327 equations, Table 5 provides an example of a summary of buffer strip widths (including the original 15 m) 328 and associated target percentages of the theoretical maximum sediment retention that could be used by 329 water resources management to support informed decision-making.

330

331	Table 5.	Total buffer	strip	widths rec	uired for	target	percentages (of sediment	reduction.
			1		1	0	1 0		

Additional sediment	Total Buffer Strip widths		
retention above current	required		
(percentage of maximum)	ANFIS	SWAT	
[%]	[m]	[m]	
10	17.7	18.0	
25	22.5	23.1	
50	32.6	33.8	
75	48.4	49.8	
90	65.0	65.6	

332

333 Please include Figure 5 here.

334

335 **4.0 Discussion**

336 The fact that ANFIS slightly outperforms SWAT may be in part caused by the differences in model inputs.

337 While SWAT simulates flows and subsequently uses these simulated values as an input to the sediment

338 model, ANFIS used measured flows to accomplish the same. Two of the input variables for the ANFIS

339 model are correlated (precipitation and discharge), but complementary, given that precipitation can 340 mobilize sediments in the drainage basin and flow modulates concentrations and downstream transport. 341 ANFIS may be benefiting from the fact that measured rainfall is not transformed into flows within the 342 model, as in SWAT. It should be noted that intra-annual variability in individual crop types has not been 343 considered for SWAT, as these data were not available. However, as explained by Sirabahenda et al. 344 (2017), the Watershed Vulnerability Index varies throughout the growth season. Both K and C factors 345 included in the Index account for seasonal variability in rainfall erosivity index and crop stages. This 346 varying index in ANFIS may also be one of the reasons why it outperformed SWAT.

347 The sediment load underestimation at high SCC by the SWAT model could be linked to underestimation 348 of runoff and/or the difficulty of SWAT to adequately predict the contribution of stream bank soil losses 349 (Zaimes et al., 2004). In fact, this limitation has been recognized in other studies (e.g. Ricci et al., 2018) 350 and has led to the development of a streambank erosion module (Narasimhan et al., 2017). When land use is 351 changed in SWAT, the value of curve number (CN) and crop factor (C) are updated. Therefore, changes in 352 land use in SWAT (e.g., increasing buffer widths) has an impact on sediment trapping, but also on sediment 353 generation. The variations in soil aggregate stability during freeze and thaw periods may also affect runoff 354 and soil erosion (Hayhoe *et al.*, 1992; Starkloff *et al.*, 2018). It has also been noted by Edwards and Burney 355 (1989) that the snowmelt and prolonged low-intensity rains yield greater sediment loss for PEI soils in early 356 spring.

357

The comparative analysis also shows that the ANFIS model gives an average of 6.4 % higher sediment retention ratios than SWAT model. This relatively small difference can be explained by uncertainties related to input data and to the limitations inherent to the mathematical conceptualization of both models. The ANFIS model works as a black box (Wang, 2006) and the parameters have no interpretable meaning in terms of sediment transport processes like sediment resuspension or deposition phenomena. The ANFIS model needs large datasets for the learning phase. Hence, when the time series are relatively short, the model has its limits and over-parametrization can occur that may lead to overfit (Nayak & Jain, 2011; Sanikhani & Kisi, 2012). Conversely, the under estimation of the runoff factors by the SWAT model results in under estimation of sediment loads implicitly during simulation. Tibebe and Bewket (2011) were faced with a similar challenge in their implementation of SWAT in Ethiopia. Peak runoff was underestimated by the model, and so were the associated sediment loads. Recent improvements in SWAT by (Cibin et al. 2018) may provide a different outcome in future comparative studies.

370

371 The main limitation of this study with regards to the assessment of trapping efficiency of buffer strips is the 372 fact that our implementations of both SWAT and ANFIS did not account directly for the fact that buffer 373 width increases occur at the edges of fields. Although this fact can be rightly considered as a model 374 shortfall, it allows for a more direct comparison of the two approaches, given that the ANFIS model is not 375 structured to specifically indicate the location of buffer strips. Other factors related to local conditions not 376 fully captured by our models may affect the sediment trapping efficiency such as steep slopes, sediment 377 grain size distribution and footpaths in the riparian buffer strip that may create preferential flows/gullies 378 (Wenger, 1999; Shan et al., 2014). Fischer and Fischenich (2000) noted that the wide buffers strips with 379 optimal conditions can often be compromised by improper practices. It should also be mentioned that the 380 buffer strip vegetation is dominated by trees and that future mitigation measures should be considering a 381 more suitable mix of plants (Betrie et al., 2011; Moriasi et al., 2011; Zaimes & Schultz, 2015). For instance, 382 Shan et al. (2014) found that an average effective width was of the order of 58 m using SWAT and a 383 Riparian Ecosystem Management Model during a research study in the Three Gorges Reservoir area 384 (China). This result is similar to the conclusion of the present study. However, they also indicated that 385 wider widths were required for areas with steeper slopes or finer textured soil. Other reviewed studies noted 386 that the sediment retention increases with riparian width but not infinitely and the effective riparian buffer 387 strip width is site-specific (Parkyn, 2004).

388

In spite of these restrictions, both models allowed us to quantitatively investigate the effectiveness of buffer
 strip widths on sediment trapping efficiency. The asymptotic behavior of the curve relating trapping

391 efficiency to buffer widths, which indicates that beyond a certain threshold, the gain in extending the buffer 392 strip is minimal was also noted in other studies. For instance, Cho et al. (2010) used the SWAT model in 393 Little River Experimental Watershed (Georgia, U.S.A) and found that trapping efficiency reached a 394 maximum value at 30 m and remained constant for wider buffer strips. Similar results were also found by 395 Zhang et al. (2017) for sediment retention, but also for the reduction of total nitrogen and total phosphorous. 396 These authors reached a conclusion similar to ours; in general, extending the buffer strip width over 50 m in 397 conditions like those encountered on PEI does not generate much additional gain in sediment retention. 398 Selecting 50 m as a buffer width would be more conservative than what is recommended by Canadian 399 federal authorities. Indeed, Agriculture and Agri-Food Canada recommends a buffer width varying between

400 10-30 m for sediment retention (<u>http://www.agr.gc.ca/eng/science-and-innovation</u>). The final choice of the

401 optimum buffer width would require evaluating the benefit of that sediment retention – both for the farmers
402 who get to keep their soil - and for improved quality of surface waters.

403

404 **5.0 Conclusion**

405 This study explored the effectiveness of two models: SWAT and ANFIS to simulate SSC and loads and 406 their sensitivity to land use parameter changes. Predicted SSC values were close to measured SSC values in 407 most instances for both models for the calibration and validation periods, but the values of the quantitative 408 statistics were better for the ANFIS model compared to the SWAT model. Although both models 409 underestimated sediment loads, the ANFIS model was less biased than SWAT. Both models suggested the 410 same optimal forested riparian zone width for sediment removal with a slight difference for sediment 411 trapping ratios, indicating credible performance of ANFIS to simulate the effects of land occupation 412 variation on sediment. The models suggest that increasing the buffer width beyond 50 m yields only minor 413 improvements in sediment trapping.

414

This study was an exploration case to test the reliability of an empirical model in comparison with a deterministic model for sediment estimation for future management of sediment delivery factors, like land417 use/land-cover, in agricultural watersheds. The simulated loads obtained with the two modelling approaches 418 constitute helpful information for watershed managers and stakeholders to plan beneficial management 419 practices and to formulate their environmental policies for riparian zones. Since both models provide first 420 approximation estimations, further investigation should be conducted with a long-term monitoring 421 campaign of SSC to make a comparison with empirical data on the effectiveness of different buffer widths 422 on sediment retention. It is likely that limitations of the ANFIS model would be further highlighted in a 423 more detailed analysis that would account for inter-seasonal shifts in land use practices and alternative 424 riparian zone designed such as zonation within the buffer strip that includes different types of vegetation 425 (gradient of grass, shrubs and trees). However, this study showed that an empirical model can provide a first 426 assessment of the benefits of riparian zones in agriculture-dominated watersheds.

427

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645	FIGURE CAPTIONS

Figure 1. Location of PEI in Canada (a), Mill River Watershed location (b), elevation (c) land use (d) andslope (e).

- Figure 2 Time series plot of observed flow (blue), precipitation (green) and SSC for Calibration phase (a)
 and for validation phase (b)
- **Figure 3.** Scatter plot of observed versus simulated SSC for SWAT model.
- **Figure 4.** Scatter plot of observed versus simulated SSC for ANFIS model.
- **Figure 5.** Sediment trapping efficiency versus the increased riparian buffer width.