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MODELLING SPINAL MUSCULAR ATROPHY (SMA) IN ZEBRAFISH

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DEDICATIONS

It has been the most challenging, but yet wonderful past two years of my life. I never thought my Master's degree would end with a global pandemic, which added a level of difficulty in planning experiments. Nevertheless, I am glad to have experienced this adventure filled with extraordinary moments and people to guide me into this mesmerizing quest of knowledge. I would like to give my most sincere thanks to Dr. Kessen Patten who has been the greatest mentor. With his understanding and trust, I was able to fully dedicate myself into my work. I couldn't have asked for a better teacher and I will be forever thankful for this opportunity.

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ABSTRACT

Spinal Muscular Atrophy (SMA) is an autosomal recessive neurodegenerative disease. It is the leading genetic cause of infant mortality for which there is currently no effective cure. This rare disease is characterized by a degeneration of lower alpha motoneurons of the spinal cord leading to muscle weakness and atrophy. It is caused by the deletion of *SMN1* gene on chromosome 5q13. Although SMN is ubiquitously expressed, the mechanism underlying the defective synaptic connections between motoneurons and muscles and the ultimate degeneration of the motoneurons are still poorly understood. Morpholino oligonucleotides based knockdown are commonly used in zebrafish to study SMA. However, the specificity of this technique is still controversial. Thus, we aim to generate a more reliable and stable model to study SMA. Using the CRISPR/Cas9 system, we targeted the zebrafish *smn1* gene to create a knockout (KO) model. We successfully identified a zebrafish *smn* KO mutant with a 7bp frameshift mutation. Smn protein levels were significantly reduced in our *smn* zebrafish mutants. These fish also displayed a significantly reduced locomotion compared to controls as well as NMJ defects and gross morphological abnormalities. With an established new SMA zebrafish model, we wish to pursue transcriptomic analysis and drug screenings. This could help finding new pathways for alternative therapeutic strategies and for the understanding of SMA pathogenesis as well as neurodegenerative disease in general.

RESUMÉ

L'amyotrophie spinale (SMA) est une maladie neurodégénérative à transmission autosomale récessive. Elle représente la principale cause de décès infantile d'origine génétique et n'a présentement aucun traitement efficace. Cette maladie rare est caractérisée par une dégénérescence des neurones moteurs inférieurs de la moelle épinière qui mène à une faiblesse et une atrophie musculaire. Ceci est causé par une mutation dans le gène *SMN1* sur le chromosome 5q13. Bien que la protéine SMN soit exprimée de façon ubiquitaire, les mécanismes sous-jacents des connexions synaptiques défectueuses entre les neurones moteurs et les muscles et ultimement la dégénérescence de ces neurones sont encore très peu détaillés. La réduction d'un gène avec des morpholinos a été utilisée abondamment chez le poisson-zèbre. Toutefois, cette technique demeure controversée due à sa spécificité. Nous souhaitons donc générer un modèle de poisson-zèbre plus fiable et stable pour étudier SMA. À l'aide de CRISPR/Cas9, nous avons ciblé le gène *smn1* dans le poisson-zèbre pour créer un modèle knockout (KO). Nous avons identifié avec succès des mutants *smn* KO possédant une mutation causant un codon stop prématuré de 7 pb. Les niveaux de protéines Smn sont significativement réduits chez les mutants *smn*. De plus, les poissons mutants démontrent une activité locomotrice réduite comparée aux contrôles ainsi que des défauts au niveau des jonctions neuromusculaires et des défauts morphologiques grossiers. Avec ce nouveau modèle de poisson-zèbre SMA, nous souhaitons effectuer des analyses transcriptomiques ainsi que le criblage de médicaments. Cela pourrait permettre de trouver de nouvelles cibles thérapeutiques alternatives et aider à la compréhension de la pathogenèse de SMA ainsi que d'autres maladies neurodégénératives.

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LIST OF ABBREVIATIONS

AAV9 : an Adeno-Associated virus 9 delivering system
AChR : Acetylcholine receptors
ALS : Amyotrophic Lateral Sclerosis
CHOP INTEND : Children's Hospital of Philadelphia Infant Test of Neuromuscular Disorders
CNS : Central nervous system
CPG15 : Cortical plasticity gene 15 / neuritin
CRISPRi : CRISPR interference
CRISPR/Cas9 : Clustered Regularly Interspaced Short Palindromic Repeats / CRISPR associated protein 9
crRNA : crispr RNA
CVS : chorionic villus sampling
eIF2a : eucaryotic translation initiation factor 2
ER : Endoplasmic reticulum
ESE : exon splice enhancer
FL-Smn : Full length Smn
FUS : Fused in sarcoma
Gap43 : Growth associated protein 43
hnRNP-R : heterogenous nuclear ribonucleoprotein R
HRM : High Resolution Melting
HuD : Elav like protein4
IMP1 : IGF2 mRNA-binding protein 1
iPSC: Induced pluripotent stem cells
LSm : Like Sm
mEPC : miniature end plate current
miRNA : micro RNA
MO: Morpholino antisense nucleotide
NHEJ : Non Homologous End Joining
NMJ : Neuromuscular junction
PAM: Protospace adjacent motif
PLS3 : Plastin 3
RBP : RNA Binding Protein
RNAi : RNA interference
ROCK : Rho associated protein kinase
SBMA : Spinal and Bulbar Muscular Atrophy
sgRNA : single-guide RNA
siRNA : small interfering RNA
SMA : Spinal muscular atrophy
Smn 1/2 : Survival motor neuron 1/2
snRNA: small nuclear RNA
snRNP : small nuclear ribonucleoproteins
TALEN : Transcription activator-like effector nuclease
TDP43 : TAR DNA binding protein 43
tracrRNA: trans-activating crRNA
UBA1: ubiquitin-like modifier activating enzyme 1
UPR : Unfolded protein response
ZFN : Zinc finger nuclea

1. INTRODUCTION

1.1. SPINAL MUSCULAR ATROPHY

1.1.1. CLINICAL FEATURES AND ONSET

Spinal muscular atrophy (SMA) refers to a group of neuromuscular disorders affecting spinal motor neurons. Various gene mutations can lead to different forms of SMA (M. A. Farrar *et al.*, 2015). The major form of SMA is proximal SMA (>95%) and is caused by mutations in the survival motor neuron 1 (*SMN1*) gene and leading to the degeneration of the anterior horn cell from the spinal cord resulting in muscle atrophy and weakness. SMA affects approximately one in 6000-10 000 live births and has a carrier frequency of one in 50 which makes it the first genetic cause of infant death (Ogino *et al.*, 2004). SMA is a clinically heterogenous disease with several types categorized from 0 to IV ranging from severe to mild and mainly based on clinical criteria and the age of onset (Table 1). Humans are the only species with two copies of *SMN*. A tandem chromosomal duplication event resulted in a highly homologous copy gene known as *SMN2* (M. E. Butchbach, 2016a, Cusin *et al.*, 2003). This gene differs from *SMN1* by lacking the exon 7, producing weaker levels of full-length functional protein although mutations in *SMN2* are not responsible for clinical phenotypes. However, several studies have demonstrated that *SMN2* copy number, ranging between 0 to 8 copies (Matthew E. R. Butchbach, 2016b), correlates inversely with the severity of the disease (Campbell *et al.*, 1997, Mailman *et al.*, 2002). Another study showed that some SMA patients with two *SMN2* copies display mild phenotypes, whereas patients with three copies have been classed as type I (Feldkötter *et al.*, 2002, Ogino *et al.*, 2003). Although the correlation is not perfect, measurement of *SMN2* copy number is still useful for SMA patient's prognosis and can help evaluate the probability of a young SMA patient to develop either type I, II or III. Furthermore, because *SMN2* are not functionally equivalent in all SMA patients, genetic background which influence splicing mechanism of *SMN2* may also be relevant (Harada *et al.*, 2002).

Type 0 SMA is the most severe form affecting neonates. Patients present reduced fetal movements *in utero* and show severe weakness and asphyxia at birth (MacLeod *et al.*, 1999). SMA type 0 patients usually have a unique copy of *SMN2* and die within weeks after birth due to severe respiratory problems. SMA type I, also known as Werdnig-Hoffman disease, is the most common type of SMA accounting for approximately 60 % of patients (Verhaart IEC, 2017). It is also a very severe form of the disease diagnosed before the first 6 months of age and death occurring before two years of age. These patients have between two or three

copies of *SMN2* resulting in a large variety of phenotypes. Infants are not able to sit without support and show severe muscle weakness, mostly proximal, with poor or no deep tendon reflexes. Hypotonia and poor head control are also present though facial muscles are not affected. Intercostal muscles are weakened, but diaphragm is spared. This specific phenotype leads to paradoxical breathing and a bell-shaped chest. Finally, infants also have difficulty swallowing and feeding with tongue fasciculations due to bulbar weaknesses. These defects can often lead to impaired cough and recurrent pulmonary infections, which are the major causes of mortality in SMA (D'Amico *et al.*, 2011, Michelle A. Farrar *et al.*, 2017, Kolb *et al.*, 2015, Thomas *et al.*, 1994).

Intermediate SMA (Type II; Dubowitz form) patients have a 93% chance of surviving to 25 years old (M. A. Farrar *et al.*, 2013). The age of onset is around 6-18 months and approximately 80 % of patients have 3 copies of *SMN2* (Mercuri *et al.*, 2012). Patients will never walk independently, but they are able to sit on their own. Once again, infants show no deep tendon reflexes and experience muscle weakness especially in the legs. Intercostal muscles are still weakened combined with progressive scoliosis. These defects may contribute to lung diseases and respiratory impairments that require the need of monitored ventilation for some patients. Finally, they may also have difficulty swallowing and a weakness in the masticatory muscles that impairs their ability to chew (D'Amico *et al.*, 2011, Kolb *et al.*, 2015, Tangsrud *et al.*, 2001). SMA type III, also known as Kugelber-Welander disease, is considered a mild form of SMA. The age of onset is typically used to classify patients in either type IIIa (before 3 years of age) with a 73% probability of walking 10 years after diagnosis or type IIIb (after 3 years of age) with 97% probability (Zerres *et al.*, 1995). There is three or four copies of *SMN2* in 96% of these patients (Mercuri *et al.*, 2012). This mild form affects children as well as adults. Patients usually achieve the majority of motor milestones. Again, patients with SMA type III exhibit a large variety of phenotypes. Some can sit and walk and live through adult life with only minor muscular weaknesses. Some may need the help of a wheelchair considering the muscular weaknesses during their childhood. Those patients may have more complications due to poor mobility, like obesity and osteoporosis for instance (Khatri *et al.*, 2008, Kinali *et al.*, 2004, Shanmugarajan *et al.*, 2009). These patients have a normal life expectancy. The last type of SMA, type IV, represents the mildest form and onset is usually occurring during adulthood. These patients are similar to type III, but with less complications. They are also able to walk and represent only 5 % of all SMA patients (D'Amico *et al.*, 2011, Kolb *et al.*, 2015). SMA patients may have a wide range of phenotypes, though cognition is not affected in any types (von Gontard *et al.*, 2002).

Table 1: Clinical features and onset specificity of the SMA spectrum

<i>TYPE</i>	<i>Age of onset</i>	<i>Clinical features</i>	<i>SMN2 copy number</i>
0	prenatal	Severe muscle weakness, reduced fetal movements	1
1	< 6 months	Unable to sit without assistance	2-3
2	6-18 months	Can't walk independently	3-4
3 (a & b)	3 years old	Can sit and walk, or wheelchair assistance	3-4
4	Adult	Can sit and walk	4 +

Clinical features of SMA patients that allows the categorizing of every type (0-4) in function of the disease severity. SMN2 copy number are inversely proportional to the disease severity.

1.1.2. NEUROMUSCULAR DEFECTS

SMA is a neurodegenerative and also a neuromuscular disease. As mentioned before, mutation in the *SMN1* gene will cause degeneration of the alpha motor neurons. The SMN1 protein is ubiquitously expressed, though only motoneurons are majorly affected. Lower motor neurons (or alpha motor neurons) are located in the brainstem and the spinal cord, specifically in the ventral horn (Figure 1). They play a major part in the neuronal circuitry for the contraction of peripheral skeletal muscles. For their axon to develop and function adequately, it needs precise guidance, arborisation, and outgrowth. To innervate a muscle, the motor axons will also need make proper synaptic contacts, at the neuromuscular junctions (NMJs), with postsynaptic receptor on muscle fibers to promote contraction. Although the SMA pathogenesis is not yet exhaustive, it is known that these specific mechanisms are deficient, particularly at early stages of the disease.

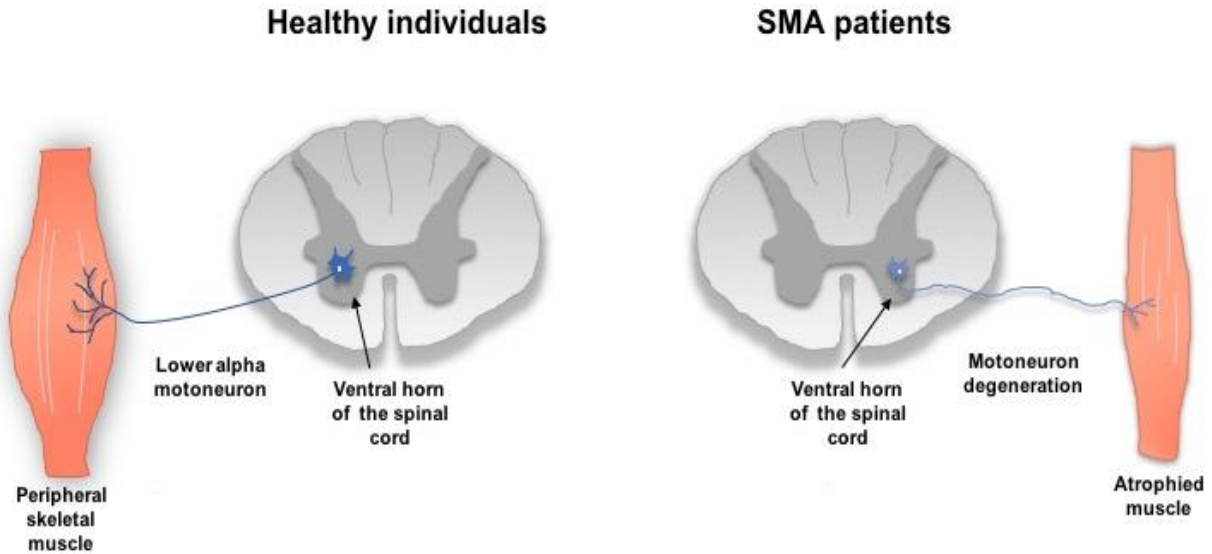


Figure 1 : Anatomy of the neuromuscular circuitry.

Alpha motor neuron are a type of lower motor neurons that have their cell bodies in the anterior or ventral horn of the spinal cord. Their axons will elongate through the body to innervate skeletal muscle and initiate muscle contraction. In SMA patients, low levels of SMN will cause the loss of motor neurons in the spinal cord. Without proper signals from the degenerate motor neurons, muscles will progressively weakened and atrophy.

Hallmarks of SMA are defects at the NMJs and skeletal muscle atrophy. For clinical assessment of SMA patients, motor function is a key feature. Multiple tests have been used to assess motor function like the Gross Motor Function Measure (GMFM)(Nelson *et al.*, 2006), the Expanded Hammersmith Functional Motor Scale for SMA (ExpHFMS)(Glanzman *et al.*, 2011) or the Upper Limb Module for Nonambulant SMA Patients (Mazzone *et al.*, 2011). Furthermore, alterations at the NMJ have been found in patients and animal models of SMA. NMJ maturation defects seem to arise before symptoms onset. Histological evidence has shown early maturation alterations of the NMJ in type I patients during development. SMA fetal samples showed alterations in acetylcholine receptors (AChR) clustering, accumulation of synaptic vesicles and terminal nerve abnormalities (Martinez-Hernandez *et al.*, 2013). These findings were also found in mild-SMA mice that express a constant low level of SMN. Their analysis revealed NMJ defects, as well as neurofilament accumulation aggregates and poor terminal arborization (Kariya *et al.*, 2008). Indeed, SMN was shown to accumulate in growth cones and NMJs during neuronal differentiation and neuromuscular maturation putting forward its role in neuronal and muscular function (Fan *et al.*, 2002). Many researchers confirmed the presence of these defects in the mouse models of SMA, not only at the pre-synaptic levels, but also defects in muscle growth (Dachs *et al.*, 2011, Kariya *et al.*, 2008, Y. I. Lee *et al.*, 2011).

Neuromuscular defects were also confirmed in other animal models of SMA. For instance, in a zebrafish model of low levels of *Smn*, a group showed that *Smn* is needed for motoneuron development. Removing *Smn* very early leads to developmental defects in motoneurons like fewer and shorter dendrites as well as decreased axonal and dendritic network. These defects were rescued only by adding *Smn* back soon after motor axon outgrowth. These results suggest the important need for SMN during early stages of motoneuron development (Hao *et al.*, 2013). Another study, using antisense morpholinos (MO) in zebrafish to lower levels of *Smn*, showed motor axon defects specifically in axon outgrowth and pathfinding (McWhorter *et al.*, 2003). In the *Drosophila*, a team has shown abnormal motor behavior in a *smn* zygotic mutant. They have demonstrated neuromuscular defects by reduced excitatory post-synaptic currents, disorganized synaptic motor neuron boutons as well as a severe diminution in the clustering of neurotransmitter receptor subunits in the muscles (Chan *et al.*, 2003). Furthermore, *C. elegans smn-1* mutants were shown to display impaired neuromuscular functions through impaired locomotion, but also reduced pumping during feeding (Briese *et al.*, 2009). These nematodes possess a subset of pharyngeal muscles and neurons that allows them to pump their food. A team has shown that these defects were progressive and noted a decrease in their motor neuron function as well (Dimitriadi *et al.*, 2016).

1.1.3. OTHER CELL TYPES ASSOCIATED PHENOTYPES

SMA is considered to be a motoneuron disease, with its major site of pathology being motor neurons. As the SMN protein is expressed ubiquitously, recent studies suggests that multiple deficient tissues and cell types may contribute to overall SMA phenotypes (A. J. Lee *et al.*, 2012, Martinez *et al.*, 2012). Using the Cre-lox technology in mouse, a team (Park *et al.*, 2010) has shown that depleting SMN in motor neuron only induced minor phenotypic alterations compared to ubiquitous depletions. Indeed, it was enough to cause SMA-like phenotype, but these results suggest that other cell types may contribute to SMA pathology, especially in the most severe types of SMA. Another group (Hua *et al.*, 2015) increased SMN exclusively in peripheral tissues and saw complete rescue of necrosis in mild SMA mouse model. Survival of the severe mice model was also extended with improvements in motor function and vulnerable tissues. Thus, they suggest that SMA is not a cell-autonomous defect of motor neurons, in SMA mice, and that peripheral tissues would also be important. Another study focused on the roles of astrocytes in SMA. Glial inflammation is a key component in many neurodegenerative diseases (Glass *et al.*, 2010). As these cells play multiple roles, for instance as neuron's nutrient suppliers and most importantly as modulator of synaptic transmission, they hypothesized that they could contribute to SMA pathology. They restored SMN expression specifically in astrocytes in a ubiquitously low SMN level mouse model. Interestingly, results show increased lifespan, improvements in NMJs and partially normalized cytokine expression in that mouse

model. Indeed, they have also demonstrated that end-stage mouse and post-mortem SMA patient's spinal cord showed astrogliosis and elevated inflammatory cytokines. These data suggest that SMN deficiency in astrocytes could play a direct role in SMA pathogenesis (Rindt *et al.*, 2015).

Heart defects have also been investigated in SMA pathology. Atrial septal defects, dilated right ventricle and ventricular septal defects have all been recognized as congenital heart defects in most severe types of SMA patients. One of the most abundant defects is hypoplastic left heart syndrome that arise at birth causing abnormal blood flow through the heart. Rudnik-Schoneborn and al. revealed that approximately three out of four patients with a single copy of SMN2 had congenital septal defects whereas healthy individual's frequency is around 1 in 50 million (Rudnik-Schoneborn *et al.*, 2008). Another group focused on the requirement for SMN in cardiogenesis and its impact on heart abnormalities at multiple developmental stages in a very severe (*Smn*^{-/-}, *SMN2*^{+/+}) and severe (*Smn*^{-/-}, *SMN2*^{+/+}; *SMN17*^{+/+}) SMA mouse model. The results show cardiac remodeling at embryonic stages, even before any quantifiable motoneuron alterations. They also observed structural defects post-birth in the heart of severe mice and increased levels of interstitial fibrosis through aging. The authors suggest that this particular phenotype could be caused by oxidative stress. These results provide evidence that SMN deficiency leads to abnormal cardiogenesis in severe mouse model (Shababi *et al.*, 2010).

Various other cell types have been studied in their contribution to SMA pathogenesis. For instance, muscles, that are directly connected to the motoneurons, have also been studied. A study in severely affected prenatal patients showed that their myotubes appeared smaller in skeletal muscles. This suggests a delay in growth and maturation in SMA muscles (Martinez-Hernandez *et al.*, 2009). Other neuronal populations have also been investigated, like interneurons and sensory neurons to unravel neuromuscular circuitry (Jablonka *et al.*, 2006, Ling *et al.*, 2010, Mentis *et al.*, 2011) as well as Schwann cells (Desarnaud *et al.*, 1998, Hunter *et al.*, 2014). The contribution of SMN protein in the brain has been less studied (Ito *et al.*, 2004, Shishikura *et al.*, 1983), because it is not usually seen in SMA patients. Furthermore, other groups have focused on multiples different peripheral tissues like bones, liver, lungs, pancreas and intestine (Bowerman *et al.*, 2012b, Schreml *et al.*, 2013, Shanmugarajan *et al.*, 2007, Vitte *et al.*, 2004). Taken together, all of these findings suggest that SMN could be important for functioning in multiple cell types. Investigation of SMN deficiency in other tissues could be essential for an optimal treatment for SMA.

1.1.4. CURRENT THERAPIES

1.1.4.1. *SMN dependent therapies*

Since SMA is a neurodegenerative disease that arises very early in development, drug treatment that allows complete cure of the disease is very complicated to manage. Indeed, if both parents are known carriers, prenatal screening is available. They can undergo a chorionic villus sampling (CVS) at 10 to 14 weeks or amniocentesis at 16 to 20 weeks of pregnancy. The best strategy, especially for more severe types, would be to administrate treatment very early in development, before any motor neuron loss or other phenotypes. But, there is currently no routine screening newborn program for SMA. The longer it is to diagnose a patient, the less benefit they will receive from treatment, because motoneuron degeneration cannot be reversed, only the severity can be reduced. There is currently no effective cure for SMA, but a few treatments have been approved to improve their quality of life and delay neurodegeneration. Since SMA is very heterogenous, there is still a significant amount of patients that do not respond to these treatments.

The first treatment, Spinzara or Nusinursen, was approved in 2016 for all types of SMA. It is an antisense oligonucleotide drug that will elevate SMN levels through restoring *SMN2* pre-mRNA splicing. Since this drug does not cross the blood-brain-barrier, it has to be administered directly into the cerebrospinal fluid through lumbar puncture. Also, injection has to be renewed every 4 months. There is currently an ongoing study called NURTURE that follows 25 infants with SMA who received their first dose of Spinraza before six weeks old, thus before pre-symptomatic stage. So far, this study shows that all infants were alive and none of them required any permanent ventilation system. Every patient was able to sit unassisted and 88% can walk alone. The CHOP INTEND (Children's Hospital of Philadelphia Infant Test of Neuromuscular Disorders) was used to assess motor function (ranging from 0 to 64). The score from treated patients revealed they were close to the highest score (64) compared to SMA type I patients that usually have a 20 score. Furthermore, treatment was well tolerated by the patients so far (De Vivo *et al.*, 2019). In clinical studies, lower respiratory infections are the most common side effects. Also, due to the type of administration into the system, patients can develop post-lumbar puncture syndrome, which can lead to severe headaches after the procedure (32%). If left untreated, fatal outcomes can arise through hematoma and seizures. (Ahmed *et al.*, 2006).

Zolgensma, or Abeparvovec, is a gene therapy that was approved in 2019. This treatment was designed to treat all types of SMA in patients up to 2 years of age with a single dose intravenous administration. It is a genetically engineered virus, an Adeno-Associated virus 9 delivering system (AAV9) that carries a functional *SMN1* copy. This transgene will be able to cross blood-brain barrier and allow the expression of

SMN protein throughout the central nervous system (CNS) to be produced in a sustainable way in the cells of interest. In a clinical trial, 15 SMA type 1 patient received one single dose of AAV9-SMN. Three patients received a low dose (6.7×10^{13} vg per kilogram of body weight) and 12 received a high dose (2.0×10^{14} vg per kilogram). All patients had two copies of *SMN2* and were between 0.9 and 7.9 months of age. The study began in May 2015 and in August 2017, no patients have died or needed ventilation assistance. Moreover, 11 out of the 12 high dose patients were sitting unassisted and two of them were walking. The CHOP INTEND scale showed an increase of 9.8 points at 1 month and 15.4 points at 3 months (Mendell *et al.*, 2017). Another study showed reduced pulmonary and nutritional support as well as motor function improvements in treated patients with AAV9-SMN (Al-Zaidy *et al.*, 2019). More clinical studies are ongoing to evaluate pre-symptomatic treatment (NCT03505099) and intrathecal administration (NCT03381729).

Risdiplam is an orally administered therapy still under investigation, with FDA approval expected in 2020. This treatment will be able to treat all patients with SMA type I, II and III. Risdiplam also work as a splicing modulators, just like Spinraza. It will modulate pre-mRNA splicing of *SMN2* to promote full length transcript and thus elevate functional SMN protein levels. This treatment shows great promise with a less invasive administration than Spinraza and with distribution in CNS as well as in peripheral tissues (Poirier *et al.*, 2018). Studies using the severe mouse model and the *SMN Δ 7* showed increased lifespan, elevated SMN levels and improvements in motor function (Naryshkin *et al.*, 2014, Palacino *et al.*, 2015). Four clinical studies (FIREFISH: NCT02913482, SUNFISH: NCT02908685, JEWELFISH: NCT03032172 and RAINBOWFISH : NCT03779334) are currently ongoing to investigate Risdiplam's efficacy and safety on different types of SMA patients.

1.1.4.2. *SMN independent therapies*

All the therapies described above enhance SMN levels. Indeed, it helps a lot of patients, but there are still a certain number of them that do not respond to those treatments. Moreover, they only show limited beneficial effects, which lead to think that other combinatorial therapies may be needed. SMN- independent therapies will be helpful to address the pathological irreversible damage in SMA. A variety of pathways have been approached, for instance actin dynamics. They are regulators of multiple altered processes in SMA, like neurite outgrowth, axonal branching or synaptic stability. Actin dynamics have been studied in SMA models (Nolle *et al.*, 2011, Oprea *et al.*, 2008, Sharma *et al.*, 2005). More precisely, the RhoA/ROCK pathway was one of the first independent therapies to have shown beneficial effects. ROCK activity was shown to be upregulated in the spinal cord of the intermediate *SMN^{2B}/-* mouse model as well as in SMN depleted rodent neuronal cells. Treating mice with a ROCK inhibitor showed improvement of their survival as well in NMJ

maturation and muscle fiber size (Bowerman *et al.*, 2010, Bowerman *et al.*, 2007). Moreover, inactivation of ROCK was found to promote neuronal outgrowth and guidance (Jia *et al.*, 2016, Mueller, 1999). Thus, a group, (Coque *et al.*, 2014), suggested that inhibition of ROCK could improve the maintenance of functional NMJs via increasing actin plasticity. Other actin dynamics modulators were studied such as profilin and plastin3 (Bowerman *et al.*, 2007, Oprea *et al.*, 2008). As an actin-bundling protein, plastin3 (PLS3) was shown to play a role in axonogenesis by increasing the levels of F-actin. The knockdown of this gene in a zebrafish model using MO resulted in 90% lethality. Furthermore, overexpression of PLS3 in a *Smn* knockdown zebrafish model rescued axonal growth defects (Oprea *et al.*, 2008). In an SMA mouse model overexpressing PLS3, results showed rescued synaptic vesicles aberrations, delayed axon pruning and improvement of neuromuscular transmission (Ackermann *et al.*, 2013). Although these are promising results for PLS3 as an SMA modifier, its expression may be different depending on age or sex of patients (Stratigopoulos *et al.*, 2010). Thus, this therapeutic strategy may only be for a specific type of SMA patient.

Other SMA-modifiers are being studied like the ubiquitin-like modifier activating enzyme 1 (UBA1). A mutation in this gene causes X-linked infantile SMA. UBA1 was shown to directly interact with SMN. Moreover, in spinal cords of SMA mouse model, UBA1 splicing was altered. A group showed that UBA1 knockdown using MO in zebrafish could recapitulate an SMA-like neuromuscular pathology. They did also demonstrate that levels of β -catenin, which plays a role in the subsequent UBA1 pathway, were increased. Inhibition of that protein ameliorated the neuromuscular pathology in zebrafish, drosophila and mouse models of SMA (Wishart *et al.*, 2014). Another group used an AAV9-UBA1 gene therapy in SMA mice. With a systemic delivery, they demonstrated increased survival and motor performance as well as an overall improvement in neuromuscular and organ pathology (Powis *et al.*, 2016a).

Other pathways are being studied for SMN-independent therapies. As a clinically heterogenous disease, multiple aspects have to be taken in charge. Within the era of high throughput technologies, the number of novel molecular candidates will only grow. Combinatorial therapy (SMN-dependent and independent) could be an efficient way to treat the wide spectrum of SMA patients.

1.2. SURVIVAL MOTOR NEURON

1.2.1. GENETIC FUNDAMENTALS OF SMA

SMA is an autosomic recessive neurodegenerative disorder caused by either a deletion (95%) or a mutation (5%) in the *SMN1* gene on the chromosome 5q13. Furthermore, humans also have an *SMN2* copy that is almost identical to *SMN1*. Studies have reported a very high degree of similarity between the two copies even in their introns (Monani *et al.*, 1999). The only major difference lies within the exon 7 (Figure 2). There is a transition from a C to T that results in exon 7 skipping. This slight change causes a disruption of an exon splice enhancer (ESE) that results in *SMN2* to produce more of the truncated form (*SMN Δ 7*). (Lorson *et al.*, 2000). Thus, *SMN2* contribute poorly to overall SMN levels, because its major form is not functional. Still, a small amount of the *SMN2* transcript (10%) can produce a full-length and functional protein which can help manage disease severity. In SMA patients, where the *SMN1* gene is non-functional, SMN levels only relies on *SMN2* copy number (1-8), which was shown to ameliorate disease severity (Mailman *et al.*, 2002). *SMN2* copy numbers varies for each individuals and explains why SMA has such a wide spectrum of severities.

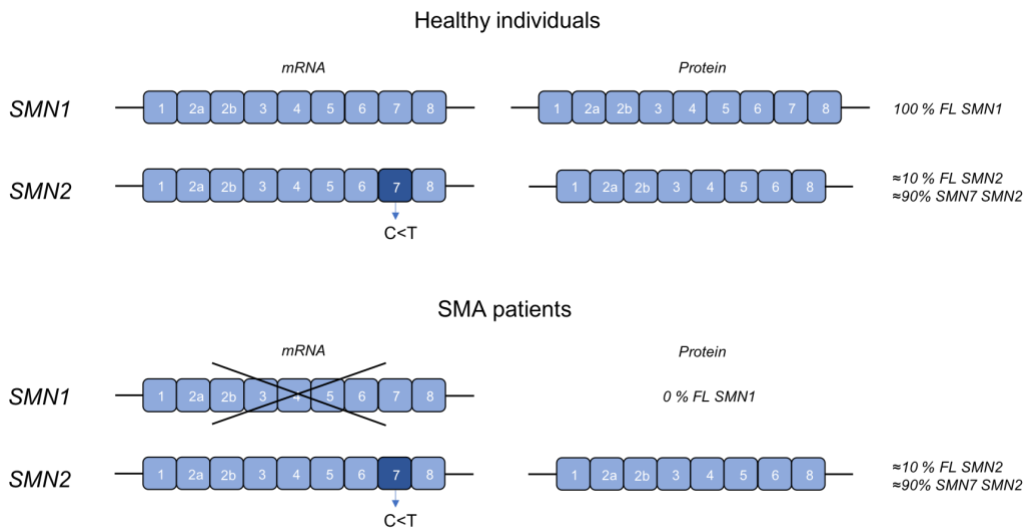


Figure 2: Genetic origins of SMA patient.

Healthy individuals have two copies of *SMN*, *SMN1* and *SMN2*. *SMN1* produces 100% of the functional full-length SMN protein while *SMN2* only produces approximately 10% of the full-length protein. This event is caused by a mutation in *SMN2* that leads to exon7 skipping resulting in a truncating SMN. In SMA patients, the *SMN1* gene is mutated which causes low levels of the full-length SMN protein. *SMN2* full-length protein levels are not sufficient to alleviate the burden of the deficient *SMN1* gene, which leads to SMA.

1.2.2. FUNCTIONS OF SMN PROTEIN

1.2.2.1. *Axonal transport*

The SMN protein is 38 kD with 294 amino acids and is expressed ubiquitously. It is present in the cytoplasm and in the nucleus of all cells. SMN is an RNA binding protein (RBP) that plays multiple roles in RNA metabolism like intracellular trafficking or translation. This specific role was first revealed with electron microscopy showing SMN localization in dendrites and axons of motor neurons in developing rat spinal cord (Pagliardini *et al.*, 2000). This group hypothesized that low levels of SMN could lead to a lack of transport of specific mRNAs through the axons up to NMJs. Moreover, it was suggested that SMN expression shifts during precise developmental stages. First, SMN is more abundant during embryonic stages than in adult CNS, but its localization also seems to be more nuclear during development and then slowly shifts to be more cytoplasmic as neurons are maturing (Giavazzi *et al.*, 2006). Since SMN is a RBP, it can directly bind to mRNAs or other RBPs to transport polyA-tailed mRNAs for local translation along axons and dendrites (Fallini *et al.*, 2014). The same group also showed that translation within the axon of primary neurons derived from *SMN*^{-/-} mice was dysregulated *in vitro*. Axonal defects were rescued by overexpression of other RBPs like HuD and IMP1, molecular partners of SMN (Fallini *et al.*, 2016). Moreover, using live cell imaging, it was shown that SMN granules were able to achieve rapid bidirectional movements (anterograde and retrograde) and that they were dependent on microtubules in cultured neurons (H. L. Zhang *et al.*, 2003). All of these results demonstrate an extensive role for SMN in mRNA axonal trafficking and translation.

1.2.2.2. *RNA metabolism*

SMN roles in axonal transport are well documented but its actual most characterized function is in small nuclear ribonucleoproteins (snRNP) assembly, which is important in RNA processing like pre-mRNA splicing. SMN is found to interact with a number of proteins called Gemin (2-8) and together form the SMN complex. This complex is found in cytoplasm and in nuclear gems (Gemini or Cajal Bodies). More precisely, gems are a nuclear structure where there is an accumulation of SMN (Clelland *et al.*, 2009). They are found to be associated with Cajal bodies. Although they are two distinct entities, they are similar in size and number and exert a dynamic relationship together (Q. Liu *et al.*, 1996). Furthermore, the Tudor domain of the SMN protein was shown to interact with coilin, a marker for Cajal bodies (Hebert *et al.*, 2001). The SMN complex interacts with numerous proteins. One of them are the Sm and Sm-like (Lsm) proteins. The SMN complex facilitates the assembly of Sm proteins, in an ATP-dependent manner, on their site of the snRNA (small nuclear RNA) to produce functional snRNPs, more precisely UsnRNPs (Battle *et al.*, 2006).

The Sm site is a uridine rich sequence present in the U snRNAs. There are 7 Sm proteins to form a functional Sm core. Once the core is assembled onto its snRNA, hypermethylation of the 5' cap is also needed for the snRNP translocation into the nucleus. The snRNPs (U1,U2,U4,U5,U6) then assemble with other specific snRNP proteins to form the complex functional machinery called the spliceosome. Then, it can exert its function in the removal of non-coding introns to form mRNAs (Fischer *et al.*, 1993, Mattaj, 1986, Will *et al.*, 2001). Multiple studies have shown splicing defect occurring in SMA models. A group demonstrated a negative feedback loop in SMA depleted mammalian cells that decreases exon 7 inclusion, thus further disrupting its own mRNA splicing. They also confirmed the results in severe-SMA mice with a decreased exon 7 inclusion specifically in their motoneurons (Ruggiu *et al.*, 2012). Furthermore, in SMN-deficient mouse tissues, a group demonstrated unexpected cell type-specific effects on the repertoire of snRNAs and mRNAs. Their findings showed widespread pre-mRNA splicing defects in numerous transcripts (Z. Zhang *et al.*, 2008).

1.2.3. PROTEINS AND RNA PARTNERS OF SMN

As SMN is an RNA-binding protein, it will obviously have numerous mRNA and other protein partners. Its role was established in RNA metabolism, previously described, and in axonal transport. Unraveling SMN's interactions could lead to a better understanding of its function as well as how SMN contributes to specific motor neuron death in SMA. Evidently, SMN was shown to interact with a number of Gemin proteins, because of the known aggregation in gems in the nucleus. As a partner of SMN, gemins can modulate the expression of the SMN complex. A team demonstrated that reducing SMN levels, with RNA interference (RNAi) in HeLa cells, lead to a severe reduction of multiple Gemins. Moreover, a reduction of Gemin 2 or Gemin 6 displayed a decrease in the activity of SMN complex as well (Feng *et al.*, 2005). SMN was also shown to bind to Sm proteins via its Tudor domain, as they are a part of the SMN complex. A missense mutation within the tudor domain (E134K) showed a disruption in the interaction of SMN and Sm proteins, further affecting the assembly of snRNPs (Bühler *et al.*, 1999). This represents only a glimpse of the important researches made on the components of the SMN complex. Multiple studies on SMN and its interacting partners in this complex, specially gemins, were of great help to better understand its role in RNA metabolism and in SMA pathogenesis (Campbell *et al.*, 2000, Charroux *et al.*, 1999, Charroux *et al.*, 2000, Fischer *et al.*, 1997, Q. Liu *et al.*, 1997).

Another very interesting interactions between SMN and FUS were demonstrated. Just like SMN, FUS is an RNA-binding protein, which causes amyotrophic lateral sclerosis (ALS), another motor neuron disease. A team reported that FUS associated with the SMN complex via u1 snRNP and with a direct interaction between FUS and SMN. They showed that FUS was required for Gem formation in HeLa cells. Furthermore,

in ALS patient fibroblasts with either a *FUS* or *TDP-43* mutation, there was a reduction in the number of gems. This interaction is particularly interesting for which it suggests a potentially common and disrupted pathway in both SMA and ALS (Yamazaki *et al.*, 2012).

SMN's role in actin dynamics and axonal transport has been identified and described previously. This function is particularly known, because of the many partners of SMN in this pathway. SMN's exons contain proline-rich motifs at the COOH terminal. These motifs can bind to a known group of actin-binding protein ; profilins. A team has shown an interaction between SMN and two isoform of profilin, but mostly profilin II, through a yeast two-hybrid system and a coimmunoprecipitation experiments (Giesemann *et al.*, 1999). Another group later showed that the two proteins (and others like *gemin2*, *gemin6*, *gemin7* and *unrip*) colocalize in the cytoplasm of differentiating rat PC12 cells and at the growth cones of neurite-like extensions. (Sharma *et al.*, 2005). SMN was also shown to interact with the β -actin mRNA-binding protein IMP1 (Fallini *et al.*, 2014) as well as hnRNP-R, which binds the 3'-UTR of β -actin mRNA and colocalize in the growth cones of motoneurons (Rossoll *et al.*, 2002). Moreover, a novel interaction was found between SMN and HuD. The latter is a neuron-specific RBP that is involved in post-transcriptional regulation of mRNAs important for neural development (Pascale *et al.*, 2004). HuD was shown to coimmunoprecipitate with the SMN complex in primary embryonic cortical neurons. In a zebrafish model, both proteins were shown to interact in motoneurons during formation of axonal branches and dendrites. *HuD* mutant zebrafish models displayed decreased motor axon branches as well as fewer dendrite and locomotion defects, similar to SMA phenotypes (Hao le *et al.*, 2017). Partners of the SMN/HuD complex were also investigated. The mRNA CPG15, which promotes neuritogenesis (Naeve *et al.*, 1997), was shown to interact with both HuD and SMN in spinal motor axons. *Smn* deficiency reduces the mRNA levels of CPG15 and its overexpression ameliorated the phenotype of a *smn*-MO zebrafish model (Akten *et al.*, 2011). Another mRNA involved in axonal outgrowth, known as *Gap43*, was shown to be decrease in both *smn* and *HuD* zebrafish mutants. Expression of *HuD* in an *smn* zebrafish mutant was able to rescue motoneurons defects as well as *Gap43* mRNA levels(Hao le *et al.*, 2017). These results demonstrated that both proteins seem important in motoneuron development and mRNA stability in neurons. Unravelling SMN's partners is key for understanding its multiple functions. Discovering new partners could bring further knowledge into SMA pathogenesis as well.

1.2.4. MODELS OF SPINAL MUSCULAR ATROPHY

Having a good model is key for the understanding of a disease. Many SMA models in various types of animals have been generated, but they all share a common obstacle: they have one copy of *SMN*. Indeed, there is only an *SMN2* copy in humans. It is then more challenging to create a model that recapitulate all types of SMA and their corresponding phenotypes, but many genetic techniques have been used to handle this difficulty and allow a great model.

1.2.4.1. Mouse models

One of the most popular SMA model is the mouse. Although they are very closely conserved to humans, it still has some limitations. They possess one copy of *SMN* that is 82% identical to humans (Viollet *et al.*, 1997). The homozygous knockout (*Smn*^{-/-} or *Smn* null mice) results in embryonic lethal animals at the 8-cell stage (Schrank *et al.*, 1997), which demonstrate that SMN is essential and very important for development. Additionally, a heterozygous knockout of SMN did not show all the SMA hallmarks and have a normal lifespan (Jablonka *et al.*, 2000). It is now clear that other genetic manipulations had to be coupled with the *Smn* null mice since only SMA patients can rely on the SMN levels of the *SMN2* copy. A severe SMA mouse model (*Smn*^{-/-}; *SMN2*^{+/+}) was created by adding human *SMN2* transgene onto the *Smn* null mice. The copy number of *SMN2*, just like in humans, can modulate the disease severity. *SMN2* results mostly in the production of *SMNΔ7*, but still produced a small amount of full-length SMN as well. By adding only one or two copies, researchers have showed that it could rescue embryonic lethality. The mice showed a normal number of motor neurons at birth, but they were reduced at postnatal day 5 and the mice died at postnatal day 7 (Monani *et al.*, 2000b). This model represents a more severe form of SMA, like SMA type I in humans, and is then called the “severe SMA model”. A full rescue can be done by adding eight copies of the *SMN2* transgene. Moreover, another team generated a “mild SMA model” using the same logic. They showed that by adding different *SMN2* copy number into the *Smn* null mice, the whole SMA spectrum could be modelled. Their SMA-like mice showed fewer muscles fibers, atrophic muscle bundles as well as a loss of large motor neurons in the anterior horn of the spinal cords, similar to SMA patients (Hsieh-Li *et al.*, 2000).

Another very similar model was generated by adding human *SMNΔ7* cDNA into the severe SMA model, known as the *SMNΔ7* model (*Smn*^{-/-}; *SMN2*^{+/+}; *SMNΔ7*^{+/+}). They showed that it could increase lifespan from 5 days to 13 days postnatal, which allows scientists a larger window to study SMA in mice. This team also demonstrated in cultured cells that SMN and *SMNΔ7* associate with each other by forming heterotypic complexes. These complexes could potentially stabilize *SMNΔ7*, ameliorating SMA severity (Le *et al.*,

2005). Another team used a different interesting approach to generate an intermediate SMA model (Bowerman *et al.*, 2009). They created a knock-in transgenic mouse line (*Smn*^{2B/+}) with mutations within the exon splicing enhancer (ESE) of exon 7 on the endogenous *Smn*. This causes alternative splicing of *Smn* resulting in smaller ratio of full-length *Smn*/*SMN17*, with approximately 15 % of the full-length protein. Their lifespan is around one month of age and show a reduction in motor neuron in the brain stem and spinal cord at P21. Various other mice models are available at this moment (Beebe *et al.*, 2012, Monani *et al.*, 2000a, Sleigh *et al.*, 2011). Multiple other transgenics can be utilized to model this disease. For instance, a common *SMN1* mutation found in patients, A2G, was added to a severe SMA model (Monani *et al.*, 2003). Also, tissue-specific model were generated to assess SMN role into a specific cell population with the Cre-Lox system (Cifuentes-Diaz *et al.*, 2001, Park *et al.*, 2010, Vitte *et al.*, 2004). Finally, the great variety of these SMA mouse models allows us to better understand the complex pathogenesis of SMA and brings us closer to new therapeutic strategies.

1.2.4.2. iPSC

Induced pluripotent stem cells (iPSCs) can also be used as a model for SMA. What makes it an interesting model is that easily available cells, like fibroblasts, can be harvested from SMA patients and be reprogrammed to a pluripotent state using specific factors. Then, with the appropriate conditions, they can be derived into a variety of cell types, including motor neurons. A team has demonstrated the feasibility of this technique and also that newly derived motoneurons were able to maintain the disease genotypes and defects (Ebert *et al.*, 2009). A major disadvantage of this model lies in the method of reprogramming. Main pitfalls consist of viral DNA integration into the host genome or a very low yield of efficiency (Medvedev *et al.*, 2010). Patients derived iPSCs allow an ethical human model system that is very useful the development of cell therapy, disease modelling and drug screening. Researchers were able to recapitulate the disease phenotype in iPSCs. A team showed that the capacity to form motoneurons was reduced in SMA iPSCs as well as defects in neurite outgrowth. They were able to rescue these phenotypes by adding wild-type SMN, showing that the abnormalities were indeed caused by SMN deficiency and not by clonal variability (Chang *et al.*, 2011). Moreover, another team used iPSCs in which *SMN* was knockdown to demonstrate impaired axonal outgrowth of spinal motor neurons and motor neuron loss. By restoring the expression of the *FL-SMN*, they were able to ameliorate disease phenotypes (Wang *et al.*, 2013). A proteomic study of iPSCs-derived motor neurons SMA patient revealed reduced expression of proteins involved in developmental and differentiation pathways. They compared these cells with the genetically matched patient's fibroblasts to identify distinct pattern of expression that could explain the specific motoneuron's vulnerability. They have identified depleted levels of UBA1, a known SMA modifier, further

confirming its role in the SMA pathogenesis (Fuller *et al.*, 2015). Finally, the general idea of having iPSCs as a model is to use it as a therapy. Briefly, they would generate iPSCs from SMA patient's fibroblast and correct them in vitro before reimplantation into the patient. A team tested this idea by converting the SMN2 gene to an *SMN1*-like gene into the iPSCs from patient's fibroblasts. They were then derived into motor neurons and transplanted into an SMA mouse model spinal cord to address motoneuron degeneration. The results show an increase in the animal's lifespan as well as improved disease phenotypes (Corti *et al.*, 2012).

1.2.4.3. *Drosophila*

The fruit fly, *Drosophila Melanogaster*, has also been used to model SMA. This model organism has a short life cycle of 12 days and is easy and inexpensive to grow or maintain. The ease of forward and reverse genetic approaches allows for rapid and efficient modelling of diseases. Indeed, null *smn* mutation is also larval lethal in this organism, because of its unique copy. As mentioned before, a team was able to demonstrate neuromuscular defects in *smn* zygotic mutants, further confirming the role of Smn at the NMJ (Chan *et al.*, 2003). Another team used hypomorphic *smn* mutants (Rajendra *et al.*, 2007). They showed an inability to fly or jump as well as neuromuscular defects. Interestingly, they also put forward Smn's role as a sarcomeric protein, which implies a muscle-specific function. A colocalization of dSmn with sarcomeric actin forming a complex with α -actinin was shown. Furthermore, in *smn* mutant, myofibers failed to form thin filaments and, interestingly, their phenotypes were very similar to *Act88F* mutants, which is a flight muscle-specific actin isoform.

1.2.4.4. *C. elegans*

The nematode *Caenorhabditis elegans* (*C.elegans*) has also been used to model SMA. Although it has a very simplified nervous system, it is still very useful and easy to work with. Its fully sequenced genome contains one *SMN* ortholog, *smn-1*, producing a protein that is 36% identical to the human SMN (Anonyme, 1998, Bertrand *et al.*, 1999, Miguel-Aliaga *et al.*, 1999). Moreover, this model allows straightforward genetic manipulation. For instance, the knockdown of a gene can be achieved via feeding the worms with RNAi (RNA interference). It has been shown that decreasing endogenous levels of *smn-1* with RNAi in *C. elegans* caused embryonic lethality showing an essential role for SMN in development once again (Miguel-Aliaga *et al.*, 1999). The few surviving worms showed a number of severe deficiencies like uncoordination, paralysis, lack of muscle tone and sterility. Furthermore, they generated a green fluorescent protein fusion construct containing the full-length *smn-1* and its upstream sequence. They showed fluorescence in the nervous system, body wall, vulval muscle cells, hypodermal and gut cells as well as the excretory cells. Fluorescence in the nuclei of germ cells was also noted from the zygotic stage. With these results, they have

showed that the SMN-1 protein and its mRNA were transmitted as a maternal contribution. Another team characterized the *smn-1(ok355)* deletion allele that removes the majority of *smn-1* with its translation start codon. It resulted in late larval arrest, short lifespan as well as impaired locomotion. Because of the maternal contribution of SMN-1, early larval development was normal (Briese *et al.*, 2009). With the ease of genetic and highthroughput manipulation, *C. elegans* is a key model for the finding of new potential modifiers and for high throughput drug screening. It may allow for a fast and efficient way to find new therapeutic targets for SMA.

1.2.4.5. Zebrafish

The ease and use of zebrafish in modeling neurodegenerative disease will be discussed in later sections. Many different genetic tools can be used to generate SMA zebrafish models. Like the other animal models of SMA, it only has one gene similar to SMN1 in humans, thus complete deletion of this gene will lead to embryonic lethality. Although maternal contribution of mRNAs and proteins in zebrafish have also been suggested (Giraldez *et al.*, 2006). Knockdown approaches with MO or RNAi have been used widely in zebrafish, but MO's specificity is still controversial and under investigation (Kok *et al.*, 2015). Moreover, transcription activator-like effector nucleases (TALEN), Zinc-finger nucleases (ZFN) or CRISPR/Cas9 are also utilized to study a gene's function in zebrafish (Hwang *et al.*, 2013). A team has used MO to transiently knockdown *smn* in zebrafish in order to assess *Smn*'s function in motoneuron development. Results showed spinal motor axon-specific truncations and branches. They further reduced *Smn* levels in single motoneurons to demonstrate that these defects were a cell-autonomous function of *Smn* in motoneurons (McWhorter *et al.*, 2003). Furthermore, RNAi approach was also used to recapitulate different SMA severities into the zebrafish. By using three synthetic miRNA-based backbones, they were able to reduce *Smn* protein up to 90%. These mutants displayed very early and severe phenotypes including abnormal motor neurons and swimming as well as shorter size and lifespan. They have also developed a less severe SMA model with a smaller reduction of *smn1*. These mutants displayed later onset phenotypes including abnormal swimming behavior, scoliosis and weight loss (Giacomotto *et al.*, 2015). Another very interesting and relevant zebrafish model was generated by crossing a fish containing a human *SMN2* transgene with the *smnY262stop^{+/+}* line that was previously characterized (Boon *et al.*, 2009). With this new model, they have showed a modest increase of *Smn* levels as well as survival compared to mutants without *hSMN2* and delayed presynaptic defects (Hao *et al.*, 2011).

1.3. CRISPR/CAS9

1.3.1. CRISPR/CAS9 MECHANISMS

At first, the CRISPR/Cas9 (Clustered Regularly Interspaced Short Palindromic Repeats-Cas9) system was known to be a part of the adaptive immunity of bacterias and archaeas. They used short RNA sequences coupled with an endonuclease to target and inactivate the intruders (viral) genetic materials. They also kept that information as a genetic memory in case of further infections from phages (Marraffini *et al.*, 2010). The novel CRISPR/Cas9 system is now known all over the world to be a powerful genome editing technique. Indeed, it is still widely under investigation for its therapeutic role, but its use in the laboratory is very efficient so far. Briefly, CRISPR/Cas9 will allow a double-strand break onto a specific region in the genome through Watson-Crick base pairing (Figure 3). CRISPR/Cas9 consists of multiple important components. Firstly, the single-guide RNA (sgRNA) is a specific RNA sequence that represents a fusion of two elements ; crispr RNA (crRNA) and trans-activating crRNA (tracrRNA). The crRNA part of the sgRNA is the complementary sequence of your target gene and the tracrRNA will allow binding to the Cas9. Secondly, the Cas9 can only bind to its specific sequence in the presence of the PAM sequence (Protospacer Adjacent Motif). It is usually a 3 base pair (5'-NGG-3'), but is specific to each Cas nuclease. The break will occur upstream of the PAM sequence. Finally, the CRISPR-associated protein (Cas9) is an endonuclease that will be directed onto the specific DNA, with the help of the sgRNA, to make a double-stranded break. Following the cut, and without any repair template, the cell will undergo the Non-Homologous-End-Joining pathway (NHEJ). This mechanism is prone to error, thus can result in random indel mutations. These mutations can cause a frameshift that will result in a premature stop codon and affect the functionality of the protein (Ran *et al.*, 2013).

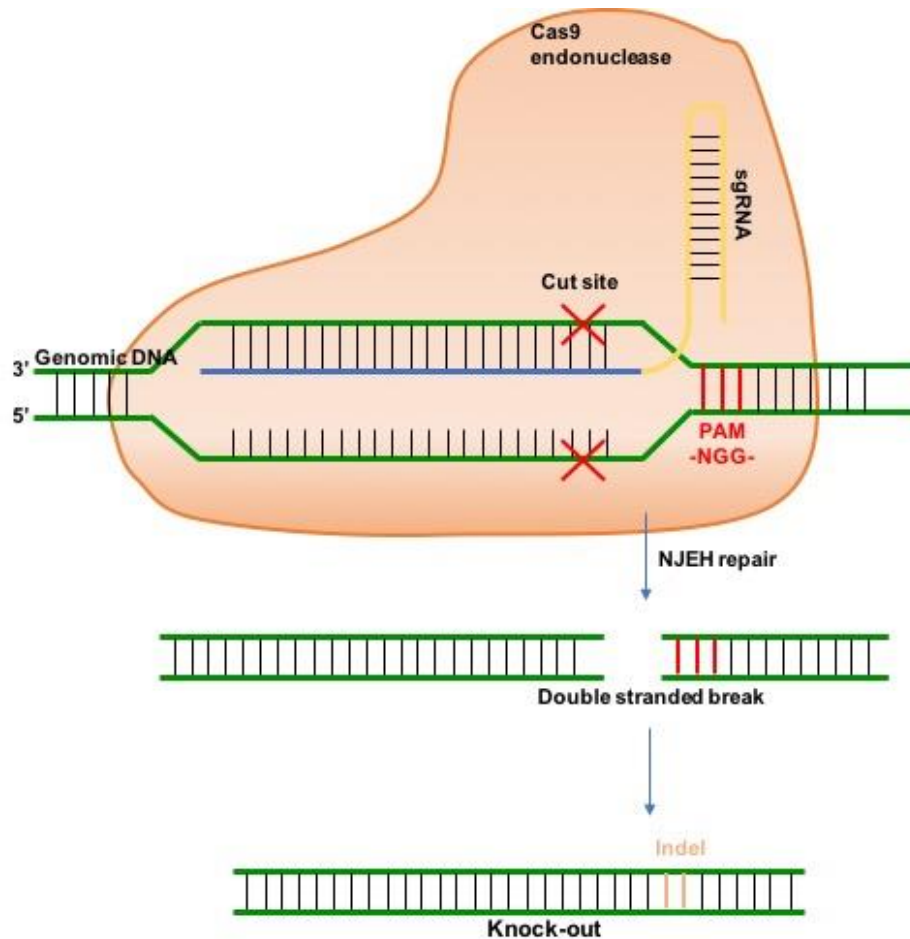


Figure 3: CRISPR/Cas9 mechanisms.

The sgRNA will hybridize to its target genomic DNA sequence preceding the PAM sequence (5'-NGG-3'). This will lead to a double-strand DNA break 3 base pair upstream of the PAM sequence. Repair mechanism will then occur through non homologous end joining if no template is added. Since this mechanism is prone to error, indels may be generated further causing frameshift mutation leading to a loss-of-function or knock-out model.

1.3.2. DISEASE MODELING USING CRISPR/CAS9 IN ZEBRAFISH

Before the CRISPR/Cas9 era, other genome engineering tools were used for targeted mutations, mostly ZFNs and TALENS. But their several weak points, like high cost, design difficulty or long cloning steps, led them to be slowly set aside. Moreover, MOs were frequently used in zebrafish for gene knockdown purpose. As an RNA molecule, they will sterically block translation in a sequence specific manner and then reduce the outcome protein levels. However, because of its RNA nature, it will be easily degraded or diluted which leads to only a transient knockdown. Many reviews have focused on the specificity of MOs and suggest not relying completely on this genetic tool (Kok *et al.*, 2015, Robu *et al.*, 2007).

With the advent and popularity of the CRISPR/Cas9 system, it has now become a technique of choice for targeted mutations. Hwang *et al.* were the first to demonstrate the feasibility of generating *in vivo* zebrafish models using the CRISPR/Cas9 system. They showed that using customizable sgRNAs with the Cas9 could disrupt endogenous genes in zebrafish embryos. They were able to successfully introduce site-specific indels (insertion/deletion) mutations with a frequency between 24 % and 59%. Their sgRNA:Cas9 system was also able to target 80% of the sites for which they tested (Hwang *et al.*, 2013). It was also demonstrated that multiple sgRNAs could be used to generate multiple genome modifications (Ota *et al.*, 2014).

Briefly, the sgRNA and Cas9 can be directly injected into the one-cell stage of zebrafish embryos. To generate a stable knockout line, mutations in the germline are necessary and can be achieved through genetic mosaicism. F0 loss-of-function mutations carriers will be allowed to grow and crossed to generate heterozygous F1 carriers. The F2 homozygous larvae will have the desired mutation in every cells and can be further used for multiple applications as an isogenic stable knockout line (Cornet *et al.*, 2018). This fast and effective method allows researchers to study a wide range of genetic diseases as well as phenotypic drug screening. Furthermore, CRISPR/Cas9 cannot only be used for stable knockout line, but also several other genetic modifications. A group, (Auer *et al.*, 2014), has reported to have successfully generated a knock-in zebrafish model. By adding a donor plasmid with the sgRNA and Cas9, they showed an efficient targeted integration through the homology-independent double-strand break repair pathway. Moreover, the CRISPR/Cas9 system can also be modified for other genetic purposes. For instance, CRISPR interference (CRISPRi) can be used to repress genes. By using one or multiple sgRNAs, it is possible to moderate the level of gene expression. It will sterically repress transcription by blocking either its initiation or elongation due to a catalytically inactive Cas9 (dCas9)(Larson *et al.*, 2013, Long *et al.*, 2015).

1.4. USING ZEBRAFISH TO MODEL SMA

1.4.1. EASE OF GENETIC MANIPULATION IN ZEBRAFISH

The zebrafish (*Danio Rerio*) is a powerful model organism for many reasons. First, on a more logistic basis, using zebrafish is cheaper than other vertebrates. They are also small and reproduce rapidly, thus a great number of fish can be maintained in a proper facility. They can produce hundreds of eggs each week, which allows scientists to perform many experiments rapidly with powerful statistic values. Moreover, zebrafish embryos have a fast extrauterine development. They are transparent, which allow to see their development very easily and study it with a simple microscope. Zebrafish is an ideal model to study early development because of those aspects. Secondly, on a genetic basis, approximately 70% of human genes have homologs in zebrafish and 84 % of human genes are known to be related to disease have a zebrafish counterpart (Howe *et al.*, 2013). Zebrafish fully sequenced genome is available as well as multiple open source databases making it really easy to work with when using RNA-sequencing or other bioinformatic tools. Genome editing is also straightforward using zebrafish to investigate the function of a gene making it a leading model for vertebrate genetics. Several techniques can be used for genetic loss-of-function like MO for transitory knockdown, miRNA based knockdown, TALEN or CRISPR/Cas9 system for global knockdown. Genetic gain of function can also be assessed by CRISPR knockin approaches or injecting blastocysts with mRNA for instance or with inducible genomic regulatory elements (e.g. GAL4/UAS system), which can target a smaller set of cells. Based on these aspects, the zebrafish model allows researchers to generate rapid and efficient genetic model organisms.

1.4.2. STUDYING NEURODEGENERATIVE DISEASE USING ZEBRAFISH

As mentioned before, many human genes related to diseases have a zebrafish counterpart. It applies for neurological diseases as well. In fact, zebrafish possesses highly conserved genes and physiological process involved in the nervous system. For this reason, many researchers are now using the zebrafish for modelling of neurodegenerative disorders (Bandmann *et al.*, 2010). Its nervous system is also very well characterized, which makes it a great model for these types of diseases (Ince *et al.*, 1995). The zebrafish possess two different types of motoneurons. First, the primary motoneurons possess large cell bodies and appear around 24 hpf. There are three distinct primary motoneurons per spinal cord hemisegment (CaP, MiP and RoP) that innervate either dorsal, rostral or ventral region of each myotome. Secondary motoneurons begin extending their axons a little later during development. They are usually smaller but more numerous, approximately 30, and their axons extend ventrally and laterally of the Mauthner axon to the ventral root (Beattie, 2000, Myers *et al.*, 1986). This model also provides a clear method for the visualization of motoneurons during

development and is really useful for studying the neuromuscular system in development and diseases. Furthermore, the zebrafish spinal cord at embryonic larval stage is functionally and also anatomically similar to humans (Eisen *et al.*, 1986). The transparency of embryos and early larval stages makes it even easier to work with and develop useful methods like whole-mount imaging to study changes in motor neurons. Numerous other applicable *in vivo* tools can be used with the zebrafish like easy behavioral tests to analyse motor activity, gene expression modulation and simple chemical drug testing.

1.4.3. DRUG SCREENING

Another interesting aspects of the zebrafish model is that, drugs can be added to their water or injected into the cells which makes toxicological screening very easy. Drug screening with the zebrafish is rapid, efficient and allows for the advance of preclinical trials. Once tested in the fish, lead drugs can next be translated into an *in vivo* rodent model. With the high quantity of embryos, multiple compounds can be tested simultaneously. Moreover, they have a wide range of disease-associated phenotypes and signs of toxicity are easily noted under a microscope. For instance, their physiology, motor activity, metabolism or behavior allows crucial knowledge about the effects of a drug. As a whole living organism, the pharmacological information about absorption, distribution, metabolism and excretion become readily available. Furthermore, they have a fully functional organ system that function just as their human counterparts for the most part, which makes it a much better model than cultured cells (MacRae *et al.*, 2015). With the advent of genome editing and high-throughput technologies, drug discovery projects with the zebrafish will be key for finding new therapeutic strategies or repurposing existing drugs to a variety of diseases.

To conclude, these are the major advantages why zebrafish is an important model system. Although rodent models are very popular and used in research, they are not well suited for high-throughput screenings. Drug screenings in zebrafish allow for identifying potential drugs in a less expensive and faster way. Furthermore, just like rodents, behavioral assays, like investigating learning, memory, sleep, stress or social experiment can be done in zebrafish as well (Basnet *et al.*, 2019, Norton *et al.*, 2010). These aspects further confirm the essential relevance and usefulness of using zebrafish in research.

2. ARTICLE

Modeling SMA in zebrafish: A robust *in vivo* model for screening candidate therapeutic compounds

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Author contributions

MP and SP designed the experiments, interpreted the results and wrote the manuscript. MP collected, analyzed and interpreted the results. AL helped in the generation of the *Hb9:smn* and *Alx:smn* lines. All authors read and edited the manuscript.

Due to the COVID-19 pandemic, we encountered some unexpected delays and the manuscript is still in preparation for submission to *Biomolecules*.

Abstract

Spinal Muscular Atrophy (SMA) is a rare autosomal recessive neurodegenerative disease primarily caused by mutations in *SMN1*. It is the leading genetic cause of infant mortality for which there is currently no effective cure. Despite recent advances in the SMA therapeutics, there remains a need to identify potential therapeutic compounds that can exert their effects via non-SMN dependent mechanisms. Morpholino-based knockdown is commonly used in zebrafish to study SMA pathogenesis. However, this *smn* knockdown model is transient and not appropriate for efficient drug discovery purposes. Thus, development of a stable model for screening candidate drugs would be valuable for identifying of lead compounds as potential therapeutics for SMA. Here we generated and characterized an *smn* KO zebrafish mutant using the CRISPR/Cas9 genome targeting. The *smn* mutants displayed impaired locomotor activity, axon branching defects and abnormal NMJ structures. We demonstrated that loss-of-function of *smn* specifically in motoneuron is principally the cause of motor deficits, motoneuron loss and muscle atrophy in zebrafish. As a proof-of-principal, we showed that treatment of the *smn* mutant larvae with salubrinal significantly improved locomotor activity. These findings show the potential value of using this zebrafish SMA model for efficient in vivo screening of potential therapeutic compounds.

INTRODUCTION

Spinal muscular atrophy (SMA) is an autosomal recessive inherited disorder characterized by the degeneration of the anterior horn cell from the spinal cord. SMA is caused by the homozygous deletion (>95%) or mutation of the telomeric Survival Motor Neuron-1 (*SMN1*) gene on chromosome 5q13 (Lefebvre *et al.*, 1995, Melki *et al.*, 1990). There are several types of SMA categorized from I to IV ranging from severe to mild and mainly based on clinical criteria and the age of onset. In humans there are two copies of the *Survival Motor Neuron* gene, *SMN1* and *SMN2*. *SMN2* is a copy gene of *SMN1*, but differs by lacking the exon 7, which produces weaker levels of full-length functional protein. Although mutations in *SMN2* are not responsible for clinical phenotypes, several studies have demonstrated that *SMN2* copy number has an effect on the severity of the disease (Campbell *et al.*, 1997, Mailman *et al.*, 2002).

SMA affects approximately one in 6000-10,000 live births and has a carrier frequency of one in 50, which makes it the leading genetic cause of infant death (Ogino *et al.*, 2004, Roberts *et al.*, 1970). The early symptomatic stages of SMA are characterized by abnormalities of neuromuscular junction (NMJ) (Cifuentes-Diaz *et al.*, 2002, Dachs *et al.*, 2011, Kariya *et al.*, 2008, Kong *et al.*, 2009, Ling *et al.*, 2010, Murray *et al.*, 2008, Torres-Benito *et al.*, 2011). The *SMN1* protein is ubiquitously expressed, despite many investigations; it is still unclear how low levels of *SMN1* can directly affect motoneurons and ultimately neurotransmission at NMJs. Importantly, current therapeutic strategies under development are almost exclusively based on increasing functional *SMN* protein (Benkhelifa-Ziyyat *et al.*, 2013, Brichta *et al.*, 2003, Hua *et al.*, 2010, Mattis *et al.*, 2009, Riessland *et al.*, 2010, Rigo *et al.*, 2012). Other therapeutic strategies directed to *SMN*-independent targets to induce neuroprotection and myotrophic effects (Bowerman *et al.*, 2012a, Merlini *et al.*, 2003, Nizzardo *et al.*, 2011) have also been explored but considerably less advancements have been made in search of non-*SMN* dependent treatments. Given the divergence in prognoses due to SMA type designation, the application of a combination of non-*SMN* dependent and *SMN* restoring therapies, would likely be essential to treat SMA. Therefore, there is an urgent need to develop and assess new non-*SMN* therapeutics for this incurable disease, which could be particularly effective at early stages of SMA, potentially acting at NMJ.

Zebrafish has been used as an alternative vertebrate model for the cellular and molecular characterization of SMA mechanisms. Motor axonal and behavioural defects are observed in zebrafish *smn* knockdown models (Ali *et al.*, 2000, Brent *et al.*, 2002). Zebrafish as a model has robustly complemented studies in mice and has provided important insights in the etiology of SMA (Hao le *et al.*, 2015, Hao le *et al.*, 2012, See *et al.*, 2014, Wishart *et al.*, 2014). However, the majority of these findings were based on morpholino

knockdown of zebrafish *smn*. This approach is transient, limited to the first few days of development and the specificity of morpholinos has also been the subject of debates recently. The development of CRISPR/Cas9-mediated gene targeting technology has greatly facilitated the use of zebrafish for modelling human genetic diseases. In addition, in the last few years, it has become apparent that zebrafish is a powerful model organism amenable to high-throughput drug screening *in vivo* at embryonic and larval stages (Yeh *et al.*, 2009). It is also becoming valuable in the preclinical pipeline to bridge the gap between *in vitro* assays, which allows for a very rapid first drug screenings, and more costly screens in mammals (Giacomotto *et al.*, 2010). Furthermore, a large amount of molecules can firstly be tested in worms, *C. elegans*, and a selection of them can be translated into a more complex neurobiological system like the zebrafish. Several groups, including us, have explored the potential of zebrafish as a model system for drug screening (Asnani *et al.*, 2014, Tat *et al.*, 2013, Vaccaro *et al.*, 2013, Vaccaro *et al.*, 2012).

To facilitate drug discovery for SMA, we developed a genetic zebrafish *smn* model utilizing CRISPR/Cas9 approach. The *smn* mutant zebrafish exhibit behavioural and neuromuscular defects. We also showed that loss of *smn* specifically in the motoneurons replicates aspects of SMA in zebrafish. Furthermore, we demonstrate the utility of the *smn* mutant zebrafish model system to be used as a rapid *in vivo* screen of potential therapeutic drugs.

MATERIALS AND METHODS

Zebrafish Maintenance

Adult zebrafish (*Danio rerio*) were maintained at 28°C at a light/dark cycle of 12/12 h in accordance and they were bred according to standard procedures (Westerfield, 1993). Embryos were raised at 28.5 °C, and collected and staged as previously described (Kimmel *et al.*, 1995). All experiments were performed in compliance with the guidelines of the Canadian Council for Animal Care and our local animal care committee.

CRISPR/Cas9 generated mutagenesis

A specific single guide RNA (sgRNA) with a T7 promoter and a NGG PAM site was designed using the CRISPRSCAN algorithm (<https://www.crisprscan.org>). The following gRNA sequence targeting exon 5 of *smn* was used (PAM site is indicated in brackets): GGCGGTCCAGGTGGGAAACT(GGG). To synthesize the sgRNA, a PCR amplification was performed and followed by *in vitro* transcription. The following PCR protocol was used : 1 X 95°C for 3 min followed by 30 cycles of 95°C for 30 s, 58°C for 30s, 72°C for 20s and finally 1 cycle of 72°C for 5 min. *In vitro* Transcription was performed using the AmpliScribe™ T7-

Flash™ Transcription Kit (Lucigen; ASF3257). Cas9 mRNA was synthesized using the mMACHINE mMESSAGE T3 kit (Invitrogen; AM1348) from pT3TS-nCas9n plasmid (Addgene #46757) linearized with Xba1. A volume of 1 nl containing a mix of 100 ng/μl Cas9 mRNA and 60 ng/μl sgRNA was injected into one-cell stage embryos using the Femtojet4i. Genotyping of *smn*^{+/+} (wild-type), *smn*^{+/-} (heterozygous) and *smn*^{-/-} (homozygous) fish was performed by high resolution melting (HRM) analysis using genomic DNA extracted by boiling larva/clipped caudal fin in 50 mM NaOH for 10 minutes and then neutralized in 0.1 M Tris HCl (pH8).

miRNA cell-specific smn knockdown

The miRNA (miRsmn1414) construct targeting zebrafish *smn* (Giacomotto et al., 2015) was kindly obtained from Dr. Jean Giacomotto. The miRNA construct was cloned downstream of the upstream activating sequence (UAS) in a mini-tol2 R4R2 plasmid and injected into one-cell stage zebrafish embryos to generate transgenic line. The downstream EGFP-cmlc2, a germ-line transmission of a myocardium specific GFP, assures us the correct insertion of miRNA. Cell-specific *smn* knockdown is achieved by crossing UAS:miRsmn1414 zebrafish with other fish expressing GAL4 specifically in motoneurons (Hb9:Gal4) or interneurons (Alx:Gal4).

Gross Morphology and survival assessment

Gross morphological observations of embryos and larvae were performed at 2 dpf and 6 dpf using a stereomicroscope (Leica S6E). Survival rate was also assessed during the larval developmental stages.

Behavioural Analysis

Zebrafish larvae (5 dpf) were placed individually into a 96-well plate containing 200μl of E3 media and habituated in the Daniovision® recording chamber (Noldus) for 30 min before start of experiment. Larval locomotor activity was monitored over two hours using the Daniovision® apparatus. Analysis was performed using the Ethovision XT12 software (Noldus) to quantify the total swimming distance.

Motoneuron and muscle immunostainings

Immunohistochemical analyses were performed on 2 dpf zebrafish to visualize muscle morphology and the presynaptic and postsynaptic clusters using the markers SV2 and α -bungarotoxin, respectively.. In adult zebrafish tissues, choline acetyltransferase staining (ChAT) and Hematoxylin/Eosin (H&E) staining were performed.

First, embryos were dechorionated and fixed in 4% paraformaldehyde (PFA) overnight at 4 °C. They were then washed in PBS-Tween 0.1 % four times for 15 minutes. Next, they were incubated in collagenase (1mg/ml in PBS) for 30 min. Embryos were rinsed in PBS-Triton 0.5% three times for 10 min. Next, they were incubated for 1h in blocking solution (2% NGS, 1% BSA, 1% DMSO, 1% Triton) and then incubated for 30 min with PBS-Tween containing 10 ug/ml sulforhodamine-conjugated α -bungarotoxin (Molecular Probes) to bind acetylcholine receptors. Embryos were rinsed with PBS-Tween three times for 10 min, then incubated with the blocking solution for 1 h. The first antibody (anti-SV2 1:200) was then added overnight at 4 °C in the blocking solution. The next day, the embryos were washed in PBS-Tween four times for 15min. Secondary antibody (Alexa fluor 488, 1:1000, Invitrogen) was added in fresh blocking solution for 4h at room temperature. Embryos were washed in PBS-Tween overnight at 4 °C.

For the phalloidin staining of actin filaments on muscle fibers, 2dpf embryos were fixed as described previously. They were then washed in PBS-Tween (0.1%) three times for 10 minutes. They were incubated for 1.5 h in PBS-Triton (2%). Then, PBS-Triton containing phalloidin (1:100 Alexa Fluor 488 phalloidin ; A12379) was added overnight at 4 °C. The next day, embryos were washed in PBS-Tween and imaged.

ChAT staining was performed on adult zebrafish spinal cord's section (10 μ m). Briefly, to remove paraffin slides were put in xylene two times for 5 minutes. Then, they were rehydrated in solution of ethanol and water for 5 minutes each (100%, 95%, 70%, 50%) and incubated in water for 5 minutes. Next, the slides were washed in PBS for 5 minutes, following by a 68°C citrate bath for 20 minutes. Once the slide were cooled down, they were washed once again for 5 minutes followed by an incubation of 0.3% Triton in PBS for 30 minutes. They were then washed in PBS and blocked for 1h at room temperature (1% Donkey serum, 0.4% Triton in PBS). First antibody was added overnight at 4 °C (1:500 anti-mouse). The next day, slides were washed in PBS and second antibody was added for 2h at room temperature (1:750 Donkey anti-goat AlexaFluor 488). Finally, slides were washed and Prolong gold antifade reagent with DAPI was added before mounting.

For the hematoxylin and eosin staining of the muscles and spinal cord, whole fishes were fixed in 4% PFA for 72h. Fishes were then embedded in paraffin and 15 μ m sections were obtained using microtome. Paraffin was removed by incubating slides in xylene twice followed by rehydration with 4 successive baths of respectively 100%, 95%, 70% and 50% ethanol in distilled water. Hematoxylin and eosin staining was then performed.

RT-qPCR

Zebrafish embryos were dechorionated at 48 hpf. Total RNA was extracted with Trizol/Chloroform. Concentrations were determined by Nanodrop. cDNA synthesis was performed using SuperScript™ VILO™ Synthesis Kit (Thermofisher; 11754050) with 1 µg of RNA. qPCR was performed using the iTaq™ Universal SYBR® Green Supermix (Bio-Rad; 1725121) in a 20 µL reaction mix. The following qPCR protocol was used: 1 X 95°C for 3 min followed by 30 cycles of 95°C for 30 s, 58°C for 30s, 72°C for 20s and finally 1 cycle of 72°C for 5 min. Experiments were done in duplicate and normalized with the EF1 gene using the $\Delta\Delta$ CT method.

Western Blotting

Thirty 2dpf embryos were dechorionated and grind on ice in 300 µL RIPA solution with 10% Protease Inhibitor Cocktail (Sigma-Aldrich ; P8340) for 1 min. They were then incubated on ice for 10 min before centrifuge 10 min at 10 000 rpm at 4 °C. Supernatants were transferred in new tubes and stored at -20 °C. Protein dosage was done with the Pierce™ BSA Protein Assay Kit (Thermo Scientific ; 23225). Gels were prepared with the TGX Stain-Free FastCast Acrylamide Kit, 7.5% (BioRad Cat #1610181). 20 µg of proteins was used and mixed with same volume of Laemmli solution with β -mercaptoethanol (5%). Samples were incubated at 95 °C for 5 min and loaded into the gel. Next, we used a standard 10 min. transfer protocol (2.5 A, 25V, 10mm). Membranes were then blocked in 5% milk for 1h. First antibody (MANSMA12 1:500 and anti α -tubulin mouse 1:5000 sigma Aldrich T6199) was added in 2.5% milk and incubated overnight at 4°C. The next day, membranes were washed several times in PBS-Tween (0.1%) and then incubated with the secondary antibody (1:8000 anti-mouse IgG ; A4416) at room temperature for 1 h. Membranes were rinsed several times with PBS-Tween and then revealed with the Clarity™ Western ECL Substrate kit (Bio-Rad Cat#170-5060). Membranes were imaged with the ChemiDoc XRS from BioRad.

Drug treatment

Zebrafish embryos at 4 hpf were treated with salubrinal (50 µM) in embryo medium (E3). The medium was changed daily and behavioural assay was performed at 5 dpf using the Daniovision (Noldus) apparatus.

Statistical Analysis

Data are presented as means \pm S.E.M. Significance was determined by using unpaired parametric t-test analysis and One-way ANOVA followed by a Tukey post-hoc multiple comparisons test for normally distributed and equal variance data. Statistical analysis was carried out using Prism-GraphPad® (GraphPad, San Diego, CA, USA).

RESULTS

Generation of *smn* zebrafish mutant

An ortholog telomeric *SMN1* gene is found in the zebrafish genome, known as *smn*. By taking advantage of the CRISPR/Cas9 genome editing technique, we generated a *smn* mutant co-injected a specific sgRNA, targeting the 5th exon of the *smn* gene for disruption, and Cas9 mRNA into one-cell stage zebrafish embryos. DNA Sanger sequencing of target-specific PCR products revealed that the *smn* targeted allele bear a deletion of 7 bases (GTTTCCC) (Figure 1A), resulting in a frameshift mutation and premature stop codon (Figure 1B) in F0 CRISPR mutants or crispants. The mutation affected the functional domains of the protein. We confirmed that the expression of *smn* mRNA and protein were significantly reduced in *smn* zebrafish mutant fish by RT-qPCR and Western blotting, respectively (Figure 1C,D). These results demonstrate a successful generation of a transgenic line of *smn*-depletion zebrafish. F0 CRISPR mutants with the mentioned frameshift have then been used to generate a stable *smn* mutant line.

Morphological analysis of *smn* zebrafish mutant fish

To examine the consequences of *smn* depletion during zebrafish development, we assessed survival rates and gross morphological changes in *smn* zebrafish mutant. Compared to controls, a significant proportion of *smn* mutant embryos (40%) died prematurely (Figure. 1E). In addition, *smn* mutant embryos exhibited morphological defects such as slight curvature of the body axis at 2 days postfertilization (2dpf) (Figure. 2A) and reduced fish length (Figure. 2B). However, at larval stages, we did not observe any differences in the fish length in 6 dpf controls and mutants (Figure. 2C), suggesting developmental delay in *smn* mutant fish.

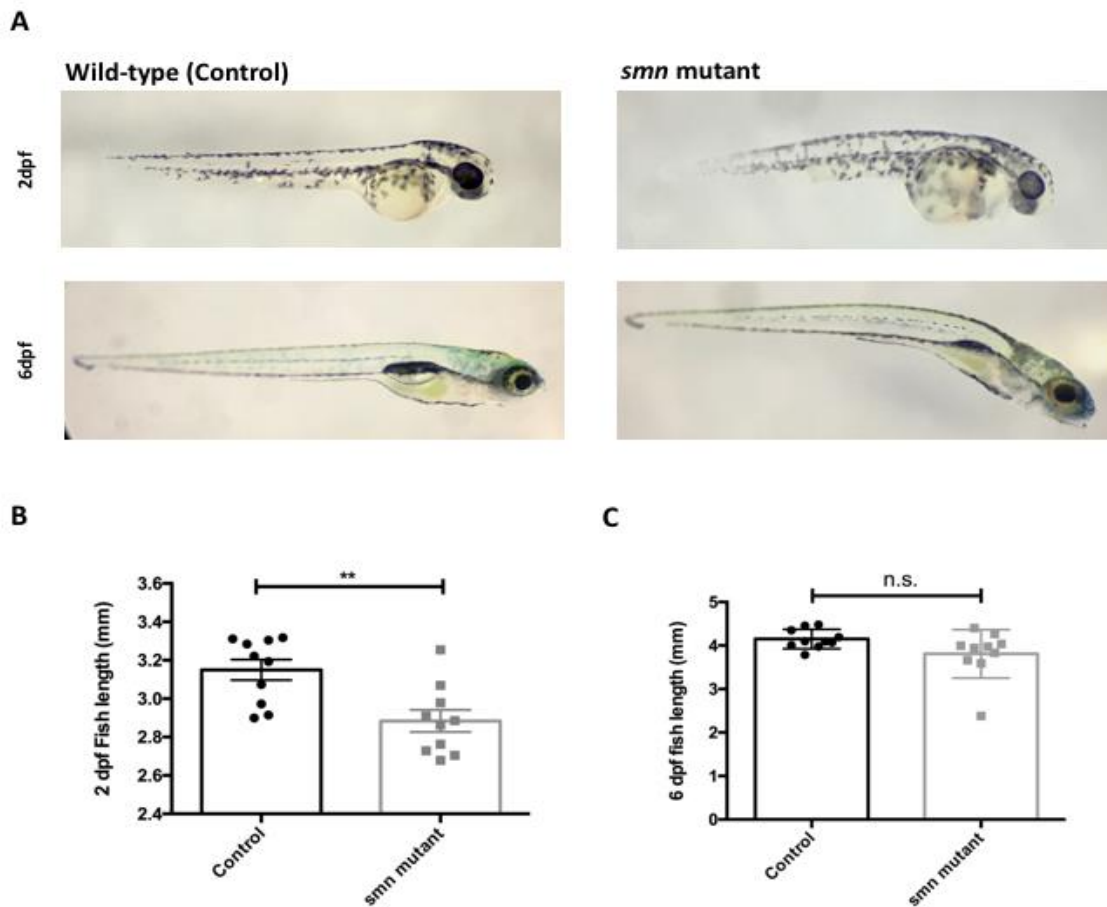


Figure 2. Characterization of the zebrafish *smn* mutant line (A) Gross morphology assessed with a stereomicroscope at 2 dpf and 6 dpf shows morphological defects occurring as a slight curved spine. Fish length was significantly reduced in *smn* mutant at 2 dpf (n=10). (B) Larvae body length in controls and *smn* mutants at 2 dpf and (C) 6 dpf. ** represents a significance of $p < 0.01$. n.s.=not significant. Significance was assessed using an unpaired Student's t-test and all data are represented as mean \pm SEM.

smn mutant larvae displayed impaired locomotor activity

One of the key features of SMA is the restrained motor ability in patients (De Sanctis *et al.*, 2016, Kariya *et al.*, 2008). To gain insight into changes in motor function in mutant *smn* zebrafish, we monitored and quantified swimming activity in control and mutant fish over a period of 2 h. We observed that *smn* mutant larvae exhibited a significantly impaired locomotion compared to controls (Figure. 3A). To further our understanding of the underlying cause of motor impairment, we next sought to investigate the motor axon projections and NMJ integrity in *smn* mutant fish. We performed a co-staining of SV2 (a component of synaptic vesicles) and α -bungarotoxin (which binds irreversibly to acetylcholine receptors). Compared to controls, *smn* mutants displayed reduced motor axon length and branching (Figure. 3B,C). In addition, we observed the normal tight juxtaposition of presynaptic and postsynaptic components at NMJs in wild-type zebrafish, while in *smn* mutant fish, a significant decrease in the presynaptic and postsynaptic puncta as well as reduced colocalization of these puncta at NMJs (Figure. 3D,E,F). Altogether, these results demonstrate defective NMJ integrity and motor function in the *smn* mutant zebrafish. Our observations are consistent with phenotypes reported in morpholino-based *smn* knockdown zebrafish model (McWhorter *et al.*, 2003) and other zebrafish model of motor neuron diseases (Patten *et al.*, 2014). The muscle morphology of *smn* mutant zebrafish was also examined using the phalloidin staining of the actin filament on muscle fibers. We found no differences in muscle fiber size between control and mutant fish (Figure. 3G). However, the density of the fibers in the *smn* mutant were noticeably less, suggesting the possible early signs of muscle atrophy, which is a key component of SMA. Altogether, our data show that *smn* mutant zebrafish accurately recapitulates key hallmarks of SMA.

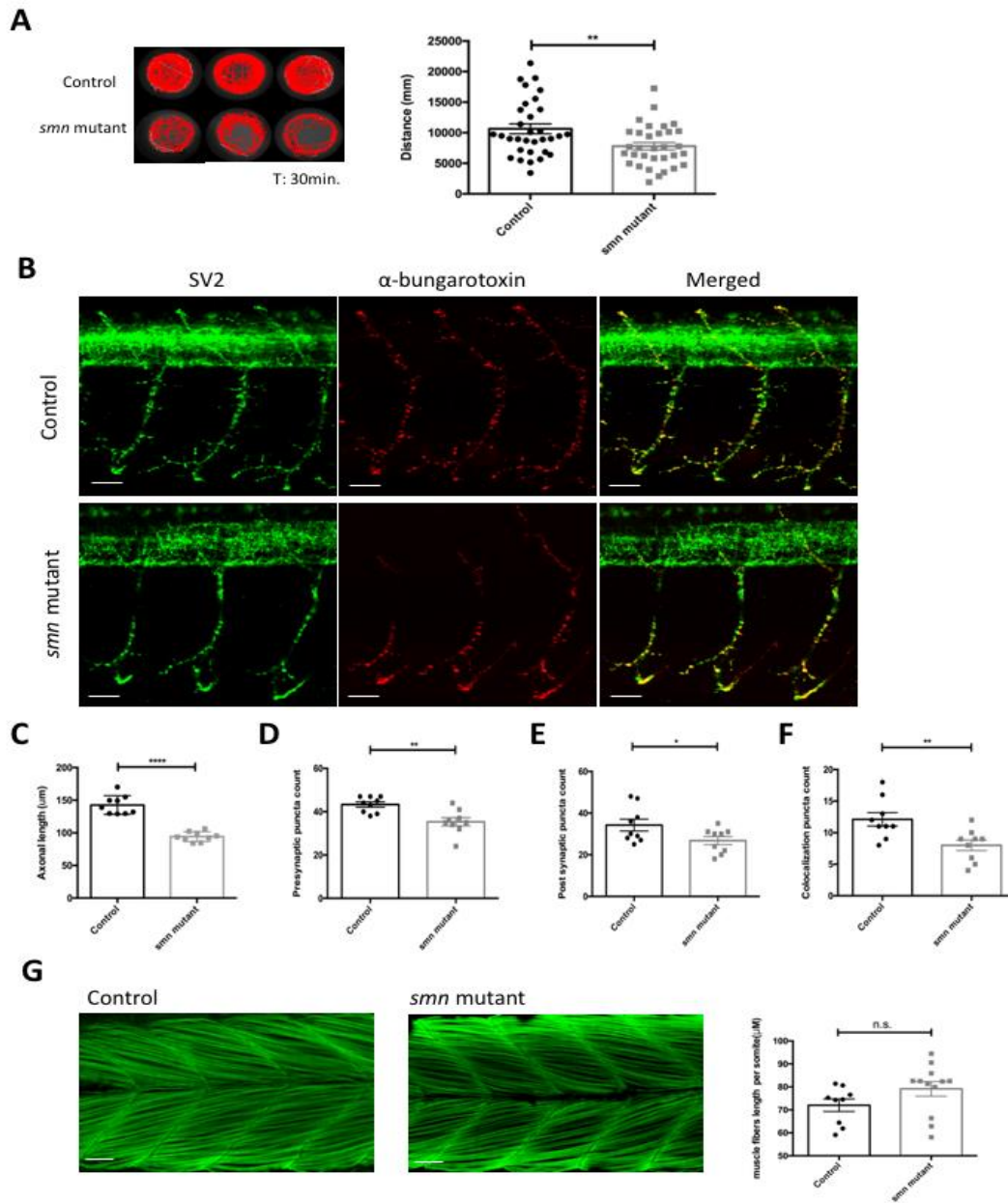


Figure 3. Behavioural and neuromuscular defects in *smn* mutants (A) Traces (red) of representative swimming activity in 5dpf control and *smn* mutant over 30 min recording are shown in *left panel*. Motor activity analysis revealed a significant reduction of the *smn* mutant swim distance (n=32) (*Right panel*). (B) Co-staining of SV2 (pre-synaptic) and α -bungarotoxin (post-synaptic) of the neuromuscular junctions shows significant differences in motor axonal length (C) and the count of pre-synaptic and post-synaptic and colocalized puncta (n=9) compared to control fish (E-F) * represents a significance of $p < 0.05$, ** represents a significance of $p < 0.01$ and ***denotes $p < 0.001$. Significance was assessed using an unpaired parametric t.test and all data are represented as mean \pm SEM. (G) Phalloidin staining of the actin filaments on muscle fibers shows no significant differences in the *smn* mutant at 2 dpf (control ; n=9, *smn* mutant ; n=12). Scale bar= 25 μ m.

Spinal and muscular defects upon loss of function of *smn* specifically in motoneurons

In order to assess if the SMA hallmarks in zebrafish was either because the *smn* expression was specifically affected in motor neurons, or not, we employed the *Hb9:Gal4* driver line and an UAS:miR*smn* line to induce specific silencing of *smn* in motor neurons and *Alx:Gal4* driver line to induce specific silencing of *smn* in upstream in the motor system in glutamatergic interneurons.

To generate the UAS:*smn* miRNA line, we used synthetic micro-RNAs (miRNA) targeting the 3'-UTR of the endogenous zebrafish *smn* gene, shown previously to be effective in knockdown *smn* (Giacomotto *et al.*, 2015) and cloned them downstream of the upstream activating sequence (UAS) in a mini-tol2-R4R2 destination plasmid (Figure 4A). To generate a transgenic line, the DNA construct (UAS:miR*smn*) was microinjected into one-cell stage zebrafish embryos. We then generated tissue-specific knockdown of *smn*, by crossing UAS:miR*smn* zebrafish with these fish either expressing GAL4 specifically in motoneurons (*Hb9:Gal4*) or glutamatergic interneurons (*Alx:Gal4*) (Figure 4B). Furthermore, GFP under a cardiac promoter (*cmlc2*) was included to confirm an efficient insertion of the construct (Figure 4C). UAS:miR*smn*::*Hb9:Gal4* (hereafter referred as *Hb9:smn*) and UAS:miR*smn*::*Alx:Gal4* (hereafter referred as *Alx:smn*) founders with a green heart were selected to generate a stable *smn* depletion-cell-specific line.

We did not observe any overt morphological abnormalities during early development (0-5 dpf) in the *smn* depletion-cell-specific line. However, a significant decrease in motor activity was observed in *Hb9:smn* 5 dpf larvae compared to wild-type controls and *Alx:smn* larvae (Figure 4D). At later stages, in addition to impaired locomotion in *Hb9:smn* fish (Supplemental Video), we also observed a significant reduction in the muscle fiber length (Figure 5A,D). Although, *Alx:smn* mutant fish had normal swimming behaviour (Supplemental Video), the muscle fiber length was also reduced in size but at significantly lesser extent than in *Hb9:smn* fish. Analysis of the spinal cord morphology revealed anomalies in *Hb9:smn* fish compared compared to controls and *Alx:smn* mutant fish (Figure 5B,E). Choline acetyltransferase (ChAT) staining is a hallmark feature of cholinergic motor neurons. ChAT immunostaining was performed on the spinal cord sections of controls, *Hb9:smn* and *Alx:smn* fish. We observed a significant decreased in the number of motor neurons in spinal cords of the *Hb9:smn* mutant compared to *Alx:smn* and *controls* (Figure 5C,F). Altogether, these results demonstrate that *smn* depletion only in motoneurons recapitulate SMA hallmarks.

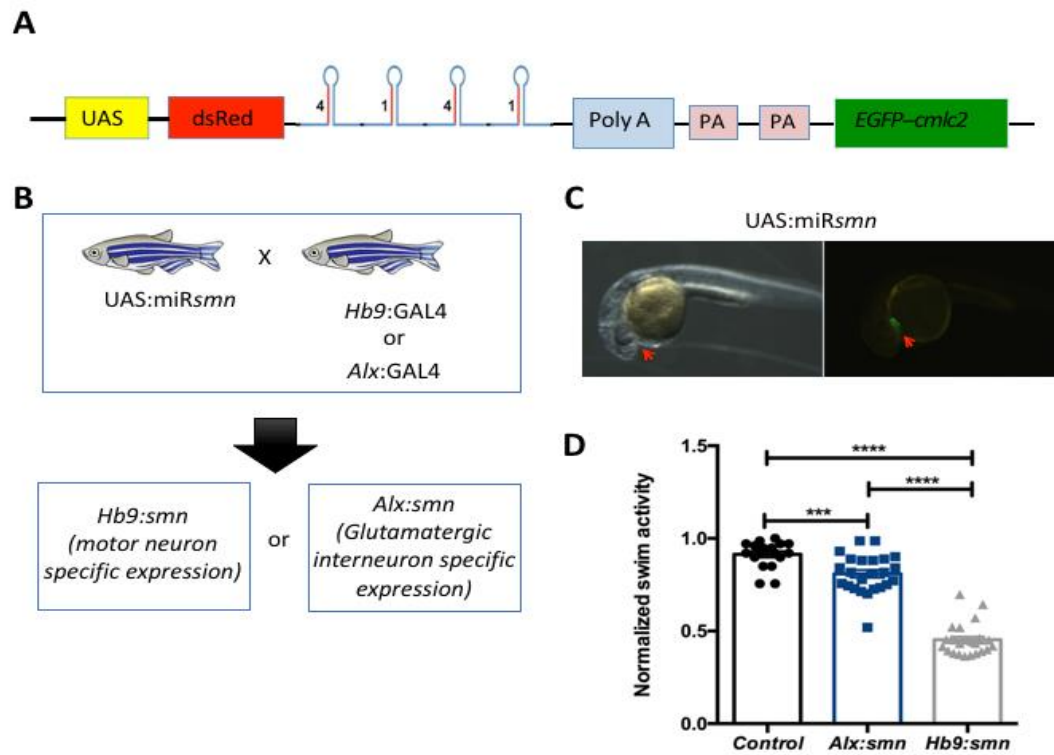


Figure 4. Generation of cell-specific *smn* knockdown (A) miRNAs targeting zebrafish *smn* (miRsmn1414) was cloned downstream of the upstream activating sequence (UAS) in a mini-tol2 R4R2 plasmid. (B) Cell-specific *smn* knockdown was achieved by crossing UAS:miR*smn* zebrafish with other fish expressing GAL4 specifically in motoneurons (*Hb9:Gal4*) or glutamatergic interneuron interneurons (*Alx:Gal4*). (C) A cardiac GFP reporter was used to select founders with successful integration of the UAS:miR*smn* construct. (D) Impaired locomotor activity in 5dpf larvae upon loss of function *smn* specifically in motoneurons. Significance was assessed using a One-way ANOVA followed by a Tukey post-hoc multiple comparisons test for normally distributed and equal variance data, ***denotes $p < 0.001$.**** denotes $p < 0.0001$.

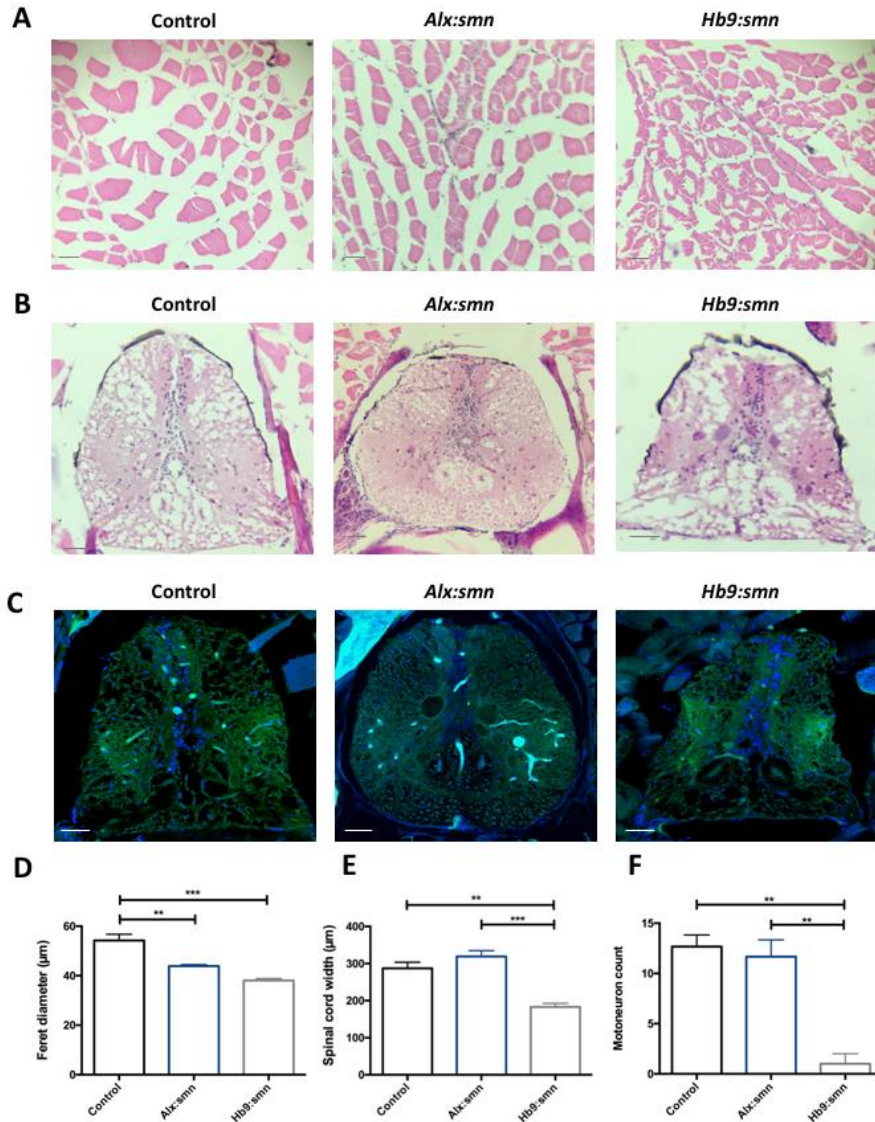


Figure 5. Spinal and muscular defects upon loss of *smn* in motoneurons but not in *smn*-interneuron specific knockdown. (A) Histology analysis with hematoxylin and eosin staining reveals severe atrophy of the muscles and (B) spinal cord in *smn* mutant fish (n=3). (C) ChAT staining of the motoneurons revealed a reduced numbers of motoneurons in *smn* mutant fish compared to controls and *Alx:smn* fish (n=3). ** represents a significance of $p < 0.01$. ***denotes $p < 0.001$. Significance was assessed using a One-way ANOVA followed by a Tukey post-hoc multiple comparisons test for normally distributed and equal variance data. All data are represented as mean \pm SEM. Scale bar= 50 μm .

Salubrinal ameliorates locomotor activity in *smn* mutant zebrafish

Automated monitoring of locomotor activity in zebrafish can be a powerful readout for drug efficacy in an *in vivo* drug screen. Indeed, we previously demonstrated the power this readout in zebrafish models of amyotrophic lateral sclerosis (ALS) for the validation of neuroprotective compounds that can rapidly be translated into preclinical testing and clinical trial (Patten *et al.*, 2017). We also identified ER stress as a potential therapeutic target in ALS and showed that compounds such as salubrinal can correct motor deficits in *C. elegans* and zebrafish models of ALS (Vaccaro *et al.*, 2013).

A key feature in SMA is the presence of ER stress, which arises from the abnormal splicing of ER chaperones (Ng *et al.*, 2015). Given that ALS and SMA share many clinical and molecular features (Comley *et al.*, 2016), as a proof of principle, we tested the feasibility of using *smn* mutants to screen for potential drugs using salubrinal. Zebrafish *smn* mutants and controls were treated with either salubrinal or vehicle (E3 medium) for five days. After the five days of treatment, we assessed for locomotor activity using the Danio. Interestingly, salubrinal was found to significantly improve motor behavioural defects in salubrinal-treated *smn* mutant larvae compared to vehicle-treated larvae (Figure. 6). The assessment of the effects of salubrinal on the motor axonal phenotypes and synaptic clusters at NMJs was interrupted by the closure due to the COVID-19 pandemic. These experiments have now been resumed and upon completion these findings will be included in the manuscript prior to submission.

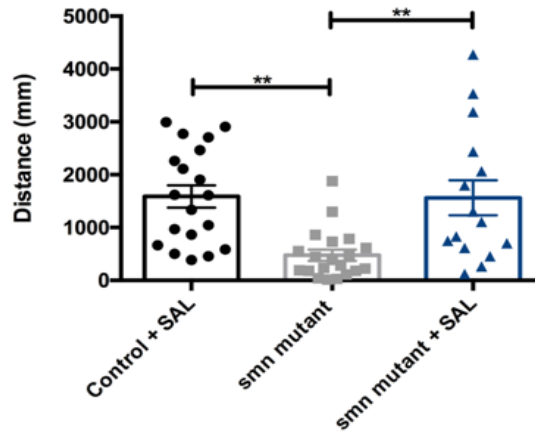


Figure 6. Salubrinal ameliorates motor phenotypes in zebrafish *smn* mutants. Motor activity analysis from 5dpf zebrafish larvae assessed with the Ethiovision software shows a significant difference between *smn* mutants (n=20) swim distance and controls (n=19). Salubrinal treatment (50 μ M) on *smn* mutant larvae (n=15) ameliorated motor phenotype. ** represents a significance of $p < 0.005$. Significance was assessed using a One-way ANOVA followed by a Tukey post-hoc multiple comparisons test for normally distributed and equal variance data. All data are represented as mean \pm SEM.

DISCUSSION

In this study, we generated the first *smn* loss-of-function mutation in zebrafish using the CRISPR/Cas9 gene editing method and reported the morphological, behavioral and neurological characterizations of *smn* zebrafish mutants. The *smn* deficiency led to reduced motoneurons/motor axons, defective neuromuscular junctions and impaired the swimming ability of the zebrafish larvae. This observation is reminiscent of the major hallmarks of SMA patients. We also identify salubrinal as a neuroprotective compound that can ameliorate swimming ability of *smn* mutant zebrafish.

Previous groups have used morpholino to knockdown *smn* expression in zebrafish. These morphants exhibit motor axon branching and motor axon pathfinding defects at early developmental stages (Carrel et al., 2006, McWhorter et al., 2003). Additionally, knockdown of the endogenous *smn* gene with transient overexpression mutant *smn* RNA (*smn*Y262stop, *smn*G264D and *smn*L265stop) in zebrafish resulted in shorter lifespan and NMJ defects (Boon et al., 2009). Similarly, a miRNA-based *smn1* silencing in zebrafish

led to locomotor deficits and motor axonal abnormalities as well as premature death (Giacomotto et al., 2015). Our stable mutant line results in the same motor axonal phenotype and behavioural defects, suggesting the specificity and reproducibility of the phenotype upon loss of function of *smn* in zebrafish.

We also showed evidence that only a specific knockdown of *smn* in motoneuron displayed SMA hallmarks in zebrafish. Our results are consistent with the *smn1* knockdown in zebrafish motoneuron using miRNAs showed to induce late-onset phenotypes like muscle atrophy, scoliosis as well as a reduced number of motoneurons (Laird et al., 2016). Additionally, similar phenotypes were also reported in a mice model where SMN was depleted in motor neuronal progenitors and the model displayed SMA-like phenotype like neuromuscular defects, muscle atrophy and motoneuron degeneration (Park et al., 2010). However, in both studies, the defects were modest compared to those observed in ubiquitously low SMN levels zebrafish (Laird et al., 2016) or mice model (Park et al., 2010). This suggests that additional cells, other than motor neurons, may play a role in SMA pathogenesis. A recent study showed that skeletal muscle alone persistently deprived of SMN in a SMA mouse model displayed muscle fiber defects, abnormal NMJs and locomotion as well as shorter lifespan (Kim et al., 2020). However this observation, contradicts results, where muscles were shown to function properly with low levels of SMN (Iyer et al., 2015, Laird et al., 2016). In particular, silencing of *smn* specifically in muscles is not sufficient to reproduce features of SMA in zebrafish (Laird et al., 2016). Here, we report for the first time that loss of function of *smn* at central synapses, particularly in excitatory interneurons, is also is not sufficient to induce motor behavioural deficits and motoneuron defects. Additional studies investigating the complete motor and sensory circuitries are needed to unravel a clear implication of cell types, other than muscles and interneurons, in SMA pathogenesis.

Zebrafish are becoming increasingly valuable as in vivo models for drug screening due to their ability to rapidly assess morphological and/or behavioural readouts in live animals. We found that zebrafish *smn* mutants displayed reduced locomotor activity at 5 dpf. This phenotype can provide an efficient, robust and rapid screen for potential therapeutics. Capitalizing on this advantage of zebrafish, showed that salubrinal can ameliorate the impaired locomotor activity of the *smn1* mutant fish. This compound selectively inhibits dephosphorylation of the eukaryotic translation initiation factor 2 (eIF2 α) and has a protective effect against endoplasmic reticulum (ER) stress-induced apoptosis (Boyce et al., 2005). ER stress responses have been widely reported in several neurodegenerative diseases (Colla et al., 2012, Huang et al., 2012, Saxena et al., 2009, Walker et al., 2013) including SMA (Ng et al., 2015). Interestingly, salubrinal was found to have protective effects in many of these diseases such as Alzheimer's disease (Huang et al., 2012), Parkinson's disease (Colla et al., 2012) and ALS (Saxena et al., 2009, Walker et al., 2013). For instance, in ALS models,

salubrinal improved their survival as well as reduced the overall reduction of motoneuron phenotypes and paralysis. Similarly, motoneurons generated from SMA patient iPSCs revealed high levels of apoptotic and ER stress genes and loss of SMN specifically activates the UPR. Importantly, treatment of these motoneurons with various inhibitors of ER stress, including salubrinal improved their survival. Furthermore, treatment with salubrinal reduced the activation of ER stress associated apoptosis in spinal and bulbar muscular atrophy (SBMA)(Montague et al., 2014). These findings raise the possibility that ER stress could play a role in motoneuron degeneration. Targeting this pathway could be a promising strategy to consider for SMA.

In this study, we developed a stable genetic zebrafish SMA model that displays robust SMA behavioral characteristics and is useful for efficient and rapid in vivo screening of candidate therapeutic compounds. It provides a new model system to complements other SMA models in gaining insights into pathological processes contributing to SMA pathogenesis. Importantly, it provides a tool to facilitate the identification of potential non-SMN dependent therapies that can be translated to ultimately to SMA patients.

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Conflicts of interest

The authors declare no competing interests.

3. DISCUSSION

3.1. GENERAL DISCUSSION

In this study, we generated and characterized a CRISPR zebrafish *Smn* knockout. We identified a redundant 7bp deletion causing a frameshift mutation that resulted in low levels of the *Smn* protein as well as reduced mRNA expression in our CRISPR model. This *smn* mutant displayed several SMA hallmarks such as gross morphological defects like curvature of the spine, reduced motor activity, abnormal axon branching as well as fewer NMJs. Altogether, these results put forward a novel *smn* KO zebrafish model that we will further use to study SMA pathogenesis and for drug screenings. These findings are consistent with those reported in SMA zebrafish models (Boon *et al.*, 2009, Giacomotto *et al.*, 2015, Hao *et al.*, 2011, McWhorter *et al.*, 2003). For instance, an established MO based knockdown SMA zebrafish model exhibited a strong motor axon phenotype at early development stages. They have noticed morphological abnormalities specifically in motoneuron development, while lowering *Smn* levels in the entire fish, that causes axon branching and motor axon pathfinding defects (Carrel *et al.*, 2006, McWhorter *et al.*, 2003). Moreover, another team (Boon *et al.*, 2009) used MO to knockdown the endogenous *smn* gene while injecting mutant *smn* RNA as well (i.e. *smnY262stop*, *smnG264D* and *smnL265stop*). Their model had a shorter lifespan and displayed NMJ defects, more precisely a decrease in SV2 protein at the presynaptic terminals. These specific phenotypes seen in SMA morphants can also be seen in our *smn* mutant with premature death, abnormal branching patterns as well as reduced SV2 markers. Similarly, a miRNA-based *smn* silencing resulted in zebrafish exhibiting strong motor phenotypes such as locomotor and motor neuron abnormalities as well as premature death (Giacomotto *et al.*, 2015). These phenotypes were displayed in their most severe model with a reduction of the *Smn* protein up to 90%. Again, these phenotypes are consistent to those observed in our *smn* mutants, which demonstrate the relevance of our model.

Our *smn* mutant zebrafish model will elegantly complement the existing SMA models. Having a stable line allows to have a large number of embryos to assess multiple experiments rapidly as well as drug screenings. The continuous expression of a disrupted *Smn* protein will assure stable and reliable results. Our model will be the first SMA zebrafish model generated with CRISPR/Cas9. Usually, miRNA or MO models are widely used to model various genetic diseases in zebrafish, including SMA. Although, these two methods are transient and only able to knockdown a gene by repressing or blocking transcription. Furthermore, MO's specificity is still controversial and under investigation (Kok *et al.*, 2015). Using miRNA is still very attractive for its simple designing steps and was considered easier than sgRNA for CRISPR/Cas9. But recent advances have also made it very easy and cleaner to use CRISPR/Cas9 to model SMA. As mentioned before,

algorithms have been created to help counter the negative aspects of CRISPR/Cas9, which are off-target effects and specificity due to PAM sequence. Experimental set-ups are mostly similar for every technique, which requires micro-injection of zebrafish embryos. Overall, CRISPR/Cas9 is one of the most versatile genetic manipulation techniques. Although homozygous *Smn* mutation is lethal, our stable heterozygous (*smn +/-*) line can viably provide homozygous larvae to be analysed within the first week of development. By taking advantages of the existing SMA zebrafish models (Hao *et al.*, 2011), we could also improve our CRISPR model and cross it with a *hSMN2* transgenic line to model a more intermediate phenotype.

Currently, the transient zebrafish MO model is heavily used to understand SMA pathogenesis (Boyd *et al.*, 2017, McGovern *et al.*, 2015, Powis *et al.*, 2016b, See *et al.*, 2014, Sleight *et al.*, 2014). Our goal was to create a more stable model while knocking out the *smn* gene. To generate a stable knock-out *smn* mutant zebrafish line, we first designed the sgRNA with the crisprscan algorithm (<https://www.crisprscan.org>). One known limitations of the CRISPR/Cas9 system is the off-target effects that consists of undesired mutations (Ran *et al.*, 2013). The *crisprscan* website allows for a rapid and efficient designing of a specific sgRNA while considering the off-target activity. This algorithm also considers a 20-nt sequence onto the gene of interest that will immediately be followed by a -NGG- PAM sequence. These characteristics are very important in order to generate a specific sgRNA. We also analysed the *smn* gene and protein sequence to search for an optimal region to direct the mutation. The *Smn* protein contains a unique domain, which is known as Tudor. This domain facilitates the SMN-Sm protein interactions for its role in the assembly of snRNP (Selenko *et al.*, 2001). Our first sgRNA was directed on that specific region of the *smn* gene to affect the functionality of the protein, but no conclusive results was observed. Another sgRNA was designed outside the Tudor domain showing great results, hence it was used for our experimentations. To make sure that micro-injections were properly done, we used a positive control. A team, (Irion *et al.*, 2014), showed efficient genome editing in the gene responsible for pigmentation in zebrafish using the CRISPR/Cas9 system. The efficiency of directed mutation can be easily verified since crisprants will show lesser or no pigmentation and have an albino phenotype. We then injected the albino sgRNA in another subset of embryos, parallel to our *smn* sgRNA, in order to confirm a successful micro-injection technique.

Of note most of our experiments were done with the F0 line, because of time limitations. Although it allows for rapid results, it does not represent a stable line and some aspects must be considered. Firstly, mosaicism is an effect that makes the generation of a stable line challenging. For a mutation to be transmitted to offspring, it needs to occur into the germline cells of the founder fish. Even though injections were done at the same stage, the Cas9 can cut at different timelines and cell population, thus have different effects. Since SMN is important during embryonic development (Burlet *et al.*, 1998), different timepoint mutations can

have an important impact in subsequent development as well as the mutant fish phenotype severity (Yen *et al.*, 2014). Mutations had to be verified and scrutinized by sequencing every possible founder. A common mutation was identified and chosen to continue further steps of the generation of a stable line. Then, by outcrossing these fish with wild-types and sequencing the resulting embryos, it was possible to identify founders that possess the desired mutation in their germline. Secondly, Only a certain percentage of the injected fish have the desired mutation and nothing can differentiate one and other rapidly at early stages. Thus, all the injected fish were always pooled for experiments. More precisely, it means that in every experiment representing the *smn* mutants, there were probably a certain percentage of fish without the mutation that could decrease the severity of phenotypes. Moreover, it could also suggest the presence of genetic compensation as a result of a loss-of-function of the *Smn* protein. Studies in multiple model organisms, including zebrafish, addressed the possibility of a less severe phenotype in knockout compared to knockdown models as a result of endogenous genetic perturbations (De Souza *et al.*, 2006, El-Brolosy *et al.*, 2017, Evers *et al.*, 2016, Teng *et al.*, 2013). For instance, a group observed compensatory network in a zebrafish *egfl7* knockout model, but not in their respective knockdown model and showed considerable differences in their phenotypes and proteome/transcriptome analysis (Rossi *et al.*, 2015). Although this phenomenon exists, we still showed great similarities between our knockout and knockdown zebrafish models.

Our results allowed for the characterization of a novel zebrafish model, but it would be interesting and necessary to assess experiments in our stable F2 *smn* mutant zebrafish larvae to address exactly the impact of the disrupted *Smn* protein. Furthermore, we used sequencing to genotype and identify our founder fishes, but another very efficient and faster technique is also available. HRM, High Resolution Melting, is a post-PCR analysis that allows identification of mutation through a shift in the DNA melt-curve profiles. Briefly, this method is based on the dissociation of double-stranded DNA when increasing temperature. Knowing that a single mutation can have an impact on the melting temperature, it allows the distinction between heterozygote, homozygote and wild-type genotypes. Thus, this technique is very useful for reliable detection of CRISPR/Cas9 induced indels in fish (Samarut *et al.*, 2016). Unfortunately, we were not able to optimize an efficient HRM detection of our mutation in the *smn* zebrafish. DNA preparation as well as primer design were optimized according to known guidelines (Słomka *et al.*, 2017), but no conclusive results could be considered and presented.

In this dissertation, we also developed a motoneuron-specific *smn* knockdown model and showed SMA hallmarks like fewer motoneuron counts, abnormal spinal cord morphology and muscle atrophy compared to controls and our interneuron-specific *smn* knockdown. We also showed evidence that only a specific

knockdown of *smn* in motoneuron displayed SMA hallmarks in zebrafish. Other teams have also focused on investigating defects upon cell-specific *Smn* depletion. For instance, researchers showed that *smn* knockdown in zebrafish motoneuron using miRNAs induced late-onset phenotypes like muscle atrophy, scoliosis as well as a reduction of motoneurons counts (Laird *et al.*, 2016). Our results coincide well with these phenotypes, which confirms the relevance of our model. Moreover, these phenotypes were also seen in a mice model where SMN was depleted in motor neuronal progenitors. They displayed SMA-like phenotype like neuromuscular defects, muscle atrophy and motoneuron degeneration. Interestingly, they noted that these defects were modest compared to those observed in ubiquitously low SMN levels mice model (Park *et al.*, 2010). This brings out the possibility that other cell types could play a role in SMA pathogenesis. Our investigation showed that depleting *smn* in interneurons was not enough to cause SMA-like phenotypes. But other cell types showed interesting results when depleting *smn* levels. For instance, a recent study showed that skeletal muscle alone persistently deprived of SMN in a SMA mouse model displayed muscle fiber defects, abnormal NMJs and locomotion as well as shorter lifespan (Kim *et al.*, 2020). Very interestingly, other researches showed contradictory results, although muscles are severely affected in SMA, they seem to function properly with low levels of SMN (Iyer *et al.*, 2015, Laird *et al.*, 2016). In another study, they reported that only a small increase in full-length SMN in neurons of the severe SMA mouse model could impact greatly SMA phenotype whereas no major impact could be seen by correcting SMN expression in skeletal muscle alone (Gavrilina *et al.*, 2008). Interestingly, sensory nerve pathology was reported in severely affected SMA patients (Type I) (Rudnik-Schöneborn *et al.*, 2003). In SMA *Drosophila* mutants, restoration in muscles or motoneurons wasn't able to rescue SMA-like phenotypes such as reduced muscle size, defective locomotion, motor rhythm and motoneuron neurotransmission. But they did express *Smn* in the motor circuit, more precisely in proprioceptive neurons and interneurons, and were able to notice rescue in muscle and motoneurons (Imlach *et al.*, 2012). A study using deep RNA-sequencing from the spinal cord of SMA mouse model at early presymptomatic stage, before seeing motoneuron pathology, revealed dysregulation of essential sensory-motor circuitry mRNAs (Z. Zhang *et al.*, 2013). These suggest that these dysfunctions would precede motoneuron defects. Other studies suggest that SMA phenotypes would autonomously originate in motoneurons, thus would not be a cause of motoneuron degeneration (Gogliotti *et al.*, 2012, Thirumalai *et al.*, 2013). Although it is clear that sensory-motor defects are present, the uncertainty relies on whether it would be a cause or consequence of motoneuron loss. This ambiguity can only suggest that more studies in the complete motor circuitry are needed to unravel a clear timeline of events in SMA pathogenesis.

Zebrafish's locomotor activity starts at 2-3 dpf, following hatching, with small burst of swimming activity. Once dechorionated, they can also have vigorous response to touch as early as 27 hpf. They possess a swim

bladder, which is a gas-filled organ, that allows them to swim properly. It is fully developed around 4-5 dpf, thus zebrafish have a mature swimming at this precise stage (Saint-Amant *et al.*, 1998). Many neurobehavioral aspects can be studied in the zebrafish. Among them is locomotor behavior that allows for rapid assessment of motor abnormalities. In our study also showed the usefulness of a stable zebrafish SMA model for drug discovery. In particular, we demonstrate that assessment of locomotor activity is a key phenotypic component that can be used for rapid drug screening. Locomotion, or the lack of locomotion, can be used as a phenotypic screen to test a large variety of compounds. Thousands of molecules can firstly be tested in worms, *C. elegans*, and then a selection of them can be translated into a more complex neurobiological system like the zebrafish. Similar screening methods have been very useful to study the effects of small molecules or drugs on motor abilities in disease context. For instance, it can be assessed by multiples experiments such as a touch-evoked escape response, swim distance and velocity measurements, electrophysiology as well as immunohistochemical analyses of NMJ structures. In a recent work, Patten *et al.*, identified thirteen compounds that protect against motor deficits in *C. elegans* and zebrafish model of ALS (Patten *et al.*, 2017). One of which, pimozide, restored both locomotor performance and neuromuscular junction (NMJ) synaptic connectivity in *C. elegans* and zebrafish TDP-43 mutants as well as restore NMJ function in an ALS SOD1 mouse model, showing that findings from simple ALS models such as zebrafish can be rapidly translated to mammals. Pimozide is currently in a Phase II clinical trial for ALS (clinicaltrials.gov Identifier: NCT02463825). We are hopeful that future use of our model as a drug discovery tool can accelerate the identification of non-SMN dependent candidate compounds for clinical trials.

Interestingly, in our preliminary drug screening work, we found that salubrinal can ameliorate the impaired locomotor activity of the *smn* mutant fish. Salubrinal selectively inhibits dephosphorylation of the eukaryotic translation initiation factor 2 (eIF2 α). This compound was shown to have a protective effect against endoplasmic reticulum (ER) stress-induced apoptosis (Boyce *et al.*, 2005). The ER stress response is a necessity in order to maintain protein homeostasis in case of various cellular injuries like inflammation or oxidative damage. Furthermore, misfolded proteins cause ER stress and are taken in charge by the unfolded protein response (UPR) which will eventually trigger cell death if the ER insult is critical (Xu *et al.*, 2005). Salubrinal's protective effects have been studied in a number of neurodegenerative diseases such as Alzheimer's disease (Huang *et al.*, 2012), Parkinson's disease (Colla *et al.*, 2012) and ALS (Saxena *et al.*, 2009, Walker *et al.*, 2013). Markers of ER stress are elevated in motor neurons of *SOD1*^{G93A} ALS mice and treatment with salubrinal improved their survival (Saxena *et al.*, 2009). It was also able to attenuate loss of muscle force as well as axon pathology, showing a protective effect in FALS mice. Their longitudinal analysis hypothesized that motoneurons could have a specific vulnerability to ER stress and could play a

role in the disease. Moreover, a study done in a mTDP-43 ALS model in *C.elegans* and zebrafish using salubrinal as a neuroprotective agent revealed overall reduction of motoneuron phenotypes and paralysis. Treatment with multiple agents, including salubrinal, showed a reduction in global oxidative stress that would potentially originate within the ER. Insoluble mTDP-43 protein that may contribute to motoneuron degeneration was also reduced (Vaccaro *et al.*, 2013). Interestingly, post-mortem spinal cords of sporadic ALS patients showed the presence of ER stress as well as induction of the UPR (Atkin *et al.*, 2008). This suggest that ER stress could be important in the pathogenesis of ALS but for other motor neuron disease as well, like SMA. Results from a RNA sequencing of purified motoneurons generated from SMA patient iPSCs revealed high levels of apoptotic genes and genes involved in the ER stress pathway. Interestingly, they found that loss of SMN specifically activates the UPR. They have also treated the motoneurons with various inhibitors of ER stress, including salubrinal, and showed a modest increase in their survival (Ng *et al.*, 2015). Another team focused on spinal and bulbar muscular atrophy (SBMA) and showed the presence of ER stress markers in motor neurons from spinal cords of SBMA mice at presymptomatic stage of the disease. This may suggest a very early and important role for ER stress in the pathogenesis of this disease. Again, treatment with salubrinal reduced the activation of ER stress associated apoptosis (Montague *et al.*, 2014). These findings raise the possibility that ER stress could play a role in motoneuron degeneration. Targeting this pathway could be a promising strategy to consider for various neurodegenerative diseases, including SMA.

3.2. FUTURE DIRECTIONS

SMN interacts with a large number of mRNAs and is involved in multiple aspects of RNA processing (Bertrand *et al.*, 1999, Lagier-Tourenne *et al.*, 2010, Rossoll *et al.*, 2002). With the advent of high throughput sequencing (RNA seq), for the past few years several groups have performed transcriptomic analyses using SMA models (Maeda *et al.*, 2014, Murray *et al.*, 2015, Ng *et al.*, 2015). However, none of these studies have thoroughly investigated synaptic genes that SMN may interact directly with and that are dysregulated in motor neurons in SMA. SMN likely regulates specific synaptic genes and that defining this genetic network will reveal novel molecular pathways in motor function and new drug targets for therapeutic intervention in SMA. Using our model, we can in the future, unraveling SMN's partners at synapses. Capitalizing on the advantages of zebrafish, we can perform transcriptomic analysis on isolated motoneurons from our *smn* mutant model and controls to identify synaptic genes that are potentially regulated by SMN1. This could help us find new targets for dependent or independent SMA therapy.

NMJ alterations have been found in patients (Martinez-Hernandez *et al.*, 2013, Wadman *et al.*, 2012) and in animal models of SMA such that it is now widely recognized that early and extensive nerve sprouting and synaptic remodeling occur at the NMJ long before presentation of clinical symptoms (Dadon-Nachum *et al.*, 2011, Murray *et al.*, 2008). Coincident with (or perhaps causative of) defects in the periphery are abnormalities arising centrally, in particular loss of glutamatergic afferents (Ling *et al.*, 2010, Mentis *et al.*, 2011, Park *et al.*, 2010, Tarabal *et al.*, 2014). The development of agents that target synaptic pathology may represent an attractive approach for therapy for SMA. However, the detailed mechanism(s) involved in NMJ pathology in SMA have not been fully described and considerably less is known about synaptic defects that arise centrally in the spinal cord. This is due in part to the difficulty in recording synaptic activity in the mammalian spinal cord. Our new zebrafish model can provide the ability to monitor defects in synaptic transmission and extend our understanding of SMA pathology as well as uncover new targets for therapeutic interventions. Indeed, electrophysiological experiments can be performed *in vivo* in zebrafish to unravel interesting changes in biophysical properties of the motoneurons and synaptic transmission at central synapses and NMJs in SMA. For instance, whole cell patch-clamp electrophysiology can be used for analyzing miniature end plate currents (mEPCs) between motoneurons and muscles. These types of currents represent very small and random acetylcholine release that occurs from a resting presynaptic terminal. The quantity, frequency and amplitude of mEPCs can be recorded in wild-type and compared to mutant fish and inform us about the connectivity at NMJ. Drug treatment in restoring aberrant mEPCs can also be assessed to identify neuroprotective small molecules. Addressing mEPCs in the *smn* mutant fish at early stage can help us characterize the neurological changes in SMA. An interesting study showed that SMA motoneurons from iPSCs displayed hyperexcitability and that the reduction of FL-SMN would be the cause. They noted an increase in membrane input resistance, hyperpolarized threshold and a larger action potential amplitude. By knocking down the FL-SMN, they were able to recapitulate those same characteristics. SMA motoneuron also exhibited enhanced sodium channel activities. They were able to correct it by restoring SMN levels in SMA motoneurons (H. Liu *et al.*, 2015). Another study also reported hyperexcitable SMA motoneurons and higher amplitude of action potential (Mentis *et al.*, 2011). Electrophysiology techniques and our model can allow to address circuit and synaptic abnormalities for a better understanding of the disease

Preliminary work from this dissertation show that our zebrafish SMA model represents a powerful drug-discovery tool. Indeed, having access to a large number of the *smn* mutant embryos, we can screen multiple drugs in parallels and test them for their efficacy on rescuing SMA motor phenotypes. This screen can be done using the Danio Vision chamber and candidate compounds can be further assessed for their effects on NMJs via simple immunostainings. Lead compounds can be subsequently translated into

preclinical and clinical trials. Taken together, these further directions can provide strategies that can help us to unravel SMA pathogenesis as well as finding a possible treatment in a rapidly efficient way.

3.3. CONCLUSION

In summary, this study reports the generation and characterization of a novel CRISPR/Cas9 *smn* mutant zebrafish model. Within this stable model, we showed hallmarks of SMA such as NMJ and axon branching defects, reduced locomotor activity, gross morphological defects and major Smn level reduction. Treating *smn* mutant fish with an ER-stress protective drug, known as Salubrinal, was able to rescue the motor phenotype. We wish to screen many more drugs and small molecules while investigating new pathways of Smn with transcriptomic analysis. Our stable model will also allow the investigation of more in depth the synaptic dysfunctions of SMA pathogenesis with electrophysiology techniques. The *smn* mutant represents a more severe form of SMA, but could be used to model an intermediate form by adding a SMN2 transgene. Overall, our versatile and stable SMA zebrafish model will help finding new pathways for alternative therapeutic strategies and for the understanding of SMA pathogenesis as well as neurodegenerative disease in general.

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