

1 **Running head:** Evaluating the effects of agricultural BMPs

2 **Title:** Evaluating the effects of BMPs on agricultural contaminants using a novel method  
3 accounting for uncertainty in water flow and contaminant loads  
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## 22 **Abstract**

23 Field-scale studies have shown that beneficial management practices (BMPs), such as  
24 nutrient management plans and grass buffers, can reduce the downstream transport of non-  
25 point source contaminants. This study presents a novel method for evaluating the  
26 effectiveness of BMPs using *in situ* data. From 2005 to 2012, hydrometric monitoring and  
27 water quality monitoring were carried out at the outlet and along two main branches of a  
28 micro-watershed (236 ha) with a high proportion of cultivated land. The method was based  
29 on evaluating the uncertainty associated with the determination of water flow and  
30 agricultural contaminant loads, with the latter being based on statistical distributions of  
31 nutrient or sediment concentrations. Distribution of loads (i.e. April – November) was  
32 estimated in order to assess the cumulative effectiveness of all implemented BMPs with an  
33 emphasis in riparian buffers established on the micro-watershed under study at different  
34 spatio-temporal scales. Results showed the concentrations and loads of total nitrogen (TN),  
35 total phosphorus (TP), nitrate-nitrogen ( $\text{NO}_3^- - \text{N}$ ) and particulate phosphorus (PP) were  
36 significantly lower following riparian buffer implementation. A significant decrease in  
37 nitrite-nitrogen ( $\text{NO}_2^- - \text{N}$ ) and ammonium nitrogen ( $\text{NH}_4^+ - \text{N}$ ) in the loads also occurred  
38 after riparian buffers were established. Spatially, a ratio approach based on comparing an  
39 export fraction [loads (kg) to nutrient balances (kg)] downstream from riparian buffers with  
40 that at the outlet of the same stream, showed a significant reduction in the ratio downstream  
41 from the riparian buffer for TN and TP in 2009, with no significant reduction in 2010, 2011  
42 and 2012. Ratios calculated on a seasonal basis showed the riparian buffers were less  
43 effective in the spring, as well as during seasons marked by one or more intense rainfall  
44 events.

45 **Keywords:** nitrogen; phosphorus; riparian buffer; nutrient balance

46 **Abbreviations:** BMP: beneficial management practice; AAFC: Agriculture and Agri-Food  
47 Canada; WEBS: Watershed Evaluation of Beneficial Management Practices; TN: total  
48 nitrogen; TP: total phosphorus; DP: dissolved phosphorus; PP: particulate phosphorus;  
49 TSS: total suspended sediments; AET: Actual evapotranspiration; PET: Potential  
50 evapotranspiration; FWMC: Flow weighted mean concentration

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## 52 **Introduction**

53 Eutrophication caused by phosphorus (P) and nitrogen (N) enrichment is a widespread  
54 problem in lakes, rivers, estuaries and coastal areas of oceans (Carpenter et al. 1998). In  
55 Quebec, Canada, concentrations of total phosphorus (TP) in some rivers are two to six  
56 times higher than the Quebec government's water quality guideline (0.030 mg/L of P) for  
57 preventing eutrophication (Gangbazo and Le Page 2005; Gangbazo et al. 2005). Since non-  
58 point source contaminants from agricultural activities represent the largest source of N and  
59 P pollution for surface waters (Carpenter et al. 1998; Gangbazo and Le Page 2005;  
60 Dubrovsky et al. 2010), the gradual introduction of more intensive agricultural operations  
61 in recent decades has played a major role in the degradation of water quality. Quebec has  
62 adopted a series of regulations and laws with the aim of controlling agricultural pollution,  
63 including regulations designed to control the use of P as a limiting nutrient during the  
64 application of mineral and organic fertilizers (Gouvernement du Québec 2002). Although  
65 the goal of these agri-environmental standards is to halt water quality deterioration,  
66 management of nutrient inputs at the farm level must be combined with efforts to minimize  
67 nutrient transport associated with soil erosion or leaching in order to maximize the  
68 reduction in agricultural contaminant loadings to streams.

69 Several studies have shown water quality improvements resulting from the implementation  
70 of BMPs (Schilling and Spooner 2006; Sharpley et al. 2009; Lemke et al. 2011; Sharpley  
71 et al. 2012; Collins et al. 2013; Chen et al. 2014; Chen et al. 2015; Feld et al. 2018).  
72 Riparian buffers have been shown to significantly reduce non-point source nutrient fluxes  
73 from surface runoff (Young et al. 1980; Peterjohn and Correll 1984; Jacobs and Gilliam  
74 1985; Dillaha et al. 1989; James et al. 1990; Lee et al. 2003; Hefting et al. 2006).

75 Effectiveness of riparian buffers in mitigating nutrient fluxes depends on buffer slope and  
76 width as well as runoff conditions (Dosskey et al. 2002; Blanco-Canqui et al. 2006) and  
77 vegetation type and density (Osborne and Kovacic 1993; Lee et al. 2003). All these  
78 characteristics should be taken into account in studies on the effectiveness of riparian  
79 buffers, since the combined effect of these factors influences and explains the variability  
80 in the effectiveness of riparian buffers in reducing fluxes of contaminants (Gumiere et al.  
81 2011). Spatial distribution of riparian buffers along a stream also plays a major role in  
82 determining how effective riparian buffers are in reducing nutrient and sediment loads.  
83 Since estimating the effect of the spatial distributions of buffers can be expensive and  
84 difficult to achieve, joint development of erosion models and vegetative filter dimensioning  
85 models can be a useful approach (Gumiere et al. 2013; 2014; 2015). Indeed, Hould-  
86 Gosselin et al. (2016) coupled VFDM with MYDHAS-Erosion. Their results illustrated  
87 that implementation of 5-m wide vegetated filters and 20-m placed at the edge of the most  
88 problematic fields (4% of the total fields) throughout a 236-ha agricultural watershed in  
89 Quebec, Canada, could reduce soil loss by 52% and 31%, respectively. Similar conclusions  
90 were achieved by Zhang et al. (2017) who interfaced a riparian management model  
91 (REMM) with a hydrological model (SWAT) to evaluate the effectiveness of variable-  
92 width riparian buffers at a segment level along the stream network of a small agricultural  
93 watershed (1315 ha) in New Brunswick, Canada.

94 A soil surface nutrient balance ( $B$ ) can be used to quantify nutrient loads that may  
95 potentially accumulate in the soil and become potentially available and subsequently be  
96 exported to the river by runoff or subsurface flows. It was assumed there are positive  
97 relationships between annual N and P balances and N and P losses in surface waters

98 (Withers et al. 2014; Rowe et al. 2016; Kusmer et al. 2018). Indeed, this approach, rooted  
99 in the Driving forces – Pressure – State – Impact – Response [DPSIR, Smeets and  
100 Weterings (1999)] framework behind the development of the Indicators of Risk Of Water  
101 Contamination (IROWC) for agricultural land of Canada (Clearwater et al. 2016),  
102 acknowledges that soil N and P can be transported in soluble and particulate forms via  
103 subsurface flows, subsurface drain flows, overland flows and soil erosion (De Jong et al.  
104 2009; van Bochove et al. 2011; Hong et al. 2012; Swaney et al. 2012; St-Hilaire et al.  
105 2016).

106 This study was part of the Watershed Evaluation of Beneficial Management Practices  
107 (WEBs), a project initiated by Agriculture and Agri-Food Canada (AAFC) in 2004 (Yang  
108 et al. 2007). The project aimed at assessing economic and environmental performance of  
109 BMPs at small watershed sites, using a comparative approach involving paired watersheds  
110 (a treatment watershed where BMPs were implemented, and a reference watershed). This  
111 type of approach has been widely used to assess the effect of BMPs on water quality  
112 (Clausen and Spooner 1993; Grabow et al. 1999; Jaynes et al. 2004; Schilling and Spooner  
113 2006; Tremblay et al. 2009; Lemke et al. 2011; Li et al. 2011). However, in this study,  
114 marked spatio-temporal differences in agronomic practices and pedological characteristics  
115 (Lamontagne et al. 2010) between the two selected micro-watersheds preclude their  
116 standard comparison. Accordingly, the goal of the present study was to develop a novel  
117 method for assessing the effect of BMPs on fluxes of agricultural contaminants in a context  
118 where the paired watersheds approach cannot be used. The method first involved  
119 evaluating the uncertainties associated with water flow calculations. Annual hydrological  
120 and weather characterizations were used to validate rating curves. Uncertainty associated

121 with flow estimated by rating curves was analyzed using Monte Carlo simulations of the  
122 regression residuals. Nutrient and sediment loads were then calculated using a statistical  
123 distribution of concentrations. A model of the distribution of concentrations was developed  
124 using two covariates (explanatory variables): flow (discharge) and calendar day.  
125 Uncertainty related to the estimation of the parameters for the distributions obtained was  
126 calculated using the parametric bootstrap procedure. Finally, the effectiveness of BMPs  
127 was evaluated from a temporal (lumped evaluation of all implemented BMPs) and spatial  
128 standpoint (evaluation of riparian buffers).

## 129 **Materials and methods**

### 130 **Study site**

131 The micro-watershed studied was located in the Bras d'Henri watershed, roughly 30 km  
132 south of Quebec City, Quebec, Canada (Figure 1). The Bras d'Henri watershed, which  
133 covers an area of 167 km<sup>2</sup>, is in the Chaudière River watershed. The micro-watershed  
134 drains a total area of 2.36 km<sup>2</sup>. Agriculture accounts for approximately 70% of land use.  
135 Dominant crops are grain corn [*Zea mays*] and soybeans [*Glycine max*], which account, on  
136 average, for 32% and 15% of the watershed area, respectively. From 2005 to 2011,  
137 cultivated areas were dominated by grain corn (*Zea mays*) and grassland (mostly grasses  
138 [*Gramineae*]). In 2012, soybeans (*Glycine max*) and grassland (mostly grasses  
139 [*Gramineae*]) were the most important crops in terms of area. Soils are primarily podzols  
140 and belong mainly to the sandy to loamy textural classes. They are imperfectly to poorly  
141 drained, and their dominant slope class is 3% to 8%. With regard to water quality at the  
142 micro-watershed outlet, from 92.7% to 100% of the time (from 2005 to 2012) nitrate was  
143 found in excess of the guideline of 3 mg/L for the protection of aquatic life (chronic effect)  
144 (MELCC; 2013). The concentrations of TP exceeded the guideline of 0.03 mg/L  
145 phosphorus established for the protection of aquatic life and recreational activities  
146 (MELCC; 2013) from 67.3% to 96.4% of the time (from 2005 to 2012).

147 From 2004 to 2011, structural water and soil conservation BMPs were introduced within  
148 the micro-watershed, and producers made changes to their traditional farming practices  
149 (Table 1). Shrub and tree riparian buffers and grassed waterways were established in areas  
150 at risk of water erosion and of sediment and nutrient transport to streams. Two grassed

151 waterways, one 55-m long and the other 60-m long, were implemented. Since 2007,  
152 2,122 m of riparian buffers (composed of grasses, shrubs and trees) have been maintained  
153 in the micro-watershed, generally at a width of 3-m. If the bank was less than 3-m wide  
154 (horizontal projection), 1 m was added to the flat upper part of the riparian buffer. Shrubs  
155 included eastern white cedar (*Thuja occidentalis*), high-bush cranberry (*Viburnum*  
156 *trilobum*), broad-leaved meadowsweet (*Spiraea latifolia*), golden ninebark (*Physocarpus*  
157 *opulifolius*) and black chokecherry (*Aronia melanocarpa*). Trees consisted of bur oak  
158 (*Quercus macrocarpa*), American white ash (*Fraxinus americana*) and Norway spruce  
159 (*Picea abies*). In some areas along the stream, the steepness of the slope was reduced and  
160 grasses were planted on the embankment to reduce the risk of erosion and stabilize banks.  
161 Outlets of runnels, furrows, ditches and drains were covered with a layer of stone underlain  
162 by a geotextile membrane. Stone-lined culvert inlets and outlets help to stabilize the  
163 embankment at these locations and reduce soil loss caused by erosion. A 25-m long  
164 drainage ditch was also installed in a poorly drained area. With regard to the application of  
165 pig slurry (a major source of organic fertilizers in the watershed), an enhanced spreader  
166 was introduced in order to control N losses associated with volatilization and leaching.  
167 Finally, side-dressing applications of N were made to meet the requirements of corn and to  
168 reduce N losses through volatilization, runoff and leaching.

### 169 **Water Quality Sampling and Laboratory Analysis**

170 Water quality samples and hydrometric data were generally collected from April to  
171 November at three sampling stations: the first was located at the micro-watershed outlet  
172 from 2005 to 2012 and the other two on the two main branches of the watershed (branches  
173 14 and 15) from 2009 to 2012 (Figure 1). The sampling station on branch 14 was installed

174 downstream from a section of riparian buffers. In the field, multi-probe meters were used  
175 to measure pH, conductivity, temperature, turbidity and oxidation-reduction potential,  
176 while an SR50 sensor (Campbell Scientific 2013) and a 710 ultrasonic flow module  
177 (Teledyne Isco 2013) were used to measure stream stage height every 15 minutes. An auto-  
178 sampler (Teledyne Isco 2014) located at the micro-watershed outlet collected 50 mL of  
179 water per hour. Auto-samplers located on branches 14 and 15 collected 200 mL of water  
180 every 12 hours. Composite samples were collected for analysis twice weekly (every three  
181 or four days). Water samples were analyzed for TN, nitrate ( $\text{NO}_3^- - \text{N}$ ), nitrite ( $\text{NO}_2^- - \text{N}$ ),  
182 ammonium ( $\text{NH}_4^+ - \text{N}$ ), TP, dissolved phosphorus (DP), PP and total suspended sediments  
183 (TSS), using standard methods of analysis (Appendix A). PP was determined as the  
184 difference between TP and DP. Samples were filtered using  $0.45 \mu\text{m}$  filters for the analysis  
185 of dissolved forms of nutrients and  $1 \mu\text{m}$  filters for TSS.

### 186 **Discharge Rating Curves**

187 Rating curves were developed to convert daily stream stage heights to daily flow values.  
188 From 8 to 32 stage-discharge measurements were made in the field each year, generally  
189 from May to November, by using the velocity-area method [water velocity was measured  
190 using a FlowTracker (Sontek 2012) velocimeter]. The power function, which is often used  
191 to relate streamflow to stage height (Rantz 1982; Pappenberger et al. 2006; Braca 2008;  
192 Herschy 2009; Lemke et al. 2011; Guerrero et al. 2012; Le Coz 2012), was used to calculate  
193 rating curves after logarithmic transformations of discharge ( $Q$ ) and stage height ( $h$ ) were  
194 made using the equation:

195  $\ln(Q) = \beta_0 + \beta_1 \ln(h)$  [1]

196 where  $\beta_0$  and  $\beta_1$  are constants to be determined. A visual inspection of the paired  $h$  and  $Q$   
197 values revealed considerable interannual variability, which was likely due to variations in  
198 stream bathymetry from year to year as a result of freeze-thaw action, spring flooding,  
199 agricultural operations, *etc.* Given the interannual disparity in the relationship between  $h$   
200 and  $Q$ , annual rating curves were calculated: eight at the micro-watershed outlet (2005 to  
201 2012) and four for each of branches 14 and 15 (2009 to 2012).

202 In order to assess the reliability and accuracy of the rating curves, a January-to-November  
203 weather characterization and an April-to-November water balance were calculated.  
204 Annual runoff, determined from flow values generated by rating curves, was compared  
205 with reference values for the region. The same type of comparison was made for actual  
206 evapotranspiration ( $AET \cong P - Q$  on annual basis with the hydrological year starting and  
207 ending around the peak of the spring freshet, assuming no variation in storage) and the  
208 discharge ( $Q$ ) to precipitation ( $P$ ) ratio (Muma et al. 2013; Ratté-Fortin 2014). In the study  
209 region, annual  $R$  is from 380 mm to 518 mm (Centre d'expertise hydrique du Québec  
210 2013), annual  $PET$  (potential evapotranspiration) from 500 mm to 600 mm (Natural  
211 Resources Canada 1974) and « $P - PET$ » between 95 mm and 158 mm (Agroclimate Atlas  
212 of Quebec 2012). It was assumed that most of the flow occurring in April was  
213 representative of the accumulation of snow from January to March; that is why the two  
214 characterization periods are of different lengths. Uncertainty associated with flow  
215 estimation was integrated using Monte Carlo simulations performed for each annual rating  
216 curve. A distribution of 10,000 flow values was generated every day using regression  
217 residuals  $\varepsilon$  treated as random elements with  $\sim N(0, \sigma_\varepsilon^2)$ , where  $N$  represents the normal

218 distribution with a mean of 0 and standard deviation  $\sigma_\varepsilon$ . Variability of regression residuals  
219 is linked to environmental uncertainty such as hysteresis effects, variable hydrological  
220 conditions at the time of stage-discharge measurements, intra-annual variation in stream  
221 bathymetry, seasonal changes in vegetation density and type, and human factors  
222 (measurement of water height, instrument calibration and handling). The final flow value  
223 used to calculate contaminant loads was selected randomly from these 10,000 values  
224 (Figure 2). Given that a large portion of annual loads of contaminants enters runoff during  
225 rainfall events, it is essential to include uncertainties associated with the estimation of flow,  
226 which are particularly large during periods of high water. This random selection of the  
227 daily flow was done 10,000 times in order to obtain a distribution of total daily loads.

#### 228 **Distribution of total nutrient and sediment loads**

229 A common problem encountered when estimating annual nutrient and sediment loads is  
230 the lack of available water quality data. In the case of the micro-watershed under study,  
231 water quality data came from composite samples collected every three or four days. Under  
232 this framework, there can be two sources of missing data: missing daily concentrations and  
233 missing composite samples. Daily concentrations were unknown because of the nature of  
234 composite samples. As well, composite samples were at times missing because of  
235 equipment failure. For this study, flows were monitored on a daily basis, for a total of 1548  
236 days at the outlet of the watershed from 2005 to 2012. While concentrations of TN, TP,  
237 TSS, PP and DP from composite samples were potentially available throughout the study  
238 period, concentrations of  $NO_3^- - N$ ,  $NO_2^- - N$  and  $NH_4^+ - N$  were available for potentially  
239 1355 days (2005-2011). Overall, there were 3 (TN), 43 (TP), 35 (TSS), 16 ( $NO_3^- - N$ ), 46  
240 ( $NO_2^- - N$ ), 360 ( $NH_4^+ - N$ ), 140 (PP) and 256 (DP) unknown daily concentrations at the

241 outlet of the micro-watershed. There were slightly more unknowns at the outlets of  
242 branches 14 and 15.

243 To obtain April to November loads, missing composite samples were estimated or  
244 synthetically generated. Several methods can be used for this purpose. Weighting (or  
245 interpolation) and estimation using ratios or regression are methods that are widely used,  
246 despite the fact that in some cases, they can produce an inaccurate estimate of loads  
247 (Walling and Webb 1981,1988; Cooper and Watts 2002; Moatar and Meybeck 2005). The  
248 method that Mailhot et al. (2008) developed as a robust alternative was used to estimate  
249 the nutrient and sediment loads by using statistical concentration distributions. This method  
250 considers nutrient or sediment concentration  $x$  as a random variable that can be represented  
251 by a statistical distribution. Several statistical models were tested on each contaminant  
252 concentrations in order to provide the best fit on the data. Parameters defining this  
253 distribution were the covariates, namely flow ( $Q$ ) and calendar day ( $D$ ). For example, for  
254 a specific three days of missing  $TN$  concentration values, the covariate  $Q$  represents the  
255 average flow value for that period, and  $D$  is the mean value of calendar day for that period.  
256 The concentration value for these three days is then estimated using the chosen model and  
257 covariates. This method can be used to work with data where there is no strong correlation  
258 between concentrations and flows (Quilbé et al. 2006; Mailhot et al. 2008; Raymond et al.  
259 2014).

260 Several models representing the probability distribution of concentrations were tested,  
261 including lognormal and gamma distributions. Parameters of the distributions were  
262 estimated using the maximum likelihood method. Since a major part of the fluxes of  
263 contaminants is due to rainfall events, it is essential to validate performance of the

264 statistical models for high discharge values. This factor was considered in selecting the  
265 best model for representing nutrient or sediment concentrations. Empirical cumulative  
266 distributions were compared with theoretical cumulative distributions corresponding to the  
267 different models. The best model representing the probability distribution of concentrations  
268 was then selected for each nutrient ( $TN$ ,  $TP$ ,  $DP$ ,  $PP$ ,  $NO_2^- - N$ ,  $NO_3^- - N$ ,  $NH_4^+ - N$ ) and  
269 for the total suspended sediments ( $TSS$ ).

270 Uncertainty associated with the estimation of concentrations was taken into account by  
271 using the parametric bootstrap method to obtain model parameters (Efron and Tibshirani  
272 1993). The method was used to generate 10,000 sets of parameters. A set was then  
273 randomly selected from the 10,000 sets in order to generate a new series of daily  
274 concentrations. The effect of the uncertainty related to the parameters was then included in  
275 estimating the total loads. Total load was calculated using daily concentrations and daily  
276 flow (selected randomly from the distribution of flows). In summary, the detailed steps for  
277 calculating total loads are: (i) selecting randomly daily  $Q$  from the distribution of flows;  
278 (ii) generating 10,000 random nutrient concentration series from the selected model using  
279 daily  $Q$  and  $D$  as covariates; (iii) estimating 10,000 sets of parameters for each of these  
280 synthetic series; (iv) randomly selecting a set from these 10,000 sets; (v) generating the  
281 daily concentrations  $C$  using the selected set of parameters and the daily covariates  $Q$  and  
282  $D$ ; and (vi) calculating total loads using daily  $Q$  and  $C$  (Figure 2). This process was  
283 performed 10,000 times in order to obtain a distribution of total loads.

284 **Nutrient balance**

285 Soil surface nutrient balance was used as an indicator of agronomic pressure on the  
286 landscape. Soil surface nutrient balance represents the difference between applied  
287 fertilizers (the pressure term in the DPSIR framework) and nutrient uptake by crops. The  
288 end results may be an indicator of nutrients build-up in the soil (the state term in DPSIR).  
289 More specifically, the nutrient balance is the difference between inputs of nutrients  
290 associated with organic and inorganic fertilizers (kg/ha) and crop removal (kg/ha).

291  $B =$  Loads imported by organic and inorganic fertilizers [2]

292 – Loads exported by crops (harvestable yield  $\times$  nutrient content of exported crop)

293

294 Field-based nutrient inputs were provided by the local fertilization organisation  
295 overseeing the watershed farms. The organisation determines nutrient inputs according  
296 to state-of-the art practices performed by professional agronomists. It was assumed that  
297 nutrient inputs accounted for atmospheric deposition, N fixation if required, and nutrient  
298 efficiency, among other factors. Loads exported by crops were calculated as the product  
299 of plant uptake and crop yield. Data on the uptake of elements by different parts of plants  
300 were obtained from the *Centre de référence en agriculture et agroalimentaire du Québec*  
301 (2010) (Agriculture and Agrifood Reference Center of Quebec) and the reference yields  
302 from *La Financière agricole du Québec* (2013) (The Agricultural Financing Entity of  
303 Quebec). The TN and TP nutrient balances were calculated for each year on the  
304 agricultural area of the watershed (forest cover excluded).

305 **Evaluation of the BMPs**

306 Concentrations and loads of the different forms of contaminants measured at the micro-  
307 watershed outlet during the calibration period, i.e. the period prior to riparian buffer  
308 implementation were compared to values obtained during the treatment period i.e. after  
309 buffers were implemented. Riparian buffers were implemented in the micro-watershed in  
310 July 2007. The calibration period was from 2005 to 2006 and the treatment period from  
311 2008 to 2012. The year 2007 was not included, because the precise start and end dates of  
312 riparian buffer implementation were not known. The number of samples for water quality  
313 was about 282 during the pre-implementation period and about 390 following  
314 implementation of the riparian buffers, depending on the contaminant of concern. The non-  
315 parametric bootstrap method, a fairly recent technique for comparing asymmetrical data  
316 (Efron and Tibshirani 1993), was used to compare distributions of concentrations and  
317 loads, because this method does not constrain the assumptions of normality,  
318 homoscedasticity or distribution shape. Unlike the rank regression method, it also considers  
319 the effect of extreme values. For  $X$  and  $W$  of size  $n$  and  $m$  representing the distributions of  
320 concentrations or loads during the calibration and treatment periods (respectively), the  
321 bootstrap procedure first generates  $b = 1, 2, 3, \dots, B$  bootstrap samples  $(X^*, W^*)$  where  $X^*$   
322 is sampled with replacement from  $X$  and  $W^*$  is sampled with replacement from  $W$ . For  
323 each pair  $(X^*, W^*)$ , the two-sample  $t$  statistic is calculated:

$$324 \quad t_b^* = \frac{\bar{X}^* - \bar{W}^*}{\sqrt{\bar{\sigma}_{X^*}^2/n + \bar{\sigma}_{W^*}^2/m}} \quad [3]$$

325 Where  $\bar{\sigma}_{X^*}^2 = \sum_1^n (X_i - \bar{X}^*)^2 / (n - 1)$ ,  $\bar{\sigma}_{W^*}^2 = \sum_1^m (W_i - \bar{W}^*)^2 / (m - 1)$ . Having observed  
326  $t_b^*$ , the approximate achieved significance level (ASL) of the test is

327  $\widehat{ASL}_{boot} = \#\{t_b^* \geq t_{obs}\}/B$  [4]

328 Where  $t_{obs}$  is the observed value of the statistic and # means number of times  $t_b^* \geq t_{obs}$ .

329 Given our previously mentioned working assumption, there exists a relationship between  
330 the total loads at the micro-watershed outlet and the fertilizer inputs; the latter being  
331 conditioned in part by the hydro-pedological conditions and the amount of soil P  
332 accumulated with time (Simard et al. 1995; Beauchemin et al. 1998; Beauchemin and  
333 Simard 2000; Simard et al. 2000). Thus, loads were normalized by the soil surface nutrient  
334 balances and ultimately the result interpreted as an export fraction, that is, the ratio of total  
335 loads ( $L$ ), akin to the Impact in the DPSIR framework, at a given sampling station to the  $B$   
336 of the agricultural land area drained to this sampling station (Figure 3). For a given year  $i$ ,  
337 the export fraction  $EF_i$  (i.e. the ratio of Impact to Pressure) is:

338  $EF_i = L_i/B_i$  [5]

339 In addition, an upstream-downstream analysis was used to evaluate the effect of a riparian  
340 buffer on water quality. This involves using two sampling stations: one upstream of the  
341 study area and the other downstream. It is possible to compare the export fractions obtained  
342 downstream from riparian buffers with those obtained on the stream where there is no  
343 riparian buffer. To this end, total loads at the outlet of branch 14 (Br. 14) were estimated  
344 by taking the difference between loads obtained at the watershed outlet and those obtained  
345 at the outlet of branch 15 (Br. 15) (Figure 3). No riparian buffer was established on the  
346 stream between the sampling station on Br. 14 and its confluence. If the effect of the  
347 riparian buffers is obvious, export fractions obtained immediately downstream from

348 riparian buffers should be lower than those obtained at the outlet of Br. 14 given similar  
349 nutrient balances.

350 Since export fractions are calculated from distributions of total loads, a distribution of  
351 export fractions was obtained for each year. To determine whether, for each year from 2009  
352 to 2012, the downstream riparian-buffer (RB) export fraction shows a significant decline  
353 (95% confidence interval) compared with the Br.14 export fraction, the non-parametric  
354 bootstrap method was used to compare the two samples. If the ratio of the downstream RB  
355 export fraction and the Br. 14 export fraction is lower than 1, then the effect of riparian  
356 buffers is evident.

$$357 \quad Ratio = EF_{Downstr\ RB} / EF_{Br.14} \quad [6]$$

358 As with the calculation of  $EF_{Downstr\ RB}$  and  $EF_{Br.14}$ , export fractions obtained on the small  
359 section of the stream between the sampling station downstream from the riparian buffer  
360 and the outlet of Br. 14 were calculated (refer to Figure 3):

$$361 \quad EF_{section} = (L_{Outlet\ Br.14} - L_{Downstr\ RB}) / (B_{Section}) \quad [7]$$

362 Where  $L_{Outlet\ Br.14}$  (kg) is the median value of the estimated total load at the outlet of Br.14,  
363  $L_{Downstr\ RB}$  (kg) is the median value of the total load at the sampling station downstream  
364 from the section of the riparian buffer on Br.14, and  $B_{Section}$  (kg) is the nutrient balance of  
365 the section between the outlet of Br.14 and the sampling point downstream from the  
366 riparian buffer section on Br.14.

367 A ratio between the export fraction downstream of the riparian buffer and the export  
368 fraction obtained for the section between the two sampling stations (Equation 6) was also  
369 calculated. If this ratio is less than 1, then the riparian buffer contributes to abatement of

370 contaminant fluxes to the stream. Following this concept, it becomes useful to define  
371 riparian buffer effectiveness (%) as follows:

372 Effectiveness (%) =  $\left[ 1 - \left( \frac{L_{Down RB}}{B_{Down RB}} / \frac{L_{Outlet Br14} - L_{Down RB}}{B_{Section}} \right) \right] \times 100$  [8]

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373 **Results**

374 **Rating curves**

375 At the outlet of the intervention micro-watershed, annual rating curves (regression between  
376 daily flows and daily stream heights) gave coefficients of determination ( $R^2$ ) generally  
377 ranging from 82 to 97%, except for the year 2012, when the coefficient was 63% (Table  
378 2). For rating curves obtained on Br.14 and 15,  $R^2$  values varied from 67% to 88%, except  
379 for 2009 and 2012, which have lower values (37 and 47%, respectively).

380 Water balance results (Table 3) showed total runoff ( $R$ ) and actual  $AET$  values were similar  
381 to reference values for the region, validating the robustness of the rating curves. Finally,  
382 uncertainty related to the estimation of discharge using rating curves was calculated based  
383 on the variance of regression residuals. Figure 4 presents the 10,000 simulations of  
384 parameters obtained from the model as point data showing the natural logarithm of  
385 discharge as a function of the natural logarithm of water height at the outlet of the micro-  
386 watershed.

387 **Distribution of nutrient and sediment loads**

388 Results indicated the concentrations of TN,  $\text{NO}_3^- - \text{N}$  and  $\text{NH}_4^+ - \text{N}$  follow a gamma  
389 distribution; whereas, the concentrations of TP, PP, DP,  $\text{NO}_2^- - \text{N}$  and TSS follow a log-  
390 normal distribution (Appendix 6). Improvements to log-likelihood values for linear CV models  
391 compared to constant CV models were verified using the likelihood ratio test. The coefficient  
392 of variation followed a linear function for the concentrations of TN,  $\text{NO}_2^- - \text{N}$ ,  $\text{NO}_3^- - \text{N}$   
393 and  $\text{NH}_4^+ - \text{N}$ ; whereas, for the concentrations of TP, PP, DP and TSS, the coefficient of  
394 variation remained constant. With the remaining streamflow-dependent models presenting very

395 similar log-likelihood values, we compared the observed cumulative distributions to the theoretical  
396 cumulative distributions to assess how the different models perform for large discharge values  
397 (Appendix 6). Finally, the calendar day dependence was integrated to the selected  
398 streamflow dependent models. Again, the improvements to log-likelihood values for new  
399 models were verified using the likelihood ratio test. Only the models for concentrations of  
400 TN,  $\text{NO}_2^- - \text{N}$ ,  $\text{NO}_3^- - \text{N}$  and TSS showed a significant improvement when an annual cycle  
401 was included in the mean function (TN,  $\text{NO}_2^- - \text{N}$ ,  $\text{NO}_3^- - \text{N}$ , TSS) and the coefficient of  
402 variation function (TN,  $\text{NO}_3^- - \text{N}$ ) (Appendix 6).

403 Median TN loads measured at the outlet of the micro-watershed varied from 12 to 35 kg/ha,  
404 while the flow-weighted mean concentration (FWMC) varied from 5.0 to 6.0 mg/L  
405 annually (Appendix 3). Loads of TP ranged from 0.2 to 0.7 kg/ha, and the FWMC ranged  
406 from 0.10 to 0.12 mg/L. Figure 5 presents median values along with the 10th and 90th  
407 percentiles of the daily discharge, daily concentrations and daily loads of TN in 2006 (2006  
408 TP, Appendix 3).

## 409 **Evaluation of BMPs**

### 410 *Temporal comparison*

411 Concentrations and loads of TN and  $\text{NO}_3^- - \text{N}$  were lower after the implementation of  
412 riparian buffers (Table 4). With regard to  $\text{NO}_2^- - \text{N}$  and  $\text{NH}_4^+ - \text{N}$ , there was a decrease in  
413 loadings only. TP and PP showed a decline in median concentration and load following  
414 buffer implementation. In the case of DP, only results comparing the means of the  
415 before/after concentrations showed a decrease. The same was true for TSS, with only the  
416 median load value showing a decline. Although results show riparian buffers had a positive

417 effect on reducing nutrient concentration and load, a number of components may have  
418 affected the variability of nutrient and sediment concentrations and loads during the study  
419 period. In general, the decline in loads is not due solely to the riparian buffers, but it is also  
420 due to other structural and non-structural BMPs introduced in the watershed such as crop  
421 rotation and crop residue management. To single out the effect of riparian buffers, a spatial  
422 comparison was performed.

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423 *Ratios (spatial comparison)*

424 There was a significant reduction in the export fraction downstream from the riparian  
425 buffer ( $EF_{Downstr\ RB}$ ) compared with the export fraction at the outlet of Br.14 ( $EF_{Br.14}$ ) for  
426 TN and TP in 2009 (Table 5). Among other contaminants,  $NO_2^- - N$  and *PP* showed a  
427 significant reduction in 2009, 2010 and 2011, and  $NO_3^- - N$  decreased in 2009 and 2010.  
428 It is important to remember, however, that results for ratios of contaminants other than TN  
429 and TP are estimates obtained using nutrient balances of TN and TP.

430 For 2009, the year showing a significant reduction in TP and TN,  $EF_{section}$  values were  
431 calculated. Export fractions were obtained are 0.407 and 0.0336 for TN and TP,  
432 respectively, which are higher than those obtained at the sampling station downstream from  
433 the riparian buffers (export fractions of 0.3033 for TN and 0.0300 for TP). This increase in  
434 export fraction for the section with no riparian buffers is not due to a difference in the  
435 contribution from nutrient balances, since loads imported to fields were similar for all three  
436 sections: 102.8 kg /ha TN for the entire drained section of Br.14, 102.4 kg /ha *TN* for the  
437 section upstream from the riparian buffers and 103.7 kg /ha *TN* in the section downstream  
438 from the riparian buffer. Loads imported to fields were also similar for TP (refer to  
439 Appendix 2).

440 The effectiveness calculated for 2009, the year with significant reductions in the ratio  
441 downstream from the riparian buffer, is as follows: 25.4% abatement in the flux of TN and  
442 10.7% abatement in the flux of TP.

## 443 **Discussion**

444 Temporal comparisons of concentrations and loads before and after riparian buffer  
445 implementation show a significant decline in both for TN, TP, PP and  $\text{NO}_3^- - \text{N}$  as well as  
446 in loads of  $\text{NH}_4^+ - \text{N}$ ,  $\text{NO}_2^- - \text{N}$  and TSS. To integrate information related to the different  
447 variables that might be correlated with annual loads, a multiple regression analysis was  
448 performed to model loads for different crop types, level of precipitation and nutrient  
449 balances for each year. Results showed that nutrient balances alone were correlated with  
450 loads, but not with precipitation or annual crop types. This is understandable, as nutrient  
451 balances represent the anthropic pressure on the environment. In addition, precipitation  
452 remained more or less stable (Table 3), while nutrient balances increased gradually during  
453 the study period (Appendix 2). This could potentially contribute to an increase in loads  
454 exported to the stream after 2007. Therefore, the load decrease during the period following  
455 buffer implementation was not attributable to low rainfall or to a decrease in nutrient  
456 loadings to fields.

457 The spatial comparison using the export fraction downstream from riparian buffers  
458 ( $EF_{Downstr\ RB}$ ) compared with the export fraction at the outlet of Br.14 ( $EF_{Br.14}$ ) showed  
459 a significant decrease of TN and TP (unilateral test, right reject area) for year 2009 only.  
460 Relative reductions in the ratios of loads/balances were 6% for TN (2009) and 2% for TP  
461 (2009) (Table 5). Other years (2010, 2011 and 2012) did not have significant reductions  
462 for TN and TP. Because of the important variability that limits the efficiency of the  
463 hypothesis tests, ratios can have values greater than 1 without being characterized as  
464 significant. Moreover, 2009 was the only year characterized by efficient riparian buffers

465 during the spring (seasonal ratio significantly  $<1$ ). This partially explains why it is the only  
466 year that riparian buffer could have been efficient (spring fluxes account for a substantial  
467 part of the annual flux), especially when it is well known that the riparian buffer vegetation  
468 was not fully established. An effect of similar magnitude was seen for other contaminants,  
469 with a significant decrease for  $\text{NO}_3^- - \text{N}$  in 2009 (49%) and 2010 (44%) and for  $\text{NO}_2^- - \text{N}$   
470 and PP in 2009 (42% and 66% respectively), 2010 (28% and 26% respectively) and 2011  
471 (13% and 31% respectively). These ratios are, however, estimates obtained using the  
472 nutrient balances for TP and TN.

473 Ratios obtained for each season showed riparian buffers are less effective in spring or  
474 spring freshet period, likely because of freeze-thaw action and the fact that buffers are not  
475 yet fully developed (Table 6). In addition, riparian buffers were less effective during  
476 seasons characterized by intensive rainfall events. For example, in 2010 (a year with little  
477 rainfall and no intensive rainfall events) and 2011 (a fairly rainy year but no intensive  
478 rainfall events), most of the contaminants had significantly lower ratios in the area  
479 downstream from the riparian buffer.

480 In 2009, the riparian buffer reduced *TN* load by 25.4% and *TP* load by 10.7%. Given that  
481 the micro-watershed has steep slopes (3 to 8%) and the riparian buffer was 3-m wide (if  
482 the bank width was less than 3 m wide, 1 m is added to the flat upper part of the buffer),  
483 the level effectiveness obtained is consistent with results reported in the literature. For  
484 example, a 5-m-wide grass riparian buffer showed 54% effectiveness in reducing the flux  
485 of TN and 61% effectiveness in reducing the flux of TP (Dillaha et al. 1989). Li et al. (2011)  
486 evaluated effects of BMPs, such as riparian buffers and grassed waterways, in a small  
487 watershed in Manitoba, Canada, and showed that, collectively, these practices reduced

488 fluxes of total nitrogen (TN) and TP by 41% and 32%, respectively. Jaynes et al. (2004)  
489 studied the effect of split N application for corn production in terms of reducing TN losses  
490 within a watershed in Iowa, United States, and found a relative reduction of more than 30%  
491 in nitrate concentration in surface water.

492 Owing to variations in weather, runoff, crop types and fertilizer inputs, nutrient fluxes vary  
493 widely from day to day and from year to year. This makes it more challenging to assess the  
494 effectiveness of riparian buffers during the short term. In studies of this type, a lengthy data  
495 series is essential for the reliable assessment of BMP effectiveness. In addition, the  
496 response to BMP implementation can take a number of years, perhaps as many as 5 to 10  
497 years (Mulla et al. 2005). A long-term study is especially appropriate for a paired watershed  
498 investigation. It should be kept in mind, however, that the beneficial effect of riparian  
499 buffers declines with time. A riparian buffer can become saturated with phosphorus over  
500 time (Wenger 1999). For this reason, riparian buffers or grassed waterways should not be  
501 the only approaches implemented in order to reduce fluxes of agricultural contaminants. In  
502 the studied micro-watershed, producers introduced other BMPs as well, such as crop  
503 rotation, residue management, new pig slurry spreading techniques and soil erosion  
504 control. Meeting crop nutrient requirements without over application and implementing  
505 practices to reduce excess nutrients are key. In short, it is essential to combine management  
506 of nutrient and sediment sources with management of the transport of these contaminants  
507 to streams in order to achieve optimal reductions in loadings of agricultural contaminants.

508

## 509 **Summary and conclusions**

510 This study involved developing a methodological approach for assessing the effect of  
511 BMPs in a context where the paired watersheds approach cannot be used. The method is  
512 based on establishing rating curves, estimating nutrient loads and assessing the  
513 effectiveness of riparian buffers implemented within a small watershed. The method for  
514 estimating nutrient loads begins with the calculation of flows. These rates are estimated  
515 using annual rating curves, which were validated based on weather and hydrological  
516 characteristics specific to each year. Uncertainty related to the calculation of flows was  
517 estimated by a Monte Carlo simulation method, based on the variance of the residuals of  
518 the rating curves. Models of nutrient and sediment concentrations were adjusted using  
519 probability distributions in which the parameters were functions of the flow and calendar  
520 day. Uncertainty of the adjusted parameters was taken into account by using a parametric  
521 bootstrap approach. Total loads were estimated using models of daily concentrations  
522 retained and daily flows generated by rating curves.

523 The main objective of this Watershed Evaluation of Beneficial Management Practices  
524 (WEBs) project was to evaluate the effectiveness of BMPs implemented on the Bras  
525 d'Henri River intervention micro-watershed with a view to reducing fluxes of agricultural  
526 contaminants. The BMPs included 3-m-wide riparian buffers established in 2007 over a  
527 distance of about 6,684 m (grass, shrub and tree buffers). A temporal comparison of the  
528 loads and concentrations before (2005 to 2006) and after (2008 to 2012) the  
529 implementation of riparian buffers and other structural and non-structural BMPs (Table 1)  
530 showed a significant decrease in the loads and concentrations of TN, TP, PP and  $\text{NO}_3^- - \text{N}$   
531 following buffer implementation and other structural and non-structural BMPs. In addition,  
532 the loads of  $\text{NH}_4^+ - \text{N}$ ,  $\text{NO}_2^- - \text{N}$  and TSS showed a significant decline after 2007. There

533 was a significant decline of TN and TP in the ratio values downstream from the riparian  
534 buffers compared with the sampling station at the outlet of Br.14 in 2009. Buffer  
535 effectiveness associated with these declines consisted of a 25.4% reduction in the flux of  
536 TN and a 10.7% reduction in the flux of TP. Calculation of the ratios on a seasonal (spring,  
537 summer, fall) basis showed that the riparian buffers were less effective at intercepting  
538 contaminant loads in the spring, as well as during seasons marked by intensive rainfall  
539 events. Overall, the riparian buffers reduced contaminant loads significantly one year out  
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