

Assessing Groundwater Depletion and Dynamics Using GRACE and InSAR: Potential and Limitations

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Abstract

In the last decade, remote sensing of the temporal variation of ground level and gravity has improved our understanding of groundwater dynamics and storage. Mass changes are measured by GRACE (Gravity Recovery and Climate Experiment) satellites, whereas ground deformation is measured by processing synthetic aperture radar satellites data using the InSAR (Interferometry of Synthetic Aperture Radar) techniques. Both methods are complementary and offer different sensitivities to aquifer system processes. GRACE is sensitive to mass changes over large spatial scales (more than 100,000 km²). As such, it fails in providing groundwater storage change estimates at local or regional scales relevant to most aquifer systems, and at which most groundwater management schemes are applied. However, InSAR measures ground displacement due to aquifer response to fluid-pressure changes. InSAR applications to groundwater depletion assessments are limited to aquifer systems susceptible to measurable deformation. Furthermore, the inversion of InSAR-derived displacement maps into volume of depleted groundwater storage (both reversible and largely irreversible) is confounded by vertical and horizontal variability of sediment compressibility. During the last decade, both techniques have shown increasing interest in the scientific community to complement available in situ observations where they are insufficient. In this review, we present the theoretical and conceptual bases of each method, and present idealized scenarios to highlight the potential benefits and challenges of combining these techniques to remotely assess groundwater storage changes and other aspects of the dynamics of aquifer systems.

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Introduction

Groundwater systems play a central role in sustaining ecosystems and providing humanity with high-quality freshwater (Taylor et al. 2013). The reliance on groundwater will probably intensify under climate change, as it affects precipitation patterns, and as adaptation strategies generally rely on groundwater resources (Kundzewicz et al. 2007; Green et al. 2011), pointing out the necessity of adequate observation tools for management.

As a diffusive media storing water, groundwater systems respond to natural and human-induced changes in external and internal boundary fluxes (recharge and discharge) by changes in storage and fluid pressure (head), which in turn affect flow. Groundwater depletion is one of the many objective and subjective factors used to determine the sustainability of groundwater resources. Overexploited aquifer systems typically exhibit groundwater depletion, that is, a long-term decrease in the

volume of stored groundwater (Konikow 2015). Groundwater extraction modifies the groundwater flows to satisfy pumping rates. Groundwater storage decreases as water is released from storage; the groundwater system converges toward a new equilibrium constrained by the capture of available water sources, where capture refers to both potential increased recharge and decreased discharge. For a detailed discussion of capture and groundwater depletion, see Konikow and Leake (2014). Depending on what is deemed as acceptable consequences (environmental, economic, and social) of the groundwater depletion and the effects of any induced recharge or reduced discharge such as decreased springflow or streamflow or drying lakes and wetlands, the development and use of groundwater may or may not be considered sustainable (Alley et al. 1999; Armandine et al. 2014).

Large-scale monitoring of head and storage changes generally relies on temporal responses to natural and/or anthropogenic stresses, measured as water-level changes in wells. The distribution of monitoring wells is often sparse at the scale of the groundwater system, and individual wells tend to represent local conditions of the penetrated hydrogeologic units within the system. Two processes offer “distant” actions and allow observations of groundwater behavior and its spatial variability from the surface or from space. The first one is gravity: water storage changes directly contribute to the changes in the mass balance and induce temporal variations of the gravity field (Pool 2008). For example, repeated microgravity observations at the land surface measure changes in local water mass assuming other mass changes are not significant. The second one is poro-elastic deformation; head changes induce media deformation that can be measured at the surface (e.g., Chaussard et al. 2014a; Schuite et al. 2015). These two distant actions might be measured remotely: InSAR (Interferometry of Synthetic Aperture Radar) measures Earth’s surface deformation and GRACE (Gravity Recovery and Climate Experiment) mission (Tapley et al. 2004; Schmidt et al. 2008) is sensitive to water mass changes. Both observation systems offer a new vision on groundwater response to anthropic and climatic pressures and the impact of heterogeneity on flow patterns. This paper addresses the potential and synergy of the two remote sensing tools to monitor the sustainable use of groundwater resources. The methods and prospective approaches presented in the following sections focus on enhancing estimates of groundwater depletion at aquifer scale that can be used by water resource managers and stakeholders to formulate criteria for the sustainable use of groundwater systems.

Groundwater Depletion

Groundwater storage change (ΔGWS) can be computed using a water-budget (mass balance) approach for a specified nonequilibrium accounting period (usually annual or multiannual), where ΔGWS is the residual of

aquifer-system inflows and outflows (Equation 1):

$$\Delta GWS = R - (D + P) \quad (1)$$

where R is the rate of groundwater recharge, D is the groundwater discharge rate, and P is the net rate of extraction from pumping. Another common approach uses changes in water levels to compute ΔGWS (Equation 2):

$$\Delta GWS = \Delta h A S \quad (2)$$

where Δh is the change in water level (expressed as hydraulic head) for some specified time, A is the area of the aquifer representative of the head change, and S is the aquifer storage coefficient or storativity. This approach is used in numerical groundwater flow models on a per model-cell basis to compute cell-by-cell and integrated ΔGWS for the model domain. Both approaches generally rely on typically inaccurate and sparsely available field data to constrain the ΔGWS estimates, which is exacerbated by high heterogeneity of the geological media (Marsily et al. 2005), and difficulties in estimating recharge (Equation 1) and storativity (Equation 2).

Aquifer Response: Confined vs. Unconfined

To understand physical changes within depleting aquifers, it is important to examine aquifer storativity (S), that is, the ratio between the volume of water taken into or released from storage per unit area of aquifer, per unit of hydraulic head change, which can be expressed by rearranging Equation 2 as (Equation 3):

$$S = \Delta GWS / (\Delta h A) \quad (3)$$

S can be calculated for unconfined (Equation 4) and confined aquifers (Equation 5):

$$S = S_y + b S_s \sim S_y \quad (4)$$

$$S = b S_s = b (S_{s_k} + S_{s_w}) = b (\rho g (\alpha + n\beta_w)) \quad (5)$$

where S_y is the drainage porosity, also called unconfined storativity or specific yield, b is the aquifer thickness, S_s is the specific storage coefficient ($S_s = S/b$), S_{s_k} is the skeletal specific storage, related to aquifer matrix compressibility (α), S_{s_w} is the water specific storage, related to water compressibility (β_w), ρ is fluid density, g is the gravitational acceleration, and n is aquifer porosity. Note that because the development of the classical groundwater storage coefficient (S) assumes one-dimensional vertical stress and strain, the vertical ground displacement Δu resulting from a change in vertical stress expressed in terms of Δh can be defined as (Equation 6), where $\Delta u/b$ is the vertical strain:

$$\Delta u = b S_s \Delta h \quad (6)$$

Typical values of storativity range from 5×10^{-5} to 5×10^{-3} (Todd 1980) in confined aquifers and

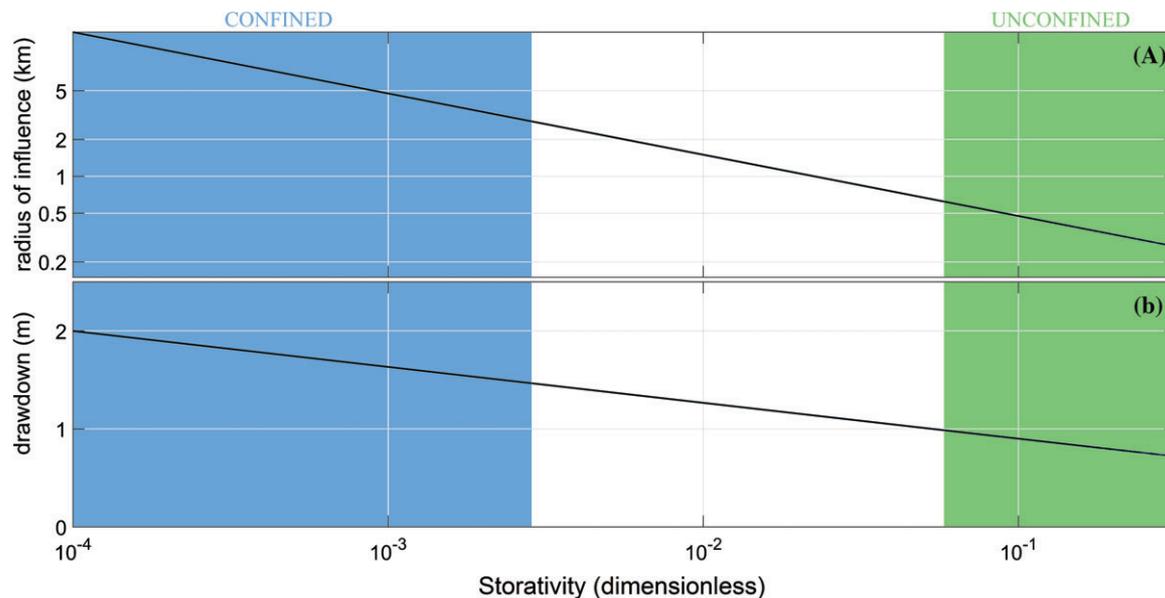


Figure 1. (A) Storativity vs. radius of influence according to the Cooper-Jacob approximation; (B) storativity vs. drawdown in an observation well located 50 m distant from a pumping well according to the Theis nonequilibrium equation. Typical values of storativity are highlighted in blue for confined conditions, and in green for unconfined conditions. Transmissivity is $100 \text{ m}^2/\text{d}$, 100 d of pumping at a constant rate of $200 \text{ m}^3/\text{d}$.

1.10^{-1} to 3.10^{-1} in unconfined aquifers (Lohman 1972); that is, unconfined storativity (S_y) is about two to four orders of magnitude larger than confined storage. The ideal aquifer response (drawdown, or Δh) to pumping at a specified rate in both types of aquifers of infinite extent (assuming similar hydraulic conductivity and other aquifer properties) results in a larger extension/volume of the cone of depression for confined aquifers. In other words, the change in storage (ΔGWS) needed to supply the pumping volume is derived from a larger volume of the aquifer material for confined vs. unconfined conditions. This analysis applies to porous media only.

Hydraulic Head Response

The Cooper-Jacob solution is an approximation of the Theis (1935) nonequilibrium method for the calculation of the radius of influence of a steadily pumping well as a function of storativity and time in an ideal confined aquifer (Cooper and Jacob 1946; Dragoni 1998). It relies on the assumptions of horizontal isotropic, homogeneous aquifers of infinite extent, and fully penetrating wells. It can be used for unconfined aquifers with proper correction. Figure 1 shows the radius of influence (Figure 1A) and the drawdown ($h_0 - h$; where h_0 is the initial head prior to the imposed pumping stress) as a function of aquifer storativity (S) using the Theis (Figure 1B) and the Cooper-Jacob (Figure 1B) solutions. Note that (1) the Cooper-Jacob solution should not be used for unconfined conditions without Jacob's correction for partial dewatering of water-table aquifers (e.g., transmissivity changes; see Halford et al. 2006) and (2) the Theis solution can be used for unconfined conditions only when the late-time segment of the Theis well function is considered (Theis 1935; Van der Kamp 1985; Kruseman and De Ridder

1991), that is, when the delayed water-table response can be overlooked.

The radius of influence, that is, the horizontal effect of head loss, increases strongly when storativity decreases (Figure 1A). The cone of depression is deeper near the pumping well and spreads more widely for confined vs. unconfined conditions. While the total mass change is equivalent for both cones of depression, the mass changes nearby the pumping center are larger for the unconfined aquifer compared with those for the confined aquifer. This partially explains good correlations between water levels and local microgravity measurements in areas near hydraulic stresses and in unconfined aquifers (Pool and Eychaner 1995; Pool 2008). For confined aquifer conditions, the correlation is poorer (Pool 2008), because mass changes are less concentrated in the near-field region around the pumping well.

Aquifer System Compaction

Groundwater depletion can lead to ground subsidence. Analysis and simulation of aquifer-system compaction have been addressed primarily using two approaches: One based on the conventional groundwater flow theory (Jacob 1940) and one based on the linear poro-elasticity theory (Biot 1941). The former approach is a special case of the latter, and both approaches are based on the principle of effective stress (Terzaghi 1925). For the discussions here, we follow the approach of Hoffmann et al. (2003b) based on the conventional groundwater flow theory. Assuming incompressible solid grains, and only vertical effective stresses and vertical strains, the effective stress principle can be expressed (Equation 7):

$$\sigma_t = \sigma_e + p \quad (7)$$

where p is the interstitial fluid pressure, σ_t is the total vertical stress and σ_e is the vertical effective stress. For the case of constant total stress (where $\Delta\sigma_t=0$), Equation 6 can be simplified and expressed in terms of changes (Δ) in stress (Equation 8):

$$\Delta\sigma_e = -\Delta p = -\rho g \Delta h \quad (8)$$

where ρ is the water density and g is the gravitational constant.

The response of saturated geological media to increase in effective stress is governed by the matrix and fluid compressibilities embodied in the skeletal and water-specific storage terms constituting the aquifer storativity of the material (Equation 5). The most compressible and porous materials are clay and silt, and the least compressible have a compressibility of the same order of magnitude as water (Domenico and Mifflin 1965; Freeze and Cherry 1979). In these compressible materials, the effective stress increases the compression of the aquifer skeleton, decreasing porosity, and to a certain extent, decreasing S_s and hydraulic conductivity (K). Additionally, compressible, typically low-permeability fine-grained units in layered heterogeneous aquifer systems play a major role in impeding vertical groundwater flow between more permeable, typically coarser-grained hydrogeologic units. Depending on the continuity of the fine-grained units and their position within the aquifer system, they usually form either confining units between aquifer systems or discontinuous interbeds within the aquifers. In overexploited unconfined aquifers, decrease in the effective stress occurs in underlying confined aquifers according to the decrease in geostatic stress. This phenomenon should be taken into account when interpreting or predicting ground displacements (Δu) related to depleting unconfined aquifers (see Leake and Galloway 2007). For a more complete description and review of land subsidence caused by aquifer-system compaction, see Galloway and Burbey (2011).

Detection of Groundwater Depletion

InSAR

Several techniques are available to measure ground displacements, including primarily borehole extensometers, GPS (Global Positioning System), conventional surveying, Light Detection and Ranging (LiDAR), and InSAR. It is possible to monitor both trends and seasonal variations of the ground level and changes in groundwater storage with proper use of any of these techniques. InSAR is increasingly used in hydrogeology (Galloway and Hoffmann 2007; Galloway 2014) because of its precision reaching a few mm/year, its spatial coverage typically between 100 to 5000 km², and its cost-efficiency.

Principle and Application

InSAR consists in interpreting phase shift between several SAR (Synthetic Aperture Radar) acquisitions

taken from the same orbital track. Parameters influencing the phase shift, such as the perspective change resulting from the difference in satellite position in space between acquisitions (spatial baseline) and the atmospheric effects can be estimated and removed from the measured phase shift. The remaining phase shift component is the temporal change of the satellite Line Of Sight (LOS) distance.

There are currently three main InSAR processing workflows used in hydrogeological applications: Differential (D), Small Baseline Subset (SBAS), and Persistent Scatterers Interferometry (PSI). With the D-InSAR technique, two SAR images acquired at different times are used to create a phase shift map, called interferogram (Rocca et al. 1997; Massonnet and Feigl 1998). The phase difference map is wrapped over phase cycles ($-\pi$ to $+\pi$), and can be converted to real displacement values using a spatial-phase unwrapping algorithm. The SBAS technique uses numerous (usually tens to hundreds) interferograms selected within all possible combination offered by several SAR acquisitions. The interferograms are produced and corrected following the D-InSAR approach, and the SBAS algorithm (Berardino et al. 2002; Lanari et al. 2004) is used to retrieve surface displacement through time along the SAR images time-series. PSI is also a time-series technique but only the phase history of highly coherent and stable scattering targets is used (Ferretti et al. 2000, 2001). The spatial-phase unwrapping used in SBAS or D-InSAR is replaced by a temporal-phase unwrapping calculated for each target individually using the temporal history of the phase. Consequently, PSI provides a greater accuracy over persistent and coherent targets than do SBAS (Pasquali et al. 2011) but is limited to areas with a high density of stable targets (e.g., buildings or bedrock outcrops), making it less appropriate for nonurban settings (e.g., agricultural areas relying on groundwater-supplied irrigation).

Galloway and Hoffmann (2007) enumerate the main uses of InSAR in hydrogeology: (1) Identify lithostratigraphic and structural boundaries in groundwater-flow systems; (2) identify aquifer-system heterogeneity; (3) estimate aquifer-system hydromechanical properties; and (4) constrain numerical models of groundwater flow, aquifer-system compaction, and land subsidence. The most comprehensive use of InSAR in hydrogeology is its integration in flow model calibration (e.g., Hoffmann et al. 2003a; Yan and Burbey 2008; Siade et al. 2014). More recently, InSAR-derived ground displacement mapping has been used to (1) estimate storage change within a well-known lithological context (e.g., Chaussard et al. 2014b); (2) improve lithological knowledge and define specific geological structures such as fractures (e.g., Hernandez-Marin and Burbey 2009; Xu et al. 2012; Zhang et al. 2014; Castellazzi et al. 2016a); (3) predict future land subsidence rates (e.g., Calderhead et al. 2011); and (4) infer changes in hydraulic head after a calibration period relating ground and groundwater levels (Reeves et al. 2011; Chaussard et al. 2014b).

Resolution, Accuracy, and Challenges

The availability of large variety of SAR sensors and the diversity of acquisition options in LOS angle, resolution, and coverage make the technique adaptable to detect displacement in almost any settings. SAR acquisitions have spatial resolution ranging from 1 to 50 m and extend over 10 to 200 km in both length and width (more strictly, azimuth and range).

In the case of D- and SBAS-InSAR, the resolution should be decreased by a factor of 2 to 5 to improve the signal/noise ratio and allow a consistent spatial-phase unwrapping. The development of InSAR processing algorithms combined with the rising availability of large SAR images stacks allows routine monitoring of ground displacements, with an accuracy of 1 to 4 mm/year (e.g., Samsonov et al. 2010).

The main challenges of InSAR application to water science is linked to the loss of coherence in natural system (vegetated, agricultural areas). The loss of interferometric coherence is usually proportional to the vegetation height and inversely proportional to the SAR wavelength. Although patches of trees in urban settings are usually smoothed by down-sampling or are compensated by interpolating the final results, the lack of coherence is a problem where high vegetation is dominant throughout the land cover (e.g., crops, forest). For example, short wavelength SAR (e.g., 3.1 cm wavelength of TerraSAR-X) would not allow ground displacement detection in farmland and scrublands, and coherence would only be sufficient in urban settings. To overcome the problem, longer SAR wavelengths (e.g., 23 cm wavelength of ALOS-1/2) can be used at the cost of larger displacement detection threshold (around 1 cm/year) and a lower vertical precision. Atmospheric effects also affect InSAR results at the scale of up to few mm/year, but they can be almost completely taken into account while using recent sensors and methodological improvements (Ferretti et al. 2007; Rucci et al. 2012). In hydrogeology, the displacement detected by InSAR is often assumed to be entirely linked to aquifer compaction. At the cm/year scale, the spatial patterns of aquifer compaction are usually well distinguishable from sediment erosion, sediment deposition, landslides, volcanism, or tectonic fault movements. Although the potential application arising by retrieving ground displacements at the mm/year scale is important (Schuite et al. 2015), their interpretation remains a challenge when several displacement causes may coexist.

The SAR phase should be comparable between successive acquisitions. If the differential movement between two acquisitions of a SAR time-series is higher than the length of a SAR wave phase, and if this movement is not spatially progressive, phase “jumps” occur and the inversion of the phase into displacement is compromised. For this reason, the temporal density of an InSAR images stack, that is, the time interval between images, should adequately match the expected displacement rates, which can often be roughly anticipated ($\pm 50\%$), considering the hydrogeological knowledge of the area, previous

InSAR studies, other available ground displacement measurements, or comparable cases. Ideally, to retrieve a constant subsidence rate of 5 cm/year with the original PSI technique (i.e., PSInSARTM; see Ferretti et al. 2001), the SAR time-series should be constructed of at least seven images per year in X-band, four images per year in C-band, and one image per year in L-band (wavelength of 3.1, 5.6, and 23 cm, respectively). If the subsidence is spatially progressive, stronger subsidence rates can be retrieved through the spatial-phase unwrapping typically used in D and SBAS, and implemented in some PSI algorithms (see Crosetto et al. 2016). Other parameters should be taken into account, for example, the noise level of the SAR data, the phase unwrapping technique, and the temporal variability of the ground displacements. Consequently, a comfortable margin in the temporal density of the time-series is always preferred. Users should be aware that the temporal density of the acquisition forming a SAR time-series is limited by the orbital cycles of the spacecraft, usually from 10 to 46 d. The recent Sentinel-1A and 1B system provides comparable images every 6 d.

Data Availability, Current Developments, and Future Missions

Several space-borne SAR missions are currently operating: Radarsat-2 (C-band, Canadian Space Agency), Sentinel-1A and 1B (C-band, European Space Agency), TerraSAR-X (X-band, German Aerospace Center), COSMO-SkyMed (X-band, Italian Space Agency), and ALOS-2 (L-band, Japan Aerospace eXploration Agency). Archived SAR time-series from past or current missions are increasingly available at no cost. Data from ERS-1, ERS-2, and ENVISAT are available upon application (<https://earth.esa.int>). They are covering the periods 1991 to 2000, 1995 to 2011, and 2002 to 2011, respectively. ALOS-1 data cover 2006 to 2011 and are available upon registration (<https://www.asf.alaska.edu>). From 2014, Sentinel-1 mission provides free and high-quality data to InSAR specialists (<https://sentinel.esa.int> or <https://peps.cnes.fr>).

Recent advancement in space-borne SAR sensor, as seen in the recent Sentinel mission, provides (1) an ever improving signal/noise ratio, allowing to produce and unwrap interferograms at full resolution and to retrieve fine spatial details of ground deformation; (2) a higher repeat path frequency, allowing, for example, to infer seasonal ground-level variations with high temporal details and relate them to seasonal recharge and discharge patterns; and (3) an increased spatial coverage, allowing, for example, its use at GRACE scale and related applications, as described in this article.

At least two new SAR missions are planned for launch in the next years: Radarsat Constellation (C-band, Canadian Space Agency) and NiSAR (L- and S-band, US National Aeronautics and Space Administration and The Indian Space Research Organisation). Some of the recent (e.g., Sentinel) and upcoming missions consist in positioning two to three SAR satellites on

different orbital configurations, allowing to (1) cover the entire earth surface more frequently; (2) generate digital elevation model with large-baseline interferometry of two synchronous SAR acquisitions taken from different spacecraft; and (3) simulate an higher repeat path frequency to improve earth deformation monitoring with time-series InSAR.

GRACE

The GRACE satellite mission (Tapley et al. 2004) has provided new insights into mass redistribution within the Earth's system and offers new perspectives in hydrology.

Principle and Application

Similar to the first two satellites of its generation, GRACE is monitoring spatio-temporal changes in the Earth's gravity field with an unprecedented resolution, allowing interpretation of mass changes within hydrosystems (see e.g., Cazenave and Chen, 2010). The system comprises two satellites on an approximately 450 km altitude orbit track and about 200 km apart. Distance between the satellites is measured at the micrometer level, allowing detection of 1 cm water thickness equivalent (WTE) distributed over an area at the scale of the system's altitude, that is, with a diameter of a few hundred kilometers. Gravity has two fundamental advantages. First, the link between gravity and mass storage is direct, independent of lithology, and requires no calibration. Second, the distant effect allows to penetrate Earth at depth and record mass storage in groundwater systems. GRACE integrates vertically all water storage components. The groundwater contribution can be inferred by removing all other components from the total water storage change (ΔTWS) measured by GRACE (Equation 9):

$$\Delta GWS = \Delta TWS - (\Delta SWS + \Delta SMS + \Delta SIS) \quad (9)$$

where ΔSWS is the surface water storage variations; ΔSMS is the water storage variations in the soil unsaturated zone; and ΔSIS is the snow and ice water storage variations. In quantitative hydrogeology, the main difficulty of using GRACE is gathering enough field data and/or model output to account for surface-water, soil-moisture, and snow/ice storage. Typically, ΔSIS and ΔSWS can be estimated using in situ or satellite altimetry data, and ΔSMS can be estimated using large-scale models (Land Surface Models - LSM). ΔSWS , ΔSMS , and ΔSIS contribute to uncertainties in GWS estimation.

Since 2002, GRACE has provided unique and decisive data to understand, monitor, and model continental water cycle and exchanges between storage compartments (continents, atmosphere, and oceans). GRACE allowed monitoring of groundwater storage changes in natural or engineered systems (e.g., Rodell et al. 2009; Famiglietti et al. 2011; Huang et al. 2012; Richey et al. 2015). The approach was validated by comparison with well data in various climatic contexts

(Scanlon et al. 2012; Shamsudduha et al. 2012; Feng et al. 2013; Forootan et al. 2014). Integration of GRACE data in groundwater studies includes the assimilation into models (as validation or calibration) to improve their predictive ability (Guntner et al. 2007; Zaitchik et al. 2008; Sun et al. 2012; Xie et al. 2012; Döll et al. 2012; Eicker et al. 2014; Hu and Jiao, 2015).

Resolution, Accuracy, and Challenges

GRACE is sensitive to large-scale storage changes. Several authors have suggested that GRACE data could be safely interpreted for basins of at least 200,000 km², and with a sensitivity of approximately 10 mm (i.e., ~ 2 km³). Yet, GRACE is not a regular remote-sensing tool, and it offers a gravitational resolution, that is, sensitive to mass. Several studies have shown that the same mass concentrated over small area are recoverable (Longuevergne et al. 2013; Tourian et al. 2015). Therefore, there are potential to monitor high storage variability, such as the recharge zone of an aquifer system (Huang et al. 2015).

GRACE range rate data (distances between the two satellites, Level-1B data) should be processed and converted into mass changes and water storage changes in WTE (Level-3 data) to be used for hydrological applications. The most common processing strategies rely on converting the signal into spherical harmonics coefficients (Stokes coefficients, Level 2). Then, the coefficients are combined to spatial domain over a grid and filtered. Because of their sensitivity to larger scales, computed mass changes are generally affected by amplitude loss and require rescaling to produce Level-3 data. Landerer and Swenson (2012) and Long et al. (2015) proposed the use of scaling factors based on a priori mass variations from LSM. In the recent years, with increasing experience of GRACE data, several novel processing strategies have arisen to improve the spatial resolution (e.g., Bruinsma et al. 2010; Ramillien et al. 2011; Save et al. 2012). Among them, mass concentration solutions, or "mascons", are particularly suited for hydrological applications (e.g., Watkins et al. 2015; Sakumura et al. 2016). Intercomparison of different products has been recently carried out by Farinotti et al. (2015) and shows the large potential of these new datasets to work at scales closer to the groundwater management scale (scales $\sim 100,000$ km²). For details on GRACE TWS processing to extract GWS contribution, associated uncertainties linked to GRACE large-scale sensitivity (truncation, filtering, and leakage), and estimation of storage compartments (Equation 9), the interested reader can refer to Longuevergne et al. (2010), Scanlon et al. (2012), and Long et al. (2016).

Data Availability, Current Developments, and Future Missions

Several versions of GRACE data are available online and free of charge. Official solutions from The Center for Space Research (CSR; Austin, Texas), The Jet Propulsion Laboratory (JPL; Pasadena, California), and The GFZ German Research Centre for Geosciences (GFZ; Potsdam,

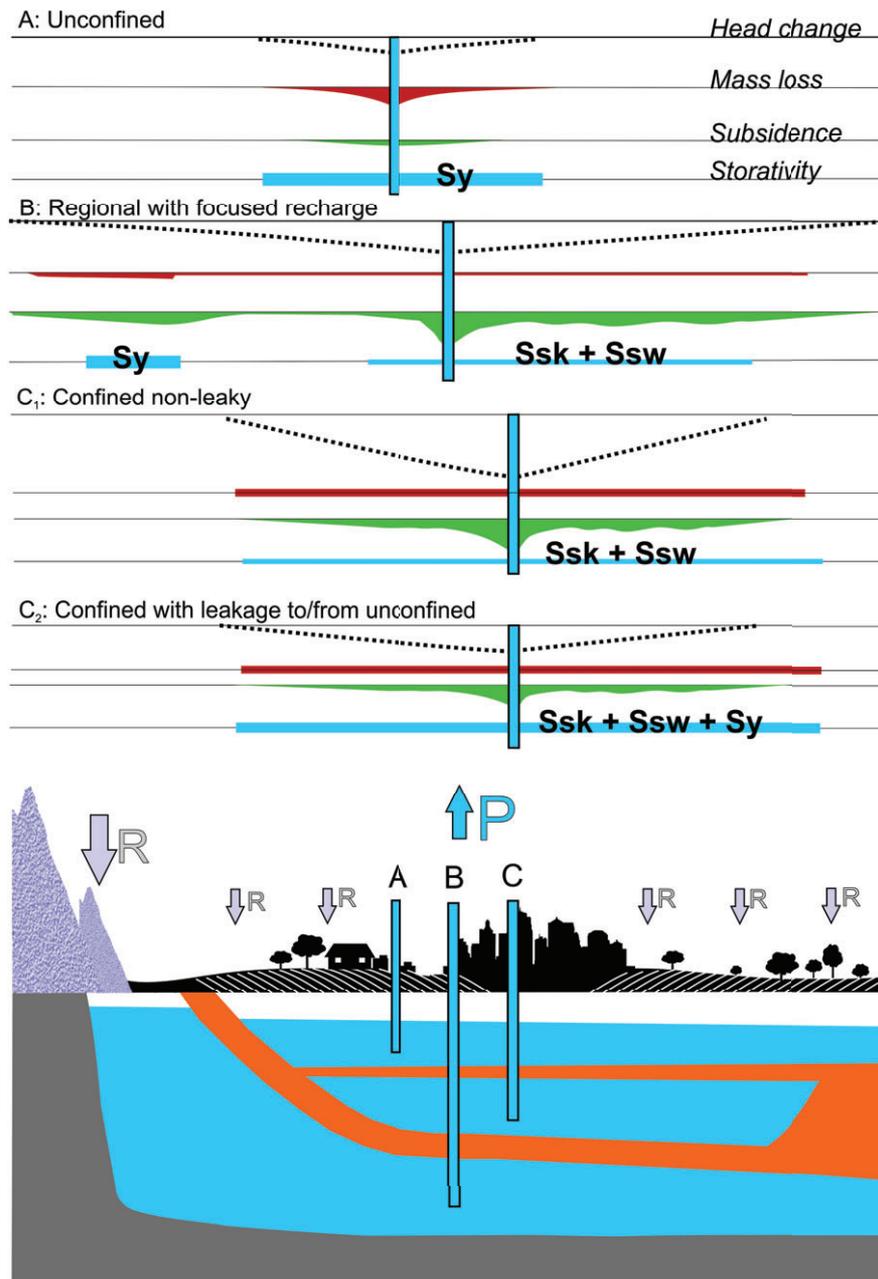


Figure 2. Conceptual models of land subsidence and mass losses occurrence in different idealized depleting aquifers. ‘P: Pumping; R: Recharge.’

Germany) are popular (see <http://gracetellus.jpl.nasa.gov/>). Other solutions are available online at The Institute of Theoretical Geodesy and Satellite Geodesy (Graz University of Technology, Austria) and The Research Group for Space Geodesy (GRGS, French National Space Center) websites. The University of Colorado and GRGS created interactive portals to compute GRACE time-series over a region, a country, or a watershed (<http://geoid.colorado.edu/grace> and <http://thegraceplotter.com/>).

The use of GRACE in hydrogeology is still limited to the largest systems, for which it can be considered as one of the observation system in the hydrogeologist’s toolbox (Alley and Konikow 2015). For further application and resolution of scientific challenges, the main limitations

of GRACE are the limited spatial resolutions as well as the limited length of the available observations (see e.g., Scanlon et al. 2015). A recent consensus on the science and user needs for future satellite gravity observing systems has been synthesized in Pail et al. (2015). Waiting for the next generation of satellite gravity missions, the GRACE follow-on mission is planned for 2017.

Theoretical Scenarios

Identical groundwater withdrawal and use in each situation results in identical storage change volumes when integrated across the system area, while the spatial distributions of storage changes and compaction can be

different for each case (Figure 2). In this section, the differences are discussed for three typical and theoretical types of hydrogeological settings, where water is extracted from an unconfined aquifer (A), from the confined part of a regional aquifer (B), and from a confined (C_1) or semi-confined aquifer (C_2).

In an unconfined aquifer (Figure 2A), groundwater is extracted from the unconfined storage or drainage porosity (S_y), the radius of influence due to pumping is focused on the pumping center, and is smaller compared with a confined aquifer setting (see the effect of confinement on the radius of influence in Figure 1), that is, mass losses would be detectable by microgravity measurements located near the pumping center. InSAR and GRACE could both detect groundwater depletion if water is pumped beyond the sustainable rate and for InSAR only the portion of the storage change causing detectable deformation of the aquifer system manifested at the ground surface. Often, the return flows of the nonconsumptive-use fraction of the extracted groundwater are difficult to assess and may not be properly accounted in groundwater budgets (see e.g., Foster et al. 2004). Observations of aquifer storage change should help resolve other water budget components because GWS is typically treated as a residual of other components. Observations of GWS will help resolve other components, specifically net recharge rates.

Regional aquifer systems with spatially concentrated recharge (Figure 2B) generally occur in unconsolidated sedimentary (alluvial and fluvial) basins, where fine-grained sediments with low permeability occur in lowlands and highly coarse and permeable sediments occur in the higher elevation and slopes (e.g., Calderhead et al. 2012). These basins typically are susceptible to aquifer-system compaction and land subsidence. Often, urban developments and groundwater extraction take place in the lowest altitude of the valley. In these settings, mass losses attributed to groundwater storage changes over the area of head loss would be diffusely spread throughout the confined aquifer (larger radius of influence). In this section of the aquifer, and if it is susceptible to compaction, the detectable gravity change could be attributable in part to aquifer compaction. There are two mechanisms of gravity change in compacting aquifers, elevation change and mass change. Elevation change is linked to the storage derived from compaction, while gravity change is a measure of total storage change if corrected for elevation change. The area of hydraulic head changes (see Figure 1B) may spread to the recharge area, which lead to head decline in the unconfined section of the aquifer, and where head changes correlate well with mass losses. Additionally, head losses in the pumping area can be partially compensated by regional flows. However, given that the typical groundwater transport times over large areas covered by GRACE are of long duration, this regional-scale adjustment to the pumping area does not likely affect the reliability of Δ GWS assessment from GRACE. In such settings, the water budget should integrate a wider area, encompassing recharge and discharge areas, and

integrating spatially variable inflows and outflows. Compaction of susceptible aquifer systems typically occurs nearby pumping areas, where detection through local microgravity measurements corresponds to the change in groundwater storage derived principally from skeletal storage (S_{sk}) and the change in land-surface altitude (Pool 2008). Both InSAR and microgravity measurements will be sensitive to spatial variability in the response of the aquifer system, while GRACE would integrate the whole affected area.

In a closed, nonleaky, confined, and fossil aquifer (Figure 2C₁), extracted groundwater is derived from the confined storage, that is, the matrix and fluid compressibilities embodied in S_{sk} and S_{sw} , respectively. Mass losses spread horizontally as a result of low storativity (large radius of influence, see Figure 1), any compaction, and resulting land subsidence are similarly distributed. The water balance (Equation 1) is inexorably negative. Because the groundwater resource in this aquifer is finite, consumptive uses of the groundwater constitute groundwater mining, though some return flow of non-consumptively used groundwater extracted from the aquifer could replenish a shallow, hydraulically isolated overlying unconfined aquifer. The negative balance for the confined aquifer could theoretically be detectable by GRACE, but this measurement would be confounded by any storage changes in soil moisture and/or an overlying unconfined aquifer. InSAR alone cannot be used to determine the state of the water balance for that system, but can provide evidence of groundwater depletion in the confined aquifer (inelastic compaction and largely irreversible land subsidence) in affected areas within the system. The volume of land subsidence mapped using InSAR could be used as an estimate of the volume of largely irreversible storage depletion owing to the inelastic compressibility of the aquifer skeleton.

When the same type of aquifer receives water derived from leakage of an overlying unconfined aquifer (Figure 2C₂), the horizontal spread of drawdowns, mass losses, and compaction are limited proportionally to the leakage.

Potential Benefits of Combining GRACE and InSAR

In the perspective of enhancing the capabilities of both techniques, we discuss four different potential approaches based on their combination.

Toward High Resolution and Volumetric Groundwater Depletion Mapping

The global volumetric and high spatial resolution mapping of groundwater storage changes is needed to fully support groundwater governance and assure sustainability of groundwater resources. Toward this objective, and where aquifer-system compaction is systematically linked to groundwater storage loss, InSAR can be used to partially overcome GRACE resolution limitations (Castellazzi et al. 2016b).

InSAR-derived groundwater depletion mapping can be used as a quantitative or nonquantitative synthetic data as input into GRACE GWS change estimates. Indeed, while scaling factors derived from soil moisture models fail in downscaling GRACE-derived GWS trend maps, InSAR provides the proper downscaling data. The injection of InSAR measurement into GRACE data assumes that the reaction hydraulic head/compaction is stable throughout a large study area. Additionally, InSAR can also be inserted into the GRACE estimates as a groundwater depletion detection tool in a nonquantitative manner, providing only a spatial a priori of mass loss concentrations for GRACE GWS trend maps. Such approach was tested with success for glacier mass losses detection using GRACE (Farinotti et al. 2015). In all cases, sufficiently compressible hydrogeologic units need to be present throughout the study area and drawdown should be large enough to induce compaction and subsidence within the detectability range of InSAR. Another limitation of such approach resides in the confusion between climatic and anthropogenic GWS change in GRACE data.

Remote Assessment of Aquifer Reaction to Pumping

Both short-term fluctuations (daily-to-seasonal) and long-term trends of groundwater levels can cause temporal variations in aquifer-system deformation and accompanying land-surface movements. Early applications of InSAR to detect aquifer-system compaction and land subsidence focused on demonstrating the utility of the InSAR technique (e.g., Galloway et al. 1998; Amelung et al. 1999; Galloway et al. 2000; Hoffmann et al. 2001, 2003a; Lu and Danskin 2001; Heywood et al. 2002; Schmidt and Bürgmann 2003) to evaluate seasonal and interannual trends in compaction and the governing parameters controlling the hydromechanical response, principally to groundwater extractions, but also to natural recharge (Lu and Danskin 2001). The development of robust time-series InSAR methods greatly enhanced the potential for the monitoring of elastic and seasonal land-surface movements (e.g., with the SBAS-InSAR method: Reeves et al. 2011, 2014; Chaussard et al. 2014b). After a calibrating period of a few years using paired observations of water level variations and ground displacements, it is possible to infer seasonal water level changes by monitoring elastic ground displacements, provided that the water level variations are within the previously calibrated range of seasonal variations, and that the effective stress does exceed the preconsolidation stress. By replacing in situ measurements by GRACE-derived GWS variations, it would be possible to relate ground deformation with larger scale groundwater-storage changes with limited field data available. The approach shows potential for (1) estimating water level changes within the elastic range of deformation in areas devoid of monitoring wells and (2) detecting transgression from an elastic deformation accompanying seasonal head variations above critical preconsolidation heads. However, three main challenges remain: (1) InSAR

should be applied over large areas commensurate with the GRACE scale (e.g., Chaussard et al. 2014a); (2) GRACE and InSAR should measure variations of the same water stocks; and (3) the spatial heterogeneity of aquifer-system storativity can be accounted for.

Anthropogenic/Climatic Storage Changes

Although InSAR and GRACE are used to map groundwater storage changes at different scales, the increasing availability of SAR data now allow the production of ground motion maps at the GRACE scale (e.g., Chaussard et al. 2014a). Furthermore, the increased sensitivity brought by recent InSAR developments (Rucci et al. 2012) shows great potential for ground motion detection induced by nonanthropogenic water storage change, likely slower than for groundwater depletion nearby pumping centers. As InSAR is not directly quantitative, there is a limited interest of using it alone. However, InSAR would be able to provide perspective on the relative importance of the anthropogenic and nonanthropogenic components of a given water storage change integrated by GRACE over a larger area. For such application: (1) the anthropogenic groundwater depletion areas have to be limited and well-defined throughout a typical GRACE footprint and (2) compressible hydrogeologic units should be sufficiently present throughout the study area. The nonanthropogenic storage change and induced ground motion are expected to be close to or smaller than the typical InSAR detection threshold of few 3 to 5 mm/year (PSI method and C-band radar data). As a result, the most important parameter for such application is the precision of InSAR measurement in natural settings. Atmospheric patterns influence radar waves. As this influence can be accounted for, this is still the major sources of error within InSAR measurements. Rucci et al. (2012) explains how a submillimeter precision could be obtained in the near future, in settings with large density of coherent ground targets and using the most recent SAR systems. Density of such targets is typically low in natural settings: Motion detection with high precision in natural settings is still a major challenge for InSAR specialists.

Toward the Remote Assessment of Aquifer Confinement and Dynamics

As shown on Figure 2, the spatial extent of mass loss and land subsidence depend on aquifer confinement. The ideal height for monitoring individual aquifers using gravity methods in order to minimize contributions from storage change in adjacent areas would be less than the half-width of the aquifer. Because most aquifers are smaller than can be individually monitored from the height of satellite orbits, airborne gravity methods would be most applicable. However the current development of higher resolution spaceborne gravimetric sensors (Thales Alenia Space 2010; Famiglietti and Rodell 2013; Watkins et al. 2013), there is great hope for detecting mass changes at local to regional scales. Thus, through comparison between theoretical concepts (see Figure 2) and case studies, hydrogeologists could potentially infer aquifer

configuration and flow dynamics through spatial analysis of subsidence and mass losses occurrence.

Summary

In this review, we presented the general principles of two methods to remotely assess groundwater storage changes through gravity changes and aquifer-system compaction. While compaction measurements (e.g., InSAR) rely principally on the presence of fine-grained (clays and silts) compressible hydrogeologic units to reveal storage change, gravimetric methods (depending on their resolution) can be sensitive to aquifer confinement. Compaction occurs as a consequence of hydraulic pressure drop and the matrix compressibility of aquifer-system material, while gravity variations occur as a consequence of fluid mass changes, and to a certain extent, changes in the position of land surface owing to compaction and accompanying land subsidence.

Land subsidence detection takes advantage of a variety of resolutions, image footprint and precision options from several SAR imaging spacecraft currently operating. Imaging options range from semi-continental scales (e.g., ScanSAR or TOPSAR modes in ALOS-2 or Sentinel-1 satellites) to city scales (e.g., Radarsat-2 Ultrafine mode). Vertical precision usually ranges from millimeters when using short waves (e.g., X-band used by TerraSAR-X, 3.1 cm wavelength) to centimeters when using longer waves (L-band used by ALOS PALSAR, 23 cm wavelength). Shorter waves allow better vertical precision, while longer waves allow better detection in less coherent areas (e.g., farmland).

Although no studies exist on the remote detection of aquifer-system confinement through the analysis of land subsidence spatial patterns, we argue that this is theoretically possible with minimal lithological data. Indeed, because of the important difference in storativity, confined aquifers show ampler radius of influence and head drop than unconfined aquifers, which could reflect on amplitude and extent of land subsidence. Case studies in well-known hydrogeological settings, where in situ data are available, would allow to better understand the remaining challenges of such applications.

Microgravity surveys are costly, labor intensive, and spatially limited. GRACE data are freely available and of increasing ease of use, but because of the minimum critical area of usability, it is unsuitable at most typical aquifer scales. While microgravity measurements can provide information on local aquifer flow dynamics and confinement, GRACE does not. As none of these methods' resolution matches adequately the typical water management scales, there is a methodological gap left to be fulfilled.

The possibility of combining gravity and subsidence measurements to better assess aquifer dynamics shows potential, but is currently limited by methodological issues. Nevertheless, there is both hope and expectations for a valid option in the future regarding the remote

assessment of aquifer confinement and groundwater flow dynamics.

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