

1 **Transient complement inhibition promotes a tumor-specific immune response**
2 **through the implication of natural killer cells.**

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25 **ABSTRACT**

26 While the role of the complement system in cancer development has been studied, its
27 involvement in the development of an anti-tumoral immune response remains poorly understood.
28 Using cobra venom factor to inhibit the complement cascade via C3 molecule exhaustion in
29 immunocompetent mice bearing B16gp33 melanoma tumors, we show that transient inhibition of
30 the complement system allowed for the development of a more robust gp33-specific anti-tumoral
31 CD8⁺ T cell response. This immune response proved to be NK-dependent suggesting an
32 interaction of complement proteins with this cellular subset leading to T lymphocyte activation
33 and enhanced cytotoxic T cell activity against tumor cells. This study demonstrates for the first
34 time the implication of the complement system in the development of NK-mediated cytotoxic T
35 cell-dependent anti-tumoral immune responses. The complement pathway could therefore be a
36 potent therapeutic target in cancer patients to improve NK-dependent anti-tumoral immune
37 responses.

38

39 INTRODUCTION

40 Historically, the complement system has been considered a ‘complementing’ player in the
41 innate immune response that mediated clearance of various pathogens or dead cells and could
42 induce inflammation (1). In cancer, activated complement proteins were primarily described for
43 their role in tumor defense either through complement-dependent cytotoxicity (2) or antibody-
44 dependent cell-mediated cytotoxicity (3). However, the complement anaphylatoxins C3a and
45 C5a can increase the activation of phosphatidylinositol 3-kinase (PI3K), Akt and mammalian
46 target of rapamycin (mTOR) (4), proteins strongly associated with neoplasia when
47 overexpressed, suggesting a more complex role for complement proteins in tumorigenicity.
48 Furthermore, mice deficient in C3 or C4 show significantly decreased TC-I subcutaneous tumor
49 proliferation compared with wild-type mice (5) while the C5a protein can be involved in CD8⁺ T
50 cell inhibition (6).

51 NK cells are large granular lymphocytes part of the innate immune system implicated in
52 host defense against tumors and pathogens (7). NK cells express FcγR, which interacts with
53 immunoglobulin bound to antigen on target cells, leading to NK cell activation (8) and
54 production of IFNγ and TNFα (9). NK cells can also complement cytotoxic T lymphocytes
55 (CTLs) by killing MHC-I-deficient cells that failed to be recognize by T cells (7). NK cells have
56 been shown to cross-talk with dendritic cells (DCs); their effector functions being stimulated
57 through direct contact with activated DCs (10). However, DC/NK-cell interactions are bi-
58 directional, resulting not only in NK-cell activation but also DC maturation depending on the
59 activation status of both cells (11). Soluble factors such as TNFα and IFNγ, as well as cell-to-cell
60 contact, are required for NK-mediated DC activation (12).

61 Little is known about the link between complement and NK cells but evidence suggests
62 that complement receptor CR3, a receptor implicated in phagocytosis mediated by complement
63 fragment iC3b-opsonized targets (13), is expressed by NK cells and cytotoxic T cells displaying
64 similar functions as CR3 expressed on phagocytes (14). Given that NK cells can be involved in
65 tumor control and that proteins from the complement pathway may interact with NK cells to
66 suppress or limit their functions, we hypothesized that proteins in the complement pathway may
67 favor tumor growth through the impediment of NK cells. Herein, we found that transient
68 inhibition of complement increased NK cell numbers in spleen and tumor leading to the
69 induction of an increased anti-tumoral CTL response.

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71

72 MATERIAL & METHODS

73 *Cell lines*

74 Murine B16 and B16gp33 melanoma cells were obtained from Dr. A. Ochsenbein (Bern,
75 Switzerland). The B16gp33 cell (H2-D^b) were derived from B16.F10 cells transfected with a
76 DNA minigene encoding the immunodominant CD8⁺ T cell epitope of the lymphocytic
77 choriomeningitis virus (glycoprotein aa 33-41) (15). Cells were grown in Dulbecco's modified
78 Eagle's medium (Life Technologies, Rockville, MD) supplemented with 10% fetal bovine serum
79 (FBS) and 200 µg/ml of G418 to select for retention of the gp33 minigene.

80 *Enzyme-linked immunosorbent assay.*

81 C3 molecule concentration was tested in mice sera at the indicated time points by ELISA.
82 Briefly, blood was harvested and incubated at 37°C to coagulate. Samples were centrifuged and
83 the serum fraction was used. Serial dilutions were added onto an IgM-coated 96-well plate
84 (5µg/ml; Sigma-Aldrich, Oakville, ON). Mouse anti-C3 coupled to biotin (Cedarlane,
85 Burlington, ON) followed with streptavidin-horseradish peroxidase (Southern Biotech,
86 Birmingham, AL) were incubated with samples. A solution of *o*-Phenylenediamine
87 dihydrochloride (Sigma-Aldrich, Oakville, ON) was then used for the detection of C3 molecules.
88 Optical density was measured by spectrophotometry at 490 nm.

89 *In vivo studies.*

90 All procedures were approved by the INRS Institutional Animal Care and Use
91 Committee. To establish subcutaneous tumors, 5×10^5 B16 or B16gp33 cells in 100 µl PBS were
92 injected into the flank of C57Bl/6 mice. Six days after tumor implantation, mice were injected
93 with cobra venom factor (CVF; 20 µg/mouse; Quidel, Santa Clara, CA) intraperitoneally. For
94 NK depletion experiments, 200 µg of purified monoclonal anti-NK1.1 antibodies from PK136

95 hybridoma, kindly provided by Dr. Suzanne Lemieux (Laval, Canada), were injected
96 intraperitoneally two days prior to deplementation (Fig. S2).

97 ***Flow cytometry.***

98 Spleen and tumors were recovered from mice and dissociated *in vitro* to achieve single-
99 cell suspensions using nylon 100 µm cell strainers (BD Falcon). Cells were washed, resuspended
100 in phosphate-buffered saline containing 1% bovine serum albumin and 0.1% sodium azide
101 (FACS buffer), and incubated with directly conjugated primary antibodies for 30 minutes at 4°C.
102 Cells were then washed and resuspended in 200 µl FACS buffer containing 1%
103 paraformaldehyde. Samples were acquired on a FACS Fortessa (BD Biosciences, San Diego,
104 CA) and analyzed using Flowjo software (Tree Star, Ashland, OR). Anti-CD45 PE/CF-594, anti-
105 CD25 APC and anti-NKp46 Alexa 700 were purchased from BD Biosciences. Anti-CD4
106 APC/Cy7, anti-CD4 FITC, anti-CD8 PE/Cy7, anti-CD62L Alexa 700, anti-CD44 PercP/Cy5.5,
107 anti-B220 APC/Cy7, anti-CD11b Pacific Blue, anti-Gr1 PE/Cy5, anti-CD11c FITC and anti-
108 F4/80 APC were purchased from BioLegend (San Diego, CA).

109 ***Dendritic cell activation.***

110 Single-cell suspensions were prepared from spleen and draining lymph node harvested 9
111 days after CVF injection. Red blood cells were lysed and remaining cells were resuspended in
112 FACS buffer prior to CD11c-FITC and CD86-PE labeling (BioLegend, San Diego, CA).
113 Samples were acquired on a FACS Fortessa (BD Biosciences, San Diego, CA) and analyzed
114 using Flowjo software (Tree Star, Ashland, OR).

115 ***NK intracellular staining assay.***

116 For IFN γ intracellular staining, single-cell suspensions were prepared from spleen and
117 tumor harvested 9 days after CVF injection. Cells were cocultured with YAC-1 cells (provided

118 by Dr. Suzanne Lemieux, Laval, Canada; at 10:1 effector-target ratio) with or without PMA-
119 ionomycin. Cytokine production was measured in presence of monensin A (20 µg/ml), brefeldin
120 A (10 µg/ml) and anti-CD107a FITC antibody for 5 hours. Cells were stained for surface
121 markers, fixed, and permeabilized for intracellular staining using fixation and permeabilization
122 buffers from BioLegend according to the manufacturer's instructions. IFN γ -PE and CD107a-
123 FITC were obtained from BioLegend (San Diego, CA.)

124 ***T lymphocyte intracellular staining assay.***

125 For IFN γ and TNF α intracellular staining, single-cell suspensions were prepared from
126 spleen (three mice per group) 9 days after CVF injection. Cytokine production in response to
127 tumor antigen, was measured by incubation with the gp33 peptide (KAVYNFATC 1 µg/ml) in
128 the presence of brefeldin A (10 µg/ml) and IL-2 (100 U/ml) for 5 hours. Cells were stained for
129 surface markers then fixed, and permeabilized for intracellular staining using fixation and
130 permeabilization buffers as described above. For degranulation assay and granzyme B
131 intracellular staining, cells were incubated with the peptides in the presence of monensin A (20
132 µg/ml) and anti-CD107a FITC antibody for 5 hours. Cells were stained for surface markers, then
133 fixed, and permeabilized for intracellular staining. IFN γ -PE and TNF α -APC were obtained from
134 BioLegend (San Diego, CA) and granzyme B eFluor 450 was purchased from eBiosciences (San
135 Diego, CA).

136 ***Statistical analyses.***

137 A Student T test was used for group comparison and *P* values of less than 0.05 were considered
138 significant.

139

140 **RESULTS AND DISCUSSION**

141 **CVF-mediated de complementation slows down early tumor progression and promotes**
142 **immune cell infiltration**

143 In recent years, there has been regained interest in the role of complement in various
144 disease conditions. In cancer, the role of complement is unclear since it has been reported to play
145 a role in both tumor clearance and progression (2, 3). Nevertheless, studies have indicated that
146 blocking complement proteins might improve the efficacy of anti-tumor immunotherapy (16).
147 Some complement activation products, like the anaphylatoxins C3a and C5a, are potent
148 proinflammatory mediators and it is now well recognized that inflammation is able to both
149 promote and exacerbate tumor growth.

150 To establish the role of the complement system in the generation of an anti-tumoral
151 immune response, transient de complementation was performed using cobra venom factor (CVF)
152 6 days following tumor administration, at the time when T cell priming is expected to occur.
153 CVF is a structural and functional analog of the C3 molecule, which will act as a C3/C5
154 convertase. CVF-containing convertases are more stable and resistant to regulatory inhibitors and
155 deplete complement activity by C3 consumption (17). Unlike complement protein knockout mice
156 (eg. C3^{-/-}, C4^{-/-} or C5^{-/-}), CVF does not affect splenic architecture thus allowing a complete and
157 unaltered immune response to be mounted and analyzed (18). Since CVF-mediated complement
158 depletion lasts approximately three days in treated mice (Fig. S1), we hypothesized that this short
159 period of time could affect T cell priming. CVF-mediated transitory de complementation soon
160 after tumor implantation efficiently led to a significant slowdown of B16 melanoma tumor
161 growth (Fig. 1A). Even if this was not associated with a significant increase in the percentage of
162 tumor-infiltrating immune cells 9 days following de complementation (Fig. 1B), it modulated the

163 composition of infiltrating cells. Strikingly, CVF treatment affected NK cell proportions, but had
164 little to no effect on the proportions of CD4⁺ T cells, regulatory T cells, CD8⁺ T cells, B cells,
165 neutrophils, macrophages and DCs (Fig. 1C).

166 An important mechanism used by malignancies to suppress immune responses against
167 tumor antigens is abnormal myelopoiesis and the recruitment of myelomonocytic cells to the
168 tumor microenvironment and peripheral lymphoid organs (19). In concordance with the study by
169 Markiewski *et al.* (5), complement inhibition also significantly decreased myeloid-derived
170 suppressor cells (MDSC) in tumor and spleen (Fig. 1C and Fig. S2). MDSC are a population of
171 intermediately differentiated myeloid cells known to abolish cancer immunosurveillance
172 therefore potentiating neoplastic proliferation (2). These cells express high levels of membrane
173 C5aR (5). C5a/C5aR binding on MDSC promotes their migration and increases their production
174 of immunosuppressive molecules such as reactive oxygen and nitrogen species, consequently
175 inhibiting cytotoxic CD8⁺ T lymphocytes and NK cells and stimulating tumorigenic cytokine
176 production and angiogenesis (5, 20). MDSC can also be recruited to tumors by anaphylatoxin
177 C5a deposited in the tumor vasculature (5).

178 **CVF treatment promotes a better NK cell availability**

179 NK cell proportions were greatly increased following de complementation both in the
180 tumor (eight-fold) and in the spleen (three-fold) (Fig. 2A). We next wanted to assess whether
181 de complementation also modulated NK cell function. Tumoral and splenic NK cells harvested 9
182 days after CVF treatment were not impaired functionally compared to non-treated animals, as
183 determined by degranulation assay (CD107a) and IFN γ production after exposure to YAC-1
184 target cells. Furthermore, NK cells retained their ability to respond to *ex vivo* stimulation (Fig.
185 2B). Complement proteins are known to induce the production of transforming growth factor- β

186 (TGF- β) (21) which may facilitate angiogenesis, invasion and metastasis (22) and
187 downregulation of IL-2R β expression as well as IFN γ secretion by NK cells (23). Our results
188 suggest that it is unlikely that this pathway is involved here since NK cells harvested from CVF-
189 treated mice are fully functional and respond normally.

190 **The complement system limits systemic T cell activation**

191 It is well recognized that the clearance of apoptotic cells through iC3b opsonisation and
192 CR3 phagocytosis can be accompanied by a reduction in the expression of co-stimulatory
193 molecules and an impaired maturation of dendritic cells (24). The recruitment of large numbers
194 of NK cells may therefore be critical for optimal DC activation and subsequent induction of T
195 cell responses in these low-inflammation conditions. Given that NK cells can cross-talk with
196 DCs to mediate their activation, we wanted to assess their activation status after transient
197 inhibition of the complement system. We did not observe any modulation of CD86 expression
198 levels in splenic or draining lymph node DCs (Fig. 3A). We then sought to determine if the
199 enhanced NK cell proportions at the tumor site could nevertheless lead to an increased T cell
200 activation. The majority of CD4⁺ and CD8⁺ T cells present in the tumor were of the
201 effector/effector memory phenotype and their proportions did not change following complement
202 inhibition. However, in the spleen, CVF-mediated depletion of complement led to reduced
203 proportions of naive T cells associated with increased proportions of effector CD4⁺ and CD8⁺ T
204 cells (Fig. 3B). It is therefore likely that, in the absence of complement proteins, functional DCs
205 have access to more tumor antigens due to increased NK-mediated cell lysis allowing for a better
206 presentation to CD8⁺ T lymphocytes.

207 **The enhancement of tumor-specific CTL responses in decompemented mice is NK-** 208 **dependent**

209 It has been reported that complement components can interfere with the binding of NK
210 cells to monoclonal antibodies (mAbs) used in certain cancer treatments thus limiting NK cell-
211 mediated lysis of antibody-coated target cells (25). It was also showed that this inhibition was
212 dependent on C3b, likely by its binding to CR3 molecules abrogating signaling via Fc γ receptor
213 III (CD16) (25). Furthermore, depletion of complement has been shown to enhance survival rates
214 following mAb therapy in a syngeneic mouse model of lymphoma (26).

215 To ascertain if the enhanced tumor control we observed in deplemented mice was a
216 direct consequence of the increased proportions of NK cells in these mice, we depleted NK cells
217 4 days after tumor implantation. It is of importance to note that *in vivo* depletion with anti-NK1.1
218 antibody is complement-mediated, thus required prior to CVF treatment. We found that in the
219 absence of NK cells, CVF treatment had no effect on tumor implantation and early development
220 demonstrating that NK cells were necessary for the decreased tumor growth observed following
221 deplementation (Fig. 4A).

222 Considering that T cell activation in the spleen is also increased in the absence of
223 complement, we wanted to determine if deplementation also affected the induction of tumor-
224 specific cytotoxic T lymphocytes. As assessed by intracellular cytokine staining of gp33 peptide-
225 stimulated T cells from deplemented and control B16gp33-bearing mice, we found that
226 complement inhibition led to higher levels of tumor-specific (gp33) T cells secreting mainly
227 TNF α (Fig. 4B). Accordingly, the enhancement of gp33-specific CTL induction by CVF was
228 also abolished in the absence NK cells indicating that the effect of deplementation on early
229 tumor growth is largely mediated by CTLs (Fig. 4B). However, CVF-mediated
230 deplementation did not lead to superior levels of gp33-specific cytotoxicity as assessed by
231 CD107a expression, a marker of recent degranulation (Fig. 4C). Thus, in the B16 melanoma

232 model, we demonstrate that CVF treatment limits early tumor growth and promotes a better
233 availability of splenic and tumoral NK cells. This suggests that complement components and NK
234 cells interact thus modulating tumor-specific CTL induction.

235 Therefore, our study has identified a previously unrecognized function for complement in
236 tumor biology. We have shown that complement and NK cells interact and influence tumor
237 growth by limiting tumor-specific CTL induction. Factors from the complement system may
238 restrict NK cell availability in tumor tissue where NK cells would normally participate in the
239 induction of a tumor-specific CTL response via, among other things, tumor cell lysis.
240 Furthermore, complement proteins could also promote infiltration of the tumor by MDSCs that
241 could then suppress lymphocyte function (Fig. 4D).

242 Many drugs targeting complement proteins are currently in clinical trials and few side
243 effects have been reported (1, 27). CVF has also been used in laboratory animals for the
244 treatment of various diseases. One of the limits of this treatment alternative in the clinic is the
245 high immunogenicity of this compound (28). However, a human C3 hybrid, containing crucial
246 regions of the CVF β chain (so-called humanized CVF or HC3-1496), has been created for this
247 purpose (29). It will be of great interest to test other complement inhibitors currently in
248 development in various solid tumor models to determine if sustained treatment will favour the
249 induction of a better tumor-specific immune response and promote CD8⁺ T cell expansion. Thus,
250 a better understanding of the link between complement proteins and NK cells in the development
251 of tumors could lead to the design of new therapeutic strategies against cancer.

252

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339

340

341 **FIGURE LEGENDS**

342 **Figure 1.** Effect of CVF treatment on B16 tumor growth and immune cell infiltration. C57Bl/6
343 mice were injected subcutaneously with B16 (day 0) and CVF was administered by intra-
344 peritoneal injection on day 6. **A,** Tumor growth was measured at indicated time points. Data
345 show the mean \pm SEM and are representative of two independent experiments. $**P < 0.01$. **B,**
346 Nine days following CVF treatment, tumor and spleen were harvested and total tumor CD45⁺
347 cells and **C,** immune cell subpopulation proportions of CD4⁺ T cells (CD4⁺CD25⁻), regulatory T
348 cells (CD4⁺CD25⁺), CD8⁺ T cells, B cells (B220⁺), NK (NKp46⁺), MDSC (Gr1⁺CD11b⁺),
349 neutrophils (Gr1⁺CD11b⁻), dendritic cells (Gr1⁻CD11b⁺CD11c⁺) and macrophages (Gr1⁻
350 CD11b⁺F4/80⁺) were determined.

351

352 **Figure 2.** Depletion increases NK cell availability without affecting their effector
353 function. B16gp33-bearing mice were treated or not with CVF. On day 9 following treatment,
354 spleen and tumor were harvested. **A,** Percentage of NK cells among CD45⁺ cells. Mean \pm SEM
355 are shown (n=9). $***P < 0.001$. **B,** NK degranulation assay in YAC-1 co-cultures (10:1 Effector-
356 target ratio) with or without PMA-ionomycin. Data are the mean \pm SEM of three independent
357 experiments (n=9).

358

359 **Figure 3.** Increased systemic T cell activation following CVF treatment. **A,** Spleen and draining
360 lymph nodes were harvested 9 days following CVF treatment and DC activation was assessed by
361 CD11c and CD86 staining. Data are the mean \pm SEM of two independent experiments (n=6). **B,**
362 T cell activation was assessed by CD62L and CD44 staining on spleen and tumor. Naïve
363 (CD62L⁺CD44⁻), effector/effector memory (CD62L⁻) and central memory T cells

364 (CD62L⁺CD44⁺) are shown. Data are the mean ± SEM of three independent experiments (n=9).

365 **P* < 0.05, ***P* < 0.01

366

367 **Figure 4.** Influence of NK cells on CVF therapy. **A**, C57Bl/6 mice were injected subcutaneously

368 with B16 cells (n=6-8), NK-depleted or not at day 4 and treated or not with CVF at day 6. Tumor

369 growth was measured at indicated time points. Data show the mean ± SEM and are

370 representative of two independent experiments. **P* < 0.05, ***P* < 0.01. **B**, C57Bl/6 mice were

371 injected subcutaneously with B16gp33 cells, NK-depleted or not at day 4 and treated or not with

372 CVF at day 6. Nine days following CVF treatment, spleen was harvested and an *ex vivo*

373 stimulation with the gp33 (KAVYNFATC) peptide was performed to analyze cytokine secretion

374 and **C**, degranulation. Data are the mean ± SEM of two independent experiments (n=6). **D**,

375 Proposed model for the influence of complement proteins and NK cells in the B16 melanoma

376 model. Inhibition of the complement system by CVF allows for increased NK cell availability in

377 tumor tissue. This expanded proportion of NK cells leads to increased tumor cell lysis and

378 antigen release thus facilitating activation of tumor-specific CD8⁺ T lymphocytes. Inhibition of

379 complement proteins also acts on MDSC and limits the immunosuppressive tumor

380 microenvironment leading to increased CTL activation. Abbreviations: CTL, cytotoxic T

381 lymphocyte; CVF, cobra venom factor; IFN γ , interferon gamma; iDC, immature dendritic cell;

382 mDC, mature dendritic cell; MDSC, myeloid-derived suppressor cell; NK, natural killer cell;

383 TNF α , tumor-necrosis factor alpha.

384

385 **Supplemental Figure 1.** Complement system inhibition by CVF. C57Bl/6 mice (n=3) were

386 injected intraperitoneally with CVF (20 μ g) at day 0. C3 molecule concentration in sera was

387 measured every following day and ratios over non-treated animals are shown. Data show the
388 mean \pm SEM and are representative of three independent experiments.

389

390 **Supplemental Figure 2.** MDSC proportions following CVF treatment. B16-bearing mice were
391 treated or not with CVF. On day 9 following treatment, spleen and tumor were harvested.
392 Percentage of MDSC among CD45⁺ cells is shown. Mean \pm SEM (n=9). *** $P < 0.001$.

393

394 **Supplemental Figure 3.** Effectiveness of NK cell depletion. C57Bl/6 and RAG^{-/-} mice (which
395 are known to possess high numbers of NK and NKT cells) were injected intra-peritoneally with
396 either 100 or 200 μ g of anti-NK1.1 antibody (clone PK136). Spleen was harvested two days later
397 and analyzed. NKp46 and NK1.1 staining of CD3⁻ cells are shown. Complete depletion was
398 achieved with 200 μ g in both mouse strains.

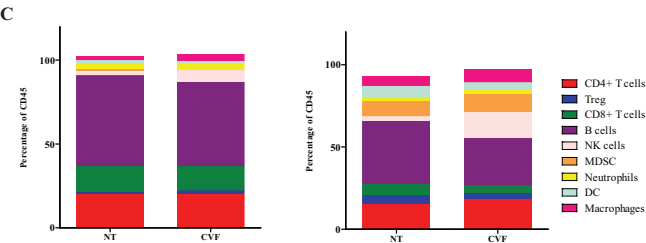
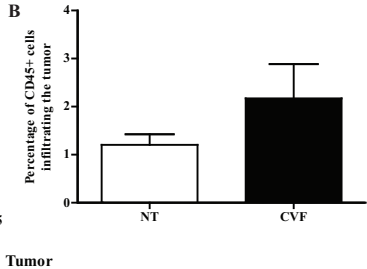
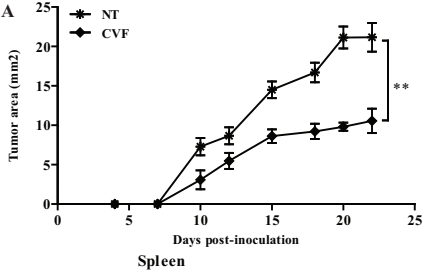
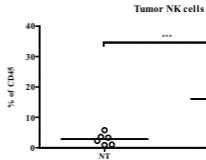
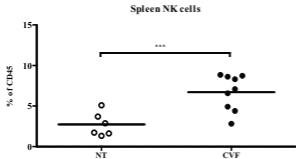


Figure 1.

A



B

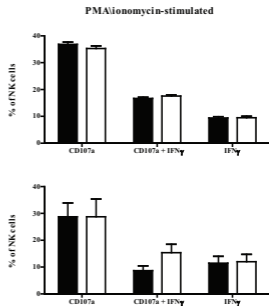
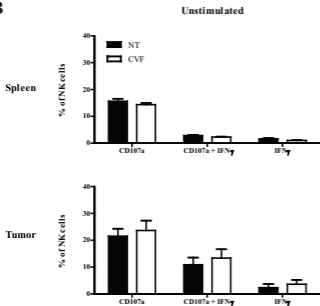


Figure 2.

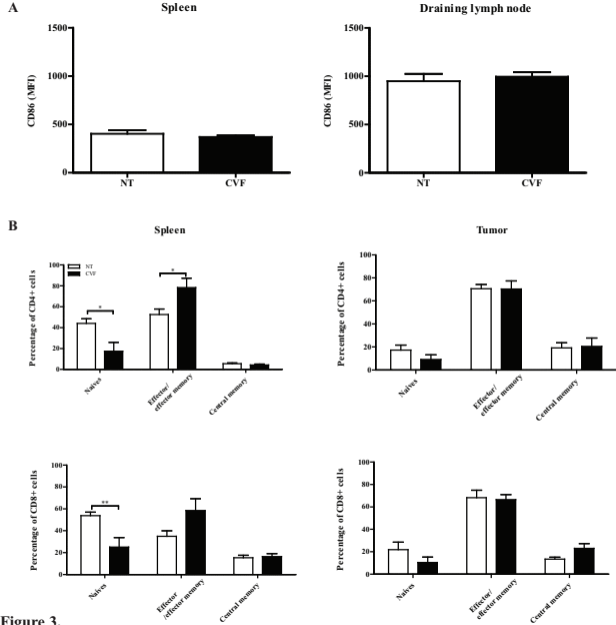


Figure 3.

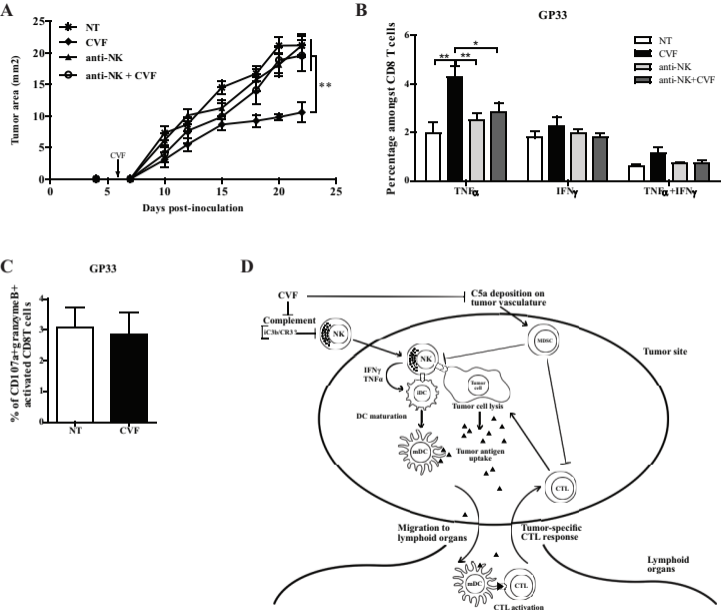


Figure 4.