

1 **TITLE:** Predicting the individual hydraulic performance of sewer pipes in the context of
2 climate change

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19

20 **ABSTRACT**

21 A new method to identify pipes with insufficient hydraulic capacity is proposed. This
22 method can be applied to assess the future evolution of network performance under
23 climate change (CC). It is based on hydrologic/hydraulic simulations using the Storm
24 Water Management Model (SWMM) and single observed rainfall events. The evolution
25 of the hydraulic performance with time is simulated by increasing the intensity of these
26 rainfall events by a factor depending on the CC predictions for the study area. The
27 proposed method is applied to two Canadian separated and combined sewer networks.
28 The method identified the constraining pipe sections that could cause hydraulic
29 dysfunctions in the networks, both in current and future climates. For the two networks,
30 the number of constraining pipes depends on rain events and is anticipated to increase in
31 the future climate. The proposed method can be applied to various types of networks to
32 assess the network performance and project the evolution of the hydraulic performance of
33 individual pipes over time, making it a useful tool for the planning of drainage network
34 renewal under CC.

35

36 **KEYWORDS**

37 Constraining pipes; Renewal planning; Sewer network; SWMM model; Urban drainage

38

39 **INTRODUCTION**

40 The design of sewer pipes depends on their intended use (i.e., nature of the water to
41 convey — wastewater, stormwater, or combined) and the peak flows they need to convey
42 (Mailhot and Duchesne, 2010; Rosenberg *et al.*, 2010; Mailhot *et al.*, 2007b). More
43 specifically, the diameters of stormwater and combined network pipes are determined to
44 convey a critical flow corresponding to a rain event with a given return period, typically
45 varying from two to five years. Increases in the imperviousness of the drained area and/or
46 an increase in the intensity of the rain event corresponding to the design return period
47 may reduce the hydraulic performance of sewer pipes (Li *et al.*, 2018; Kang *et al.*, 2016;
48 Neumann *et al.*, 2015; Berggren *et al.*, 2012; Jung *et al.*, 2011; Kleidorfer *et al.*, 2009;
49 Olsson *et al.*, 2009; Mailhot *et al.*, 2008; Semadeni-Davies *et al.*, 2008; Niemczynowicz,
50 1982). In recent decades, climate change (CC) has led to an increase in the frequency of
51 intense rainfall events in several regions of the world (see Miao *et al.*, 2019; Westra *et*
52 *al.*, 2015; IPCC, 2013; Ryu *et al.*, 2014; Shephard *et al.*, 2014; Groisman *et al.*, 2005),
53 and the available projections of extreme rainfall suggest that the intensity and frequency
54 of extreme rainfall will continue to increase over the course of the twenty-first century
55 (see Giorgi *et al.*, 2019; Dale *et al.*, 2017; Kendon *et al.*, 2014; Westra *et al.*, 2014; IPCC,
56 2013; Mailhot *et al.*, 2012). According to several researchers, including Ruitter (2012),
57 such changes may lead to more-frequent flooding and sewer backups. The development
58 of hydraulic performance assessment tools for sewer networks, therefore, becomes
59 crucial in the CC context. In this study, “hydraulic performance” refers to the possibility
60 that a hydraulic dysfunction (surcharge, sewer backup, or flooding) will occur in a given
61 network for a rainfall event corresponding to a given return period. Existing tools

62 evaluating this performance and its evolution over time are based on two approaches — a
63 statistical approach and hydraulic/hydrological (HH) modeling — or a combination of
64 those two approaches (Babani *et al.*, 2008).

65

66 In the statistical approach, statistical models predict the deterioration of the hydraulic
67 performance of individual sewer pipes over time as a function of factors related to the
68 pipe characteristics (e.g., age and diameter) and the environment (e.g., soil type).

69 Included among these models are: 1) fuzzy logic models, used by Hosseini and Ghasemi
70 (2012) to estimate the Manning roughness coefficient to calculate the hydraulic
71 performance values of individual pipes in a separate wastewater sewer; 2) ordered probit
72 models and probabilistic neural-network models (Tran *et al.*, 2010), which express the
73 probability that a pipe (stormwater network) will be in a given hydraulic performance
74 state after a certain period of time depending on several factors (structural state condition,
75 age of pipe, size, burial depth, slope, and soil type); and 3) Markov models, multiple
76 discriminant analyses, and neural-network models (see Tran, 2007).

77

78 Despite their ability to predict the evolution in time of the hydraulic performance of
79 individual sewer pipes, existing statistical models do not consider the climatic conditions
80 or their changes over time, which are determinant factors in the pipes' hydraulic
81 performance. Indeed, in the studies cited above, only the age of the pipes was modified to
82 evaluate the future hydraulic performance of sewer pipes, and not the possible variation
83 in time of climatic conditions. HH modeling can, however, address this issue.

84

85 An HH model can simulate the main processes involved in urban hydrology considering
86 climatic conditions and urban development (Berggren *et al.*, 2012; Kleidorfer *et al.*,
87 2009; Olsson *et al.*, 2009; Niemczynowicz, 1989). In previous studies, future rain events
88 representing future climatic conditions were constructed using different methods. The
89 simplest method is to apply a relative increase to the intensity of a given design storm,
90 the value of this increase being generally based on available climatic projections (Kirshen
91 *et al.*, 2015; Huong and Pathirana, 2013; Olsson *et al.*, 2013; Kleidorfer *et al.*, 2009; Watt
92 *et al.*, 2003; Waters *et al.*, 2003; Niemczynowicz, 1989). A second method uses
93 projections from climate models to modify observed rainfall series (Dale *et al.*, 2017;
94 Berggren *et al.*, 2012; Olsson *et al.*, 2009; Semadeni-Davies *et al.*, 2008; Mailhot *et al.*,
95 2007b; He *et al.*, 2006). Another is based on the simulation of either future rainfall series
96 or design storms derived by downscaling the output series from climate models (Kang *et al.*,
97 *et al.*, 2016; Osman, 2015). Finally, Dale *et al.* (2017) relied on the climate analog approach
98 to estimate future changes in rainfall intensities.

99

100 Unlike studies using statistical models, most of those based on HH models assess the
101 hydraulic performance of the whole sewer system, or of some part of it, but not the
102 hydraulic performance of individual pipes (Berggren *et al.*, 2012 and 2014; Dale *et al.*,
103 2017; Denault *et al.*, 2006; Huong and Pathirana, 2013; Kirshen *et al.*, 2015; Kleidorfer *et al.*,
104 *et al.*, 2009; Mikovits *et al.*, 2017; Niemczynowicz, 1989; Olsson *et al.*, 2009; Semadeni-
105 Davies *et al.*, 2008; Waters *et al.*, 2003; Watt *et al.*, 2003; see the Supplementary
106 Information for more details). Only a few studies have, to our knowledge, developed
107 methodologies based on HH modeling capable of attributing a hydraulic performance

108 condition to each pipe. This is the case for Bennis *et al.* (2003), who developed an index
109 relating the hydraulic performance of a pipe to the height of maximum surcharge in the
110 node located immediately upstream, for a given rainfall, and to the depth at which the
111 pipe is buried. This performance index was also used in Tagherouit *et al.* (2011). In both
112 of these studies, the CC impact was not considered.

113

114 To include the impact of CC, and in response to an increasingly urgent need for tools to
115 assist in the planning of sewer renewal, a method is proposed in this study for the
116 evaluation and prediction of the individual hydraulic performance of stormwater and
117 combined sewer pipes in a changing climate. This method aims at identifying the pipes
118 that should be upgraded to avoid hydraulic dysfunctions for specific rainfall events, in
119 current and future conditions. It is based on: 1) the identification of the sections of pipe
120 having a current unsatisfactory hydraulic performance, causing hydraulic dysfunctions
121 (surcharge) in the network, and (2) the assessment of the evolution of the hydraulic
122 capacity of pipes over time, as a function of the projected changes in rainfall intensities.
123 The main originality of the proposed method is that it targets individual pipes that are
124 responsible for current and future hydraulic dysfunctions in a CC context, pipes that
125 could be replaced to maintain adequate long-term hydraulic performance. Such a strategy
126 allows managers to prioritize and better plan pipe renewals.

127

128 The proposed method is based on HH modeling using the SWMM model (Rossman,
129 2008) with single observed rainfall events (SOREs), modified to represent future climatic

130 conditions over several future horizons. Applications are presented for two real networks.
131 Further details about the methodology are given in below.

132

133 **METHODOLOGY**

134 **Case studies**

135 The proposed method was applied to two sewer networks located in the province of
136 Quebec (Canada), called A and B in the following for reasons of confidentiality. Network
137 A corresponds to a mixed separated stormwater and combined sewer with a total pipe
138 length of 46 km (0.125 to 1.8 m in diameter) that drain an area of 378 ha (23%
139 impervious). Network B is a 70-km combined sewer network draining 475 ha (36%
140 impervious), with pipe diameters varying from 0.15 to 3.8 m. The components of these
141 two networks, as modeled in the SWMM, are illustrated in Figure 1. The calibrated
142 SWMM models for these two areas were provided by their respective managers. Their
143 calibration used the following information: 1) for Network A, five rainfall events (of
144 recurrence up to five years), recorded by two rain gauges within the sector, and flow
145 measurements collected between July and August 2011 (Fortier, V., Gagnon, J.F., Pugin,
146 S., Trudel, L., Rapport final: Modélisation, calibration, diagnostic, solutions
147 conceptuelles et études préparatoires (in french), Unpublished report); and 2) for Network
148 B, two campaigns of flow measurements carried out over two distinct periods (from
149 September 17 to October 16, 2014, and from August 25 to September 23, 2015) and
150 observed rainfall data for these same periods (according to communications with the
151 Municipality B).

152

153 **Rain events**

154 Modeling the hydraulic performance of pipes was carried out using observed SOREs,
155 which were modified to take into account CC. Using such events allows: 1) more-realistic
156 temporal distributions and intensities, as opposed to design storms; 2) targeting events
157 that are likely to lead to sewer surcharge, backups, and flooding (Ruiter, 2012); and 3)
158 reducing simulation time, which can become an issue when simulating continuous
159 rainfall series (Notaro *et al.*, 2016).

160

161 From 5-min rainfall series recorded from 1943 to 1994 and from 1961 to 1976 at two
162 meteorological stations located in southern Quebec, 400 events were extracted. Each
163 rainfall event was characterized according to its return period for nine durations ranging
164 from 5 min to 24 h. This characterization was based on the intensity–duration–frequency
165 curves created by Mailhot and Talbot (2011) and by Villeneuve *et al.* (2007) using
166 maximum annual precipitation series recorded at the same meteorological stations
167 between 1943 and 1994. For the current analysis, only SOREs with return periods
168 ranging from two to five years for at least one of the selected durations (5 min to 24 h),
169 and without any return period higher than five years for these same durations, were
170 selected. The 2 to 5 years return period criterion was retained, because it corresponds to
171 the design criterion of pipes for the studied areas (consequently, surcharges should be
172 avoided for the events corresponding to this design criterion). Only six of the 400
173 recorded events fulfilled this selection criterion. Figure 2 gives the rainfall profiles for
174 these six SOREs, while Table 1 summarizes their characteristics. The selected SOREs
175 show durations ranging from 1 to 24 h and variable temporal distributions. As shown in

176 Figure 2, their maximum intensity occurs either at the beginning, in the middle, or at the
177 end of the event. To assess the impact of CC on the hydraulic performance of sewers,
178 these SOREs were modified as described in the next section.

179

180 **Climate change impact**

181 According to Mailhot *et al.* (2007a), the intensity of extreme rainfall events of durations
182 ranging from 1 to 24 h for less than 20-year return periods could increase by 15% in the
183 future (2041-2070) compared to the current period (1961-1990) in southern Quebec.
184 These results were obtained based on CRCM (Canadian Regional Climate Model)
185 simulations for the SRES A2 scenario (Christensen *et al.*, 2007). More recently and for
186 the same region, Mailhot *et al.* (2012) showed that the intensity of maximum annual
187 precipitation for 6-, 12-, 24-, 72-, and 120-h durations and for 2-, 5-, 10-, and 20-year
188 return periods (simulated by several regional climate models, driven by different global
189 models and considering historic greenhouse gas concentrations for historical climate and
190 the SRES A2 scenario for future periods) should increase by 10% to 20% between past
191 (1968-2000) and future (2041-2070) periods.

192

193 Based on these conclusions, an increase of 15% in intensity over the next 25 years was
194 chosen for the six selected SOREs. The rainfall intensity at each 5-min time step of the
195 SOREs was multiplied by a factor to construct rainfall events representing the future
196 climate. It was assumed that this factor varies linearly over the coming 25 years, so the
197 future rainfall intensities were computed with:

198

199
$$I(t) = I_0 + (I_k - I_0) \frac{t - t_0}{t_k - t_0} \tag{1}$$

200

201 where $I(t)$ = rainfall intensity at year t , I_0 = rainfall intensity at year t_0 (reference period;
202 original SORE), I_k = rainfall intensity at year t_k ($I_k = 1.15 I_0$ in our case), and t_k = year of
203 climate forecasts ($t_k - t_0 = 25$ years in our case).

204

205 The hypothesis of linearity of the evolution of rain intensities over time for the same
206 return period has been already adopted by Mailhot and Duchesne (2010). Moreover, the
207 linearity hypothesis can be justified because the planning horizon is relatively short
208 compared with the time scale over which the signal of CC will emerge.

209

210 **Proposed method to assess the current and future hydraulic performance of sewer**
211 **pipes**

212 As mentioned, hydraulic performance refers to the pipes' capacity to fulfill their role of
213 draining stormwater from an event with a given return period without any backup or
214 flooding. Pipe surcharge generally has an impact on upstream flow, raising the hydraulic
215 grade line. Beyond a critical level, the rise of the hydraulic grade line can cause backups
216 in basements and, eventually, flooding at the surface.

217

218 The proposed method identifies the constraining pipes that are responsible for hydraulic
219 dysfunction (HDsf) in the network, for a specific rain event, through three main steps.

- 220 - *Step 1: Localization of all HDsf in the network, based on the SWMM hydraulic*
 221 *simulation results. As shown in Figure 3, an HDsf occurs when the water height at a*
 222 *node exceeds the crown level of the neighboring downstream pipe.*
- 223 - *Step 2: Delimitation of a perimeter of influence (PI) for each detected dysfunction. A*
 224 *PI is defined as the set of adjacent surcharged pipes. Each PI stops at the first*
 225 *upstream and downstream nodes that are not surcharged, as shown in Figure 3.*
- 226
- 227 - *Step 3 (Figure 4): Identification of the pipe(s) that are responsible for the hydraulic*
 228 *dysfunctions in each PI.*
- 229 i. A reference node (*RN*) is first identified (Figure 4, Block 1) as well as the
 230 pipes that could be responsible for the HDsf in the studied *PI* (referred here as
 231 “potentially constraining pipes,” **PCPs**). As shown in Figure 3, *RN*
 232 corresponds to the node with the highest water level in the *PI*. **PCPs** are
 233 necessarily located downstream of the *RN* (as shown in Figure 3, $\mathbf{PCP} = \{P_1;$
 234 $P_2; \dots; P_n\}$, ordered from upstream to downstream, where P_n is the pipe
 235 located at the downstream end of *PI*, and P_l is the pipe immediately
 236 downstream of *RN*). In the proposed method, a matrix containing all possible
 237 combinations of potentially constraining pipes (**M_PCP**) is first constructed
 238 (Figure 4, Block 1). The number of rows (n) in **M_PCP** equals the number of
 239 pipes in **PCP**.

240

$$\mathbf{M_PCP} = \begin{bmatrix} P_1 & \cdot & \cdot & P_{n-2} & P_{n-1} & P_n \\ \cdot & \cdot & \cdot & \cdot & & \\ P_{n-2} & P_{n-1} & P_n & & & \\ P_{n-1} & P_n & & & & \\ P_n & & & & & \end{bmatrix}$$

241 ii. Starting with **PCP**, the pipes that are responsible for the HDsf in *PI* (the
 242 constraining pipes) are identified (Figure 4, Block 2). This is done in a
 243 loop, for which, during each iteration, the pipes that are analyzed to
 244 determine whether they are constraining are called the evaluated pipes,
 245 **EP**. As shown in Figure 4, for the first iteration, **EP** = $\{P_n\}$ (the most
 246 downstream pipe in *PI*), i.e., the last row in **M_PCP**, and then, if required,
 247 the identification of the constraining pipes is performed for each row of
 248 **M_PCP** in decreasing order. To estimate whether the **EP** are constraining,
 249 all their respective hydraulic capacities (diameters) are progressively
 250 increased until the dysfunction disappears or until the diameter of the
 251 smallest pipe immediately downstream of P_n (P_{n+1}) is reached. When the
 252 dysfunction disappears after increasing the diameter of the pipe(s) in **EP**,
 253 without reaching the diameter of the pipe downstream of P_n , these pipes
 254 are identified as constraining, i.e., responsible for the HDsf (Figure 4,
 255 Block 2-a). In the opposite case (Figure 4, Block 2-b), i.e., if the diameter
 256 of the pipe downstream of P_n is reached and a surcharge still subsists,
 257 pipes in **EP** are considered not to be the sole constraining pipes for the
 258 HDsf, and the pipes in the preceding row in **M_PCP** (**EP** = **M_PCP_{n-1}**)
 259 are considered. This process is repeated until the dysfunction disappears or
 260 until the first row of **M_PCP** is reached (**EP** = **M_PCP₁** = $\{P_1; \dots; P_{n-1};$
 261 $P_n\}$). When the HDsf persists despite increasing the diameter of all pipes
 262 in **M_PCP₁** (Figure 4, Block 2-c), **PCP** is expanded to contain P_{n+1} , the
 263 pipe downstream of P_n , which becomes the last pipe of the new **PCP** (new

264 P_n). If one or more pipes downstream of the new P_n have the same
265 diameter as this new P_n , these pipes (\mathbf{P}_{DW} in Figure 4: vector of a whole
266 series of pipes downstream of the new P_n having the same diameter as P_n)
267 are included in the new \mathbf{PCP} , and the most downstream pipe of \mathbf{P}_{DW}
268 becomes the new P_n . The identification of constraining pipes is then
269 carried out using the new \mathbf{PCP} . In the case P_{n+1} is an outlet or storage
270 pipe, no pipe is identified as constraining for the HDsf in the PI (Figure 4,
271 Block 2-d).

272

273 The projected change in hydraulic performance caused by CC was simulated for each
274 selected SORE (Table 1 and Figure 2) at regular intervals of five years, as shown in
275 Figure 5. Five-year intervals were chosen, as that interval is characteristic of the period
276 generally considered by networks managers for carrying out priority interventions (see
277 MAMROT, 2013).

278

279 At each time step, Equation 1 is used to modify the rain intensities to obtain events
280 corresponding to each of the six time horizons, from the first or current horizon (H_1) to
281 the last one (H_6), 25 years later, and the constraining pipes are identified for each of these
282 horizons.

283

284 **RESULTS AND DISCUSSION**

285 Using the selected SORE, the proportions of surcharged nodes (SNs) and those that are at
286 risk of flooding (NRFs, i.e., for which the maximum water level is less than 1 m below

287 the ground level) in Networks A and B in their current state (i.e., without any
288 modification in their pipes' diameters) are given in Table 2 for the first and last horizons.
289 Table 2 shows that increasing rainfall intensities over the time horizons, from H_1 to H_6 ,
290 leads to increases in the proportion of SNs and NRFs. According to the results in Table 2,
291 the proportions of nodes that are currently (H_1) surcharged or at risk of flooding vary
292 slightly (from 1% to 7%) from one event to the other for Network A but strongly depend
293 on the event for Network B. These differences could be caused by the varying density of
294 nodes in different parts of the networks (e.g., many nodes in areas that become
295 surcharged for some events but are not for the others). For Networks A and B, a
296 Spearman rank correlation test (Sheskin 2003) showed no relationship between these
297 three SORE characteristics — i) duration, ii) maximal intensity over 5 min (I_{max_5min}), and
298 iii) total height — and the proportions of SN, NRF, and total length of constraining pipes
299 (TLCP) for the six events. The same result is obtained for the six horizons, except for the
300 fifth one, where a possible dependency is obtained between event duration and the
301 proportion of TLCP.

302

303 When applied to Networks A and B, the proposed method (see Figure 4) identified the
304 constraining pipes that are responsible of each surcharge, either in current or in future
305 conditions. These pipes have an insufficient hydraulic capacity, and the presented method
306 proposes the required pipe diameters to ensure free surface flow in the entire network for
307 the selected six SOREs (recurrence less than five years). Table 2 gives the proportion of
308 constraining pipes for the six events for the first and last horizons, while Figure S-1, in
309 the Supplementary Information, shows its evolution over the six horizons.

310

311 Figure 6 shows how constraining pipes are identified for some HDsf in Network A, for
312 the first horizon (H_1), and for Event 1. In this example, as for the other events and
313 horizons, the SNs are first grouped by *PI*, represented by green polygons in Figure 6.
314 Then the constraining pipes for each *PI* are identified (red pipes in Figure 6). The
315 constraining pipes can be identified either: i) during the first iteration of the method (see
316 Figure 4) (in this case the constraining pipes are in the vicinity of the SN) or ii) after the
317 increase of the diameters of some other pipes located downstream of the SN. Therefore,
318 no surcharge is illustrated in Figure 6 close to some of the constraining pipes.

319

320 As illustrated in Figure 6, one single pipe can be responsible (constraining) for a
321 surcharge area including several nodes and pipes, such as in Case 1. Case 2 (Figure 6)
322 gives an example of pipes that were considered constraining, even if they were not
323 located in the surcharge zone (*PI*), because their diameter is the same as the diameter of
324 the most downstream pipe in the *PI*, and, thus, their diameter needs to be increased to
325 eliminate the HDsf in this *PI*. Figure 6 also shows an example of an HDsf located
326 upstream of an outlet or storage (Case 3), which is considered a special case where the
327 surcharge is allowed and does not require any modification in the network.

328

329 Table 3 gives an example of some of the constraining pipes, their current diameter, and
330 the proposed diameter to eliminate the HDsf, for the six considered horizons of the most
331 problematic events (MPEs, which cause the largest number of surcharges) for Networks
332 A and B, namely, Events 2 and 3, respectively. Network A is characterized by smaller

333 and more impermeable subcatchments than Network B. This may explain why: 1)
334 Network A is more sensitive to Event 2, which has the highest maximal intensity over 5
335 min and occurs over a shorter duration (in this case, runoff is quicker and more
336 important), and 2) surcharges in Network B are more important for Event 3, which
337 generates the largest volume and lasts longer (in this case, surcharges are more sensitive
338 to soil saturation).

339

340 In Network A in its current form (no pipes replaced), from 10% to 12% of the total length
341 of pipes was identified as constraining, or responsible, for the HDsf (and, thus, would
342 eventually need to be replaced by larger pipes) between H_1 and H_6 of the MPE (Event 2,
343 see Figure S-1a). For Network B, 14% to 23% of the total length of pipes (with diameter
344 between 150 mm and 3.5 m) has an insufficient hydraulic capacity (Event 3, Figure S-
345 1b). The samples of pipes presented in Table 3 cover a wide range of diameters and give
346 only some examples of pipes that become constraining with time. Some pipes have an
347 insufficient current (H_1) hydraulic capacity, such as pipes UNI_154697 and 70820 of
348 Network A and B, respectively, while others will be constraining only at the sixth
349 horizon, such as the pipes PLU_1062127 (Network A) and 108287 (Network B). In some
350 cases, one or more pipes can be identified as being constraining at a given horizon and
351 not at following time horizons (e.g., pipe DOM_153912 of Network A and 70821 of
352 Network B). Moreover, some of the identified constraining pipes require less hydraulic
353 capacity at future horizons than at earlier ones (e.g., pipe 70820 in Network B). These
354 last two situations can be explained by the fact that constraining pipes located
355 downstream of the initial ones may be identified when rainfall intensity is increased at

356 future time horizons. These increases can result in surcharges farther downstream of
357 initially considered constraining pipes. Increasing the hydraulic capacity of downstream
358 pipes therefore eliminates the surcharges in the most upstream pipes.

359

360 In both networks, the diameter of constraining pipes can be slightly or greatly upgraded,
361 depending on rainfall events. The upgraded diameter can, in some cases, be more than
362 four times the current one, as for pipe PLU_296060 in Network A, or slightly larger, as
363 for pipe UNI_157023 in Network A. Moreover, the proposed diameters might not
364 change, in some cases, over the six horizons (from H_1 to H_6); on the contrary, they may
365 increase with the increase in rainfall intensity for some horizons (e.g., pipe 70947 in
366 Network B).

367

368 Table 2 and Figure S-1 shows the evolution of the proportion of constraining pipes over
369 the six horizons and for the six events. According to these results, the first three events
370 (1, 2, and 3) are those that cause the largest number of surcharges, either in Network A or
371 Network B. The first two events are characterized by the highest I_{max_5min} , and the largest
372 part of their total height occurs over a short period (from 1.0 h to 1.5 h, see Figure 2). As
373 for Event 3, it has the highest total height.

374

375 Likewise, for both networks, there is an obvious increase in the proportions of SN and
376 NRF, and, consequently, in proportions of TLCP, with increasing rainfall intensity, i.e.,
377 from H_1 to H_6 . In the case of Network A, these proportions, as well as their evolution in
378 time, are slightly different from one SORE to the other. Regarding the proportion of

379 TLCP, despite the largest increase for the last three SOREs, one can see higher
380 proportions for the first three events in Network A. For this network, the proportion of
381 TLCP increases by 25% (reaching 12%) for the MPE between H_1 and H_6 . In the case of
382 Network B, still for the MPE, this proportion of TLCP increases by 61% from H_1 to H_6 .
383 Constraining pipes represent 23% of the total length of pipes at the sixth horizon in
384 Network B, which is almost double that of Network A. This is because Network B is
385 highly surcharged, even in the current climate (H_1). Thus, even a small increase in
386 rainfall intensity leads to a sharp increase in the proportions of SN and TLCP. The
387 recorded variability in the proportions of SN, NRF, and TLCP as a function of SORE
388 could be explained by the variability in these event distributions.

389

390 Figures S-2 and S-3, in the Supplementary Information, give the localization of
391 constraining pipes in Networks A and B for the first and sixth horizons using the MPE for
392 each network.

393

394 Given the variability of results between each SORE, constraining pipes obtained with the
395 MPE (Event 2 for Network A and Event 3 for Network B) were first considered to
396 identify the pipes to be replaced in Networks A and B. Afterward, it was verified whether
397 the replacement of these pipes led to the elimination of all surcharge problems with the
398 five other events and for all six time horizons. For Network A, three additional
399 constraining pipes had to be added to those determined with the MPE, whereas, for
400 Network B, the replacement of the constraining pipes determined with the MPE was
401 sufficient to eliminate all surcharges with the six SOREs and the six time horizons.

402

403 **CONCLUSION**

404 In this research, a novel method was proposed to assess and predict the hydraulic
405 performance of individual sewer pipes in current and future climates. This method is
406 based on hydraulic and hydrologic modeling with single observed extreme events,
407 representing a specified design recurrence (from two to five years in this case) and a wide
408 range of durations, time distributions, and intensities. The proposed method consists of
409 locating hydraulic dysfunctions, isolating them, and identifying the pipe or pipes that are
410 constraining for these dysfunctions. The identification of the constraining pipes was
411 carried out by increasing their hydraulic capacity until the dysfunction disappeared. The
412 evolution in time of the sewer pipes' hydraulic performance was simulated by increasing
413 the intensity of the rainfall events used as inputs for the simulations. This method was
414 applied to two different areas of Canadian sewer systems. In both cases, the proposed
415 method made it possible to: 1) identify the constraining pipe(s) for the hydraulic
416 dysfunctions caused by rain events representing each evaluated horizon and 2) propose
417 the required diameters to maintain an acceptable level of service for the studied networks.
418 This application showed that Networks A and B reacted differently to the same events.
419 More surcharges and pipes to be replaced were identified for Network B, even for less
420 intense events. This network is also the one that is the most sensitive to CC, because it is
421 already highly surcharged in current climate. Moreover, Network B is more sensitive to
422 events having larger total heights, while Network A is more sensitive to events with the
423 higher maximal intensities over 5 min. These variations of results for the two studied
424 networks and between rain events show the importance of considering various rainfall

425 events for the design and analysis of drainage networks, either in the current climate or in
426 a CC context.

427

428 The presented method is automated and can be easily applied to other and different types
429 of network using any desired input rainfall to predict the individual pipes' hydraulic
430 performance over time, making it a useful tool for the planning of drainage network
431 renewal. It should be noted however that the replacement of pipes is not the only option
432 available to adapt sewer systems to the increase of runoff in urban areas. Source control
433 measures should also be taken into account when attempting to prevent backflows,
434 overflows, and sewer backups. In future work, the method presented here will be
435 integrated in a methodology aiming at scheduling adaptation measures over time,
436 including pipe replacement and installation of source control measures, taking into
437 account economic factors and climate change. Ideally, the structural and hydraulic
438 deterioration processes should be taken into account simultaneously in this methodology.
439 It could then be verified how the integration of different adaptation measures (installation
440 of source control, replacement of pipes, retrofitting, etc.) makes it possible to reduce the
441 total costs of renewal interventions while improving the overall performance of sewer
442 networks.

443

444 **DATA AVAILABILITY STATEMENT**

445 The rainfall data, the characteristics of sewers and the SWMM models used during the
446 study were provided by third parties. Direct requests for these materials may be made to
447 the providers; the corresponding author can provide the contact information of these

448 providers on request. The codes generated during the study are available from the
449 corresponding author by request.

450

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455

456 .

457

458 **NOTATION LIST**

459	CC	Climate Change
460	DC	Delta Change
461	DFC	Delta Change Factor
462	EP	Evaluated Pipes
463	GHG	Greenhouse Gas
464	HDsf	Hydraulic Dysfunction
465	HH	Hydraulic/Hydrological
466	Imax_5min	Maximal Intensity over 5 Min
467	MPE	Most Problematic Event
468	NRF	Node at Risk of Flooding
469	P_1	Upstream-Most Pipe in the Ensemble of Potentially Constraining Pipes
470	P_{DW}	Vector of Pipes Downstream of P_n Having the Same Diameter as P_n
471	P_n	Downstream-Most Pipe in the Ensemble of Potentially Constraining Pipes
472	PCP	Potentially Constraining Pipe
473	PI	Perimeter of Influence
474	RN	Reference Node
475	SN	Surcharged Node
476	SORE	Single Observed Rainfall Event
477	TLCP	Total Length of Constraining Pipes

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687

688

Table 1. Characteristics of the selected six rainfall events, with return periods of two to five years for durations ranging from 5 min to 24 h

Events	Event characteristics			Recurrence per duration (years)								
	Total height (mm)	Maximum intensity over 5 min (mm/h)	Total duration (h)	5 min	10 min	15 min	30 min	1 h	2 h	6 h	12 h	24 h
1	20.1	91.5	0.92	2 to 5	2 to 5	2 to 5	2 to 5	< 2	< 2	< 2	< 2	< 2
2	28.9	103.7	1.67	2 to 5	2 to 5	2 to 5	2 to 5	2 to 5	< 2	< 2	< 2	< 2
3	53.0	54.9	9.17	< 2	< 2	< 2	2 to 5	2 to 5	2 to 5	2 to 5	< 2	< 2
4	29.4	61.0	2.08	< 2	< 2	< 2	2 to 5	2 to 5	2 to 5	< 2	< 2	< 2
5	42.0	62.7	24.00	< 2	< 2	< 2	2 to 5	2 to 5	2 to 5	2 to 5	< 2	< 2
6	48.7	47.6	23.42	< 2	< 2	< 2	< 2	2 to 5	2 to 5	2 to 5	2 to 5	< 2

Table 2. Proportion of surcharged nodes, of nodes at risk of flooding and of total length constraining pipes in Networks A and B for the six selected rainfall events and the short-term (H1) and long-term (H6) horizons

Events	Network A						Network B					
	Proportion of surcharged nodes - SN (%)		Proportion of nodes at risk of flooding - NRF (%)		Proportion of total length constraining pipes - TLCP (%)		Proportion of surcharged nodes - SN (%)		Proportion of nodes at risk of flooding nodes - NRF (%)		Proportion of total length constraining pipes - TLCP (%)	
	H ₁	H ₆	H ₁	H ₆	H ₁	H ₆	H ₁	H ₆	H ₁	H ₆	H ₁	H ₆
1	6	8	3	4	6	9	11	20	3	7	13	19
2	7	9	3	5	8	11	20	43	7	24	19	30
3	5	10	2	7	6	9	57	85	28	71	25	38
4	4	6	2	4	4	7	10	18	2	4	13	17
5	3	5	1	2	3	6	7	16	2	3	11	16
6	2	3	1	1	2	4	9	34	2	11	12	21

Table 3. Partial list of constraining pipes with their current and upgraded diameters for the six horizons for Network A (Event 2) and for Network B (Event 3) (~ means that the current diameter is adequate)

Network	Event	Pipe name	Current diameter (m)	Proposed diameter (m)					
				H ₁	H ₂	H ₃	H ₄	H ₅	H ₆
A	2	PLU_1062127	0.900	~	~	~	~	~	1.000
		UNI_154189	0.300	~	~	~	0.375	0.375	0.375
		UNI_157023	0.200	~	~	0.250	0.250	0.250	0.250
		PLU_33940a	0.375	~	0.625	0.625	0.625	0.625	0.625
		UNI_154697	0.450	0.600	0.600	0.750	0.600	0.600	0.600
		PLU_296060	0.200	0.950	0.950	0.950	0.950	0.950	~
		DOM_153912	0.600	~	~	0.750	~	~	~
		DOM_157235	0.250	0.375	~	~	0.375	0.375	~
B	3	108287	1.350	~	~	~	~	~	1.600
		70737	0.525	~	~	~	~	1.150	1.150
		70744	0.600	~	~	~	2.050	1.150	1.150
		73138	1.200	~	~	1.600	1.450	1.450	1.450
		71362	2.850	~	3.350	3.350	3.100	3.350	3.350
		70821	0.375	~	0.700	~	0.700	~	0.625
		70820	0.300	0.375	0.700	0.375	0.700	0.375	0.625
		70947	0.450	0.950	0.950	0.950	1.200	1.450	1.450

FIGURE CAPTIONS

Fig. 1. SWMM hydraulic models of Networks B (left) and A (right)

Fig. 2. Rainfall series (5-min time step) of the six rainfall events used in the analyses

Fig. 3. PI of hydraulic dysfunctions

Fig. 4. Method for identifying the pipes responsible for a hydraulic dysfunction
(constraining pipes)

Fig. 5. Horizons for the evaluation of the hydraulic performance in the context of CC

Fig. 6. Identified constraining pipes for HDsf caused by Event 1 at the first horizon (H_1)
in Network A

Fig. S-1. Proportion of total length constraining pipes for the six events and over the six
horizons for Networks A (a) and B (b)

Fig. S-2. Identified constraining pipes (in red) for the first and the sixth horizons using
Event 2 for Network A

Fig. S-3. Identified constraining pipes (in red) for the first and the sixth horizons using
Event 3 for Network B

SUPPLEMENTARY INFORMATION

S.1 REVIEW OF PREVIOUS STUDIES EVALUATING THE IMPACT OF CC ON THE HYDRAULIC PERFORMANCE OF SEWER NETWORKS USING HH MODELS

The aim of this review is to show the diversity of HH models and CC projections that can be used. In several of these studies, conducted particularly in Europe, the MOUSE model (a component of the upgraded version MIKE URBAN; DHI, 2013) was used to evaluate the hydraulic performance of several sewer systems in relation to CC (Berggren *et al.*, 2014; Olsson *et al.*, 2013, 2009; Semadeni-Davies *et al.*, 2008). In these studies, the CC impact was assessed by adjusting rainfall intensity according to the season, and particularly according to the predicted results of different climate models conducted with several greenhouse gas (GHG) emission scenarios. Berggren *et al.* (2014), for example, used two distinct methods to obtain future rainfall intensities. The first is to apply a constant adjustment factor, derived from climate model results, to the intensity of the entire rainfall (design storm). The second is based on the delta change (DC) approach that estimates a distribution of DC factors (DCFs), which are the ratios between some percentiles of the future rainfall intensity distribution and the same percentiles in the current climate for the same season (Olsson *et al.*, 2009). In Berggren *et al.* (2014), the distribution of DCFs was applied to observed time series to define future rainfall event series, from which intense single rainfall events were extracted. Olsson *et al.* (2013) increased the intensity of a 1 h to 10-year return period design storm (by 23.6% between the 10th and 40th minutes and by 22.6% for the rest of the rain) to obtain a future rain event (horizon 2071-2100). The HH simulations of the network under future conditions

were subsequently carried out using the MOUSE model with this modified design storm. These authors showed large deficiencies of the studied sewer pipes (located in Arvika, Sweden) in a future climate. Previously, for the sewer system of Kalmar (Sweden), Olsson *et al.* (2009) reported an increase of approximately 45% in the number of surface flooding events caused by the increase in intense precipitation intensities (20% and 30% in the summer and 50% to 60% in the autumn for the SRES-A2 scenario) by the end of the 21st century. These authors adjusted a continuous time series of precipitation, observed between 1991 and 2004, using the DC method.

Also in Sweden, Berggren *et al.* (2012) simulated the hydraulics and hydrology of a suburban sewer drainage system using the MIKE URBAN model. To this end, future rainfall series were created from observed rainfall series using the DC method. This analysis demonstrated that the number, frequency, and duration of floods and sewer backups should increase significantly in a future climate for the studied area.

The SWMM model was used to assess and predict the hydraulic performance of North American, European, and Asian urban sewer systems in different studies (Mikovits *et al.*, 2017; Kang *et al.*, 2016; Kirshen *et al.*, 2015; Huong and Pathirana, 2013; Kleidorfer *et al.*, 2009; Denault *et al.*, 2006; Watt *et al.*, 2003; Waters *et al.*, 2003; Niemczynowicz, 1989). Mikovits *et al.* (2017) evaluated, with SWMM, the combined impact of urban development and CC on flooding volumes from a combined sewer network in Innsbruck, Austria. They showed that the impact of CC, i.e., more-intense heavy precipitation during summer, could be either compensated or amplified by urban development, depending on

the spatial distribution of urban growth. For this evaluation, they used design rainfalls of various durations and return periods, which were modified using an empirical statistical downscaling method to produce future conditions over four GHG emission scenarios. Kirshen *et al.* (2015) applied SWMM to compute flooding volumes for the 3-month, 10-year, and 100-year design storms in Somerville, U.S., for three time horizons: 2011, 2040, and 2070. The future design rainfalls were those developed by Powell (2008) for the case study area, applying a relative change factor to the intensities of historical design storms; these factors were derived from the outputs of 20 global climate models using two GHG emission scenarios.

Dale *et al.* (2017) applied the InfoWorks HH model (Innovyze, 2018) to four sewer networks in the U.K. They used as inputs to these models critical design storms, which were modified by applying percentages of change to rainfall depth to represent future climate. These percentages of change were computed by combining the results of two methods. The first one is based on climate analogues, in which UKCP09 CC projections (Murphy *et al.*, 2009) were used to identify the future mean summer temperature for the four study sites in 2030, 2050, and 2080. These temperatures were then used to select European cities (named contemporary climatological analogs) with similar mean summer temperatures in the current climate. Rainfall for 2- to 30-year return periods, for various durations, were computed using observed rainfall series in these contemporary analogs, and those were assumed to represent the future climate in the four studied cities. The second one compares rainfall intensities associated with various return periods, for the current and future climates, as computed with hourly precipitation data simulated during

the very high-resolution (1.5-km grid boxes) CONVEX Project climate model experiment. For the four U.K. study sites, Dale *et al.* (2017) computed increases varying from 11% to 113% in sewer flooding volumes, which are higher than the increases in rainfall (7% to 50%), as well as increases in the number, frequency, and volume of combined sewer overflows.













