Comparing transient and steady-state methods for the thermal conductivity characterization of a borehole heat exchanger field in Bergen, Norway

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17 Key words: petrophysical properties, thermal conductivity, P-wave velocity, density, borehole heat18 exchangers

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20 Abstract

21 A comparative study was carried out aiming at characterizing the thermal conductivity of rocks 22 sampled in a borehole heat exchanger field. Twenty-three samples were analysed with four 23 different methods based on both steady-state and transient approaches: transient divided bar 24 (TDB), transient line source (TLS), optical scanning (OS) and guarded hot plate (GHP). Moreover, 25 mineral composition (from XRD analyses), P-wave velocity and density were investigated to assess 26 the petro-physical heterogeneity and to investigate possible causes of divergence between the 27 methods. The results of thermal conductivity showed that TLS systematically underestimates 28 thermal conductivity on rock samples by 10-30% compared to the other devices. The differences 29 between TDB and OS, and GHP and OS are smaller (about 6% and 10%, respectively). The average 30 deviation between TDB and GHP, for which the specimen preparation and the measurement 31 procedure was similar, is about 10%. In general, the differences are ascribable to sample 32 preparation, heterogeneity and anisotropy of the rocks, and contact thermal resistance, rather than 33 the intrinsic accuracy of the device. In case of good-quality and homogeneous samples, uncertainty 34 can be as low as 5%, but due to the above mentioned factors usually uncertainty is as large as 10%. 35 Opposite relationships between thermal conductivity and P-wave velocity were observed when

36 analysing parallel and perpendicular to the main rock foliation. Perpendicular conductivity values 37 grow with increasing perpendicular sonic velocity, while parallel values exhibit an inverse trend. 38 Thermal conductivity also appears to be inversely correlated to density. In quartz-rich samples, high 39 thermal conductivity and low density were observed. In samples with calcite or other likely dense 40 mineral phases, we noticed that lower thermal conductivity corresponds to higher density. The 41 presence of micas is likely to mask major differences between silicate and carbonate samples.

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43 **1. INTRODUCTION**

44 The knowledge of the heat transfer processes in the underground is of utmost importance in several 45 fundamental geoscientific topics not only of general interest (e.g. tectonics, basin analysis, etc.), but 46 also in applied research with special reference to geothermal energy. Regarding geothermal 47 applications, in the last 30 years, ground source heat pumps (GSHP) systems have been developing 48 and widely spreading in the framework of the heating and cooling (H&C) of the residential, office 49 and commercial buildings. Major numbers are in North America, Europe and China (Lund and Boyd 50 2015). In 2014, direct uses of geothermal energy in Norway counted an installed capacity of around 51 1300 MWt, an annual energy consumption of 2300 GWh and a load factor of 0.2 (Lund and Boyd 52 2015). These are mostly related to GSHP, which have increased in number since 2000 (Midtømme 53 et al. 2015), and underground thermal energy storage (UTES; Cabeza 2015). In GSHP and UTES 54 applications, the Earth is exploited for direct use of the heat at accessible depths (< 100 m) by means 55 of either closed-loop borehole heat exchangers (BHE) or open-loop well doublets (e.g. Yang et al. 56 2010), and as a storage medium for sensible heat applications (e.g. Giordano et al. 2016 and 57 references therein). The performances of BHEs vary significantly depending on the rock type, but 58 also on the presence of groundwater flow which may enhance the heat transfer.

59 Precise and accurate thermal conductivity measurements of unconsolidated sediments and rocks 60 are crucial for a reliable definition of the heat transfer mechanism within geologic media. Thermal 61 conductivity and specific heat represent the most important properties to describe the mechanism 62 of heat transfer in any material. Studies of the thermo-physical properties of rocks mainly address 63 thermal conductivity because its range of variation is wider than specific heat. Conductivity is 64 primarily controlled by the mineral composition and the texture of the rock. It is generally an 65 anisotropic property, but for many rocks, the effects of anisotropy are minor compared to variations 66 in mineral composition. The bulk value of thermal conductivity generally increases with increasing

water saturation and density, and decreases with increasing porosity and temperature (Čermák and
Rybach 1982; Clauser and Huenges 1995; Alishaev et al. 2012; Mielke et al. 2017). However,
correlations between thermal and other properties are not always well defined, mainly due to
mineralogical, physical and geochemical factors (Kukkonen and Peltoniemi 1998).

In this paper, we investigate the thermal properties of rocks collected in the area of 200-m-deep BHEs situated south of Bergen, Norway (60.34°N, 5.34°E; **Fig. 1**), which has been covering for the 20 years the H&C needs of a school building (Giordano et al. 2017). In the last years, the heat pumps coefficient of performance has significantly decreased. As a part of the study was therefore necessary to understand the causes of the thermal depletion and to evaluate the sustainable use of the geothermal resource. As a first step of the analysis, here we present results of thermal conductivity measurements of the lithotypes hosting the BHE field.

78 Over the past 40 years, the necessity of accurate data on both shallow and deep geothermal 79 reservoirs stimulated the development of new effective approaches and equipment for the 80 assessment of thermal conductivity (Pasquale et al. 2015; Popov et al. 2016). In this perspective, 81 comparative studies are important to evaluate the reliability and accuracy of the different methods 82 (e.g. Popov et al. 1999; Zhao et al. 2016) as well as comparisons among the several mixing laws 83 proposed in literature (e.g. Fuchs et al. 2013 and references therein). In this study, we used four 84 different measurement techniques and compared the results in order to evaluate the effects of 85 experimental conditions (e.g. sample preparation, measurement procedure, minimization of 86 thermal contact resistance) and to understand the potential error sources related to the various 87 techniques. In addition, mineral composition, compressional wave velocity and density were 88 detected to investigate the influence of the petro-physical heterogeneity on thermal conductivity 89 and possible causes of divergence in the results of the different techniques adopted.

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91 **2. MATERIALS AND METHODS**

92 **2.1 Samples and Geological Setting**

This study focuses on 23 samples collected in close (100-500 m) proximity in a Silurian-aged thrust complex (**Fig. 1**) on the outskirts of Bergen, Norway. The samples were collected from metric layers analogous to those encountered in the geothermal boreholes. The study area belongs to the Minor Bergen Arc tectonic unit (Proterozoic to Silurian), which together with Øygarden Complex (Proterozoic), Ulriken Gneiss Complex (Proterozoic), Anorthosite Complex (Proterozoic) and the

- Major Bergen Arc (Cambrian to Silurian) form the Bergen Arc System (Kolderup and Kolderup, 1940;
 Fossen, 1989; Fossen and Ragnhildstveit, 2008; Fig. 1). The Minor Bergen Arc is a strongly deformed
 continental basement-cover couplet that has been subdivided in the Nordåsvatn Complex,
 Storetveit Group and Gamlehaugen Complex.
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Figure 1 The geological setting of the study area (modified from Fossen and Ragnhildstveit 2008). OY –
 Øygarden Complex, MBA – Minor Bergen Arc, GMH – Gamlehaugen Complex, NDV – Nordåsvatn Complex.
 Coordinate system WGS84/UTM Zone 32N.

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The first is a heterogeneous complex of meta-sedimentary (mafic micaschists with garnet and amphibole in concentrations up to 25%) and meta-igneous (mainly fine-grained and coarse-grained amphibolites and gabbros; serpentinites in smaller amounts) rocks interpreted as a strongly dismembered ophiolite complex (Fossen 1989 and references therein). An unconformity separates this complex to the supposed younger Storeveit Group, which occurs as a thin (less than 100 m) continuous zone within the aforementioned unit and it is made of green metaconglomerates (with clasts of trondhjemites, amphibolites and epidosites) of the Paradis Formation associated with marbles and calcareous garnet-amphibolite micaschists of the Marmorøyen Formation (Fossen 1989 and references therein). Finally, the Gamlehaugen Complex groups strongly deformed orthogneisses (proto- to ultra-mylonitic augen gneisses) and metasediments (quartz-schists with abundant presence of mica and feldspar) interpreted as a detached continental basement-cover sequence tectonically emplaced into the Nordåsvatn Complex (Fossen 1989 and references therein).

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122 Table 1 List of the collected samples. Acronyms: QMS (quartz-mica-schist); AM (amphibolite); AMS 123 (amphibolite-mica-schist); MB (marble); MS (mica-schist); AG (augen gneiss); QS (quartz-schist).

Number	Lithology	Latitude (N)	Longitude (E)	Description	Geological Unit		
1	QMS	60.347722	5.354263	Quartz-Mica-schist			
2	AM	60.345898	5.355659	Amphibolite			
3	AM	60.345360	5.351639	Amphibolite	Nordåsvatn		
4	AM	60.345000	5.349383	Amphibolite	Complex		
5	AMS	60.342757	5.346535	Amphibole-mica-schist			
6	AM	60.343270	5.346782	Amphibolite			
7	MB	60.344262	5.348224	Marble			
8	MB	60.344262	5.348224	Marble	Stereweit Crewe		
9	MB	60.345252	5.347805	Marble	Storeveit Group		
10	MB	60.345617	5.347565	Marble			
11	MC	60.342172	5.347595	Meta-conglomerate			
12	MS	60.342473	5.347279	Mica-schist			
13	MS	60.343494	5.345621	Mica-schist	Nordasvath		
14	MS	60.343512	5.341679	Mica-schist	complex		
15	QMS	60.344187	5.340576	Quartz-mica-schist			
16	AG	60.344180	5.339734	Augen gneiss			
17	MS	60.347821	5.337358	Mica-schist			
18	MS	60.347821	5.337358	Mica-schist			
19	MS	60.347821	5.337358	Mica-schist	Gamienaugen		
20	AG	60.341814	5.337834	Augen gneiss	complex		
21	QS	60.340960	5.335609	Quartz-schist			
22	QS	60.340960	5.335609	Quartz-schist			
23	QS	60.340960	5.335609	Quartz-schist			

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Samples locations were selected according to the surface and depth distribution of the different geological units and to the role of a specific lithotype unit played in the underground thermal and hydraulic regime (**Tab. 1**). Nine samples belong to the Nordåsvatn Complex (the most representative unit), nine to the Gamlehaugen Complex (expected to be the group of rocks with the greatest thermal conductivity) and five specimens were collected in the Storetveit Group (the marble formation expected to play a crucial role in the fluid circulation). Specimens 7-10 of the Storetveit Group are marbles of the Marmorøyen Formation, which crops out in the study area (even if this detail is not shown in **Fig. 1**, as outcrops are very small and difficult to map).

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134 2.2 Thermal Conductivity Measurement Techniques

Four specific devices with different basic principles were used in order to compare the thermal conductivity datasets and discuss the divergence in terms of fundamental theory behind each of them, sample preparation and rock heterogeneity. Measurements were carried out by means of the transient divided bar (TDB) (Pasquale et al. 2015), the transient line source (TLS) (Bristow et al. 139 1994), the optical scanning (OS) (Popov et al. 2016) and the guarded hot plate (GHP) (Filla 1997). These methods are briefly described in the following together with the specific devices used to perform the measurements.

142 2.2.1 Transient Divided Bar (TDB)

143 The device includes a stack of elements consisting of two copper blocks of known thermal capacity, 144 between which the studied rock specimen (cylindrical shape) is interposed. The upper block has the 145 same diameter of the specimen and acts as a heat source; the lower block is larger and acts as a heat sink. When the room temperature T_0 is attained, the lower copper block is plunged into a 146 147 thermostatic bath with temperature 10 to 15 °C lower than T₀. The heat flowing through the sample 148 is equal to the heat adsorbed by the sink and the thermal conductivity can be found by monitoring 149 the temperature changes of the source and the sink (Pasquale et al. 2015). From Fourier's postulate, 150 the amount of heat removed from the upper copper block $C_u \Delta T_u$ in a given period of time $\Delta t =$ 151 $t_2 - t_1$ is:

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$$C_u \cdot \Delta T_u = \frac{\lambda S}{h} \int_{t1}^{t2} (T_u - T_l) dt \tag{1}$$

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where C_u is the thermal capacity (J K⁻¹) of the upper block at constant pressure, ΔT_u (°C) the temperature change of the upper block during a time step dt, λ (W m⁻¹ K⁻¹) the thermal conductivity of the rock specimen, h (m) and S (m²) are the height and the cross-sectional area of the rock sample, respectively, *T* is temperature and the suffixes *u* and *l* refer to upper and lower block. The change in temperature is recorded by means of thermocouples connected to a digital acquisition system. During the measurements, the temperature T_u and the difference $T_u - T_l$ are recorded for a period of at least 300 s. Thermal conductivity determinations are carried out at time steps of 10 s and generally about 10 different time steps are analysed for each sample to obtain an average value. Thermal conductivity can be obtained from:

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$$\lambda = \frac{C_u \cdot \Delta T_u \cdot h}{S \cdot (\overline{T_u} - \overline{T_l}) \cdot \Delta t} \tag{2}$$

where $\overline{T_u} - \overline{T_l}$ is the average difference between the values of T_u and T_l during the time step Δt . 166 167 Two correction factors are also taken into account. The first is related to the heat coming from the 168 specimen and therefore an effective heat capacity must be considered, that is the sum of C_u and 169 one third of the rock heat capacity (measured by means of a water calorimeter). The second 170 depends on the heat transfer from the surrounding environment to the upper block and can be 171 easily estimated by operating under steady state conditions, i.e. 2 hours after the beginning of the 172 test (Pasquale et al. 2015). The tests were carried out in a temperature-controlled environment at 173 20 °C and the thermal conductivity was determined with an accuracy of \pm 5%.

174 2.2.2 Transient Line Source (TLS)

The line source method is based on the generation of heat at a constant rate by a heated wire. If the line source is assumed to be infinitely long and infinitely thin, fully immersed in an infinite and homogeneous medium, and a recording thermistor is placed in the same probe, the temperature response, in a constant room temperature environment, can be described by:

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$$T_2 - T_1 = -\frac{q'}{4\pi\lambda} \cdot \ln(\frac{t_2}{t_2 - t_1})$$
(3)

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182 where q' (W m⁻¹) is the specific rate at which the heat is generated and T_1 and T_2 (°C) are the 183 recorded temperatures at time steps t_1 and t_2 (s) respectively. This approximation of the general 184 heat transfer equation of an infinite line source allows for thermal conductivity measurements 185 with errors within \pm 10% if the early time data (t < 200 s) are ignored and only the straight line in 186 a graph ΔT vs *ln t* is considered. 187 The apparatus adopted in this study is the commercial K2DPro Thermal Property Analyzer 188 (Decagon Devices) that fully complies with the standards ASTM D5334 and IEEE 442 (ASTM, 2014; 189 IEEE, 2003). A proprietary algorithm fits time and temperature data with exponential integral 190 functions using a non-linear least squares method. The single needle sensor RK-1 (length 6 cm, 191 diameter 3.9 mm), specific for hard rock samples, was used. A thin hole (4.5 mm in diameter) was 192 drilled into the sample in order to host the probe and alumina thermal grease (9 W m⁻¹ K⁻¹) was 193 applied to the probe in order to minimize the sensor/rock contact resistance. The measurements 194 were carried out in a controlled room temperature environment and the heating power was about 195 6.5 ± 0.5 W m⁻¹ for all the whole set of samples. A 600 s measuring time was adopted (maximum 196 available) so that 300 s of heating and 300 s of cooling were recorded with a 10 s sampling interval. 197 At least 3 measurements were carried out on each sample and an average was taken. A time 198 interval of 15 min for each test was adopted in order to allow for the thermal re-equilibrium 199 between the sample and the probe. Before each triplet of measurements, a calibration was 200 performed with the verification standard provided and the calculated calibration factor was 201 applied to correct the thermal conductivity values (ASTM 2014).

202 2.2.3 Optical Scanning (OS)

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203 The Optical Scanning is a precision non-contact method designed by Popov et al. (1999). It was 204 developed since the 80s by means of several comparisons with different techniques and calibrated 205 with a number of standard materials (Popov et al. 2016 and references therein). The measurement procedure consists of scanning the sample of a surface with a focused movable heat source (electric 206 207 lamp) in combination with three infrared temperature sensors (Popov et al. 1999). The heat source 208 and sensors move with the same speed (controllable from 2 to 10 mm s⁻¹) relative to the sample 209 and at a constant spacing x_0 among each other (adjustable from 20 to 100 mm). Rock samples are 210 placed on a platform in order to be scanned from below by the trolley containing the optical source 211 and the sensors. A synthetic black enamel is applied on the surface in order to neglect the influence 212 of optically transparent surfaces or different minerals' reflectance. The technical parameters of the 213 apparatus adopted in this study are the ones described in Popov et al. 2016 for the Type 1.

The method is based on the heat conduction equation for a quasi-stationary field in a movable coordinate system. The temperature rise induced in the sample and recorded along the scanning line is related to the thermal conductivity as

$$T_h - T_c = \frac{q}{2\pi \cdot x_0 \cdot \lambda} \tag{4}$$

where T_h and T_c (°C) are temperatures registered by the *hot* (after the heating source) and *cold* (before) sensors, q (W) is the constant heating power and x_o is the distance between the source and the *hot* sensor. Since the temperature rise depends on both the heating power and the value of x_o , the measurements are always performed in comparison to standards of known thermal conductivity (e.g. glasses, fused quartz, gabbro etc.). Standards are placed before and after the sample under exam such that they are scanned with the same q and x_o and within the same room temperature.

224 In this study, an OS apparatus designed by Lippman and Rauen GbR was used. For all the 225 measurements q was set to about 17 W (20% of the maximum power) with a scanning velocity of 5 226 mm s⁻¹ and x_0 = 50 mm. The standards adopted were homogeneous gabbro samples (provided by 227 the company) with thermal conductivity of 2.37 W m⁻¹ K⁻¹ and the measurements were performed 228 in a controlled temperature environment at around 20 °C. Three runs were carried out per each 229 sample's scanning line and an average was taken. The accuracy certified by the company is \pm 3%. In 230 case of a sample with a clear two-dimensional anisotropy (e.g. schists), the principal values of the 231 conductivity were determined from two non-collinear scanning lines performed on one face not 232 parallel to the foliation as suggested by Popov et al. 2016. This was done for all the samples in order 233 to check a possible thermal anisotropy even where a clear textural anisotropy was not present (e.g. marbles). An anisotropy factor $K_T = \lambda_{par}/\lambda_{per}$ was then calculated and the sample was considered 234 235 thermally homogeneous only in case of $K_T < 1.1$.

236 2.2.4 Guarded Hot Plate (GHP)

The guarded hot plate or heat flow meter is a stationary technique based on standards ASTM C177 (2013) and ASTM C518 (2017) that also allows measuring thermal conductivity of semiconductors at high temperatures (Filla 1997). A steady state one-dimensional heat flow is applied through a specimen by two parallel plates guarded at different constant temperatures, while the whole stack is insulated to avoid side heat losses. Temperature and heat flow are continuously registered on both plates throughout the test by means of thermocouples and transducers, while an axial load is provided to minimize the thermal contact resistance.

The apparatus adopted in this study is the commercial device Fox50 (LaserComp Inc., 2001-2004). It can measure the thermal conductivity of cylindrical shaped samples, with diameters of $25 \div 61 \text{ mm}$ and maximum thickness of 25 mm, in the range $-5 \div 185 \text{ °C}$ (Raymond et al. 2017). Proprietary heat flow transducers together with high accuracy ($\pm 0.01 \text{ °C}$) type E thermocouples are bonded and sealed to the surfaces of both plates. Guarded temperature on the heat source (upper

plate) and sink (lower) are provided by Peltier elements and a downward heat flow is generated through the rock specimen. From the Fourier heat conduction law, the temperature gradient within the sample is given by the difference between the cold and hot plate temperatures divided by the sample thickness. However, for conductivity values > 0.2-0.3 W m⁻¹ K⁻¹ (basically all the rocks and minerals), the temperatures on the sample surfaces are different from the plates because the thermal contact resistance is not significantly smaller than the sample thermal resistance. Therefore, the temperature difference between the upper and lower plates is given by:

$$\Delta T_{plates} = \delta T_u + \Delta T_{sample} + \delta T_l \tag{5}$$

where δT_u and δT_l (°C) are the temperature differences between upper plate and sample surface, and between sample surface and lower plate, respectively. The contact thermal resistance *R* (m² K W⁻¹) is:

$$R = \frac{\delta T}{q^{\prime\prime}} \tag{6}$$

where q'' (W m⁻²) is the heat flow recorded through each plate. *R* depends on the type of material, the interface pressure applied and the roughness of the sample. The electric signal *Q* (V) recorded by the heat flow transducers is proportional to the heat flow q'' through a calibration factor S_{cal} [W m⁻² V⁻¹] that is determined using standard materials with known thermal conductivity. Q, which is recorded during the experiment, is related to the thermal conductivity as:

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$$Q = \frac{q''}{S_{cal}} = \frac{\Delta T_{plates}}{(\frac{\Delta x}{\lambda} + 2R) \cdot S_{cal}}$$
(7)

where Δx is the thickness of the sample. The absolute accuracy of the device is ± 3% in the conductivity range of 0.1 ÷ 10 W m⁻¹ K⁻¹. Silicon or glycerine paste or rubber pads of known thermal resistance can be employed to smooth the problem of contact resistance. The measurements were carried out at 20 °C with a ΔT = 10 °C between the upper (25 °C) and lower (15 °C) plate and the average of 10 sets of measurements was taken as final value.

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273 **2.3 Sample preparation**

The whole sample collection was divided into two main datasets: *dataset1* and *dataset2*. *Dataset1* included all the 23 rock samples while *dataset2* represents a subset of *dataset1*, namely nine representative samples. Regarding thermal conductivity (see Section 2.2), most of samples of *dataset1* were analysed with two methods (OS and TLS), whereas *dataset2* was additionally tested
with other two techniques (TDB and GHP).

279 Thermal conductivity in all 23 samples was studied in the two main directions, i.e. parallel (λ_{par}) and 280 perpendicular (λ_{per}) to the main foliation. Five of the samples showed no clear foliation and K_T < 1.1; 281 these are classified as thermally homogeneous and an average effective value was reported. This 282 procedure was adopted in both OS and TLS. For the measurements with OS, the samples were cut 283 according to the foliation in order to obtain two perpendicular polished surfaces upon which the 284 coating layer was applied. For the TLS analyses, previously cut and polished sample surfaces were 285 drilled with a 4 mm rotary hammer bit to host the RK-1 single needle sensor. Two perpendicular 286 drillings were performed in eleven out of twenty-three samples. Parallel and perpendicular 287 conductivity values were calculated with the same methodology adopted for OS (Popov et al. 2016) 288 and the anisotropy factor calculated.

Owing to issues related to obtain samples with the size and characteristics required by both TDB and GHP, cylindrical rock specimens were prepared from samples of *dataset2* by means of a diamond-head corer and, through the use of a fine abrasive, both surfaces were rubbed down to get flat (within 0.1 mm), parallel and smooth surfaces (within 0.03 mm). Finally, the obtained core specimens had cylindrical shape, 25 ± 0.1 mm in diameter and 20 ± 0.5 mm in thickness. To improve the contact between rock specimen and the blocks (TDB) and plates (GHP), a film of silicone paste of about 0.1 mm was smeared on both samples surfaces.

Samples for OS and TLS are relatively easy to prepare, requiring a cut and painted surface (OS) or a
 drilled hole (TLS). In contrast TDB and GHP require core drilling followed by precision grinding,
 making their preparation critical to the success of the analysis.

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2.4 Compressional wave velocity and density

The compressional wave velocity test is a non-destructive method based on the principle that pulse velocity of ultrasound waves, propagating through a solid material, depends on the density and the elastic properties of that material (Al-Khafaji and Purnell 2016). The commercial device PUNDIT Lab (by Proceq Switzerland) was used in this study. The apparatus is coupled with a pair of transducers that transmit and receive waves with a central frequency of 54 kHz, working on ASTM D2845 (2008) procedure. The tests were performed on the samples as analysed by the OS technique, as flat surfaces are necessary to make good contact between the specimen and the transducers. On each 308 sample, 25-30 measurements were carried out to get accurate results and the average between309 these acquisitions was calculated.

Density was obtained by weighing the samples after water saturation under vacuum and measuring their volume through immersion in water. Samples were then oven-dried at 70 °C for one day in order to obtain the dry mass and to infer porosity. All the samples denoted negligible porosity (< 3%) and thus water content was assumed to be of negligible importance on the measurements of petrophysical properties.

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316 **2.5 Mineralogical composition (XRD)**

The mineralogical composition of the samples of *dataset2* was investigated by means of powder X-Ray diffraction (XRD) on crystalline samples. The measurements were performed with the Philips X'Pert-Pro device (Malvern Panalytical ©2018) consisting of a Bragg-Brentano geometry and equipped with a stationary, centrally placed, X-ray tube. The tube was operated using a CuKα radiation at 40 mA, 40 kV and 1.5417 Å. Spectra were recorded in the 2θ range 5-70° with a 15 s counting time and 0.008° 2θ step.

A qualitative analysis was firstly performed, distinguishing between two defined phase structures of calcite and quartz present in each sample. The peak shape was modelled with a Pseudo-Voigt function of which, the FWHM (Full Width of Half Maximum), was refined as a function of 20 taking into account both Gaussian and Lorentzian broadening. The refinement was carried out in particular in the space group *R-3c* (calcite), *P3₂21* (quartz).

328 In order to get an alternative estimate of the accuracy of the refined structural data, a comparison 329 among the set of structural parameters obtained using different refinement strategies on the same 330 diffraction data was carried out. These comparisons show that realistic estimates of the error bars 331 are \pm 0.001 Å for the cell parameters. The error in the estimation of the phase content is \pm 1% wt.

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333 **3. RESULTS**

334 3.1 Dataset1

The bulk thermal conductivity values of *dataset1* were measured by means of OS in the University of Bergen's laboratory (**Tab. 2**) and with TLS in the University of Torino's laboratory (**Tab. 3**). The samples coded B (e.g. 1_B) indicate that the specific rock specimens were measured in Bergen only; those coded T (e.g. 1_T) indicate that they were analysed in Torino only; when the specimen is called BT (e.g. 4_BT) means that the same rock specimen was measured by both techniques. This should warn the reader that differences between 1_B and 1_T can even be related to the sample heterogeneity and not only to the adopted techniques. Some samples (3_T, 9_BT, 13_BT, 17_BT, 18_BT, 19_BT) broke during preparation and it was not possible to analyse neither thermal conductivity with TLS or OS, nor sonic velocity.

344 The standard deviations reported for OS are related to the values measured along the scanning lines 345 and thus due to the intrinsic heterogeneity of the rock samples. These cannot be compared to the 346 standard deviations of the TLS, which relate to the repeatability and precision of the KD2 Pro. The standard deviations of the effective thermal conductivity (three runs along each scanning line) 347 348 measured with the OS were \pm 0.7% on average, with a maximum of \pm 1.6% and a minimum of \pm 349 0.1%. OS and TLS bulk thermal conductivity was calculated as a geometric average between parallel 350 and perpendicular values. K_T refers to the anisotropy factor given by the parallel to perpendicular 351 values ratio.

352 Mica-schists and amphibolites of the Nordåsvatn Complex show significant thermal anisotropy, with 353 parallel values higher than perpendicular ones by 50% in OS and 46% in TLS data. The marbles of 354 the Storeveit Group present an isotropic nature as expected, with anisotropy factor always smaller 355 than 1.1. The Gamlehaugen Complex shows clear thermal anisotropy in the quartz-schists and 356 quartz-mica-schists ($K_T > 1.2$), in contrast to the general isotropic texture of both augen and 357 mylonitic gneisses ($K_T < 1.1$). In absolute terms, the whole dataset is rather homogeneous, a bit 358 surprising given the presence of high quartz-content lithotypes. Low values of quartz-schists 21, 22 359 and 23 can be explained by abundant presence of muscovite, which presents strong anisotropy in 360 thermal conductivity (0.6 W m⁻¹ K⁻¹ perpendicular, 3.9 W m⁻¹ K⁻¹ parallel; Clauser and Huenges 1995). The OS average thermal conductivity is 2.75 W m⁻¹ K⁻¹ with a standard deviation of 0.29; TLS 361 data records an average conductivity of 2.18 W m⁻¹ K⁻¹ with a minimum of 1.37 and maximum of 362 363 3.16 (standard deviation 0.51).

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Table 2 Thermal conductivity λ (W m⁻¹ K⁻¹) and anisotropy factor K_T of *dataset1* measured by means of OS.

Sample ID	Lithology	$\boldsymbol{\lambda}_{bulk}$	λ_{perp}	St. dev.	λ_{par}	St. dev.	Κτ	Comments
1_B	QMS	3.088	2.159	0.675	4.416	1.168	2.05	
2_B	AM	2.681	2.322	0.484	3.095	0.273	1.33	
3_B	AM	2.606	2.137	0.724	3.178	0.239	1.49	
4_BT	AM	3.128	2.652	0.508	3.689	0.351	1.39	
5_BT	AMS	2.682	2.373	0.436	3.031	0.239	1.28	
6_BT	AM	2.643	2.165	0.415	3.226	0.335	1.49	

7_BT	MB	2.934	/	/	2.934	0.174	/	isotropic
8_BT	MB	2.854	/	/	2.854	0.128	/	isotropic
9_BT	MB	2.778	2.671	0.415	2.889	0.125	1.08	
10_BT	MB	2.995	2.913	0.621	3.079	0.194	1.06	
11_BT	MC	2.328	1.888	0.867	2.870	0.552	1.52	
12_BT	MS	2.826	2.461	0.470	3.246	0.199	1.32	
13_BT	MS	2.332	1.620	0.976	3.356	1.447	2.07	
14_B	MS	2.423	2.228	0.391	2.636	0.290	1.18	
15_B	QMS	3.333	3.233	0.540	3.437	0.169	1.06	
16_B	AG	2.955	/	/	2.955	0.189	/	isotropic
17_BT	MS	2.275	2.069	0.269	2.500	0.379	1.21	
18_BT	MS	2.906	/	/	2.906	0.862	/	isotropic
20_B	AG	2.946	2.924	0.645	2.969	0.299	1.02	
21_B	QS	2.677	2.286	1.288	3.134	0.563	1.37	
22_BT	QS	2.842	2.300	0.884	3.511	0.308	1.53	
23_B	QS	2.301	2.103	0.425	2.518	0.280	1.20	

366

367 Table 3 Thermal conductivity λ (W m⁻¹ K⁻¹) values and anisotropy factor K_T obtained with TLS.

Sample ID	Lithology	$\boldsymbol{\lambda}_{bulk}$	λ_{perp}	St. dev.	λ_{par}	St. dev.	Κτ	Comments
1_T	QMS	1.367	1.006	0.060	1.859	0.074	1.85	
2_T	AM	2.422	2.745	0.072	2.137	0.104	0.78	
4_BT *	AM	2.743	/	/	2.743	0.097	/	only perp. drilling possible
5_BT *	AMS	2.266	/	/	2.266	0.045	/	only perp. drilling possible
6_BT	AM	1.911	1.613	0.035	2.263	0.107	1.40	
7_BT	MB	2.518	/	/	2.518	0.127	/	isotropic
8_BT	MB	2.448	/	/	2.448	0.175	/	isotropic
10_BT **	MB	2.317	2.317	0.137	/	/	/	only par. drilling possible
11_BT	MC	1.797	1.291	0.096	2.501	0.165	1.94	
12_BT	MS	2.042	1.538	0.130	2.712	0.055	1.76	
14_T	MS	1.773	1.454	0.084	2.162	0.067	1.49	
15_T	QMS	3.023	2.513	0.184	3.636	0.095	1.45	
16_T	AG	3.155	/	/	3.156	0.456	/	isotropic
20_T	AG	2.066	1.856	0.073	2.299	0.146	1.24	
21_T *	QS	1.426	/	/	1.426	0.034	/	only perp. drilling possible
22_BT	QS	2.175	1.779	0.088	2.659	0.152	1.50	
23_T	QS	1.621	1.699	0.038	1.547	0.042	0.91	

368

* parallel value; ** perpendicular value

370 Comparing the results of the different techniques, we observe a general underestimation of TLS 371 with respect to OS, both for the bulk conductivity and λ_{par} and λ_{per} (**Fig. 2**). TLS underestimates 372 thermal conductivity with respect to OS, with a maximum difference of 56% (sample 1) in the bulk 373 value. Generally, the higher biases occur in the parallel analyses (58%) than in those perpendicular

³⁶⁹

(54%). By taking OS as a reference, 68% of the TLS results underestimate the thermal conductivity of the rock samples analysed by a minimum of 10% to a maximum of 30%; for the 24%, the underestimate is more than 30% and only few outliers (8%) overestimate it. A significant difference among bulk, parallel and perpendicular values is not clearly evident in **Figure 2**. If only the BT samples are taken into consideration, the underestimate of TLS with respect to OS is on average 20.1%, 20.3% and 27.5% for bulk, parallel and perpendicular values respectively, with a minimum of 12.3% (4_BT bulk) and maximum of 37.5% (12_BT perpendicular).

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386 velocity is observed in *dataset1* (Fig. 3). Mielke et al. (2017) also showed that low-porosity rocks, as 387 those investigated in this paper, present weak correlations. It is nevertheless interesting to note the 388 contrast between properties when considered parallel and perpendicular to the main rock foliation. 389 Perpendicular conductivity values grow with increasing perpendicular sonic velocity, while parallel 390 values exhibit an inverse trend. Therefore, it is shown that only along the direction perpendicular to 391 foliation heat and P-waves propagation in the medium follow similar patterns. Conversely, when 392 analysing the sample along the main foliation, propagation paths might not be necessarily the same. 393 Unfortunately, due to the heterogeneity of the collection and the limited number of samples, this 394 cannot be related to specific rock features. It would be necessary to investigate further, but this 395 goes beyond the purpose of the present paper. When comparing the anisotropy factors (Tab. 4), 396the data are quite similar, with eight out of twelve samples showing a K_S/K_T ratio within the 0.85 \div 3971.15 range (i.e. 15% bias) and the best results occurring in the BT samples (same specimen analysed398by both techniques).

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400

401 Figure 3 Relationship between thermal conductivity (OS technique) and P-wave velocity.

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403 Table 4 P-wave velocity Vp (m s⁻¹), sonic (K_s) and thermal anisotropy (K₇ from OS) of *dataset1*. N is the

404 number of measurements.

Sample ID	Lithology	Vp _{bulk}	Vp _{perp}	St. dev.	N	Vp _{par}	St. dev.	N	Ks	Κτ	K_s / K_T
1_T / 1_B	MS	3448	2691	45	27	4418	353	28	1.64	2.05	0.80
2_T / 2_B	AM	4769	3953	154	27	5753	165	27	1.46	1.33	1.09
4_BT	AM	3727	2978	114	27	4664	136	27	1.57	1.39	1.13
5_BT	AMS	4805	4038	124	28	5719	171	27	1.42	1.28	1.11
6_BT	AM	4836	3284	92	28	7123	296	27	2.17	1.49	1.46
10_BT	MB	5877	5774	274	26	5982	107	27	1.04	1.06	0.98
11_BT	MC	3082	2435	27	26	3901	118	26	1.60	1.52	1.05
12_BT	MS	3295	2963	73	26	3664	58	26	1.24	1.32	0.94
14_T / 14 B	MS	4804	4209	127	26	5483	226	27	1.30	1.18	1.10
21_T / 21_B	QS	2533	1187	57	27	5407	209	27	4.55	1.37	3.32
22_BT	QS	3099	2343	37	27	4100	123	27	1.75	1.53	1.15
23_T / 23_B	QS	4301	3577	128	27	5172	81	27	1.45	1.20	1.21

405

406 3.2 Dataset2

407 *Dataset2* comprised nine of the *dataset1* samples further studied using GHP and TLS. Of the nine 408 specimens, 3 are perpendicular and one is parallel to the main foliation; the rest are from isotropic 409 samples. Cylindrical shape specimens were obtained from the samples analysed with the OS and 410 TLS techniques (samples BT) or by the TLS only (samples T).

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Table 5 Comparison of thermal conductivity results of *dataset2* measured with the four techniques (see text); the accuracy of each technique is in brackets. Last column reports average values from literature (aČermák and Rybach 1982; ^bKukkonen and Peltoniemi 1998; ^cDi Sipio et al. 2014; ^dEppelbaum et al. 2014; ^eRamstadt et al. 2015; ^fMielke et al. 2017).

Sample ID	Lithology	Orientation	TDB (±5%)	OS (±5%)	GHP (±3%)	TLS (±10%)	Literature
1_T	QMS	Parallel	4.23	4.42*	3.98	1.86	3.8 ^d
7_BT	MB	Isotropic	2.56	2.93	2.76	2.52	2.7 ÷ 2.8 ^{a,f}
10_BT	MB	Isotropic	2.90	3.00	2.62	2.32**	2.7 ÷ 2.8 ^{a,f}
11_BT	MC	Isotropic	2.29	2.33	2.91	1.80	2.6 ^e
12_BT	MS	Perpendicular	2.89	2.46	2.32	1.54	2.5 ÷ 2.8 ^{d,e}
14_T	MS	Parallel	2.44	2.64*	2.38	2.16	2.5 ÷ 2.8 ^{d,e}
15_T	QMS	Parallel	3.36	3.44*	3.96	3.64	3.8 ^d
16_T	AG	Isotropic	2.91	2.96*	2.88	3.16	2.4 ÷ 3.0 ^{a,b,c,e}
20_T	AG	Isotropic	2.83	2.95*	2.90	2.07	2.4 ÷ 3.0 ^{a,b,c,e}

- 416 * the B specimen was measured; ** perpendicular value
- 417

418 The results are consistent with average values in literature (Tab. 5). The analysis of dataset2 419 confirms that TLS underestimates thermal conductivity with respect to TDB (-26% on average) and 420 GHP (-24% on average), with a maximum of more than 50% in sample 1 T, which is highly 421 anisotropic. It is worth stressing that in four samples (7_BT, 14_T, 15_T and 16_T) the bias with GHP 422 is within the 10% accuracy expected for the TLS device. In particular, in the very homogeneous and 423 isotropic augen gneiss 16_T, TLS registers a thermal conductivity higher than TDB, OS and GHP by 9%, 7% and 10% respectively. A conductivity greater than TDB (8%) and OS (6%) is also given by TLS 424 425 in the same lithology of 15_T (Fig. 4).

On the contrary, a good general agreement between OS, TDB and GHP is observed (Fig. 5): a 7-8%
divergence from the overall mean is registered compared to an 18% average bias recorded by TLS.
In the five samples in which the TLS bias is greater than the accuracy of the devices used for the
analyses (error bars do not overlap), that value was discarded and a new average calculated (Tab.
6). The new values were then adopted to compare the different techniques. TDB and OS show an
average divergence of 6% with maximum of 16.8% and 13.7% in 12_BT and 7_BT respectively.

Moreover, TDB and OS are within 5% in six out of nine samples. Comparisons between GHP and OS, and GHP and TDB have worse accordance, with average 9.8% and 10.4% respectively, and only in two and three cases better than 5%. The greatest biases are observed in BT samples, wherein the same specimen was analysed by means of the three techniques: in particular, the largest deviations (24.7% and 22.3%) were obtained between TDB and GHP, which used the same core sample. The discrepancy could be attributed to the difference between static and transient analyses: in three out of nine samples (11_BT, 12_BT and 15_T), TDB and GHP error bars do not overlap.

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441 Figure 4 Thermal conductivity results of *dataset2*.

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445 Table 6 Comparison of thermal conductivity results for *dataset2*.

Sample		Mean all	Differences to mean				Recalculated	Differences between pairs		
ID	Lithology	methods (W m ⁻¹ K ⁻¹)	TDB [%]	OS [%]	GHP [%]	TLS [%]	mean w/o TLS (W m ⁻¹ K ⁻¹)	TDB-GHP [%]	TDB-OS [%]	OS-GHP [%]
1_T	QMS	3.62	17.0	21.7	9.8	-48.6	4.20	6.2	4.2	10.2
7_BT	MB	2.69	-4.9	8.8	2.5	-6.4	2.69*	7.4	13.7	6.3
10_BT	MB	2.71	7.1	10.5	-3.3	-14.3	2.84	9.9	3.2	13.1
11_BT	MC	2.33	-1.8	-0.1	24.8	-22.8	2.51	24.7	1.6	23.1
12_BT	MS	2.30	25.5	6.8	0.8	-33.1	2.56	22.3	16.8	5.5
14_T	MS	2.41	1.5	9.8	-1.0	-10.2	2.41*	2.5	8.3	10.8
15_T	QMS	3.60	-6.6	-4.4	9.9	1.2	3.60*	16.5	2.2	14.3
16_T	AG	2.98	-2.2	-0.6	-3.4	6.2	2.98*	1.1	1.7	2.8
20_T	AG	2.69	5.3	9.8	7.9	-23.0	2.89	2.4	4.2	1.7
Mean Diff. (Abs.)			8.0%	8.1%	7.0%	18.4%		10.4%	6.2%	9.8%

446 * value of the first column





449 Figure 5 Comparison of conductivity measurement techniques for *dataset2*.

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Position (°2theta)



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454 XRD analyses show the bulk mineralogy of the samples, entirely quartz and clay/mica except two 455 with carbonate (**Fig. 6**). These analyses were performed because many of the samples have a 456 microcrystalline structure, so the recognition of the mineral phases with optical techniques is often 457 difficult and in many cases not useful from a quantitative point of view. Quartz and micas are the 458 main mineral phases of the mica-schists and gneisses, while calcite is predominant in the marbles 459 (samples 10 BT and 7 BT; Fig. 6). As expected, Table 6 shows that higher quartz content 460 corresponds to higher thermal conductivity. For example, the quartz-mica schist samples 1 T and 15 T have mean conductivities of 4.2 and 3.6 W m⁻¹ K⁻¹ respectively. These samples also have low 461 462 density, consistent with the low relative density of quartz and mica (Fig. 7). The high content of 463 micas (likely muscovite and biotite) might mask significant differences between silicate and 464 carbonate samples, given that calcite thermal conductivity (3.2÷3.6 W m⁻¹ K⁻¹; Clauser and Huenges 465 1995; Andolfsson 2013) is higher than micas (2.0÷2.3 W m⁻¹ K⁻¹; Clauser and Huenges 1995). In 466 samples with calcite or likely other dense mineral phases (e.g. olivine), not clearly detected in the 467 XRD study, we noticed lower thermal conductivity which is accompanied by higher density values 468 (e.g. 10_BT and 11_BT, Fig. 7). The thermal conductivity and density of 10_BT is consistent with 469 isotropic marble. 11_BT is characterized by the highest density and lowest conductivity. Its density 470 and thermal conductivity are consistent with the facies description of clasts of trondjemite, 471 epidosite and amphibolite (Fossen 1989). Density ranges for these rocks are: trondjemite 2.7, 472 epidosite 2.8÷3.0 and amphibolite 2.9÷3.1 g cm⁻³; thermal conductivity: trondjemite 1.8÷2.6, epidosite 2.4÷4.5 and amphibolite 2.2÷2.9 W m⁻¹ K⁻¹ (Popov et al. 1999; Miao et al. 2014, Merriman 473 474 et al. 2013). The XRD shows relatively low peaks for quartz and mica, and, at slightly lower 2-theta 475 than quartz, some additional low peaks not present in the other samples (Fig. 6).







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479 **4. DISCUSSION**

Among the techniques used for the thermal conductivity analyses of our samples, it is reported in the literature that TLS is a valid method to measure highly porous, soft materials (e.g. Di Sipio et al. 2014), but with hard materials the results may often be affected by large biases. In our analyses, particular care has been adopted in sample preparation. Each sample was previously prepared with 484 two parallel surfaces in order to have a 90° \pm 5° angle with the drill bit; a drill press was used to drill 485 the sample to assure a precise perpendicular drilling; water was constantly poured in to safeguard 486 the widia-diamond bit and facilitate the exit of drill cutting; the hole was cleaned up by removing 487 dust and cuttings with compressed air after the end of drilling; the probe and the inner hole were 488 carefully coated with thermal grease; finally, the probe was inserted slowly to allow trapped air to 489 escape.

490 Despite the careful sample preparation, the TLS systematically showed low values with respect to 491 the other three techniques. TLS gave lower conductivity than OS (more than 90% of samples in 492 dataset1) and GHP and TDB (more than 65% in dataset2). Anisotropy seems to have some influence, 493 the average bias being 14% in isotropic against 27% in anisotropic rocks, even if isotropic samples 494 10_BT and 20_T showed biases of 23 and 30%. By increasing the TLS values by 20%, more than half 495 of the samples would be within 10% of the OS value (*dataset1*). However, in *dataset2* this would 496 cause severe overestimation for samples 7_BT, 15_T and 16_T. In summary, we infer the "high" 497 values are correct, and attribute the "low" values to problems with deficiencies in the sample 498 preparation for TLS measurements. Clearly, TLS strongly depends on sample preparation and 499 heterogeneity and anisotropy of the rock. Isotropic, homogeneous and competent (weathered 500 samples could break during preparation) lithotypes can limit the bias to < 10% if care is taken in the 501 measurement procedure above explained. For anisotropic and highly heterogeneous rocks, and 502 weak or weathered samples, the other methods are preferable to avoid significant underestimation 503 of the actual thermal conductivity.

504 Even though the GHP and the TDB methods are similar in sample preparation and precautions to 505 limit the contact resistance were adopted (in two cases, 11_BT and 12_BT, the specimens were even 506 the same), the results were similar in samples 7_BT, 16_T and 20_T, but significantly different in 507 samples 10_BT, 11_BT, 12_BT and 15_T. A systematic explanation for the variation in results is not 508 evident. Only minor influence on the results was found for the heterogeneity and anisotropy of the 509 samples. It is nevertheless evident that the core preparation is crucial to get reliable results; but it 510 also seems that other factors are important, such as the quality of the measuring stack (contact 511 between plates/blocks and sample, accuracy of temperature sensors/heat flow meters) and the 512 employment of standards (TDB) rather than previously obtained calibration curves (GHP).

513 Smaller biases were obtained between GHP and OS, and TDB and OS, with the TDB-OS differences 514 being consistently smaller than the others. Differences with OS are also related to the different 515 measuring procedures. The OS scans the entire sample while the other techniques give instead more

516 localized information. Thus, the OS can thoroughly characterize a rock sample with information 517 about heterogeneity and thermal anisotropy in an easier way in comparison to the other three 518 techniques. Another advantage of the OS is the simple sample preparation. Last but not least, the 519 problem of thermal contact resistance is bypassed. On the other hand, samples for OS analysis must 520 be big enough to neglect boundary effects (Popov et al. 2016), even if the energy input (heat and 521 speed) can be varied accordingly.

522 Finally, it is important to stress that OS is the fastest way to analyse thermal conductivity, not only 523 in terms of single measurement (1-2 min against 5-8 min with TDB and 60-70 min with GHP), but 524 also for the simplest sample preparation. The OS seems to be the best with friable and weathered 525 samples since there is no contact and only a flat surface is required, but micro-cracks are still a 526 problem. Nevertheless, if the sample is competent enough, drilling several cores with different 527 orientations allows evaluating thermal anisotropy also with GHP or TDB.

528 Bulk thermal conductivity and sonic velocity values did not show a clear direct correlation in the 529 collections under study, herein attributed to the low porosity of the samples (Mielke et al. 2017). 530 This could be related to the opposite trends observed in the parallel and perpendicular analyses, 531 that show an inverse and a direct relationship respectively. In the authors' knowledge, this has not 532 been reported in literature so far and deserves to be investigated further.

A clearly defined correlation between thermal conductivity and density was not recorded. However, in quartz-rich samples we observed high thermal conductivity and low density as also observed by Pasquale et al. (2015). On the contrary, in calcite-rich samples we noticed lower thermal conductivity not related to a well-defined density value. The presence of micas is likely to mask major differences between silicate and carbonate samples.

538

539 **5. CONCLUSIONS**

A comparison among four different laboratory methodologies to analyse the rock thermal conductivity was carried out. Steady state (GHP) and transient (TLS, TDB, OS) methods were adopted and results compared to highlight qualities and flaws of the different techniques. Moreover, compressional wave velocities, density and mineral composition were investigated. The results of this study are preparatory for future activities that will encompass the set-up of numerical modelling of the underground thermal structure of the BHE field in Bergen.

546 Among the four methods for measuring thermal conductivity, even if steady state techniques are 547 expected to be more accurate, our results indicate that TDB and OS give more congruent results.

TLS, instead, systematically underestimates thermal conductivity in the investigated samples, confirming that it is hardly applicable to hard rocks. Due to heterogeneity, anisotropy and mechanical properties of the rocks, the use of at least two different techniques seems recommendable in investigations on rock thermal properties. An uncertainty of 5 to 10% is the best that one can expect even in good-quality and homogeneous samples. Geothermal modelling often relies on values of thermal conductivity without well-defined uncertainty boundaries. The inclusion of this uncertainty may increase the reliability of estimations.

555

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563 Author Contributions

N.G. collected the samples and took care of the samples preparation for OS and TLS, measurements and data processing of thermal conductivity with TLS; he also performed P-wave analyses and processed the data. J.C. prepared the samples, carried out analyses and processed the data of TDB and GHP; she also took care of XRD processing and density analyses. N.G. and J.C. wrote together the original draft paper, finalizing figures and tables. W.H.W. and G.M. conceptualized the original idea of the study, and together with M.V. advised on the rigorous experimental analyses and revised the manuscript.

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