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Kev Points:

- A thorough assessment of the seepage face boundary condition for subsurface and integrated hydrological models is performed
- A generalized algorithm is presented that handles heterogeneity in a simple way and establishes a link with atmospheric boundary conditions
- In the context of groundwater/ surface water modeling, seepage face and outlet boundaries can coexist and both play important roles

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Examination of the seepage face boundary condition in subsurface and coupled surface/subsurface hydrological models

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Abstract A seepage face is a nonlinear dynamic boundary that strongly affects pressure head 6 distributions, water table fluctuations, and flow patterns. Its handling in hydrological models, especially under complex conditions such as heterogeneity and coupled surface/subsurface flow, has not been 8 extensively studied. In this paper, we compare the treatment of the seepage face as a static (Dirichlet) 9 versus dynamic boundary condition, we assess its resolution under conditions of layered heterogeneity, we 10 examine its interaction with a catchment outlet boundary, and we investigate the effects of surface/ 11 subsurface exchanges on seepage faces forming at the land surface. The analyses are carried out with an 12 integrated catchment hydrological model. Numerical simulations are performed for a synthetic rectangular 13 sloping aguifer and for an experimental hillslope from the Landscape Evolution Observatory. The results 14 show that the static boundary condition is not always an adequate stand-in for a dynamic seepage face 15 boundary condition, especially under conditions of high rainfall, steep slope, or heterogeneity; that 16 hillslopes with layered heterogeneity give rise to multiple seepage faces that can be highly dynamic; that 17 seepage face and outlet boundaries can coexist in an integrated hydrological model and both play an 18 important role; and that seepage faces at the land surface are not always controlled by subsurface flow. The 19 paper also presents a generalized algorithm for resolving seepage face outflow that handles heterogeneity 20 in a simple way, is applicable to unstructured grids, and is shown experimentally to be equivalent to the 21 treatment of atmospheric boundary conditions in subsurface flow models.

1. Introduction

A seepage face is the boundary between a saturated flow field and the atmosphere, or between a saturated 26 flow field and a stream channel, where water is free to exit from the subsurface. The study of seepage faces 27 is a central component of many geotechnical, hydrogeological, and geomorphological studies. In geotech-28 nical engineering, seepage analysis is of interest for the design of hydraulic structures such as earth dams or 29 river embankments [Hirschfeld and Poulos, 1973; Milligan, 2003] and in slope stability analysis [Rulon and 30 Freeze, 1985; Crosta and Prisco, 1999; Lee et al., 2008; Orlandini et al., 2015]. In hydrogeology, seepage faces 31 play a central role in the interactions between surface water and groundwater [Sophocleous, 2002], enhanc-32 ing, for example, the flow to a stream channel within the time frame of a storm hydrograph [Beven, 1989], 33 and in contamination migration and attenuation, controlling flow paths in the riparian zone [Hill, 1990] and 34 35 the spreading of solutes in tailing impoundments [Heikkinen et al., 2009; Ferguson et al., 2009].

Early analyses of groundwater flow in the presence of a seepage face involved flow net techniques [Casa-36 grande, 1937]. This approach is valid if the soil is homogeneous and saturated, the boundaries well defined, 37 and the system at steady state, conditions that are rarely encountered in reality. Numerical models provide 38 a more flexible and accurate approach to solving groundwater flow and seepage problems. Early subsurface 39 hydrological models were limited to solving the saturated flow equation or various simplifications of this 40 equation (e.g., Boussinesq models) based on, for example, hydraulic groundwater theory [Troch et al., 2013]. 41 In saturated flow and Boussinesq models, the seepage boundary that regulates groundwater drainage is 42 often treated as a fixed Dirichlet condition, with atmospheric pressure assigned to the designated outflow 43 nodes. This is a static, and therefore approximate, treatment of this dynamic boundary. Alternatively, in 44

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saturated flow models based on the free surface approach, the position of the phreatic surface, and thus of the exit point along the seepage boundary, can evolve over time [e.g., *Isaacs*, 1980; *Shamsai and Narasimhan*, 1991].

Advances in numerical techniques together with the increased performance of high-speed digital simula-48 tion computers have led to numerical models based on Richards' equation for flow in variably saturated 49 porous media becoming a widely used current approach for representing and solving seepage face prob-50 lems. Freeze [1971] presented one of the first three-dimensional (3-D) finite difference models for transient 51 saturated-unsaturated groundwater flow and used it for the study of heterogeneous anisotropic aguifers in 52 the presence of a seepage face boundary. In the early finite element variably saturated flow models of Rubin 53 [1968], Neuman et al. [1975], and Cooley [1983], an algorithm for locating the exit point of the seepage face 54 at each iteration of the nonlinear system solver was incorporated into the overall numerical procedure. The 55 localization scheme positions the exit point such that all nodes below it are at atmospheric pressure (a 56 Dirichlet condition), allowing outflow to occur, while all nodes above it are assigned a no-flow (Neumann) 57 condition, so that the nodes take on negative pressures (atmospheric pressure is the zero datum). The pres-58 ence of a surface water body (hydrostatic Dirichlet nodes below the exit point) can also be incorporated 59 [Tracy and Mariño, 1987]. The seepage face is thus treated as a combination of Dirichlet and Neumann 60 boundary conditions that evolves in time and space, with the exit point rising during rainfall events, for 61 example, and falling during recession periods. 62

Numerical models are essential for resolving flow dynamics in the presence of soil heterogeneity. Spatial 63 variability of hydraulic properties may lead to complex interactions between the saturated and unsaturated 64 zones, formation of perched water tables, and multiple seepage faces and exit points, which are impossible 65 to model with graphical or analytical approaches. Eigenbrod and Morgenstern [1972] investigated a layered 66 slope located in a river valley near Edmonton, Alberta, and their analysis revealed the presence of two 67 perched water tables. A study performed by Sterrett and Edil [1982] shows how a complex flow system with 68 double seepage faces formed at the land-lake interface along the shoreline of Lake Michigan (Wisconsin) 69 due to inhomogeneities of the glacial materials. Cooley [1983] was the first to model drainage involving 70 double seepage faces, for a case involving two soil layers separated by an impeding layer. A similar soil con-71 figuration was considered by Rulon et al. [1985] for their laboratory sand-tank experiments. In a steady state 72 flow analysis using the finite element model of Neuman [1973] modified to account for a double seepage 73 face, Rulon et al. [1985] showed that the response of the exit points is strongly dependent on the position 74 of the impeding layer. Subsequently, Lam et al. [1987] simulated the same experiment considering transient 75 conditions and infiltration. 76

The current generation of detailed physically based models that couple surface and subsurface flow were 77 first introduced almost 20 years ago [Bixio et al., 1999; VanderKwaak, 1999] but still require careful assess-78 ment of various implementation details, including the consistency and interactions between the outflow 79 boundary conditions of each component model [Paniconi and Putti, 2015]. Examples of models that repre-80 sent surface and subsurface systems as a continuum and are capable of simulating complex scenarios at dif-81 ferent spatial and temporal scales include: ATS [Painter et al., 2013; Coon et al., 2016], CATHY [Bixio et al., 82 1999; Camporese et al., 2010], HydroGeoSphere [Sudicky et al., 2008; Therrien et al., 2012], InHM [VanderK-83 waak, 1999; VanderKwaak and Loague, 2001], OpenGeoSys [Kolditz et al., 2012; Delfs et al., 2012], and Par-84 Flow [Kollet and Maxwell, 2006, 2008]. Recent intercomparison studies have shown that integrated models 85 that impose continuity of both pressure head and water flux at the land surface produce similar responses 86 [Sulis et al., 2010; Maxwell et al., 2014; Kollet et al., 2016]. This continuity condition can be guaranteed with 87 or without the introduction of an additional parameter, and the surface and subsurface equations can be solved in either a fully coupled mode or with a time-splitting technique in sequential iteration mode, this 89 last approach being well-established in multiphysics simulations for coupling a wide diversity of phenome-90 na [Keyes et al., 2013]. 91

Intriguing scenarios can arise when a catchment outlet condition (surface routing model) and a seepage92face (subsurface model) coexist, the former inducing convergent flow patterns toward the land surface93while the latter drives flow toward the base of the hillslope. This was seen recently during the first experi-94ment performed on one of the artificial hillslopes at the Landscape Evolution Observatory (LEO) [*Gevaert*95*et al.*, 2014], a research infrastructure managed by the University of Arizona at the Biosphere 2, Oracle,96U.S.A. that comprises three identical convergent artificial hillslopes constructed with the aim of advancing97

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our predictive understanding of the coupled physical, chemical, biological, and geological processes at 98 Earth's surface. The experiment experienced both saturation excess overland flow and outflow from the vertical downslope plane and thus required both a surface outlet and a dynamic seepage face boundary to be reproduced [*Niu et al.*, 2014].

Even in absence of vertical downslope planes (e.g., sharp riverbanks), seepage face conditions can arise, for 102 instance, in riparian zones at the transition between hillslope and channel terrain or at higher elevation due 103 to upward hydraulic gradients associated with subsurface flow convergence and geologic layering [e.g., Mirus et al., 2007]. For these cases a consistent modeling treatment of outlet, atmospheric, and seepage 105 face boundary conditions is needed. The complexities in this case originate from the diversity of runoff gen-106 eration mechanisms (infiltration excess runoff, saturation excess runoff, return flow) and overland flow 107 dynamics, including re-infiltration, ponding, and direct seepage to the stream channel [Freeze, 1974; Beven 108 and Wood, 1983]. Simple models of saturation excess runoff are of the conceptual, lumped-parameter type 109 [e.g., Boughton, 1990; Willgoose and Perera, 2001]. The saturation mechanism has also been widely investi- 110 gated with the use of subsurface flow numerical models [e.g., Beven, 1977; Ogden and Watts, 2000; Cloke 111 et al., 2003]. More recently, Beaugendre et al. [2006] simulated water exfiltration at the ground surface with a 112 coupled surface/subsurface model and compared the results with those obtained by using a simpler subsur-113 face seepage face model. They show how, for simple scenarios involving constant slope and rainfall, the 114 two approaches yield similar results. However, in their analysis re-infiltration processes are neglected. 115

In this study, we address the following four groups of questions relating the behavior of seepage face 116 boundary conditions: 117

- When is it acceptable to use a simpler, static (Dirichlet boundary condition) treatment of a seepage 118 boundary in lieu of a dynamic (seepage face boundary condition) treatment? What are the approxima- 119 tion errors when using the simpler approach?
- 2. How do we resolve seepage face outflow under conditions of heterogeneity? What are the resulting 121 dynamics?
- In the context of integrated surface/subsurface modeling, how does a seepage face boundary interact 123 with the catchment outlet boundary condition used in overland and channel flow models? Can the two 124 types of boundary condition coexist?
- 4. What are the effects of re-infiltration processes when simulating water exfiltration at the land surface 126 and overland flow? What is the relationship between the treatment of seepage face and atmospheric 127 boundary conditions?

To answer these questions, we use the numerical model CATHY [Camporese et al., 2010], which couples a 129 finite element solver for 3-D subsurface flow with a finite difference solver for overland and channel routing. 130 The original algorithm that handles the seepage face boundary condition in CATHY derives from the 131 approach proposed by Neuman [1973] and is based on a single exit point whose position is updated during 132 each nonlinear iteration of the Picard scheme that is used to solve the nonlinear Richards equation [Paniconi 133 and Putti, 1994]. Here we propose a generalization of this approach that simplifies the classic algorithm and 134 that deals also with multiple seepage faces in the presence of layered and random heterogeneity. The new 135 algorithm extends other approaches, such as the one proposed by Rulon and Freeze [1985], in performing 136 the update at each nonlinear iteration and in allowing the presence of more than two seepage faces. In the 137 case where a seepage face occurs along a vertical downslope plane (as in classic hillslope or sloping aquifer 138 configurations), any of the Richards equation-based integrated models mentioned earlier (HGS, ParFlow, etc) would use a boundary condition treatment similar to CATHY. When the seepage face occurs along a 140 portion of the land surface, the different coupling approaches used, ranging from first-order exchange formulations to free surface and boundary condition methods [Kollet et al., 2016], each has its own way of 142 resolving the flow interactions. For instance, Ebel et al. [2009] and Liggett et al. [2012, 2013] have investigat- 143 ed the sensitivity of overland flow generation mechanisms to the first-order exchange coefficient, focusing 144 mainly on Hortonian processes. 145

The simulations to address points 1 and 2 above are performed for a simple rectangular hillslope. Different 146 scenarios are tested by changing the soil parameterization, the slope, and the atmospheric and initial conditions. The tests are designed to first analyze the approximation errors committed when modeling the outflow from the base of the hillslope as a simple fixed Dirichlet condition instead of as a dynamic seepage 149

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face boundary condition (both options are available in the CATHY model). Second, the tests are used to 150 examine the water table configurations and the dynamics of the different seepage faces and exit points 151 arising from the presence of layered heterogeneity. To analyze the seepage face and surface outlet interactions (point 3), we consider a numerical model of the artificial hillslope constructed for the LEO project at 153 Biosphere 2. In this real scenario, we look at the steady state rainfall partitioning between seepage face flow 154 and surface outflow for different combinations of rainfall rate and average slope. The last set of simulations, 155 addressing point 4, are run for a rectangular hillslope and are used to investigate the behavior of seepage face and coupled processes, are highly relevant for testing the handling of complex boundary conditions in 158 integrated hydrological models. An incorrect representation and resolution of, for example, riverbank outflow, return flow, and rainfall-runoff partitioning can easily introduce approximation and mass balance 160 errors that affect the overall numerical solution and model performance.

2. Methodology

2.1. Hydrological Model

CATHY (CATchment HYdrology) is a distributed physically based model that couples Richards' equation, 164 describing flow in variably saturated porous media, and a finite difference solver for the diffusion wave 165 equation, describing flow propagation over the land surface (overland runoff) and in the stream network 166 (channel flow) [*Camporese et al.*, 2010]. The mathematical model consists of the following system of two 167 partial differential equations: 168

$$S_{w}(\psi)S_{s}\frac{\partial\psi}{\partial t} + \phi\frac{\partial S_{w}}{\partial t} = \nabla \cdot [K_{r}(\psi)K_{s}(\nabla\psi + \eta_{z})] + q_{ss}$$
(1a)

$$\frac{\partial Q}{\partial t} + c_k \frac{\partial Q}{\partial s} = D_h \frac{\partial^2 Q}{\partial s^2} + c_k q_s \tag{1b}$$

where in equation (1a) $S_w(\psi) [L^3 L^{-3}]$ is the water saturation, $S_s [L^{-1}]$ is the aquifer specific storage, $\psi [L]$ is 169 the pressure head, t [T] is time, $\phi [L^3 L^{-3}]$ is the porosity, $K_s [LT^{-1}]$ is the saturated hydraulic conductivity tensor, $K_r(\psi)$ is the relative hydraulic conductivity function, $\eta_z = (0, 0, 1)'$ with z [L] the vertical coordinate directed upward, and $q_{ss} [L^3 L^{-3} T^{-1}]$ is a source or sink term that includes the exchange fluxes from the surface to the subsurface. From S_w and ϕ the volumetric water content is defined as $\theta = S_w \phi [L^3 L^{-3}]$. In the surface flow equation (1b), $Q [L^3 T^{-1}]$ is the discharge along the overland and channel network, $c_k [LT^{-1}]$ is the kinematic celerity, s [L] is the coordinate direction for each segment of the overland and channel network, 175 $D_h [L^2 T^{-1}]$ is the hydraulic diffusivity, and $q_s [L^3 L^{-1} T^{-1}]$ is the inflow or outflow rate from the subsurface to the surface.

The 3-D Richards equation is discretized by a P1 Galerkin finite element scheme in space using tetrahedral 178 elements and by a backward Euler scheme in time with adaptive time step. The resulting system of nonline 179 ar equations is linearized by the Picard iterative scheme [*Paniconi and Putti*, 1994]. The nonlinear character 180 istics $S_w(\psi)$ and $K_r(\psi)$ are specified using *van Genuchten* [1980] relationships. The stream channel network 181 for surface flow propagation is identified using the terrain topography and the hydraulic geometry concept, 182 and the equation is solved numerically using the Muskingum-Cunge method [*Orlandini and Rosso*, 1996, 183 1998].

The time-splitting algorithm that couples equations (1a) and (1b) proceeds as follows. The surface routing module propagates the surface water levels from time t^k to t^{k+1} and evaluates the surface to subsurface ket allows q_{ss} at time t^{k+1} . Atmospheric inputs (rainfall or potential evaporation) and the q_{ss} fluxes are then partitioned into effective rainfall or evaporation and surface ponding via a boundary condition switch ing procedure during the Richards equation solution phase [*Camporese et al.*, 2010]. A mass balance calculation is used to determine the subsurface to surface exchange fluxes q_s at time t^{k+1} . Additional details on the model features and numerics can be found in *Camporese et al.* [2010] and *Paniconi and Putti* [1994].

2.2. Seepage Face Boundary Condition

A seepage face is the boundary between a saturated flow field and the atmosphere or a stream channel, 193 typically modeled as a lateral boundary (e.g., a riverbank) where water is free to exit from the domain in 194

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Figure 1. Conceptual representation of the boundary conditions (BC) implemented for the four analyses performed.

case of saturation. A seepage face can also form on portions of the land surface, such as along a gently sloping riparian zone. In the case of homogeneous porous media the exit point of a seepage face separates the saturated zone from the tension-saturated and unsaturated flow fields: below the exit point groundwater discharges at atmospheric pressure, while on the portion of the boundary above the exit point, which includes also the capillary fringe, there is no outflow. This definition needs to be generalized for heterogeneous cases, where several exit points may occur, as shown in case 2 of Figure 1. The seepage face is a dynamic boundary since for unsteady flow the exit point position changes in time, typically rising when the aquifer is recharging and dropping as the aquifer drains. The exit point position cannot be imposed a prior but rather is determined by the internal system state, i.e., by the level of the water table as it intersects the land surface.

For homogeneous porous media, the standard approach to handling dynamic seepage face boundary conditions in numerical models of variably saturated subsurface flow is described in numerous classic studies [e.g., *Neuman*, 1973; *Cooley*, 1983; *Huyakorn et al.*, 1986]. Here we propose a simplification and a generalization of this classic algorithm.

2.2.1. Standard Algorithm

In the classic approach, the nodes of the computational mesh forming the seepage face boundary are sub- 210 divided into distinct vertical or inclined lines. The nodes on each line are reordered in a consecutive way, 211 from the bottom to the top, in such a way as to easily identify the exit point position along the vertical. The 212 algorithm computes the exit point position at each iteration of the nonlinear scheme. For each seepage 213 face line, the initial position of the exit point is calculated considering the initial ψ distribution: by checking 214 the pressure from bottom to top, the exit point is set below the first node with negative ψ (atmospheric 215 pressure is taken to be zero). As boundary condition for the next iteration the algorithm sets zero pressure 216 head (Dirichlet condition) at the exit point and all nodes below it, and zero flux (Neumann condition) at the 217 nodes above the exit point. At each nonlinear iteration the position of the exit point is adjusted based on 218 the evolving ψ solution and the computed fluxes at the Dirichlet nodes. If an unphysical positive flux 219 (inflow) is encountered at a node below the exit point, the exit point position is lowered for the next itera- 220 tion. On the other hand, if a positive value of ψ is encountered at a node above the exit point, its position is 221 raised. In CATHY, the user is given two options for identifying the new position of the exit point. In the first 222 option, the seepage face convergence can be added as an additional constraint on convergence of the sub- 223 surface solver. If this option is selected, the subsurface solver converges, and thus can progress to the next 224 time step, if both the Picard scheme converges and the exit points on all seepage lines are unchanged 225 between the previous and current iterations. The second option proposes an alternative search for the new 226 exit point by raising or lowering by only one node the exit point computed at the previous nonlinear itera-227 tion. For the numerical tests performed in this study, the standard seepage face algorithm with either of the 228 two options produced largely similar results. 229

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The standard algorithm for modeling dynamic seepage face boundaries is particularly suited to vertically 230 structured computational grids. In this configuration, the number of nodes to consider in the identification 231 of the new exit point can be notably reduced if the search starts from the position of the exit point at the 232 previous iteration. In addition to allowing handling of multiple exit points, the generalization of the dynamic 233 seepage face algorithm proposed next can also be applied to unstructured 3-D grids, i.e., grids where it 234 may not be possible to decompose a seepage face boundary into distinct lines. 236

2.2.2. Generalized Approach

In the generalized approach, the seepage face handling is greatly simplified by doing away with the notion 237 of individual seepage face lines and the consequent ordering of nodes by elevation. In fact, the new algo- 238 rithm only requires identification of the nodes belonging to the seepage outflow plane, without any addi-239 tional ordering based on elevation or lateral position. At the start of the simulation and after every 240 nonlinear iteration, the Dirichlet or Neumann assignation is performed according to the same procedure 241 used in the classic algorithm, but without following a bottom to top (or any other) order. Instead of focusing 242 on the identification of the exit points, the new algorithm simply finds the "active" nodes of the seepage 243 face boundary by checking node by node for the presence of positive pressures with an associated outflow 244 (i.e., the Dirichlet nodes). Once this operation is performed, it is possible (but not necessary for the computa-245 tion of the numerical solution at the next iteration) to identify the active portions of the seepage face 246 boundary by grouping the contiguous Dirichlet boundaries (contiguous nodes along the seepage face hav- 247 ing a Dirichlet condition). With this idea the exit points can be associated to the nodes at the highest eleva-248 tions of an active portion. 249

In addition to its simplicity of implementation and its suitability for unstructured grids, the new algorithm 250 automatically handles multiple seepage faces in the presence of layered and random heterogeneity, and it 251 reveals similarities between the way seepage face and atmospheric boundary conditions are handled that 252 are not as apparent in the classic formulation. 253

2.3. Setup of Numerical Experiments

We perform four analyses: in the first set we look at the difference between treating a seepage face as a 255 static (Dirichlet) or dynamic (according to the algorithms presented in section 2.2) boundary (case 1 in Fig- 256 ure 1); in the second, we study the seepage face response in the presence of layered heterogeneity (case 2 257 in Figure 1); in the third, we analyze the interactions between the seepage face and surface outlet (case 3 in 258 Figure 1); and finally we investigate possible similarities between seepage face and atmospheric boundary 259 condition switching algorithms for cases where seepage faces form on portions of the land surface (case 4 260 in Figure 1). Table 1 summarizes the parameter combinations and setup for each simulation performed in 261 T1 the four sets of experiments. In the first set, which features a homogeneous domain, we also verified that 262 the classic and generalized seepage face algorithms give the same results. 263 264

2.3.1. Static Versus Dynamic Treatment of the Seepage Boundary

One common and easy way to treat a seepage face is to set to 0 (atmospheric pressure) the pressure head 265 at the bottom of the outflow plane (i.e., a fixed Dirichlet boundary condition) and to 0 the flux on all the 266 other nodes of the plane (i.e., a no-flow Neumann boundary condition). This static treatment of the seepage 267 face boundary can lead to large approximation errors since the actual exit point can be elsewhere than at 268 the bottom, and its position can vary greatly during the course of a simulation. To investigate these errors, 269 we compare the results obtained with the static Dirichlet treatment with those from the dynamic seepage 270 face algorithm. The comparison is performed on the synthetic rectangular sloping aguifer depicted in Figure 271 2a. The domain is 10 m long, 1 m deep, and 1 m wide and is discretized into 100 \times 5 grid cells in the lateral 272 F2 direction and 50 layers of equal thickness in the vertical direction. The bottom of the aquifer as well as all 273 lateral boundaries except for the downslope outflow plane is assigned no-flow conditions. We perform sim- 274 ulations during which the hillslope drains water out through the outflow plane from fully saturated initial 275 conditions (drainage test cases) and from initially dry conditions subjected to constant rainfall. 276

For the drainage runs we set no-flow conditions at the land surface to preempt overland flow. The initial 277 pressure head is hydrostatically distributed with the water table at the surface. The approximation error at 278 time t is quantified as: 279

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Numerical Experim	nent		Saturated I Conductivit	Hydraulic :y K _s (m/s)	Aquifer Slope <i>i</i> (%)		Rainfall <i>R</i> (m/s)
Static (Dirichlet boundary conditions) [versus Dynamic (seepage face boundary conditions)		Drainage 1×10^{-3} simulations 1×10^{-4}		0 ⁻³	10		0
				0 ⁻⁴	10	0	
			1 × 1	0 ⁻⁵	10		0
			1 × 1	0 ⁻⁴	1		0
			1 × 1	0 ⁻⁴	30		0
		Rainfall	1 × 1	0 ⁻⁴	10	0.02	$5-0.5 \times 10^{-2}$
		simulations	1 × 1	0 ⁻⁵	10	0.02	$5-0.5 \times 10^{-5}$
			1 × 1	0 ⁻⁴	1	0.02	$5-0.5 \times 10^{-2}$
			1 × 1	0 ⁻⁴	30	0.02	$5-0.5 imes 10^{-2}$
		Top Laye	r K _{s1}	Botton	n Layer K _{s2}		
Layered	Two-layer	1 × 10	-4	1 >	< 10 ⁻⁵	10	0
heterogeneity		1 × 10	-4	1×10^{-6}		10	0
		1 × 10	-5	1 >	$1 imes 10^{-4}$		0
		1 × 10	-6	1×10^{-4}		10	0
		1 × 10	-4	1×10^{-5}		10	1×10^{-5}
		1 × 10	-4	1×10^{-6}		10	1×10^{-5}
		1 × 10	-5	1×10^{-4}		10	1×10^{-6}
		1×10^{-6}		1 >	< 10 ⁻⁴	10	1×10^{-7}
	Single-layer with	Soil K	, S	Le	ns K _{sL}		
	impeding lens	1 × 10	-4	1×10^{-6}		10	1×10^{-5}
		1 × 10	-4	1 × 10 ⁻⁸		10	1×10^{-5}
	Multiple-layer	Ks1	K _{s2}	K _{s3}	K _{s4}		
		1×10^{-4}	1×10^{-6}	1×10^{-4}	1×10^{-6}	10	1×10^{-5}
		Soil Hydraulic					
			Conductivit	y <i>K</i> _s (m/s)			
Seepage face and surface outlet interactions		1×10^{-4}		3	$0.0015 - 1.5 imes 10^{-4}$		
		1×10^{-4}		10	$0.0015 - 1.5 imes 10^{-4}$		
			1 × 1	0 ⁻⁴	20	0.001	$5-1.5 \times 10^{-4}$
					i _b (%)		
Seepage face boundary condition versus atmospheric boundary condition switching			1×10^{-4}		20	$1.5 imes10^{-6}$	
		1×10^{-4}		50	$1.5 imes10^{-6}$		
			1×1	1×10^{-4}		$1.5 imes10^{-6}$	

^aThe initial position of the water table for the simulations with rainfall is at the bottom of the domain, while for the simulations with zero rainfall it is at the surface.

$$\epsilon^{D}(t) = \frac{|V_{st}(t) - V_{dy}(t)|}{V_{dy}(t)} \times 100$$
 (2)

where $V_{dy}(t)$ and $V_{st}(t)$ are the cumulative outflow volumes from, respectively, the dynamic and static cases. 280 Different combinations of saturated hydraulic conductivity ($K_s = 1 \times 10^{-3}$, 1×10^{-4} , and 1×10^{-5} m/s) 281 and slope angle (i=1%, 10%, and 30%) were run (see Table 1). 282

For the rainfall tests, we set atmospheric conditions at the land surface with a constant rainfall rate. The ini- 283 tial pressure head is hydrostatically distributed with the water table at the bottom of the domain. The 284 approximation error is quantified as: 285

$${}^{R} = \frac{|Q_{st}^{ss} - Q_{dy}^{ss}|}{Q_{dy}^{ss}} \times 100$$
(3)

where Q_{dv}^{ss} and Q_{st}^{ss} are the steady state volumetric flow raised from, respectively, the dynamic and static 286 cases. The different parameter combinations included slope angles of 1%, 10%, and 30%, saturated hydrau-287 lic conductivities of 1×10^{-4} and 1×10^{-5} m/s, and rainfall rate R set in such a way that a ratio R/K_s between 288 0.025 and 0.5 was sampled for each slope angle and K_s combination (see Table 1). 289 290

2.3.2. Layered Heterogeneity

For the layered heterogeneity analysis, we again use the domain depicted in Figure 2a, with fixed slope 291 i = 10%. A seepage face boundary is set on the downslope outflow plane, atmospheric conditions are set 292 on the surface boundary during rainfall, otherwise no-flow conditions are set, and no-flow conditions are 293 set on all the other boundaries. We run three sets of simulations: two-layer, single-layer with impeding lens, 294

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Figure 2. (a) 3-D numerical grid for the rectangular sloping aquifer and (b) for the LEO hillslope.

and multiple-layer heterogeneity (Figure 3), in the first set under both drainage and rainfall conditions and
in the other two sets under rainfall conditions only. The initial water table position for all drainage runs was
at the land surface (with no-flow conditions at the surface to preempt overland flow), whereas for all rainfall
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runs it was at the bottom of the domain. All simulations were run to steady state.295298

For the two-layer test case the ratio of upper layer K_{s1} to lower layer K_{s2} hydraulic conductivity was set to 299 100, 10, 0.1, and 0.01. In the rainfall runs, the rain rate was set to one order of magnitude less than K_{s1} . For 300 the impeding lens test case the lens conductivity K_{sL} was set to 2 and 4 orders of magnitude lower than the 301 soil K_s conductivity. The rainfall rate was again one order of magnitude less than K_s . The multiple-layer test 302 case featured four layers of equal thickness and of conductivity (top to bottom) 1×10^{-4} , 1×10^{-6} , 1×10^{-4} , 303 and 1×10^{-6} m/s and a rainfall rate of 1×10^{-5} m/s. The parameter values for these various configurations 304 are summarized in Table 1.

2.3.3. Seepage Face and Surface Outlet Interactions

In this analysis, we look at the scenarios arising in the presence of both a seepage face and a surface outlet. 307 To perform the simulations, we consider the LEO model (Figure 2b). This is a 30 m long, 1 m deep, and 308 11 m wide convergent landscape and is discretized into 22 \times 60 grid cells in the lateral direction and 10 309



Figure 3. Vertical cross section of the sloping aquifer for the (a) two-layer, (b) single-layer with impeding lens, and (c) multiple-layer configurations, showing the hydraulic conductivity values or ratios used in each case.

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Figure 4. Vertical cross section and computational mesh of the domain used in the three numerical experiments for the seepage face versus atmospheric conditions analysis.

layers of equal thickness in the vertical direction. We set atmospheric conditions at the surface boundary, a 310 seepage boundary on the downslope vertical plane (the nodes that intersect this plane and the land surface 311 are designated as atmospheric nodes), and no-flow conditions at the bottom boundary and along the three 312 other lateral boundaries. The catchment outlet for the CATHY surface routing model is the land surface cell 313 shown in red in Figure 2b. We set the hydraulic conductivity K_s of the system to 1×10^{-4} m/s and initially 314 the water table at bottom with (negative) pressure head hydrostatically distributed. We ran simulations for 315 a range of rainfall rates such that R/K_s ranged from 0.005 to 1.5, and for slope angles *i* of 3%, 10%, and 20%. 316 Table 1 summarizes these configurations. The analysis is based on examination of the rainfall partitioning at 317 steady state between seepage face flow Q_{sf} and surface flow Q, considering that when the process is at 318 steady state the change in total water storage is zero and the total inflow (*R*) is equal to the total outflow 319 $(Q_{sf} + Q)$.



Figure 5. (a) Results obtained with the classic and generalized seepage face boundary condition algorithms for a drainage simulation and (b) a rainfall simulation showing the seepage face volumetric outflow *Q* (plots 1) and the exit point height Z_{EP} from the bottom (plots 2). The simulations are for a homogeneous sloping aquifer with hydraulic conductivity $K_s = 1 \times 10^{-4}$ m/s, inclination *i*=10%, and, for the rainfall case, $R = 1 \times 10^{-5}$ m/s.

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Figure 6. Results for the drainage simulations with a homogeneous sloping aquifer of inclination 10% and varying hydraulic conductivity K_s . (a–c) Volumetric outflow for static (Q_{st}) and dynamic (Q_{dy}) treatment of the seepage face boundary; (d–f) exit point height Z_{EP} for the dynamic treatment case.

2.3.4. Seepage Face Versus Atmospheric Conditions

Seepage faces forming on portions of the land surface can be modeled either with a seepage face condition 322 or via atmospheric boundary condition switching. In this analysis, we assess the differences between these 323 two approaches. The comparison is performed on the three domains shown in Figure 4 that are 10 m long, 324 F4 1.2 m deep (at the upslope boundary), and 1 m wide and are discretized into 50×5 grid cells in the lateral 325 direction and eight layers of varying thickness. The i_b values of 20%, 50%, and 100% indicated in Figure 4 are the slope angles of the downslope 5, 2, and 1 m portions, respectively, of hillslopes a, b, and c. On this 327



portion of the land surface, 328 we set either atmospheric 329 conditions or seepage face 330 conditions, and zero rainfall 331 to avoid the need to perform 332 a mass balance calculation in 333 the seepage face algorithm. 334 On the remaining portion of 335 the land surface (upslope 5, 336 8, and 9 m, respectively, of 337 hillslopes a, b, and c) we set 338 a constant rainfall rate of 1.5 339 $imes 10^{-6}$ m/s (this was found 340 to be a maximal rate applica- 341 ble to all three hillslopes that 342 avoids generating runoff on 343 this portion of the land sur- 344 face). All lateral boundaries 345 and the bottom boundary are 346 assigned a no-flow condition. 347 The hydraulic conductivity is 348

Figure 7. (a) Approximation error ϵ^D over time for the drainage simulations with a homogeneous sloping aquifer of inclination 10% and varying hydraulic conductivity K_s and (b) of hydraulic conductivity $K_s = 1 \times 10^{-4}$ m/s and varying inclination *i*.

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Figure 8. Results for the drainage simulations with a homogeneous sloping aquifer of hydraulic conductivity $K_s = 1 \times 10^{-4}$ m/s and varying inclination *i*. (a–c) Volumetric outflow for static (Q_{st}) and dynamic (Q_{dy}) treatment of the seepage face boundary; (d–f) exit point height Z_{EP} for the dynamic treatment case.

set to 1×10^{-4} m/s and the water table initially at bottom with pressure head hydrostatically distributed (Table 349 1). The simulations are run until steady state. The atmospheric case is simulated in three ways: with CATHY in 350 subsurface-only mode (any exfiltration leaves the domain instantaneously; ponding and re-infiltration cannot 351 occur); in coupled mode (exfiltrating water can produce ponding and overland flow, and can re-infiltrate); and 352 in coupled mode but with very high kinematic celerity (this very fast surface routing case should in principle 353 approach the subsurface-only case). In the two coupled cases, the outlet cell for the surface routing model is 354 situated at the intersection of the downslope vertical plane and the land surface, at the center of the hillslope 355 in the transverse direction. We examine the differences over time between the seepage face volumetric flow 356 and the exfiltration volumetric flow (for the subsurface-only atmospheric case) and outlet atmospheric flow 357 (for the coupled case), as well as the differences in water table distance from the outlet, X_{WT} , calculated by 358 averaging along the transverse direction. 359

3. Results

For all the simulations involving homogeneous conditions, we first verified that the generalized and classic 361 seepage face boundary condition algorithms gave the same results. Figure 5 reports the comparison for a 362 F5 drainage and a rainfall test case summarized in Table 1 ($K_s = 1 \times 10^{-4}$ m/s, i = 10%, $R = 1 \times 10^{-5}$ m/s for the 363 rainfall case), and it can be seen that the dynamics of the seepage face outflow Q and exit point height Z_{EP} 364 (measured from the bottom of the domain) are identical. This was confirmed for all the other homogeneous 365 test cases. 366

3.1. Static Versus Dynamic Treatment of the Seepage Boundary 3.1.1. Drainage Simulations

The results of the drainage simulations for the static versus dynamic treatment of the seepage boundary ³⁶⁹ are shown in Figures 6 and 7. The results show that the volumetric outflow obtained for the dynamic treatment (Q_{dy}) is higher than the one obtained for the static treatment (Q_{st}) early in the simulation, that the ³⁷¹

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Figure 9. Results of the rainfall simulations with a homogeneous sloping aquifer of inclination 10%, hydraulic conductivity 1×10^{-4} m/s, and varying rainfall rate *R*. (a–c) Volumetric outflow for static (Q_{st}) and dynamic (Q_{dy}) treatment of the seepage boundary; (d–f) exit point height Z_{EP} for the dynamic treatment case.

differences diminish over time, and that the solutions converge by the time the position of the exit point 372 for the dynamic treatment case converges to the position of the Dirichlet node, at the bottom of the 373 domain (Figure 6). From the scaling of the time axis in Figure 6, it is also apparent that, all other parameters 374



outflow response for both 376 boundary condition treat- 377 ments and the exit point 378 response for the dynamic 379 case scale exactly with 380 hydraulic conductivity K_s. In 381 Figure 7, we plot over time 382 the approximation error ϵ^D 383 (equation (2)) for the various 384 K_s simulations at fixed slope 385 angle (Figure 7a) and for the 386 various slope cases at fixed 387 K_s (Figure 7b). Here we see 388 that the error committed 389 using a static treatment for 390 the seepage boundary rather 391 than a dynamic treatment 392 can be quite high (about 393 35% for all runs) early in the 394 simulation, and falls to zero 395 by the end of the simulation. 396 The time to convergence 397 (zero error) scales with K_s for 398

being equal, the seepage 375

Figure 10. Approximation error ϵ^{R} as a function of rainfall/conductivity ratio R/K_{s} for the rainfall simulations with a homogeneous sloping aquifer of (a) inclination i = 10% and varying conductivity K_{s} and (b) conductivity $K_{s} = 1 \times 10^{-4}$ m/s and varying inclination *i*.

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Figure 11. Evolution of the seepage face exit point height Z_{EP} for the two-layer drainage simulations with four different conductivity contrasts between the top (K_{s1}) and bottom (K_{s2}) layers. The shaded areas represent the seepage face outflow planes below each exit point.

the varying hydraulic conductivity runs (Figure 7a), as was pointed out also in Figure 6. For the varying slope 399 runs, the time to convergence corresponds, as was the case also for the varying K_s runs, to the time required 400 for the position of the exit point in the dynamic case to reach the bottom of the hillslope. This is shown in 401 Figure 8. The time to convergence increases as the slope angle increases. 402 F8

3.1.2. Rainfall Simulations

The results of the rainfall tests for the approximation errors committed when using a static boundary condi- 404 tion to model a seepage face are shown in Figures 9 and 10. For fixed K_s and fixed *i*, the differences 405 F9 F10 between the two approaches increase with rainfall rate R, as does the final (steady state) position of the 406 seepage face exit point (Figure 9). In Figure 10, we report the effects of (a) hydraulic conductivity K_{s} (fixed 407



Figure 12. Evolution of the seepage face exit point height Z_{EP} for the two-layer rainfall simulations with four different conductivity contrasts between the top (K_{s1}) and bottom (K_{s2}) layers. The shaded areas represent the seepage face outflow plots below each exit point.



Figure 13. Evolution of the seepage face exit point height Z_{EP} above and below an impeding lens (shown as the gray strip) for two different conductivity contrasts between the aquifer (K_{s}) and the lens (K_{sl}).

i = 10%) and (b) slope *i* (fixed $K_s = 1 \times 10^{-4}$ 408 m/s) on the approximation errors ϵ^R calculat- 409 ed at steady state (equation (3)) for different 410 ratios R/K_s . The error committed using a stat- 411 ic treatment for the seepage boundary rather 412 than a dynamic treatment increases signifi- 413 cantly with R/K_s (reaching 45%), and also 414 with *i* for fixed R/K_s . The error does not vary 415 with K_s for a fixed R/K_s ratio. 416

On the basis of these drainage and rainfall 417 tests, it can be concluded that the validity of 418 the static treatment of a seepage boundary 419 is restricted to very simple configurations, 420 such as homogeneous, gently sloping aquifers under steady state conditions or subjected to low intensity forcing (rainfall). 423 When applicable, simple configurations and 424

a simple boundary condition can be amenable to analytical resolution, which can be very useful in hydrologic analyses [e.g., *Troch et al.*, 2013]. 426

3.2. Layered Heterogeneity

3.2.1. Double Layers

The results of the simulations run for the two-layer hillslope configuration are shown in Figures 11 and 429F11 12. Under drainage from initial full saturation (Figure 11), the only case that does not feature a second 430 exit point is $K_{s1}/K_{s2} = 10$. For $K_{s1}/K_{s2} = 100$, the position of the first exit point quickly drops from the sur-431 face to the interface between the two layers and after about 2 days it starts dropping toward the bottom 432 (reached after about 25 days from the beginning of the simulation). At this time, a second exit point 433 appears at the interface of the two layers and persists for about 2 days. Setting the hydraulic conductivity 434 of the top layer one or two orders of magnitude smaller than that of the bottom layer also results in the 435 formation of two seepage faces, but in this case the dual exit points occur very early in the simulation 436 and the top seepage face has a very short duration (about 250 and 500 s, respectively, for the 437 $K_{s1}/K_{s2} = 0.1$ and $K_{s1}/K_{s2} = 0.01$ cases).

For the rainfall simulations (Figure 12), the only case that features a second exit point is $K_{s1}/K_{s2} = 100$. For 439 this case only one exit point, whose position Z_{EP} is at the bottom, is present from the beginning of the simulation until 2.5 h (0.1 day), at which time the infiltration front reaches the interface between the two layers 441 and a second exit point develops. It initially sits at the interface and then rises to $Z_{EP} = 0.6$ m. After 6 h from 442 the beginning of the simulation, the rainfall water reaches the bottom and, in turn, starts feeding the first 443



Figure 14. (left) Pressure head profiles (m) and zero pressure head contours (shown in black) in vertical cross section and at times 3 h and (right) steady state for the simulations with an impeding lens (shown in gray) with conductivity contrast between the aquifer (K_{s}) and the lens (K_{sl}) of (top) $K_s/K_{sl} = 100$ and (bottom) $K_s/K_{sl} = 10,000$.

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Figure 15. Dynamics of the first (black line), second (blue line), and third (red line) exit point (EP) for the multiple-layer test case. The seepage face (SF) outflow planes below each exit point are shown as the light-blue areas. The pink, yellow, and gray areas show the time spans during which, respectively, one SF, two SFs, and three SFs are present.

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seepage face. As a consequence, the first 444 exit point rapidly rises to reach the sec-445 ond exit point and the two seepage faces 446 447 merge.

3.2.2. Single Layer With a Thin Impeding Lens

The results of the two simulations for the 450 single-layer with impeding lens configura- 451 tion (see Table 1) are shown in Figure 13. 452F13 In both cases, a second exit point appears 453 when the infiltration front reaches the 454 impeding lens (at about 1.5 h from the 455 beginning of the simulation). For the 456 $K_s/K_{sL} = 10,000$ case this second exit 457 point rises rapidly, while for the 458 $K_s/K_{sL} = 100$ case more water is able to 459 percolate across the impeding lens, mak- 460 ing the second exit point rise more slowly. 461 The dynamics of the first seepage face is 462

also different between these two permeability contrast cases. When $K_s/K_{sL} = 100$ the first exit point starts 463 rising at 3.5 h whereas when $K_s/K_{sL} = 10,000$ the first seepage face can only be fed by rainfall water that 464 drains from upslope (much less percolation through the lens), and as a consequence the first exit point 465 starts rising only at 6 h. Not surprisingly, at steady state the heights of the first and second exit points are, 466 respectively, higher and lower for $K_s/K_{sL} = 100$ than for $K_s/K_{sL} = 10,000$. In Figure 14, we compare the pres-467F14 sure head profile in vertical cross section at 3 h (about 1 h after the appearance of the second exit point) 468 and at steady state. The profile at 3 h clearly shows that the soil below the lens is much wetter for the 469 $K_s/K_{sL} = 100$ case, while the water table above the lens is more developed for the $K_s/K_{sL} = 10,000$ case. In 470 both cases at steady state the soil below the lens is wet and two water tables are present, at bottom and 471 above the lens. 472 473

3.2.3. Multiple Layers

The simulation performed for the multiple seepage face case features the presence of three seepage faces 474 and corresponding exit points. Figure 15 shows their dynamics and in what follows we refer to the first, second, 475F15 and third seepage face/exit point as they appeared chronologically. At the beginning only one seepage face 476 with its exit point (black line in Figure 15) at bottom is present. A second seepage face develops when the infil- 477 tration front reaches layer 2 (at approximately 1.5 h). Its exit point (blue line) sits at the interface between the 478 first two layers and neither rises nor falls for the duration of the simulation. A third seepage face forms when the 479



Figure 16. (left) Snapshots at 7 h and (right) at steady state of the profiles of pressure head (m) in vertical cross section at the downslope 2 m portion of the hillslope for the multiplelayer simulation. The interfaces between layers are shown by the gray lines while the contours of zero pressure head are traced by the black lines.

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Figure 17. Partitioning of rainfall *R* on the LEO hillslope between seepage face outflow Q_{sf} (left axis) and surface outlet discharge *Q* (right axis) at steady state for a range of rainfall/conductivity (R/K_s) ratios and three different slope angles *i*. The horizontal and three vertical dotted lines give the R/K_s value at which seepage and outlet contributions are equal ($R/K_s = 0.009, 0.012$, and 0.02 for slope angles 3%, 10%, and 20%, respectively).

infiltration front reaches layer 4, at 480 around 7 h, with its exit point (red 481 line) at the interface between layers 482 3 and 4. At 8 h, the rainfall water 483 reaches the bottom and the first exit 484 point rises to the height of the third 485 exit point such that the first and third 486 seepage faces merge for the remain- 487 der of the simulation, to steady state. 488 In Figure 16, we show the pressure 489F16 head profile in vertical cross section 490 for the downslope 2 m portion of the 491 hillslope at 7 h, when three seepage 492 faces are present, and at steady 493 state. From the zero pressure head 494 contours, shown as black lines, the 495 different seepage faces are easily 496 discerned. The profile at 7 h shows: 497 the first seepage face at bottom, the 498

second seepage face in layer 2 and at the interface between the first two layers, and the third seepage face at 499 the interface between layers 3 and 4. The steady state profile shows: the first seepage face in layer 4 and at 500 the interface between layers 3 and 4 and the second seepage face in a portion of layer 2 and at the interface 501 between the first two layers. 502

3.3. Seepage Face and Surface Outlet Interactions

The results of a series of simulations on the LEO hillslope to examine seepage face and surface outlet interactions are presented in Figure 17 and show the steady state rainfall (*R*) partitioning between seepage face flow Q_{sf} and surface outflow *Q* for different ratios of R/K_s (the hydraulic conductivity was fixed at $K_s=1\times$ 506 10^{-4} m/s) and three slope angles *i*. The results show that the seepage face contribution Q_{sf}/R decreases 507 with R/K_s and increases with *i*. Thus, higher rainfall rates enhance overland flow while steep slopes enhance 508 flow from the base of the hillslope. They also show that the differences between the three slope angles 509 become less significant as R/K_s increases. In addition, it is seen that the R/K_s value at which seepage face flow is 511



Figure 18. Steady state profiles of pressure head (m) (color map) and water table (black lines) for the LEO hillslope taken in vertical cross section along the *x* direction (midpoint in the *y* direction). The seepage boundary is at x = 30 m. The results are shown for two rainfall/conductivity (R/K_s) ratios and three slope angles *i*.

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Figure 19. Steady state profiles of Darcy velocity for the LEO hillslope taken in vertical cross section along the *x* direction (midpoint in the *y* direction). The seepage boundary is at x = 30 m. The results are shown for two rainfall/conductivity (R/K_s) ratios and three slope angles *i*.

greater than surface flow increases with *i*. These results can be better understood by looking at the profiles 512 shown in Figures 18 and 19. Here the steady state pressure head and velocity profiles for the different 513F18 F19 slopes are plotted for a case in which the seepage face contribution exceeds the surface flow contribution 514 $(R/K_s = 0.005)$ and for a case in which the surface flow contribution exceeds the seepage face contribution 515 $(R/K_s = 0.1)$. In accordance with what has been noted from Figure 17, the differences between profiles for 516 the three different slope angles are greater for the $R/K_s = 0.005$ case than for the $R/K_s = 0.1$ case. The differences include a smaller portion of the land surface intersected by the water table, the water table mound further downslope, less water exfiltration at the land surface, and higher velocities at the seepage face for increasing *i*. In addition, while for the $R/K_s = 0.005$ case, where unsaturated areas persist for all three slopes 520



Figure 20. Results of the seepage face versus atmospheric conditions simulations with a homogeneous aquifer of hydraulic conductivity 1×10^{-4} m/s and different downslope land surface inclinations i_b . (a–c) Volumetric outflow *Q* over time from the land surface. (d–f) Average distance of the water table, X_{WT} , from the outlet. The results are shown for four different boundary condition treatments of the downslope portion (see Figure 4) of the test hillslopes: as a seepage face boundary condition (SF, red lines); as atmospheric boundary conditions in subsurface-only mode (ATM, dotted black lines); as atmospheric conditions in coupled mode, i.e., with surface routing (ATM + SR, solid black lines); and as atmospheric conditions in coupled mode with high kinematic celerity, i.e., with very fast routing (ATM + SR, blue lines).

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and most of the outflow is from the seepage face, the fully saturated conditions encountered for the 521 $R/K_s = 0.1$ case give rise to enhanced convergent velocity trajectories toward the surface outlet. 522

3.4. Seepage Face Versus Atmospheric Conditions

The results of the simulations involving a seepage face forming at the land surface (for the hillslopes shown 524 in Figure 4 and parameter values given in Table 1) are shown in Figure 20 for the four different boundary 525F20 condition treatments in terms of the time behavior of the volumetric discharge flow Q outflowing from the 526 downslope portion of the land surface and the average distance of the water table XW_T from the outlet. The 527 ATM curves in Figure 20 coincide exactly with the SF curves for all three hillslopes and in both outflow and 528 water table dynamics. The algorithms that handle boundary condition switching between Dirichlet and 529 Neumann status that are used for land surface atmospheric forcing and for seepage faces are thus entirely 530 consistent. When CATHY is run in coupled mode, the atmospheric boundary condition switching algorithm 531 is extended to accommodate ponding [Camporese et al., 2010], and the feedback between overland routing 532 and boundary condition updating allows for re-infiltration and other complex surface/subsurface interac- 533 tions. The ATM + SR results in Figure 20 are therefore different from the SF and ATM curves, although the 534 responses are nevertheless quite similar. When the kinematic celerity parameter in CATHY is set to a very 535 high value, the fast routing triggered by this condition approaches the instantaneous removal of exfiltrating 536 water that occurs in the ATM case. The ATM + SR* results in Figure 20 are thus closer to the SF and ATM 537 results than the ATM+SR case was. This final series of tests has shown the algorithmic consistency between 538 the handling of seepage face and atmospheric boundary conditions in a hydrological model. Atmospheric 539 conditions are generally more complex however than seepage face conditions, in particular for integrated 540 groundwater/surface water models where rainfall-infiltration-runoff partitioning is not controlled solely by 541 subsurface flow. Even in subsurface-only mode, atmospheric boundary condition switching in a model such 542 as CATHY also handles evaporation processes, which are usually not relevant in classic seepage flow 543 analyses. 544

4. Conclusions

We have presented a modeling study of the seepage face boundary condition. The analysis is of interest for any numerical model simulating subsurface and coupled surface-subsurface processes, such as those discussed recently in *Kollet et al.* [2016]. In particular, the results apply to any model that includes representation of a seepage face boundary along a vertical downslope plane, common in hillslope and sloping aquifer studies. When, on the other hand, the seepage face intersects the land surface, the results are specifically pertinent to surface/subsurface models based on boundary condition coupling, and their broader relevance is in showing the types of interactions that any integrated model must strive to correctly resolve. 552

All numerical tests were performed using the CATHY model, which couples a finite element solver for the 3-D Richards equation for subsurface flow with a finite difference solver for the diffusion wave approximation of the Saint-Venant equation for overland and channel routing. A generalization of the classic algorithm for dynamic handling of seepage faces was proposed that extends easily to multiple seepage faces such as arise under conditions of heterogeneity. Four specific aspects of the seepage face boundary condition were examined: (1) the approximation errors that arise when using a simpler, static treatment of a seepage face instead of the classic dynamic approach; (2) the behavior of seepage faces under heterogeneity; (3) the interactions between a seepage face and a catchment outlet in integrated surface/subsurface modeling; and (4) the similarities and differences between seepage face and atmospheric boundary conditions in subsurface and coupled hydrological models.

In the results, we first confirmed that the generalized algorithm behaves just as the classic algorithm for 563 homogeneous aquifers and that it handles any degree of heterogeneity unambiguously. The static (Dirichlet) condition was shown to not always be an adequate stand-in to model the dynamic seepage face 565 boundary, and that the error committed in using static conditions increases with rainfall rate and slope 566 angle. In the context of groundwater/surface water modeling, the scenarios addressed catchment processes 567 involving interactions between atmospheric forcing, runoff generating mechanisms, and overland flow 568 dynamics. We showed how seepage face and outlet boundary conditions can coexist, and we examined 569 how they interact. In particular, rainfall partitioning between surface and subsurface flow is strongly affected 570 by the rainfall rate and the slope angle, the first enhancing water exfiltration at the land surface and 571

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convergent streamlines toward the outlet boundary and the second intensifying outflow from the base of 572 the aquifer. In the final set of tests, our results showed that imposition of continuity of normal fluxes and 573 pressure heads at the surface-subsurface interface accurately extends the seepage face algorithm to the 574 integrated modeling framework. In particular, it was seen that seepage faces forming on the land surface 575 are not controlled solely by subsurface flow since ponding, overland routing, and re-infiltration also impact 576 saturation patterns and dynamics at the land surface, but the coupling algorithm based on automatic 577 switching of atmospheric boundary conditions between Dirichlet and Neumann is able to properly resolve 578 these surface/subsurface interactions. In the case where the model is run in subsurface-only mode, on the 579 other hand, it was shown that the seepage face and atmospheric boundary condition algorithms are 580 equivalent. 581

In a more general sense, the sequence of test cases examined in this work illustrates the complexity of flow 582 phenomena at the atmosphere/land surface/subsurface interface. The attempt to develop generalized algo- 583 rithms for the handling of boundary conditions at this interface and to show a degree of consistency 584 between historically very different treatments applied to these conditions is important in the context of 585 integrated hydrological modeling. Even with valid boundary condition algorithms, however, many chal- 586 lenges remain in accurately resolving surface/subsurface interactions. An example that involves the coexis- 587 tence of catchment outlet and seepage face boundary conditions is reported in Sulis et al. [2011], where 588 neglecting to represent the latter due to computational constraints (the fine grid needed to discretize 589 stream channel geometries, including riverbanks) inevitably leads to a wet bias from overly shallow water 590 tables that develop in response to the outlet at the land surface.

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