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1 **Abstract**

2 Street trees provide a wide range of benefits for cities. Street tree cover (STC) is explained by
3 urban form, social stratification and lifestyle theories that operate at multiple scales. In this paper
4 we examine how the urban form (street characteristics), social stratification and lifestyle (socio-
5 demographics) account for variations of STC in Montréal. Tree cover was identified from Quickbird
6 images and then overlaid on street segments to compute the STC. Each street segment was nested
7 in a census tract. We used 2-level models with mixed effects and interactions (between street
8 attributes and socio-demographic variables) while introducing a spatial term. Political, socio-
9 economic or other explanatory factors operating at the tract level can potentially explain 17.6% of
10 the variation of STC. Overall, the street characteristics explained more variation in STC than the
11 socio-demographic context. Lifestyle is less important than social stratification. Street length is
12 positively associated with STC; street width and the percentage of duplexes and triplexes are
13 negatively associated with STC, while construction age has a u-shaped effect on STC. Interactions
14 show that STC is higher in expensive and highly-educated areas that have residential streets or
15 streets with large setback (sidewalk). Areas predominantly comprised of low-income households
16 could have higher or lower STC depending on the number of buildings and the percentage of
17 duplexes and triplexes. Streetscape and socio-demographic contexts intertwine to create complex
18 patterns of STC. Greening programs should be designed carefully according to local contexts since
19 certain types of greening can lead to gentrification and displacement of low-income households.
20

21 INTRODUCTION

22 Trees in cities provide a wide range of benefits for urban dwellers. Trees and tree canopy provide
23 ecosystem services such as urban heat mitigation, stormwater runoff reduction and filtering, and
24 noise reduction (see Mullaney et al. (2015) and Roy et al. (2012)). The presence of trees or tree
25 canopy in neighborhoods has been correlated with the following benefits for inhabitants:
26 increased physical activity (Sarkar et al., 2015), improved mental health (Taylor et al., 2015),
27 improved physical health (through stress reduction and increased social cohesion) (de Vries et al.,
28 2013), and less crime (Donovan & Prestemon, 2012; Troy et al., 2012). Street trees are also highly
29 associated with street walkability and livability (Sarkar et al., 2015).

30 At the same time, urban trees are sources of nuisances or disservices, as termed by some authors
31 (Lyytimäki & Sipilä, 2009). For example, a review by Lyytimäki and Sipilä (2009) shows urban trees
32 may cause damage to physical structures (tree roots, which break up pavements, or tall trees,
33 which may cause maintenance problems), compromise security (green areas are sometimes
34 perceived as unsafe by women) and give rise to health issues (allergies, poisoning). People's
35 perceptions of what they consider to be services and disservices of urban green areas vary across
36 ethno-cultural and demographic groups (Lyytimäki & Sipilä, 2009). Research on the disservices of
37 urban trees that merits increased attention from scholars and public agencies in order to better
38 maximize services and minimize disservices.

39 Despite such nuisances, studies across various urban contexts increasingly show that urban
40 dwellers from different socio-economic groups highly value and recognize the benefits from trees
41 (Mullaney et al., 2015; Peckham et al., 2013; Shackleton et al., 2015). In the context of rising
42 concerns about urban heat islands and increased flooding due to climate change, investing in

43 green infrastructure in general and trees in particular is politically and socially appealing for urban
44 planners and administrators (Matthews et al., 2015). Cities all over the world are promoting trees
45 in general and street trees specifically (Silvera Seamans, 2013) as a means to improve
46 sustainability.

47 However, evidence from many cities is showing that urban vegetation in general and street trees
48 in particular are not equally distributed according to socio-economic status. More specifically,
49 across North American cities, low-income households and certain ethno-cultural groups of the
50 population tend to have limited access to trees as well as to benefits provided by trees (e.g. Landry
51 & Chakraborty, 2009; Pham et al., 2012; Schwarz et al., 2015; Tooke et al., 2010). Furthermore,
52 urban greening can result in paradoxical effects, such as increased housing values, gentrification
53 and displacement of low-income people (Wolch et al., 2014). Greening can also lead to negative
54 and unexpected reactions from the public, as the public may not understand benefits provided by
55 street trees. For example in New York City citizen complaints about the 'New Street Tree' program
56 were mostly found in areas that had new plantings (Rae et al., 2010).

57 The uneven distribution of urban trees and the complexity of ensuring environmental equity raise
58 questions about the physical, ecological and socio-economic correlates as well as the underlying
59 mechanisms associated with urban tree distributions. The distribution of street trees can be city-
60 specific since public policies concerning this issue are determined on the basis of site-specific
61 factors such as climate, geographic conditions, history and preferences (Kirkpatrick et al., 2011).

62 While there is a growing body of literature addressing urban tree cover at the household or parcel
63 scale of analysis, many of these studies focus on residential tree cover rather than on street trees
64 or street tree cover (e.g. Chowdhury et al., 2011; Larson et al., 2010; Shakeel & Conway, 2014). In

65 this article, we focus on street trees. We use street tree cover, hereinafter referred to as “STC”, as
66 an indicator of the presence of street trees.

67 Studies addressing correlates of street trees focus mostly on socio-economic factors that have
68 been reported at larger geographic scales, such as suburbs in Australia, census block groups in the
69 United States or dissemination areas in Canada (Kirkpatrick et al., 2011; Landry & Chakraborty,
70 2009; Pham et al., 2013), with only one study (from Bangalore, India) looking at street
71 characteristics (Nagendra & Gopal, 2010). The three Western studies were conducted in very
72 different cities in terms of climate conditions and population density and with different sets of
73 correlates. Kirkpatrick et al. (2011) used two income variables in suburbs of six Australian cities.
74 Landry et al. (2009), examining street trees from an equity angle, used socio-demographic
75 variables and one variable related to the built environment (median housing age) in Tampa,
76 Florida (United States). Pham et al. (2013) looked at socio-demographic variables and two proxies
77 of the built environment (population density and construction age of parcels) in Montréal, Canada.
78 The study conducted in India by Nagendra and Gopal (2010) examined the distribution and
79 diversity of street trees in relation to street width. To our knowledge there is no study that has
80 examined the role of the physical characteristics of streets in shaping STC in an exhaustive way.
81 More importantly, a large geographic unit (e.g., a block group) typically contains multiple street
82 segments, whereby the coarser resolution of the analysis may mask the heterogeneity of physical
83 characteristics of streets that could be associated with the distribution of STC, e.g., age of housing
84 construction, building density, housing types, presence of a sidewalk and land use. The dispersed
85 scholarship on street trees shows important differences among the studied cities, sometimes
86 presenting contradictory evidence and with few studies presenting an analysis at fine spatial
87 resolutions. For example, Nagendra and Gopal (2010) in their study on Bangalore found that

88 narrow roads had fewer trees and smaller sized trees while wide streets had large trees. The fact
89 that narrow streets, more likely to be residential, have fewer trees or lower tree cover suggests
90 that big trees are not found in areas where the population's need for ecosystem services is
91 highest. Yet Kirkpatrick et al. (2011) witnessed that there was no difference between wide and
92 narrow streets in Hobart (Australia). This wide range of findings makes it difficult to theorize about
93 mechanisms underlying STC and to make generalizations about environmental inequities in tree
94 benefits that could inform urban planning priorities.

95 Drawing on urban form, social stratification and lifestyle theories that explain urban vegetation
96 (Biggs et al., 2013; Lowry et al., 2012; Sanders, 1984) our paper investigates correlates of STC at
97 the fine scale of the street level while also considering the socio-economic context of the
98 neighborhood in the case of Montréal (Canada). We use a multi-level and mixed modeling
99 approach to capture the effects of these theories simultaneously at the street and neighborhood
100 scales. We ask the following research questions: 1) To what extent do street-level physical
101 attributes and socio-demographic factors of context account for variation in STC? 2) Which set of
102 correlates is more important? 3) How do street attributes interact with socio-economic context
103 when shaping STC? By exploring these questions, we hope to enrich the discourse about urban
104 tree cover and raise awareness of the topic of street trees in general.

105 **THE CASE OF MONTRÉAL**

106 This study is conducted on the territory of the City of Montréal before the municipal reform in
107 2002. The city was composed of nine boroughs, a political jurisdiction of Montréal, covering 149
108 km² (Fig 1). In 2006, that area had a population of more than one million people and a population
109 density ranging from 12,000 inhabitants/km² in the central boroughs to 5,000 people/km² on the

110 outskirts. Of the residential dwellings, 64% were apartments in buildings with fewer than five
111 stories; 14% were apartments in duplexes (two dwellings located one above the other in the
112 building); 10% were single- and semi-detached houses; and 8% were apartments in high-rise
113 buildings (Statistics Canada, 2006). The percentage of streets covered by trees is mapped in Figure
114 2.

115 **Figure 1. Location and population density of the former City of Montréal, by dissemination area**
116 **(Statistics Canada, 2006)**

117

118 **Figure 2. Percentage of streets covered by trees, in the former City of Montréal (aggregated by**
119 **dissemination area)**

120

121 Due to an invasion of the Emerald Ash Borer (*Agilus planipennis*) starting in 2011, the City began
122 cutting down 10,000 ash trees on its streets, being the equivalent of 20% of the ash tree
123 population. To compensate for this loss and to address other goals such as mitigating the urban
124 heat island effect, the City is now aiming to increase its citywide tree canopy coverage from 20%
125 to 25% by 2021. For this it plans to plant 300,000 trees, of which 75,000 are street trees and other
126 public trees, together costing over \$68 million Canadian dollars (City of Montréal, 2011). Here, a
127 greater knowledge and understanding of the physical and socio-economic factors that influence
128 STC at the scale of the street level could serve Montréal and other cities to efficiently maintain and
129 expand an equitable and sustainable STC (City of Montréal, 2011) and to identify the areas that
130 are deprived in terms of street trees.

131 **THEORIZING AND MODELLING STREET TREE COVER**

132 As proposed by Sanders (1984) and then developed by other authors (e.g. Berland et al., 2015;
133 Bigsby et al., 2013; Lowry et al., 2012; Troy et al., 2007), the distribution of the urban forest is
134 generally affected by three groups of factors: natural factors (e.g., climate, underlying biome, soils,

135 elevation, slope), the urban form (e.g., population density and urban morphology) and drivers of
136 vegetation management systems (e.g., residential landscaping decisions or public management
137 related to social stratification, lifestyle/ecology of prestige, luxury effects). Some authors have
138 recently shown that in specific geographical conditions natural factors are dominant in shaping
139 urban tree cover. For example, in Cincinnati (characterized by a high variability of elevation)
140 Berland et al. (2015) found hilly areas have more trees and these areas are inhabited by either
141 white wealth people or black people. This is explained by historical segregation of the city. In Salt
142 Lake County, a semi- and arid environment, Lowry et al. (2012) found that annual precipitation
143 and aspects (westness) have significant associations with residential tree canopy. As Montreal
144 does not have very specific natural conditions, we did not consider natural factors in our study.
145 Our theoretical framework is hence designed to consider three theories that explain the
146 distribution of STC: urban form, social stratification and lifestyle.

147 **Urban form**

148 This theory builds on and nuances the population density theory which has been put forth to
149 explain the driver of urban vegetation (Troy et al., 2007). The population density theory posits that
150 because people displace native ecosystems, areas with higher population density have less
151 physical space available for urban vegetation. However, empirical research has shown that the
152 relationship between tree cover and population density varies in direction and magnitude from
153 one city to another. For example, the relationship was found to be negative in Baltimore (Troy et
154 al., 2007) and Denver (Mennis, 2006); positive in multiple Australian cities (Luck et al., 2009); and
155 not significant in Toronto (Conway & Hackworth, 2007). In Montréal, Pham et al. (2013) found a
156 negative relationship between residential tree cover and population density, but a positive
157 relationship between STC and population density. In their work in Raleigh and Baltimore, Bigsby et

158 al. (2013) suggest that population density was generally less important than measures of the
159 urban form such as pervious areas and parcel size. Overall, the research therefore suggests that
160 the population density theory alone is insufficient as an explanation of urban vegetation.

161 The urban form theory also states that tree cover depends largely on the space available for
162 planting. Space available for planting is determined by a set of factors such as parcel size, land use
163 patterns, age of neighborhoods, block perimeter and street density (Biggs et al., 2013; Conway &
164 Urbani, 2007; Mennis, 2006). Lowry et al. (2012) further characterize urban sprawl using five
165 factors: street connectivity, land use mix, median lot size, residential street density and median
166 block perimeter.

167 Tree survival studies have shown that urban trees are more likely to survive in wider rather than
168 narrow tree pits (Koeser et al., 2013; Lu et al., 2010; Nowak et al., 1990). Tree pit size depends
169 mostly on the size of sidewalk and building setback (i.e., distance from road to building). Parcel or
170 neighborhood development age is widely discussed in studies of urban vegetation (Conway &
171 Urbani, 2007; Landry & Pu, 2010; Mennis, 2006). The relationship between the age of the
172 development and the vegetation has been found to be u-shape, in other words, tree cover was
173 found to peak in neighborhoods of a certain age and then decline (Grove et al., 2006; Landry & Pu,
174 2010). This relationship reflects the natural lifecycle of trees (as the neighborhood gets older, trees
175 grow to their full canopy and then die) and the changes in planning practices over time.

176 Such numerous variables characterizing the urban form need to be considered in appropriate
177 scales. In a dense city such as Montréal, having a complex urban form due to its diverse housing
178 patterns, we believe that the street level is a more appropriate scale than census block group or
179 dissemination area level to examine STC.

180 **Social stratification**

181 The social stratification theory can explain how residents with differential socio-economic statuses
182 can influence tree planting and management on public and private lands, or choose to locate in
183 areas with more green amenities. Two variations of this theory can be used to explain the
184 distribution of trees on public lands, including street trees. The “mobility” explanation suggests
185 that people with greater economic means will move to locations with more amenities such as
186 trees (Troy et al., 2007). The mobility explanation will not be considered in this study because it
187 requires long-term data of residential patterns and real estate markets. The second explanation,
188 proposed by Logan and Molotch (1987), is that people with differential access to power and
189 income can influence public investment in amenities such as trees (Grove et al., 2006).

190 According to this theory, tree cover is influenced by socio-economic factors at two geographic
191 levels, one being the individual/household level and the other the neighborhood level. At the
192 former level, tree cover is influenced by landscape decisions of home owners, and at the latter
193 through support for public or private management. In this paper, which focuses on public trees,
194 we examine the socio-economic factors at play at the neighborhood level, such as how citizens
195 take part in decision-making to channel municipal investment toward planting and greening
196 activities or how they promote private investments from developers, grassroots organizations or
197 NGOs to this effect (Conway et al., 2011). The following variables are usually used to represent
198 social stratification at the neighborhood level: income (i.e., the percentage of low-income
199 households); education; housing tenure; marginalized racial groups such as Afro-Americans and
200 Hispanics in the United States; or visible minorities and immigrants in Canada (Grove et al., 2014;
201 Pham et al., 2013; Troy et al., 2007). The information is obtained either from census data or by
202 applying marketing data systems such as PRIZM (in the United States).

203 **Lifestyle**

204 This explanation hypothesizes that locational choices and environmental management decisions at
205 the neighborhood level are motivated by group identity and social status associated with lifestyle
206 (Grove et al., 2014). Lifestyle can be correlated with family size, marital status and life stage. For
207 example, Troy et al. (2007) found that Baltimore neighborhoods predominantly inhabited by
208 families with children have more vegetation in their yards than neighborhoods inhabited by singles
209 or couples with no children. Applying this theory to street trees, we argue that advocating for
210 street trees and/or choosing to live in neighborhoods with higher STC has social meaning, namely
211 in that it contributes to the neighborhood identity and quality, but that it does not, in and of itself,
212 qualify as a luxury item.

213 Similarly to the social stratification variables, lifestyle is usually examined at the neighborhood
214 level. Previous authors also used PRIZM data in the United States (Bigsby et al., 2013; Grove et al.,
215 2014) other census data, such as marital status or the number of families with children (Grove et
216 al., 2014; Troy et al., 2007). Although tree cover could be influenced by individual decision making,
217 social stratification and lifestyle in the mentioned studied are examined at an aggregated level, i.e.
218 in census units. In such studies, individual and neighborhood mechanisms are not distinct one
219 from another.

220 In the body of literature on urban vegetation cover, a very frequently asked question is which
221 theory best explains the variation in urban vegetation cover (Bigsby et al., 2013; Lowry et al.,
222 2012). Most authors found that the urban form theory is more important than socio-economic
223 factors in influencing residential tree cover. This paper aims to quantify how the urban form, social
224 stratification and lifestyle theories impact STC at their respective scales. We then further examine

225 how the urban form interacts with socio-economic and lifestyle factors (called as socio-
226 demographic factors hereafter).

227 **Multi-level and mixed models with a spatial dependency**

228 We use a multi-level modeling framework to identify associations at different spatial scales. A
229 street segment is examined at the first level of analysis, based on its own tree cover and physical
230 characteristics related to the urban form. A street segment is defined here as a portion of
231 pavement, without the sidewalk, between two cross streets (Figure 3). The street is nested in a
232 neighborhood with a socio-demographic profile featuring social stratification and lifestyle
233 characteristics. The neighborhood in this paper is represented by census tracts, a common proxy
234 used in Canadian studies that consider urban vegetation at the neighborhood level (Conway &
235 Hackworth, 2007; Tooke et al., 2010). Census tracts are small and relatively stable areas that
236 usually have a population between 2,500 and 8,000 persons (Statistics Canada, 2006).

237

238 **Figure 3. Example of street segment (in black) and setback (arrow) on a map (Source: Open**
239 **Street Map)**

240

241 Multi-level and mixed models address several sources of uncertainty that are important in the
242 analysis of geographically-nested data. Fixed-effects models account for baseline differences in the
243 dependent variable across units to identify global associations between independent and
244 dependent variables. Random-effects models allow associations to differ among neighbourhoods
245 and streets. In our analysis, this would have two effects. First, physical urban form and socio-
246 demographic factors relate differently to STC across different census tracts by setting a random

247 intercept. Second, socio-demographic factors relate differently to STC across streets by setting
248 socio-demographic factors with random effect.

249 Another particularity of our models is the introduction of a spatial term. As shown in previous
250 studies (Landry & Chakraborty, 2009; Pham et al., 2013), urban tree cover is usually autocorrelated
251 spatially. In our data, we also detected such spatial autocorrelation on residuals of models (see the
252 Results section for details). We hence decided to compute a spatial term of STC and included it in
253 our models.

254

255 **DATA DESCRIPTION, VARIABLE COMPUTATION, MODEL SPECIFICATION**

256 **Dependent variable – street tree cover**

257 Our dependent variable is the percentage of a street segment that is covered by trees (Table 1),
258 which we refer to as street tree cover, or STC. In Montréal, most trees in front of houses that have
259 canopy on the street surface are publicly managed by the City or borough administration
260 (including planting, maintenance and removal). We point out, however, that a good tree cover
261 may or may not be the result of a dense planting of trees depending on tree foliage.

262 Tree cover was identified from very high resolution Quickbird images (60cm, acquired in
263 September 2007). A classification was applied to the images in eCognition 8.1 in order to identify
264 two classes of vegetation: lawn and a combination of trees and shrubs/small trees (Pham et al.,
265 2011). For this paper, we used the trees/shrub class, hereinafter referred to as “trees”. Street
266 segments were created from a street polygon of the entire study area provided by the City of
267 Montréal. We then overlaid this map with the tree cover map to obtain the percentage of street
268 surface that is covered by trees.

269 **Table 1. Dependent, independent variables and their summary statistics**

| | Variable | Name (unit) | Mean | Standard deviation |
|-----------------|--|---|--------|--------------------|
| | Dependent variable | | | |
| | PctTree | Percentage of street covered by trees (%) | 7.25 | 10.68 |
| Theories | Level 1 – street characteristics (n=10 800) | | | |
| Urban form | Street_Width | Width of the street segment (m) | 15.97 | 7.34 |
| | Street_Length | Length of the street segment (m) | 136.05 | 87.78 |
| | AgeMed | Median year of construction of buildings | 1953 | 28.25 |
| | PctResi | Residential buildings (%) | 83.57 | 27.96 |
| | PctDuTri | Duplex and triplex buildings (%) | 41.81 | 39.26 |
| | N_Build | Number of buildings | 13.98 | 14.37 |
| | Setback | Building Setback (m) | 7.20 | 4.30 |
| | Level 2 – neighborhood's socio-economics (n=308) | | | |
| Social strat. | Val_Dwell | Housing value (thousand dollars) | 267.53 | 79.92 |
| | Uni_Dip | Percentage of university degree holders | 16.86 | 9.61 |
| | Low_inc | Percentage of low-income households | 30.26 | 11.52 |
| | Rec_immi | Percentage of recent immigrants | 10.02 | 7.34 |
| Lifestyle | With_Child | Percentage of households with children | 34.86 | 12.54 |
| | PctFrench | Percentage of French speakers | 66.86 | 24.13 |

270

271

272 **Level 1 independent variables – street characteristics**

273 We chose urban form variables that were identified in the literature as important correlates of
274 urban vegetation and tree cover. These are: width of the street segment, i.e., *street width*; length
275 of the street segment, i.e., *street length*; median age of buildings on the street segment,
276 *construction age*; *percentage of residential buildings*; *percentage of duplexes and triplexes*;
277 *number of buildings*; and building setback, i.e., *setback* (Table 1). It is important to note that *street*
278 *width* is likely correlated with the type of street, such as arterial, collector or local. Nevertheless,
279 *street width* was designated as a quantitative physical measurement rather than as one referring
280 to a category of use.

281 Street segments were created from the street map provided by the City of Montréal. To estimate
282 the width and length of street segments, we used the “bounding containers” tool in ArcGIS to
283 measure the two axes of the rectangle that fits the street segment the best. In order to compute
284 the other variables, we joined the street segment map with the parcel and building maps
285 (provided by the City of Montréal). Each parcel and building was associated to one and only one
286 street segment. We then computed the median value of building age per street segment, the
287 average value of the proportion of residential use, commercial use and industrial use; as well as
288 the proportion of duplex, triplex houses by street segment. For each street segment, an average
289 value of building setback was computed. The building setback was defined as the distance
290 between a building and the street, which corresponds roughly to sidewalk width in high density
291 urban areas (Figure 4). These variables do not suffer from multicollinearity problems. Their
292 variance inflation factor (VIF) (Chatterjee & Hadi, 2006) are lower than 2.

293 **Figure 4. Illustration of setback of a street in downtown Montréal. Photo credit: first author**

294 **Level 2 independent variables – neighborhood context**

295 To capture effects of neighborhood context on STC, we used the census tracts produced by
296 Statistics Canada (Table 1). We selected a set of variables that proved important in previous tree
297 studies (Bigsby et al., 2013; Grove et al., 2014; Landry & Chakraborty, 2009; Pham et al., 2013;
298 Troy et al., 2007). Exclusion of variables that suffered from multicollinearity was conducted based
299 on the VIF values.

300 Level 2 independent variables related to the social stratification theory are: *dwelling value*;
301 *percentage of university degrees*; *percentage of recent immigrants* (migrating from 1996 and
302 2006); and *percentage of low-income households*. The percentage of renters was not retained

303 because it is highly correlated with the percentage of low-income households (Pearson $r=0.8$,
304 VIF=5.03). At Statistics Canada, “low-income households” is a census variable defined as “income
305 levels [before tax] at which families or persons not in economic families spend 20% more than
306 average of their before tax income on food, shelter and clothing” (Statistics Canada 2006: 143). It
307 is worth noting that although recent immigrants are lumped into one variable, we are aware
308 ethnocultural groups may differ greatly in their preferences for vegetation, and some may prefer
309 less or no vegetation (Fraser & Kenney, 2000). Combining all the groups into one category may
310 mask those variations in preferences. However, the low percentage of each group in the total
311 immigrants would prevent a statistically robust analysis.

312 Level 2 variables related to the lifestyle theory included two variables that are relevant to the case
313 of Montréal. First, to characterize the family status, we chose the *percentage of families with*
314 *children* instead of the percentage of married couples, as is done in other studies (e.g. Troy et al.,
315 2007). This is because in the province of Quebec, where Montréal is located, only 38% of couples
316 are in common-law relationships but almost 63% of children are born in a common-law
317 relationships (Bourdais et al., 2014). The second variable is the *percentage of French speakers* as a
318 proxy of cultural and linguistic identities. In Montréal these identities differ among French, English
319 and non-official language speakers. For example, the English-speaking population finds it
320 important to preserve their local community and to express their social and cultural specificity
321 (Boudreau, 2003; Boudreau et al., 2006) through community-building activities, such as high
322 participation in local planning. Differences in identities of these groups can influence locational
323 choices of residence as well as public policies and ordinances related to the environment
324 (Boudreau et al., 2006) and tree protection/planting at the local level (borough, in the case of the
325 City of Montréal). As explained above, we could not introduce each ethnocultural group of the

326 'non-official language speakers' in the model. Our models might miss nuances of preferences
327 toward urban trees among these groups.

328 In terms of sample size, researchers have recommended a minimum of five level 1 observations
329 per level 2 group (Maas & Hox, 2005). We removed census tracts that have fewer than five street
330 segments (n=4, or 1.28%). In total, our study area contains 10,800 street segments that are nested
331 in 308 census tracts.

332 Regarding spatial dependency, we determined the radius of the spatial lag term for the models by
333 comparing values of the Moran's I statistic calculated over the range of 100 to 500 meters. We
334 identified that spatial autocorrelation was maximized at the distance of 200 meters, and therefore
335 computed the spatial lag of STC at this radius.

336 **Model specifications**

337 We implemented multi-level and mixed models within the R version 3.1.2 environment using the
338 lmerTest package (R Foundation for Statistical Computing, 2015). Models were fitted using
339 restricted maximum likelihood estimation to provide unbiased estimates of variance and
340 covariance parameters (Hox, 1998).

341 We explored several cases of the model by integrating increasing complexity to investigate the
342 role of different model components. In all models we let intercepts vary randomly at the census
343 tract level to account for interdependence among observations. The first of these, Model 1,
344 contained tract-level intercepts only, allowing us to assess variations in STC across census tracts.
345 We expanded this approach to include estimations of coefficients for physical properties of street
346 segments in Model 2, of coefficients for tract-level social stratification variables in Model 3a, and
347 of social stratification and lifestyle in Model 3b. Model 4a considered covariates at the level of the

348 street segment as well as social stratification covariates at the tract level. Model 4b considered all
349 covariates at the street and tract levels. Finally, in Model 5 we introduced all variables of Model 4b
350 and also interactions of four street variables (*PctResi*, *percentage of residential buildings*; *PctDuTri*,
351 *percentage of duplexes and triplexes*; *N_Build*, *number of buildings*; and *Setback*, *building setback*)
352 with all the census variables in order to see how these change their effect across different
353 characteristics of streets. All four street variables were considered to be random. *Street width*,
354 *street length* and *construction age* were not included in interactions as we consider them to be
355 control factors.

356 We compared model fits using the Akaike information criterion (AIC) and the Bayesian information
357 criterion (BIC). For Model 1 we also computed the intra-class correlation (ICC), which represents
358 the proportion of between-tract variance in the total variance, as follows:

$$359 \quad ICC = \sigma_u^2 / (\sigma_u^2 + \sigma_e^2) \quad [1]$$

360 where the variance parameters σ_u^2 and σ_e^2 represent the within-tract and between-tract variances,
361 respectively.

362 ICC indicates the proportion of the variance of the dependent variable explained by unaccounted
363 for census tract-level heterogeneity.

364 **RESULTS**

365 **Effects of the three theories on STC**

366 Moran's I tests on residuals of the models with and without the spatial lag show that models
367 without the spatial lag suffer from the spatial autocorrelation problems as Moran's I on their
368 residuals varying from 0.12 to 0.17. Models that included the spatial lag variable produce residuals

369 that are not spatially correlated with Moran's I varying from -0.02 to 0.05 . This confirms the need
370 to test spatial autocorrelation and introduce a spatial term in the models.

371 Fixed and random effects of the first four models are shown in Table 2. The spatial lag is significant
372 in all models. AIC indicators indicated that Model 2 (including only street variables; $77,752.50$) was
373 better than Model 3a ($79,066.5$) and Model 3b (including only tract variables; $79,062.10$). Model 2
374 was even slightly better than Model 4a ($77,760.20$) and 4b ($77,756.80$). Comparing Model 3a and
375 3b, and Model 4a and 4b, lifestyle variables result in a slightly lower AIC, suggesting that these
376 variables contribute to explaining the variation of STC, albeit to a small degree.

377

378 **Table 2. Fixed and random effects of the four models without interactions**

| Theories | Fixed effects | Model 1 | | Model 2 | | Model 3a | | Model 3b | | Model 4a | | Model 4b | |
|---------------|-----------------------|---------|-----------|---------|-------------|----------|-----------|----------|-----------|----------|------------|----------|-----------|
| | | β | t-value | β | t-value | β | t-value | β | t-value | β | t-value | β | t-value |
| Urban form | Intercept | 7.38 | 26.46 *** | -6.21 | -12.54 *** | 0.73 | 1.64 | -0.84 | -0.62 | -7.17 | -10.37 *** | -8.10 | -5.53 *** |
| | Street_Width | | | -0.11 | -9.04 *** | | | | | -0.11 | -9.28 *** | -0.12 | -9.44 *** |
| | Street_Length | | | 0.01 | 6.45 *** | | | | | 0.01 | 6.38 *** | 0.01 | 6.38 *** |
| | PctDuTri | | | 0.00 | -2.03* | | | | | 0.00 | -1.88 | -0.01 | -2.29* |
| | PctResi | | | 0.04 | 12.52 *** | | | | | 0.04 | 12.39 *** | 0.04 | 12.47 *** |
| | AgeMed | | | 0.06 | 8.02 *** | | | | | 0.06 | 7.72 *** | 0.05 | 7.52 *** |
| | AgeMed2 | | | 0.00 | -4.62 *** | | | | | 0.00 | -4.64 *** | 0.00 | -4.46 *** |
| | N_Build | | | 0.13 | 13.52 *** | | | | | 0.13 | 13.46 *** | 0.14 | 13.54 *** |
| | Setback | | | 0.18 | 8.55 *** | | | | | 0.18 | 8.19 *** | 0.18 | 8.25 *** |
| | Spatial lag | | | 0.69 | 44.28 *** | 0.80 | 50.53 *** | 0.79 | 49.38 *** | 0.67 | 41.40 *** | 0.67 | 41.54 *** |
| Social strat. | Val_Dwell | | | | | 0.00 | 1.86 | 0.00 | 3.03 ** | 0.00 | 2.43 ** | 0.01 | 4.05 *** |
| | Uni_Dip | | | | | 0.02 | 1.95 | 0.01 | 0.79 | 0.02 | 1.54 | -0.01 | -0.45 |
| | Low_inc | | | | | -0.02 | -2.06* | -0.03 | -2.07* | -0.01 | -1.03 | -0.03 | -1.82 |
| | Rec_immi | | | | | 0.03 | 1.75 | 0.07 | 3.05 ** | 0.03 | 1.61 | 0.08 | 3.74 ** |
| Lifestyle | With_Child | | | | | | | 0.00 | -0.18 | | | -0.02 | -1.50 |
| | PctFrench | | | | | | | 0.02 | 2.28* | | | 0.02 | 2.59 ** |
| | AIC | | 80193.10 | | 77752.50 | | 79066.50 | | 79062.10 | | 77760.20 | | 77756.80 |
| | BIC | | 80200.50 | | 77760.00 | | 79092.60 | | 79095.70 | | 77767.60 | | 77764.30 |
| | Random effects | | | | | | | | | | | | |
| | Intercept - | | | | | | | | | | | | |
| | Census tract | | 19.89 | | 0.55 | | 0.00 | | 0.00 | | 0.4573 | | 0.31 |
| | Residuals - | | | | | | | | | | | | |
| | Street | | 93.01 | | 77.40 | | 88.51 | | 88.44 | | 77.3206 | | 77.31 |
| | ICC | | | | 0.18 | | | | | | | | |

379 *** p < 0.001; ** p < 0.01, *p < 0.05

380 The introduction of street characteristics of the urban form reduced both the variance of STC at
381 the tract level (between-tract variance reduced from 19.89 in Model 1 to 0.55 in Model 2) and the
382 variance at street level (from 93.01 in Model 1 to 77.40 in Model 2). The introduction of social
383 stratification and lifestyle variables substantially reduced variance at the tract level, from 19.88 to
384 0.00 in Model 3a and 3b, yet not at the street level. This is understandable because street-level
385 variables can explain variation of STC at the tract level but not inversely. This suggests that street
386 variables of the urban form are more efficient in explaining street-level variations of STC, but that
387 social stratification and lifestyle variables are more efficient in explaining tract-level variations of
388 STC. Overall, at both levels, street variables of the urban form are more important than social
389 stratification and lifestyle variables in explaining STC.

390 **Model 1: Variation explained by differences between neighborhoods**

391 The ICC indicates that between-tract variance can explain up to 17.6% of the total variance. This
392 suggests that political, socio-economic or other explanatory factors operating at the tract level and
393 relating to STC can potentially explain 17.6% of the variation of tree cover.

394 **Model 2: Variation explained by street characteristics**

395 Accounting for street-level urban form covariates (Table 2), all variables are significant at $p < 0.01$.
396 The most significant variable is *number of buildings* (N_Build , t -value=13.52), with STC increasing
397 as the *number of buildings* increases. The second important variable is *percentage of residential*
398 *buildings* on the street, with a positive association (t -value=12.52). This suggests that a residential
399 street tends to have larger STC than industrial or commercial streets. Not surprisingly, *street width*
400 has a negative and significant association with STC (t -value=-9.04), meaning that the surfaces of
401 wide streets tend to be less covered by trees. This is due to two facts. First, wider streets are less

402 likely to have nearby tree canopy large enough to cover a large proportion of the street surface.

403 Second, wide streets tend to be arterials, which generally have fewer trees.

404 *Setback*, the distance between a building and the street, has a strong and significant positive
405 association with tree cover (t-value=8.55): STC is greater on streets with a wider setback. *Street*
406 *length* also has a positive association with tree cover (t-value=6.45), meaning that STC is greater
407 on longer streets. The two variables concerning the construction age are significant, suggesting
408 that the relationship between *construction age* and STC was U-shaped. Finally, *percentage of*
409 *duplexes and triplexes* is negatively associated with STC (t-value=-2.03), suggesting that streets
410 having these types of buildings have less STC.

411 **Model 3a and 3b: Variation explained by social stratification and lifestyle**

412 In Model 3b, *dwelling value* is positively significant (t-value=3.03), meaning streets with more
413 expensive houses tend to have more STC. The percentages of *recent immigrants* and of *French*
414 *speakers* have a positive coefficient (t-value=3.05 and 2.28, respectively). Even after controlling for
415 these variables, the *percentage of low-income households* still has a negative association with STC
416 at $p < 0.05$ (t-values=-2.07).

417 **Models 4a and 4b: Variation explained by street characteristics as well as context**

418 In Model 4a and 4b, variable coefficients change slightly compared to the previous models.
419 Variances of errors in random effects are much lower than those in the previous models,
420 suggesting that combining variables at the two levels is more helpful in explaining variations of
421 STC.

422 **Model 5: Interactions between the street characteristics and context variables**

423 Results of the interactions in Model 5 are reported in Table 3. Only significant variables are shown
 424 due to lack of space. The AIC value (77,578.8) is lower here than in all other models, suggesting
 425 that the inclusion of the interactions contributed to explaining STC. At the street level, *street*
 426 *width, street length, percentage of duplexes and triplexes* as well as *construction age* are
 427 significant with similar coefficients of Model 2. However, three street variables become non-
 428 significant (*percentage of residential buildings, number of buildings, and setback*), although some
 429 of their interactions remain significant. At the neighborhood level, the only significant variable is
 430 the *percentage of French speakers*.

431 **Table 3. Fixed and random effects Model 5 (with interactions)**

| | Variables | β | t-value |
|-----------------------|-------------------------|----------|-----------|
| Fixed effects | | | |
| Street level | Street_Width | -0.1149 | -9.55 *** |
| | Street_Length | 0.008601 | 5.14 *** |
| | PctDuTri | -0.09314 | -2.66 ** |
| | AgeMed | 0.04976 | 6.90 *** |
| | AgeMed2 | -0.00017 | -3.89 *** |
| | Spatial lag | 0.5162 | 29.50 *** |
| Census level | French | -0.05973 | -2.48* |
| Interactions | PctResi *Val_Dwell | 0.000143 | 2.73 ** |
| | PctResi * Rec_immi | 0.001518 | 2.24* |
| | PctResi *French | 0.000504 | 2.17* |
| | N_Build * Low_inc | -0.00460 | -3.14 ** |
| | N_Build * Rec_immi | 0.006673 | 2.90 ** |
| | N_Build *With_Child | -0.00403 | -2.63 ** |
| | PctDuTri *Uni_Dip | 0.000926 | 2.31* |
| | PctDuTri * Low_inc | 0.000731 | 2.18* |
| | Setback*Uni_Dip | 0.01286 | 2.95 ** |
| | AIC | 77578.8 | |
| | BIC | 77597.4 | |
| Random effects | | | |
| | PctResi – Census tract | 0.000037 | |
| | N_Build – Census tract | 0.01428 | |
| | PctDuTri – Census tract | 0.000022 | |

| | | |
|------------------------|----------|-----|
| Setback – Census tract | 0.007152 | 432 |
| Residuals - street | 71.3397 | |

433

434 We plotted the amount of STC against each socio-demographic variable by using coefficients
 435 estimated from Model 5 (Fig. 5). The plots were created separately for three types of census tracts
 436 according to their differences in street characteristics. For example, census tracts are considered
 437 as having a “low residential level” when the standard deviation of *PctResi* (*percentage of*
 438 *residential buildings*) is subtracted from the mean value of this variable; a “medium residential
 439 level” when *PctResi* equals the mean value of this variable; and a “high residential level” when the
 440 standard deviation of *PctResi* is added to the mean value of this variable.

441

442 **Figure 5. Effects of socio-demographic factors across different levels of street characteristics**

443

444 The most influential interaction takes place between *dwelling value* and *percentage of residential*
 445 *buildings* on the streets, indicating that STC is higher in expensive areas having highly residential
 446 streets. STC is also higher in areas inhabited by recent immigrants on highly residential streets. STC
 447 is slightly lower in French-speaking areas having a lot of residential buildings on the streets.

448 STC is lower in areas that are inhabited predominantly by low-income households and by families
 449 with children, and that have a large number of buildings. Inversely, STC is higher in areas with a
 450 high percentage of recent immigrants and on streets having a large number of buildings. STC is
 451 also higher in areas inhabited predominantly by low-income households and by university degree
 452 holders in duplexes and triplexes. The only variable that has a significant interaction with *setback*

453 is the *percentage of university degrees*. STC is higher in areas with high levels of education, and the
454 effect is stronger in streets with a larger *setback*.

455 **DISCUSSION**

456 In this paper, we examined the roles of physical street characteristics and neighborhood context
457 on the variation of street tree cover (STC) using two-level and mixed models. We used fine-grained
458 data on street characteristics that allowed us to capture associations between STC and the urban
459 form. Furthermore, the use of mixed effects, a spatial term and interactions in our models allowed
460 us to obtain more robust results. In this section, we will focus on the results of the last and the
461 most complex model, Model 5, because it was the best performing (lowest AIC) and contained the
462 most information.

463 **Street characteristics and the urban form**

464 All our model results indicate that the variables representing the urban form are more important
465 than those representing social stratification and lifestyle. While the *number of buildings* has a
466 positive association with STC, the *percentage of duplex and triplex housing*, (which tends to be high
467 in dense and central quarters in Montréal, has a negative association with STC. Our findings with
468 respect to housing types are similar to previous research, which found higher canopy cover in
469 areas with a higher proportion of single-family homes (Troy et al., 2007). A possible explanation
470 for these findings is tenure modes. Nowak et al. (1990) observed that homeowners, more likely to
471 live in single-family homes, are more likely to engage in the care of street trees than renters who
472 are more likely to live in duplex and triplex houses.

473 *Street width* has a negative association with tree cover. Although *street width* is partially
474 confounded here with types of streets, for example arterials are wider than local streets, STC was
475 likewise lower on wider streets. One possible explanation is that the City did not prioritize planting

476 trees along arterials that are not used for walking. To verify this explanation, interviews with the
477 City are needed, which is beyond the scope of this study. Another plausible explanation is that
478 trees are sparsely planted on big streets in order to reserve space for facilities such as power lines
479 and drainage systems, or that trees are restricted by transport engineering standards in order to
480 maintain clear zones for traffic safety (Wolf & Bratton, 2006). The *construction age* has a U-shaped
481 relationship with tree cover, which corroborates previous studies on urban vegetation (Grove et
482 al., 2006; Landry & Chakraborty, 2009; Mennis, 2006).

483

484 **Socio-demographic context and its interactions with street characteristics**

485 The introduction of socio-demographic variables helps increase the proportion of explained
486 variance of STC at the tract level. Lifestyle variables prove to be important in explaining STC due to
487 the *percentage of French speakers*, although much less so than social stratification variables.

488 Street characteristics interact very differently with socio-demographic variables. For example,
489 *percentage residential* has a positive interaction with *dwelling values* and with *percentage of*
490 *recent immigrants*, but a negative interaction with *percentage of French speakers*. *Number of*
491 *buildings* on the streets also has different interactions with socio-demographic variables: positive
492 interactions with *recent immigrants* and negative interactions with *low-income households* as well
493 as with *families with children*. This means that STC is lower in areas having a high number of
494 buildings and inhabited by the last two groups. Given the economic situation and health status of
495 the latter two groups, these results might raise environmental equity concerns that highlight a
496 greater need for tree cover.

497 Interestingly, some socio-demographic variables have different effects from one model to another.
498 In the models without interaction, *percentage of low-income households* is negatively associated
499 with STC. In the interactive model, this variable interacts negatively with the *number of buildings*
500 but positively with the *percentage of duplexes and triplexes*. The *percentage of French speakers*
501 has positive effects in the non-interactive models but negative effects in the interactive model.
502 Explaining why STC is lower in French-speaking areas having a lot of residential buildings needs
503 further research. Even if the reasons for these relationships are (still) unclear, these findings
504 highlight the importance of the urban form when considering the relationship between social
505 stratification and STC.

506 Three social stratification variables that do not change the direction of their coefficients across
507 models are *dwelling value*, *recent immigrants* and *university degrees*, and all three have positive
508 associations with STC. When interacting with the residential variable at the street level, *dwelling*
509 *values* and *recent immigrants* have positive interactions in streets having a high *number of*
510 *residential houses*. It is suggested in the literature that residential streets have favorable growing
511 conditions for trees because water and nutrients from residential front yards are more likely to be
512 close to street tree planting pits. Moreover, as residential streets are less exposed to car and
513 pedestrian flows, trees there are less likely to become damaged and vandalized (Jim, 1987; Nowak
514 et al., 1990). The interaction results suggest that in Montréal the influence of the *number of*
515 *residential houses* on STC is even higher in expensive neighborhoods. This finding would support
516 the social stratification explanation, in that one might expect the differential influence on tree
517 planting associated with socio-economic status to be greater in areas where people live.

518 The *percentage of recent immigrants* has a positive association with STC, as exemplified with the
519 Côte-des-Neiges and Loyola neighborhoods (marked as 1 and 2 on Figure 2). Côte-des-Neiges,

520 being an older neighborhood with an aging housing stock, was designed in keeping with the urban
521 form principles of the time (mostly constructed before World War II and in the 1950s), which
522 allowed for a high STC. It is usually chosen by new arrivals and university students because of its
523 affordable housing stock, proximity to colleges and universities, abundant public services, such as
524 hospitals and available community support. In 2006, 54% of its population is immigrants, mostly
525 from Asia (Southeast Asia in particular) and North Africa. Of these, more than one third was recent
526 immigrants (INRS-UCS, 2010a). As for Loyola, recent immigrants also made up one third of its
527 immigrant population. Immigrants were principally from Europe (notably Eastern Europe) and Asia
528 (Eastern Asia in particular) (INRS-UCS, 2010b). In this neighborhood, it is common to find detached
529 houses and high-rise buildings on the same street. High-rise buildings are often chosen by recent
530 immigrants for their lower price. Detached houses with gardens and well-vegetated streets
531 contribute largely to the greenness of the neighborhood.

532 We also found positive associations between STC and high *dwelling value*, especially in highly
533 residential streets and *university degree*. These suggest that households with limited housing
534 budgets and less education tend to live in neighborhoods with a small amount of STC and with less
535 of the benefits provided by street trees. This lack of environmental equity of STC is likely
536 attributed to several mechanisms. The first mechanism is related to the social-stratification
537 explanation of uneven STC distributions related to purchasing power: green and tree-shaded
538 neighborhoods are more expensive (Donovan & Butry, 2010). The second mechanism concerns
539 differences in the motivation behind greening initiatives of neighborhood-based organizations and
540 individuals associated with socio-economic status. A study conducted in Missouri shows that
541 residents from high-income and high-education areas were more willing to fund street tree
542 programs (Treiman & Gartner, 2006). In Montréal, residents do not fund tree programs but they

543 have an influence on where municipal funds are going, especially by exerting pressure on their
544 municipal counselors which are elected every four years. Further contrasting reactions to tree
545 planting were observed in Hobart (Australia), where poor neighborhoods had a hostile reception
546 of new plantings, even to the point of destroying them; while wealthy suburbs exhibited no signs
547 of objection (Kirkpatrick et al., 2011).

548 **CONCLUSION**

549 In this paper, we were able to capture the variations of STC explained by each of the three
550 theories (urban form, social stratification and lifestyle). All three prove to be important in
551 explaining STC, whereby urban form proved to be the most essential, followed by social
552 stratification and lifestyle. Some interactions between street characteristics and socio-
553 demographic variables are significant, suggesting that interactions merit further examination in
554 future studies on urban forests. The interactions observed in this study could be used to better
555 design greening strategies taking into account both the urban form and socio-demographic
556 context. Empirical evidence from Montréal in this paper contributes to enrich and enlarge
557 theoretical frameworks of STC correlates. However, the development, application and
558 interpretation of the models are complex. The selection of variables was made carefully while
559 taking into consideration our knowledge about the study area. Methodology in this paper could be
560 used to model urban tree cover in other cities. The choice of variables and results will depend on
561 physical and socio-demographic particularities of the cities.

562 Our findings provide insight for urban planners to address possible inequities in the distribution of
563 street trees in order to increase tree cover and reduce heat islands in problematic areas of the
564 city. For example, the careful design of tree planting along wide streets could enhance road safety,

565 and a greater number of trees on commercial streets could render those streets more walkable
566 and livable. In Montréal, where public trees are usually planted on sidewalks, sidewalks in new
567 neighborhoods should be designed wide enough so that trees can grow adequately. In dense areas
568 where wider sidewalks are not possible, urban planners could resort to alternatives such as green
569 roofs or green walls. However, such greening measures might be inferior to trees in terms of their
570 ecosystem value.

571 Our paper also reveals that high dwelling value and high level of education were associated with
572 more STC, while low-income areas tend to have lower STC, even after controlling for the urban
573 form. These results point to the need to integrate local equity in greening programs. More
574 importantly, greening should be done in a way that avoids creating or exacerbating concerns
575 about environmental inequity. In other words, equity should be a key principal in urban forest
576 programming. Evidence from other cities has shown that greening efforts may create paradoxical
577 effects on equity. Urban planners, local stakeholders, communities and gentrifiers need to
578 collaborate in the planting of trees. They can do so by resisting speculative development, targeting
579 areas inhabited by low-income families, encouraging participative planning, and prioritizing small-
580 scale sites over grand green projects (Wolch et al., 2014). Other strategies can be also useful, such
581 as raising public awareness and informing local residents about plantings and procedures.

582

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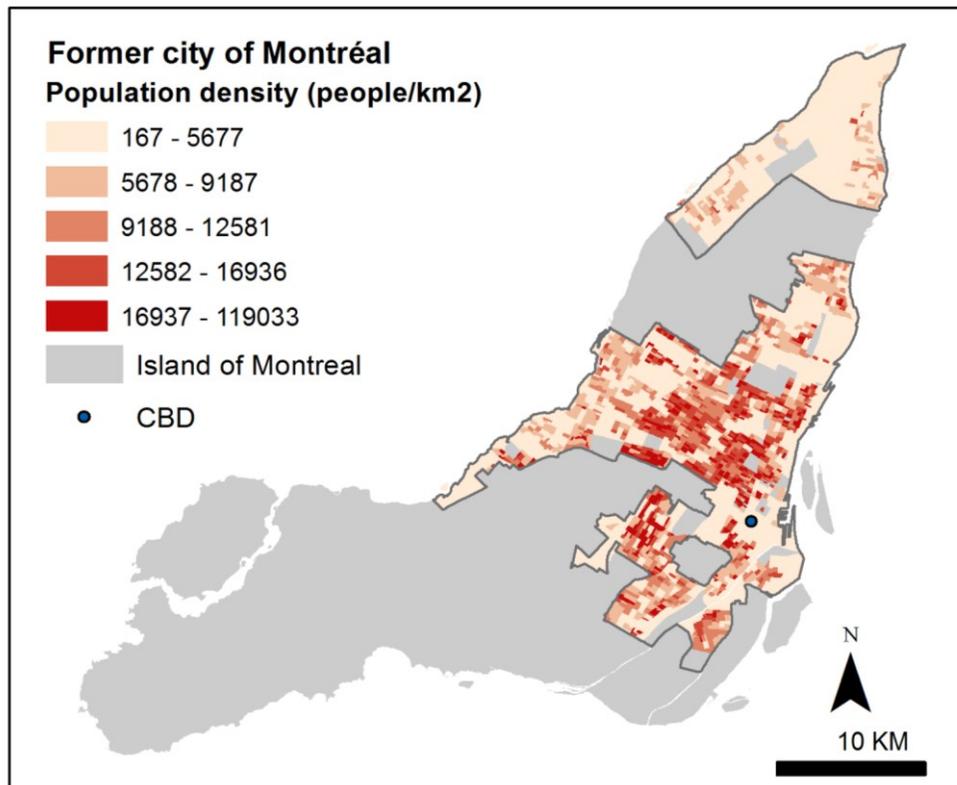


Figure 1. Location and population density of the former city of Montréal, by dissemination area (Statistics Canada, 2006).

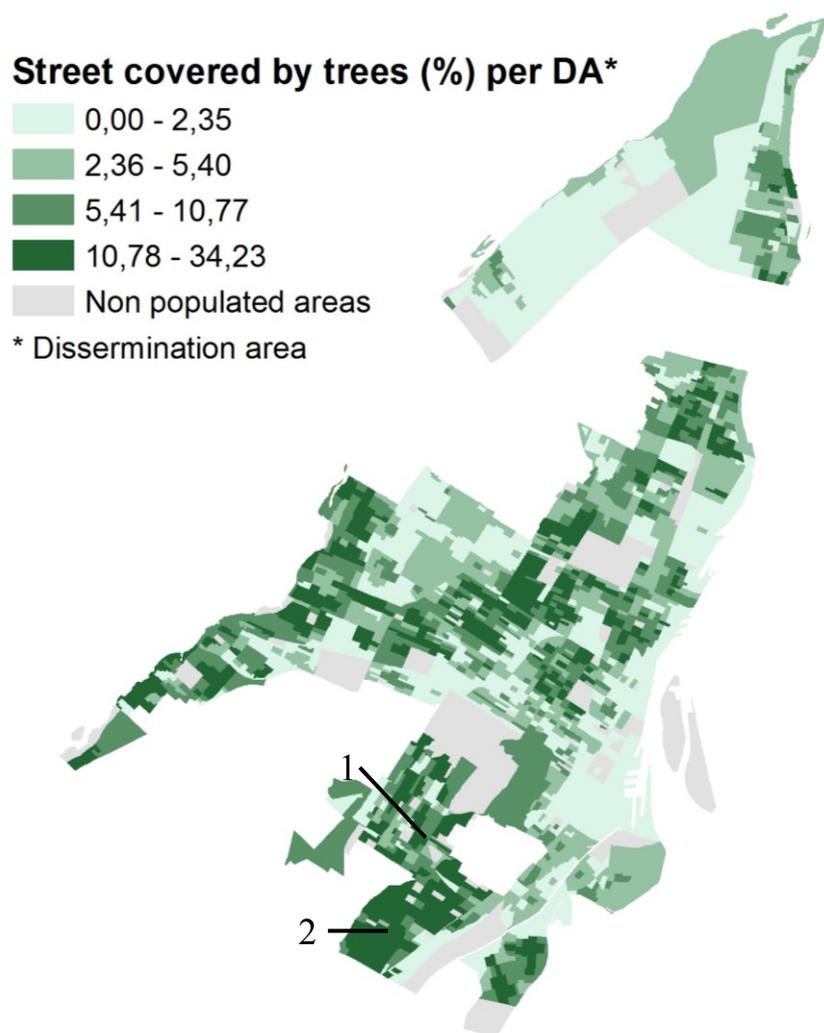


Figure 2. Percentage of streets covered by trees, in the former City of Montréal (aggregated by dissemination area).

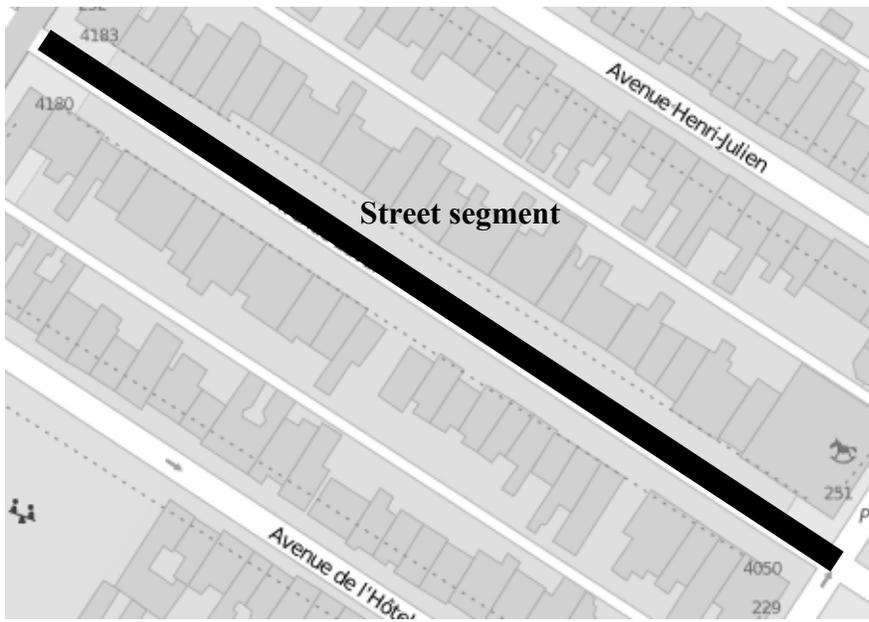


Figure 3. Example of street segment (in black) and setback (arrow) on a map

(Source: Open Street Map)

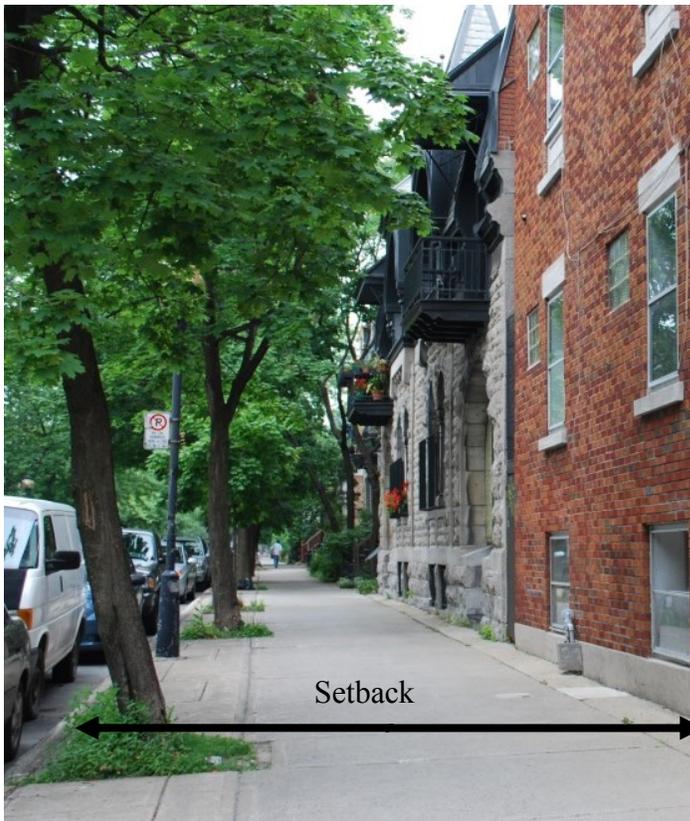


Figure 4. Illustration of setback of a street in central Montréal. Photo credit: first author.

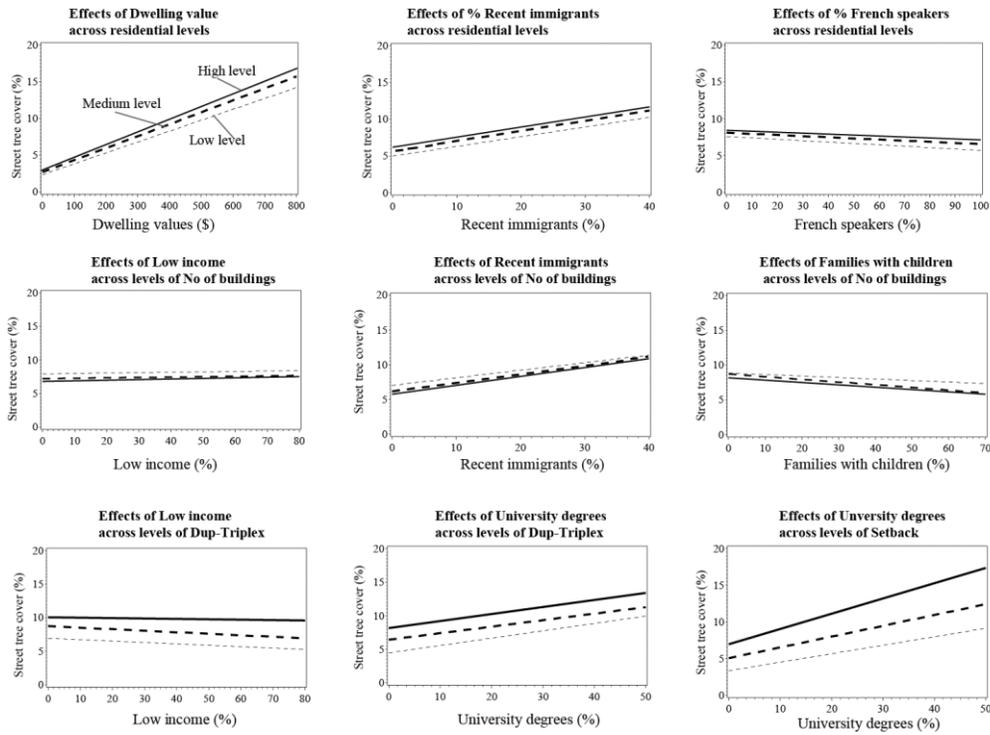


Figure 5