

1 Regional low-flow frequency analysis with a recession parameter from a non-  
2 linear reservoir model

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27 **Abstract:** Several studies have shown that improvements in the regional prediction of low-  
28 flow characteristics can be obtained through the inclusion of a parameter characterising  
29 catchment baseflow recession. Usually, a linear reservoir model is assumed to define  
30 recession characteristics used as predictors in regional models. We propose in this study to  
31 adopt instead a non-linear model. Predictors derived from the linear model and the non-linear  
32 model are used separately in low-flow regional models along with other predictors  
33 representing physiographical and meteorological characteristics. These models are applied to  
34 selected gaged catchments. Results show that better performances are obtained with the  
35 parameter from the non-linear model. One drawback of using recession parameters for  
36 regional estimation is that a streamflow record is required at the site of interest. However,  
37 recession parameters can be estimated with short streamflow records. In this study, to  
38 simulate the performances obtained at partially gaged catchments, the recession parameters  
39 are estimated with very short streamflow records at target sites. Results indicate that, with a  
40 streamflow record as short as one year, a model with a recession parameter from the non-  
41 linear model leads to better performances than a model with only physiographical and  
42 meteorological characteristics.

43

44 **Keywords:** Regional estimation; Low-flows; Recession analysis; Canonical correlation  
45 analysis; Non-linear model; Reservoir model.

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## 48 **1. Introduction**

49           It is of major importance to engineers and water managers to properly estimate the  
50 frequency of low-flow events, and their spatial and temporal evolution in the region of study  
51 (Vogel and Kroll, 1992; Durrans et al., 1999; Smakhtin, 2001; Kroll et al., 2004; Khaliq et al.,  
52 2008, 2009; Ouarda et al., 2008b; Fiala et al., 2010). Applications where this information is  
53 needed include water supply, hydropower production, dilution of pollution discharge and  
54 aquatic wildlife protection. The most commonly used low-flow statistic is the quantile  $Q_{d,T}$   
55 defined as the annual minimum average streamflow during  $d$  days with a return period of  $T$   
56 years. When a sufficient historical streamflow record is available at a given site, low-flow  
57 statistics are obtained with a frequency analysis using the observed streamflow data.  
58 However, when insufficient or no streamflow record is available, a regional approach needs to  
59 be employed (Hamza et al., 2001; Ouarda et al., 2001; Kroll et al., 2004).

60 For the purpose of regional estimation, regression models are often used to estimate low-flow  
61 quantiles with explanatory variables characterising physiographical catchment properties and  
62 meteorological conditions. It has been demonstrated that explanatory variables representing  
63 geological and hydrogeological characteristics have a strong influence on low-flow regimes  
64 (Bingham, 1986; Tallaksen, 1989; Vogel and Kroll, 1992; Smakhtin, 2001; Kroll et al., 2004).  
65 However, such variables are hard to establish and difficult to quantify (Demuth and  
66 Hagemann, 1994). To address this issue, many authors have used baseflow recession  
67 parameters as surrogate to these variables (Bingham, 1986; Tallaksen, 1989; Arihood and  
68 Glatfelter, 1991; Vogel and Kroll, 1992, 1996; Demuth and Hagemann, 1994; Kroll et al.,  
69 2004; Eng and Milly, 2007). This is justified by the fact that low flows result principally of  
70 groundwater discharge into the stream during dry periods.

71 In studies where recession parameters were used to estimate low-flow statistics, a linear  
72 reservoir model is always assumed. This approximation is used for convenience, but a non-  
73 linear relation is more accurate in general (Brutsaert and Nieber, 1977; Whittenberg, 1994;  
74 Chapman, 2003). In this study, we propose to use a recession parameter assuming the non-  
75 linear reservoir model in a regional model along with other physiographical and  
76 meteorological characteristics for the estimation of low-flow quantiles. Performances are  
77 compared with those with a regional model including instead a parameter that assumes the  
78 common linear reservoir model. The regional models are applied to a group of catchments in  
79 the province of Quebec (Canada).

80 A major inconvenience of using recession parameters as predictors is that hydrological data is  
81 needed at the site of interest. However, they can be estimated with a short streamflow time  
82 series when it is available at the site of interest. A second objective of this paper is to evaluate  
83 the potential of this method at such sites (referred to as partially gaged sites). For that, a  
84 partially gaged case is simulated at the target site by estimating the recession parameters with  
85 only few years of streamflow record data selected randomly from the complete streamflow  
86 record.

87 Regional frequency analysis involves usually two steps: the identification of groups of  
88 hydrologically homogeneous catchments and the regional estimation within each individual  
89 region. In this study, canonical correlation analysis (CCA) is used to delineate the  
90 homogenous regions. This method has been used with success for the regionalization of flood  
91 quantiles (Ouarda et al., 2001), low-flow quantiles (Tsakiris et al., 2011) and water quality  
92 characteristics (Khalil et al., 2011).

93

## 94 2. Recession curve modeling

95 Boussinesq (1877) conceptualised the problem of outflow into a penetrating stream  
96 channel from an unconfined rectangular aquifer on a horizontal impermeable layer. Brutsaert  
97 and Nieber (1977) demonstrated that several solutions to the Boussinesq problem assume the  
98 following relation:

$$99 \quad \frac{dQ}{dt} = -aQ^b \quad (1)$$

100 where  $Q$  is the streamflow,  $t$  is the time, and  $a$  [ $\text{m}^{3(1-b)}\text{s}^{b-2}$ ] and  $b$  [-] are constants.

101 The linear reservoir model in which  $b = 1$  is often considered. The solution of Eq. (1) for the  
102 outflow is then the simple exponential equation:

$$103 \quad Q_t = Q_0 e^{-at} \quad (2)$$

104 where  $Q_t$  is the outflow at time  $t$  and  $Q_0$  the initial outflow. Eq. (2) in its exponential form is  
105 often used to describe recession curves because of its simplicity. In that case,  $a$  characterises  
106 the rate of recession. Many authors have used parameters derived from the linear model as  
107 predictors in regression models. Vogel and Kroll (1992) and Kroll et al. (2004) used the  
108 recession constant  $K_b = \exp(-a)$ . Eng and Milly (2007) rather used the parameter  $\tau = a^{-1}$  to  
109 which they referred as the long-term aquifer constant.

110 Although the linear reservoir model has been largely employed, the power-law model is more  
111 appropriate. In several studies where non-linear equations have been fitted to recession  
112 discharge data, it was found that the power-law model is more realistic (Moore, 1997;  
113 Chapman, 1999, 2003; Wittenberg, 1999). Brutsaert and Nieber (1977) found that  $b$  takes  
114 approximately the value of 1.5 over most of the ranges of low-flow rates. The value of the

115 exponent  $b$  ranged from 1.38 to 1.69 for 10 out of 11 catchments in Chapman (2003). In  
 116 Wittenberg (1994) a mean value of 1.6 was obtained.

117 We propose in this study to use a parameter derived from the non-linear reservoir model  
 118 instead of the usually used linear model. Because  $b$  is different from 1 in general, it is  
 119 expected that the performances will be increased by the use of this optimised parameter in  
 120 regional models. Wittenberg (1999) stated that a value of 1.5 is a typical value for average  
 121 cases and suggested to calibrate the factor  $a$  with  $b$  fixed to this value. In this study,  $a$  is  
 122 estimated with a fixed value of  $b$  for the whole study area estimated by the average of  
 123 individual catchment values. To estimate  $b$  for a given catchment, a similar approach to Vogel  
 124 and Kroll (1992) and Brutsaert and Lopez (1998) is used. The relation in Eq. (1) is  
 125 approximated by:

$$126 \quad \frac{Q_t - Q_{t-1}}{\Delta t} = -a \left( \frac{Q_t + Q_{t-1}}{2} \right)^b \quad (3)$$

127 where  $Q_t$  and  $Q_{t-1}$  are the streamflow measurements at successive times  $\Delta t$  apart. By taking  
 128 the logarithm, the following linearised equation is obtained:

$$129 \quad \ln(Q_{t-1} - Q_t) = \ln(a) + b \ln \left( \frac{Q_t + Q_{t-1}}{2} \right). \quad (4)$$

130 The parameter  $b$  along with the parameter  $a$  in Eq. (4) are estimated using a least square linear  
 131 regression. Subsequently, given a fixed value of  $b$ , the least square estimator of  $a$  in Eq. (4) is  
 132 given by:

$$133 \quad a = \exp \left\{ \frac{1}{d} \sum_{t=1}^d \left[ \ln(Q_{t-1} - Q_t) - b \ln \left( \frac{Q_t + Q_{t-1}}{2} \right) \right] \right\} \quad (5)$$

134 where  $d$  is the number of pairs of consecutive streamflow values.

135

### 136 **3. Study area**

137         The regional proposed estimation models are applied to a network of 190 gaging  
138 stations in the province of Quebec (Canada). Due to the seasonal variations specific to the  
139 study area, we consider two distinct low-flow seasons corresponding to the summer and the  
140 winter. In this study, we analyse the low-flow quantiles  $Q_{7,2}$  and  $Q_{7,10}$  corresponding to return  
141 periods of  $T = 2$  and 10 years for a duration  $d = 7$  days, and the low-flow quantile  $Q_{30,5}$   
142 corresponding to a return period of  $T = 5$  years for a duration  $d = 30$  days for the summer and  
143 winter seasons separately. The hydrological, physiographical and meteorological variables  
144 used in the present case study came from a low-flow frequency analysis study by Ouarda et al.  
145 (2005). The same database has also been used in Ouarda and Shu (2009) for low-flow  
146 frequency analysis using artificial neural networks. Only stations with at least 10 years of  
147 record data and corresponding to pristine basins were selected. The selected stations passed  
148 the Kendall test for stationarity, the Wald-Wolfowitz test of independence, the Wilcoxon test  
149 of homogeneity for the mean and the Levene test for homogeneity of the variance. As a result,  
150 127 and 133 stations were selected for the summer season and the winter season respectively.  
151 The locations of the gaging stations are presented in Fig. 1. The stations cover a large area in  
152 the southern part of the province of Quebec (Canada) and are located between 45°N and  
153 55°N. The area of the catchments ranges from 0.7 km<sup>2</sup> to 96,600 km<sup>2</sup> with a median value of  
154 3077 km<sup>2</sup>. The largest catchments are located in the northern part of the study region. The  
155 average flow record size is 32 years of data. Winter mean temperatures for the study area  
156 range from -10°C in the south to -21°C in the north and summer mean temperatures range  
157 from 20°C in the south to 12°C in the north.

158 A set of physiographical and meteorological variables are available for each basin. These  
159 variables are the basin area (AREA), the latitude of the gaging station (LAT), the mean slope  
160 of the drainage area (MSLP), the percentage of the basin area occupied by lakes (PLAKE),  
161 the percentage of the basin area covered by forest (PFOR), the mean annual degree days  
162 below 0°C (DDBZ), the mean annual degree days below 0°C (DDH13), the average annual  
163 precipitation (PTMA), the average summer-autumn liquid precipitation (PLMS), the average  
164 number of days for which the mean temperature exceeds 27 °C (NDH27) and the mean curve  
165 number (MCN) which is a soil characteristic. These variables are summarised in Table 1.

166 Low-flow quantiles corresponding to various return periods  $T$  and durations  $d$  were estimated.  
167 Low-flow data at each station was fitted with an appropriate statistical distribution. The  
168 distributions considered include the Generalized Extreme Value, Gumbel, Weibull, two-  
169 parameter Lognormal, three-parameter Lognormal, Gamma, Pearson type III, Log-Pearson III  
170 and Generalized Pareto distributions. To select the distribution that best fits the hydrological  
171 data for each station, the Bayesian information criterion was used. Fig. 2 presents an example  
172 of a frequency curve with the observed data on a normal probability plot. The distribution that  
173 fits best the observations, the two-parameter Lognormal (LN2), is represented along with the  
174 bounds of the 95% confidence interval.

175

## 176 **4. Study methodology**

### 177 **4.1. Recession analysis method**

178 The computation of recession characteristics at gaged sites is usually done through a  
179 recession analysis. This involves the delineation of baseflow recession segments from  
180 hydrographs and subsequently the computation of recession characteristics. In practice, the



181 interpretation of hydrographs is complicated by the fact that, during a recession period,  
182 recharge events can often interrupt a recession and produce many recession segments of  
183 different lengths. Another interpretative complication comes from the fact that the different  
184 streamflow components, that are surface flow, interflow and baseflow, are difficult to quantify  
185 at a given time. Given these considerations, various researchers have developed methods to  
186 delineate baseflow recession segments from hydrographs.

187 Traditionally, graphical techniques are used for recession analysis. They are however  
188 subjective and applicable only for a few analyses because they are time consuming. For a  
189 large database, automated methods are preferred. Several methods have been proposed in the  
190 literature. They usually take only decreasing portions of hydrographs in which starting and  
191 duration criteria are defined. The minimal length of individual recession segments can usually  
192 vary between 4 days and 10 days (Tallaksen, 1995). A portion at the beginning of recession  
193 segments can also be removed to avoid the presence of surface flow.

194 The recession analysis method applied here is based on the procedure proposed by Vogel and  
195 Kroll (1992) in which segments of only decreasing 3-day moving average are selected. Only  
196 segments with a minimum of 10 days are considered. Furthermore, to minimise surface runoff  
197 components, 30 % of the beginning of each segment is subtracted.

198 The recession parameter  $a_{nl}$  is defined for the non-linear reservoir model. It is computed at  
199 each catchment with  $b$  fixed to  $b_{opt}$ , the optimal value for the whole study area.  $b_{opt}$  is  
200 estimated by averaging the estimated values of  $b$  at each basin. The recession variable  $a_l$  for  
201 the linear reservoir model is also estimated. In that case,  $b$  is set to unity ( $b = 1$ ) for the whole  
202 area.

## 203 **4.2. Delineation of homogenous regions with CCA**

204 Regionalization methods usually involve two steps: defining groups of homogeneous  
205 stations and applying an information transfer method over the delineated regions. As in the  
206 case of flood regionalization, grouping stations provides generally better estimates because  
207 stations in the same group are expected to have similar hydrological responses. Certain  
208 delineation methods allow defining geographically contiguous regions. This kind of approach  
209 can involve the delineation on the basis of geographic considerations or on the basis of the  
210 similitude in residuals obtained by a regression model (Smakhtin, 2001). In reality, two basins  
211 can be hydrologically similar without being geographically close. Other methods allow  
212 defining groups of catchments that are not necessarily contiguous. Delineation is then made  
213 on the basis of the physiographic and climatic characteristics of the catchments. Multivariate  
214 statistical analysis methods such as cluster analysis and principal component analysis are then  
215 often used (Nathan and McMahon, 1990; Smakhtin, 2001).

216 Another promising multivariate approach is canonical correlation analysis (CCA). It has been  
217 applied in the field of flood regionalization by Ouarda et al. (2000, 2001) and it has been  
218 proven to be applicable for low flow regionalization in Tsakiris et al. (2011). This method  
219 defines for each target station, a specific set of homogenous stations (neighbourhood). This  
220 has the advantage of maximising the similarity between the neighbourhood catchments and  
221 the target site. The neighbourhood approach was found in Ouarda et al. (2008a) to be superior  
222 to approaches delineating fixed sets of stations for regional flood frequency analysis.

223 Optimal neighbourhoods need to be delineated for models where a CCA is involved. The  
224 jackknife resampling procedure presented in Ouarda et al. (2001) is used. However, when a  
225 neighbourhood is defined by the optimisation parameter, it may happen that the number of  
226 stations in the neighbourhood is not large enough to be able to carry out the multiple  
227 regression. The jackknife resampling procedure is modified in this study to include instead the

228 s stations with the lowest Mahalanobis distance. This ensures that estimations are obtained at  
229 all stations of the study area.

### 230 **4.3. Regional models**

231 Overall, six regional models are defined depending on which explanatory variables are  
232 used and whether neighbourhoods are delineated or not. For three models ( ALL , ALL\_ $a_l$   
233 and ALL\_ $a_{nl}$  ), the information transfer method is used with all the stations of the database  
234 without delineation of neighbourhoods. The model ALL includes solely the physiographical  
235 and meteorological variables. The ALL\_ $a_l$  and ALL\_ $a_{nl}$  models include in addition the  
236 variables  $a_l$  and  $a_{nl}$  respectively. For three other models ( CCA , CCA\_ $a_l$  and CCA\_ $a_{nl}$  ),  
237 neighbourhoods are delineated with CCA prior to the information transfer. The model CCA  
238 includes solely the physiographical and meteorological variables and CCA\_ $a_l$  and CCA\_ $a_{nl}$   
239 include in addition the variables  $a_l$  and  $a_{nl}$  respectively. Models CCA and ALL , for which  
240 no hydrological data at the target site is used, represent the ungaged case. Models CCA\_ $a_l$  ,  
241 CCA\_ $a_{nl}$  , ALL\_ $a_l$  and ALL\_ $a_{nl}$  , for which the hydrological information available at the  
242 target site is used, represent the gaged case.

243 The selection of the variables used for the CCA is based on the previous study of Ouarda et  
244 al. (2005) where the CCA method was applied on the same study case for low-flow  
245 frequency analysis. The hydrological variables included in the set of response variables are  
246 the low-flow quantiles  $Q_{7,2}$  ,  $Q_{7,10}$  and  $Q_{30,5}$  . The physiographical and meteorological  
247 variables included in the set of explanatory variables are AREA, PLAKE, NDH27 and MCN  
248 for the summer low-flow quantiles and AREA, PLAKE, PFOR and DDBZ for the winter

249 quantiles. To ensure the normality, the low-flow quantiles, AREA and DDBZ are  
 250 logarithmically transformed and PLAKE is transformed by a square root transformation.

251 For regional information transfer, the multiple regression model is used. Parameters are  
 252 estimated with the least square error method. For each multiple regression model, the  
 253 explanatory variables are selected with a stepwise regression analysis procedure applied to  
 254  $Q_{7,2}$ . The regression models obtained during the summer season for ALL or CCA ,  
 255 ALL\_ $a_l$  or CCA\_ $a_l$  , and ALL\_ $a_{nl}$  or CCA\_ $a_{nl}$  are respectively given by:

$$256 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(\text{DDBZ}) + \theta_3 \log(\text{MCN}) \\ + \theta_4 \log(\text{PTMA}) + \theta_5 \log(\text{NDH27}) + \varepsilon , \quad (6)$$

$$257 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(a_l) + \theta_3 \log(\text{PLMS}) \\ + \theta_4 \log(\text{DDH13}) + \theta_5 \log(\text{DDBZ}) + \theta_6 \log(\text{MCN}) + \varepsilon , \quad (7)$$

$$258 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(a_{nl}) + \theta_2 \log(\text{AREA}) + \theta_3 \log(\text{PLMS}) \\ + \theta_4 \log(\text{DDBZ}) + \theta_5 \log(\text{NDH27}) + \theta_6 \log(\text{MCN}) + \varepsilon , \quad (8)$$

259 where  $\theta_i$  are the model parameters and  $\varepsilon$  are the error terms. Similarly, the regression models  
 260 obtained during the winter season for ALL or CCA , ALL\_ $a_l$  or CCA\_ $a_l$  , and ALL\_ $a_{nl}$  or  
 261 CCA\_ $a_{nl}$  are respectively given by:

$$262 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(\text{DDBZ}) + \theta_3 \log(\text{LAT}) + \theta_4 \log(\text{MCN}) + \varepsilon , \quad (9)$$

$$263 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(a_l) + \theta_3 \log(\text{LAT}) + \theta_4 \log(\text{DDH13}) + \varepsilon , \quad (10)$$

$$264 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(a_{nl}) + \theta_3 \log(\text{LAT}) + \theta_4 \log(\text{NDH27}) + \varepsilon . \quad (11)$$

265 Explanatory variables in Eqs. 6-11 are ordered from the most significant to the least  
 266 significant one. It can be observed that recession parameters represent very important

267 variables. The recession parameter is generally the most important variable after the basin  
 268 area and  $a_{nl}$  is the most important variable for the summer season.

#### 269 **4.4. Performance criteria**

270 To assess the performances of the regional models, a jackknife resampling procedure is  
 271 performed. Each gaged site is successively considered ungaged and is removed from the  
 272 database. A regional model is then applied to obtain an estimate of the quantiles at this target  
 273 site with the remaining gaged sites. This operation is repeated for all sites of the database.  
 274 Five indices are used to evaluate the performances (Ouarda and Shu, 2009): the Nash criterion  
 275 (NASH), the root mean squared error (RMSE), the relative root mean squared error (rRMSE),  
 276 the mean bias (BIAS), and the relative mean bias (rBIAS).

277 Performance criteria for models with a recession variable were adapted to represent the  
 278 performances that can be achieved for the partially gaged case when recession variables are  
 279 estimated with short streamflow series. The method applied here is similar to the one  
 280 presented in Eng and Milly (2007). For that, the same jackknife method presented before is  
 281 applied, but the recession parameter at the target site is estimated with data coming from N  
 282 years selected randomly through the streamflow record at the target site. This operation is  
 283 repeated 100 times for each target site and N is varied from 1 to 4 years. The performance  
 284 indices for the partially gaged cases are defined by the following equations:

$$285 \quad \text{rRMSE}_N = \sqrt{\frac{1}{n} \sum_{i=1}^n \left( \frac{1}{100} \sum_{j=1}^{100} [(\hat{q}_{ij} - q_i) / q_i]^2 \right)}, \quad (12)$$

$$286 \quad \text{rBIAS}_N = \frac{1}{n} \sum_{i=1}^n \left( \frac{1}{100} \sum_{j=1}^{100} [(\hat{q}_{ij} - q_i) / q_i] \right) \quad (13)$$

287 where  $\hat{q}_{i,j}$  is the estimate of  $q_i$  obtained at site  $i$  using the sample  $j$ .

288

## 289 **5. Results**

290 The recession analysis method presented in section 4.1 was applied to the gaged  
291 catchments of the study area. Fig. 3 presents an example of a hydrograph at the station  
292 020802 for the year 1970. Selected recession segments are identified with the grey areas  
293 under the streamflow curve. It can be observed that several recessions occurred during the  
294 year. The parameter  $b$  was estimated for each catchment. To illustrate the method,  $-dQ/dt$  is  
295 plotted against  $Q$  for the station 030103 on a log-log paper in Fig. 4. The slope of the line  
296 estimated with the least-squares method gives an estimate of  $b$  for that catchment. The  
297 optimal parameter  $b$  for the whole study area was obtained by averaging the values obtained  
298 at all basins. The value of 1.66 for  $b_{opt}$  was obtained for this study area. This result is in  
299 agreement with several studies where this parameter was estimated (See section 2.1).  
300 Recession variables  $a_l$  and  $a_{nl}$  were computed for every station with  $b$  respectively fixed to 1  
301 and 1.66.

302 Tables 2 and 3 present the performance indices obtained for the different regional models for  
303 summer and winter low-flow quantile estimation respectively. Results show that adding a  
304 recession variable to a regression model always improves significantly the performances. In  
305 general, better performances are obtained with regression models including  $a_{nl}$  instead of  $a_l$ .  
306 For instance, lower rRMSE and rBIAS are always obtained with  $a_{nl}$  instead of  $a_l$ . On the  
307 other hand, for the summer season, better RMSE and NASH are obtained for  $ALL\_a_l$   
308 compared to  $ALL\_a_{nl}$ . Results show also that, in general, the delineation of neighbourhoods  
309 with CCA improves the performances for summer quantiles. This is not the case for winter

310 quantiles where performances are generally very similar. This seems to indicate that the  
311 overall level of homogeneity in the study region is higher for winter low-flows. Overall best  
312 performances are obtained with the model  $CCA_{a_{nl}}$  for the summer season and with  
313  $ALL_{a_{nl}}$  and  $CCA_{a_{nl}}$  for the winter season.

314 Results in Tables 2 and 3 are obtained under the assumption that the recession variable is  
315 available at the target site. In real world cases, the target site is often either ungaged or  
316 partially gaged. These results represent a sort of upper bound in terms of regional model  
317 performance. Tables 4 and 5 present the performances that are obtained for the simulated  
318 partially gaged case. They present the indices  $rRMSE_N$  and  $rBIAS_N$  for the regional models  
319 when the recession variables at target sites are estimated using a given number  $N$  of years.  
320 Results indicate that the  $rRMSE_N$  decreases as  $N$  increases and converges to the value  
321 obtained with the completely gaged cases for both seasons (see Tables 2 and 3).  $rBIAS_N$   
322 generally decreases as  $N$  increases but occasionally increases instead. This occurs more often  
323 for winter quantiles with  $CCA_{a_{nl}}$  and  $ALL_{a_{nl}}$ , although the biases are small in this cases.  
324 To assess the improvements obtained by the use of a recession parameter at partially gaged  
325 stations, performances of models that include a recession variable ( $CCA_{a_l}$ ,  $CCA_{a_{nl}}$ ,  
326  $ALL_{a_l}$  and  $ALL_{a_{nl}}$ ) are compared to the performances obtained by their corresponding  
327 ungaged models ( $CCA$  or  $ALL$ ). For instance,  $CCA_{a_{nl}}$  is compared with  $CCA$ . When  $a_{nl}$   
328 is included in the model,  $rRMSE_N$  and  $rBIAS_N$  are always better than the ungaged case even  
329 when only one year of streamflow data ( $N=1$ ) is used. On the other side, when  $a_l$  is included  
330 in the model, more years are required to lead to better performances than the ungaged case.  
331 Thus, in general, two years are required to obtain a better  $rRMSE_N$  for both seasons. To

332 obtain improved  $rBIAS_N$ , generally 1 or 2 years are required for both seasons. However, for  
333  $CCA_{a_l}$  and the summer season, 4 years are required for  $Q_{30,5}$  and more than 4 years are  
334 required for  $Q_{7,10}$ . These results indicate clearly that it is beneficial to use recession  
335 parameters at partially gaged sites in a regional low-flow frequency analysis model. Indeed,  
336 quantile estimates are improved even when recession parameters are estimated based on a  
337 very limited number of years of streamflow data. These results show also the importance of  
338 using the non-linear model instead of the linear one as the performances are improved  
339 significantly even with only one year of streamflow data compared to the ungaged case.

340

## 341 **6. Conclusions and future work**

342 In this paper, regional low-flow frequency analysis models that include recession  
343 parameters as predictors are developed. Two different parameters are considered: the  
344 recession coefficient  $a_l$  assuming the linear reservoir model and the recession coefficient  $a_{nl}$   
345 assuming a non-linear reservoir model where the exponent  $b$  is fixed to the estimated value of  
346 1.66 for the study area.

347 The investigation of the appropriate predictors for low-flow statistics is carried out with  
348 stepwise regression analysis and leads to the conclusion that the variables from recession  
349 parameters are important explanatory variables. The study results clearly indicate that the  
350 inclusion of a recession variable in a regional low-flow frequency analysis model improves  
351 the performance of the regional estimator. Furthermore, the performances are significantly  
352 better with models that include a recession variable from the non-linear reservoir model.



353 An inconvenience of using recession characteristics is that they can only be obtained for  
354 gaged catchments. However, it is possible to estimate these parameters with a limited number  
355 of hydrographs. This paper aims also to evaluate the performances obtained with recession  
356 parameters estimated from very short streamflow records. Results of the application of  
357 regional low-flow frequency models with hydrograph lengths ranging from 1 to 4 years show  
358 that performances converge rapidly to those obtained when the parameters are estimated from  
359 the complete data record. When the parameter from the non-linear model is included in a  
360 regression model, the performances are better than those obtained without recession variable  
361 even when only one year of streamflow data is used to estimate the recession parameter. This  
362 shows the possibility of combining local hydrological information with regional information  
363 at a partially gaged site in a regional model.

364 These results indicate that it is of interest to dedicate efforts to the development of improved  
365 methods for the estimation of recession parameters. Improvements can result principally from  
366 the selection of a proper reservoir model and from the recession analysis method. Better  
367 reservoir models, in agreement with the real reservoir storage-outflow relationship should be  
368 developed. Improved reservoir models, such as the ones that consider various loss and gain  
369 sources that affect the streamflow could be used.

370 Other improvements can result from the development of enhanced recession analysis  
371 methods. For instance, recession segments should be representative of baseflow recession  
372 discharges, i.e. should represent portions of flow that are free of surface flow and interflow.  
373 Stoelzle et al. (2013) compared three different methods for the extraction of recessions and  
374 three methods for model fitting. They concluded that the roles of recession extraction  
375 procedures and fitting methods for the parameterization of storage-outflow models are  
376 complex. They also indicated that the interaction of the recession analysis components has

377 various effects on the derived recession characteristics. These conclusions imply that the  
378 results obtained here are strongly associated to the specific recession analysis method used.  
379 Future research efforts should focus on the identification of the recession analysis methods  
380 that are the best adapted to low flow regionalization.

381 Other methods for the delineation of homogenous regions should also be considered. For  
382 instance, methods based on seasonality characteristics should be very promising (See  
383 Cunderlik et al., 2004a, 2004b; Ouarda et al., 2006). Future efforts should also focus on  
384 improved modeling of the homogeneity of delineated regions and on the adoption of the  
385 multivariate framework (Chebana and Ouarda, 2007, 2008, 2009) for the regional analysis of  
386 low-flow characteristics.

387

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479

480 Table 1. Explanatory variables available for the study area.

Explanatory variable	Units	Notation
Drainage area	km <sup>2</sup>	AREA
Mean slope of the drainage area	degree	MSLP
Percentage of forested area	%	PFOR
Percentage of lakes	%	PLAKE
Mean annual precipitation	mm	PTMA
Mean summer-fall precipitation	mm	PLMS
Mean annual degree-days < 0 °C	degree day	DDBZ
Mean annual degree-days > 13 °C	degree day	DDH13
Number of days where temperatures > 27 °C	day	NDH27
Mean curve number	-	MCN
Latitude of the gaging station	degree	LAT
Recession parameter (linear case, $b=1$ )	s <sup>-1</sup>	$a_l$
Recession parameter (non-linear case, $b=b_{opt}$ )	m <sup>3(1-b)</sup> s <sup>b-2</sup>	$a_{nl}$

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486 Table 2. Performances of regional models for summer low-flow quantile estimation.

Statistic	Quantile	Regional model					
		CCA	CCA_ $a_l$	CCA_ $a_{nl}$	ALL	ALL_ $a_l$	ALL_ $a_{nl}$
NASH	$Q_{30,5}$	0.940	0.960	<b>0.969</b>	0.905	0.945	0.930
	$Q_{7,2}$	0.936	0.978	<b>0.982</b>	0.904	0.961	0.939
	$Q_{7,10}$	0.917	0.961	<b>0.978</b>	0.878	0.951	0.939
RMSE	$Q_{30,5}$	41.64	34.05	<b>29.90</b>	52.41	39.83	44.99
	$Q_{7,2}$	51.71	30.46	<b>27.75</b>	63.37	40.35	50.33
	$Q_{7,10}$	41.34	28.47	<b>21.27</b>	50.16	31.79	35.46
rRMSE(%)	$Q_{30,5}$	43.84	35.12	<b>30.25</b>	48.31	43.20	34.06
	$Q_{7,2}$	44.57	30.88	<b>24.35</b>	48.18	37.72	26.81
	$Q_{7,10}$	51.81	45.28	<b>38.66</b>	57.71	50.83	40.13
BIAS	$Q_{30,5}$	-3.96	<b>-0.40</b>	-2.46	-4.08	-0.93	1.94
	$Q_{7,2}$	-4.17	<b>-0.59</b>	-1.77	-3.97	-0.64	3.02
	$Q_{7,10}$	-3.54	<b>-0.85</b>	-1.97	-3.60	-1.04	1.86
rBIAS(%)	$Q_{30,5}$	8.24	7.47	<b>3.90</b>	9.29	6.67	4.79
	$Q_{7,2}$	8.27	5.76	<b>2.62</b>	9.52	5.45	3.27
	$Q_{7,10}$	11.30	11.37	<b>6.23</b>	12.81	9.11	6.40

487 Bold values correspond to best performances.

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490 Table 3. Performances of regional models for winter low-flow quantile estimation.

Statistic	Quantile	Regional model					
		CCA	CCA_ $a_l$	CCA_ $a_{nl}$	ALL	ALL_ $a_l$	ALL_ $a_{nl}$
NASH	$Q_{30,5}$	0.877	0.940	0.957	0.872	0.938	<b>0.959</b>
	$Q_{7,2}$	0.877	0.949	0.964	0.873	0.947	<b>0.967</b>
	$Q_{7,10}$	0.874	0.957	0.966	0.868	0.957	<b>0.969</b>
RMSE	$Q_{30,5}$	19.62	13.65	11.61	20.01	13.88	<b>11.29</b>
	$Q_{7,2}$	21.86	14.14	11.82	22.23	14.40	<b>11.27</b>
	$Q_{7,10}$	18.08	10.50	9.37	18.47	10.56	<b>8.92</b>
rRMSE(%)	$Q_{30,5}$	35.97	29.84	<b>22.94</b>	37.11	30.72	23.50
	$Q_{7,2}$	32.92	25.35	<b>18.54</b>	33.53	25.86	19.16
	$Q_{7,10}$	42.17	36.41	30.13	43.40	37.18	<b>28.23</b>
BIAS	$Q_{30,5}$	-1.87	-1.71	<b>-1.11</b>	-2.61	-2.56	-1.26
	$Q_{7,2}$	-1.92	-1.79	-1.26	-2.77	-2.64	<b>-1.15</b>
	$Q_{7,10}$	-1.62	-1.25	-0.80	-1.97	-1.89	<b>-0.44</b>
rBIAS(%)	$Q_{30,5}$	6.19	4.56	3.30	5.83	4.00	<b>2.47</b>
	$Q_{7,2}$	5.67	3.70	2.43	5.20	3.08	<b>1.74</b>
	$Q_{7,10}$	7.59	5.67	4.24	7.50	5.29	<b>3.27</b>

491 Bold values correspond to best performances.

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494 Table 4. Performances of regional models for partially gaged basins (Summer low-flow  
 495 quantile estimation).

Quantile	N	CCA_ $a_l$		CCA_ $a_{nl}$		ALL_ $a_l$		ALL_ $a_{nl}$	
		rRMSE <sub>N</sub>	rBIAS <sub>N</sub>	rRMSE <sub>N</sub>	rBIAS <sub>N</sub>	rRMSE <sub>N</sub>	rBIAS <sub>N</sub>	rRMSE <sub>N</sub>	rBIAS <sub>N</sub>
$Q_{30,5}$	1	46.22	9.40	<b>37.45</b>	<b>6.37</b>	53.05	<b>8.76</b>	<b>41.39</b>	<b>8.03</b>
	2	<b>40.16</b>	8.59	34.41	6.38	<b>47.29</b>	7.48	38.28	7.45
	3	38.39	8.29	33.05	6.07	46.32	7.63	37.43	7.41
	4	37.89	<b>8.20</b>	32.68	5.87	45.96	7.48	36.87	7.49
$Q_{7,2}$	1	46.11	8.73	<b>34.28</b>	<b>6.10</b>	53.41	<b>8.83</b>	<b>38.47</b>	<b>7.73</b>
	2	<b>37.32</b>	<b>6.94</b>	29.46	5.38	<b>44.63</b>	6.92	32.81	6.67
	3	35.97	6.98	28.15	5.33	42.45	6.67	30.88	6.32
	4	34.54	6.89	26.88	4.84	41.79	6.45	30.52	6.44
$Q_{7,10}$	1	64.73	15.87	<b>49.48</b>	<b>10.55</b>	65.77	12.44	<b>50.93</b>	<b>11.14</b>
	2	53.41	13.37	44.65	9.51	57.76	<b>11.31</b>	46.37	9.99
	3	<b>51.21</b>	12.94	43.26	9.13	<b>55.87</b>	10.66	44.72	9.90
	4	50.29	12.99	42.12	8.87	54.99	10.43	43.69	9.60

496 Bold values correspond to performances surpassing the corresponding ungaged case model.

497

498 Table 5. Performances of regional models for partially gaged basins (Winter low-flow  
 499 quantile estimation).

Quantile	N	CCA_ $a_l$		CCA_ $a_{nl}$		ALL_ $a_l$		ALL_ $a_{nl}$	
		rRMSE <sub>N</sub>	rBIAS <sub>N</sub>	rRMSE <sub>N</sub>	rBIAS <sub>N</sub>	rRMSE <sub>N</sub>	rBIAS <sub>N</sub>	rRMSE <sub>N</sub>	rBIAS <sub>N</sub>
$Q_{30,5}$	1	36.65	<b>5.58</b>	<b>27.35</b>	<b>0.21</b>	38.76	<b>5.43</b>	<b>28.94</b>	<b>-1.05</b>
	2	<b>32.44</b>	4.91	25.10	-0.10	<b>34.76</b>	4.85	25.46	-1.88
	3	31.58	4.76	24.26	-0.28	33.14	4.30	24.95	-2.08
	4	31.38	4.85	23.76	-0.32	32.54	4.31	24.66	-2.09
$Q_{7,2}$	1	33.18	<b>4.93</b>	<b>24.34</b>	<b>-0.71</b>	35.17	<b>4.67</b>	<b>24.62</b>	<b>-2.41</b>
	2	<b>28.87</b>	4.30	21.18	-1.25	<b>30.53</b>	3.87	21.99	-2.85
	3	27.52	3.87	20.53	-1.28	28.67	3.47	20.93	-2.90
	4	27.05	3.93	19.94	-1.40	28.11	3.38	20.66	-3.05
$Q_{7,10}$	1	45.79	7.60	<b>36.80</b>	<b>0.63</b>	47.92	<b>7.41</b>	<b>34.02</b>	<b>-1.29</b>
	2	<b>41.08</b>	<b>6.53</b>	33.41	0.13	<b>42.16</b>	6.10	30.97	-1.79
	3	39.27	6.21	31.82	-0.14	40.84	5.94	30.29	-2.02
	4	38.41	5.88	31.65	-0.28	39.83	5.67	29.81	-2.07

500 Bold values correspond to performances surpassing the corresponding ungaged case model.

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503 **Figure captions**

504 Figure 1. Hydrometric stations of the study area.

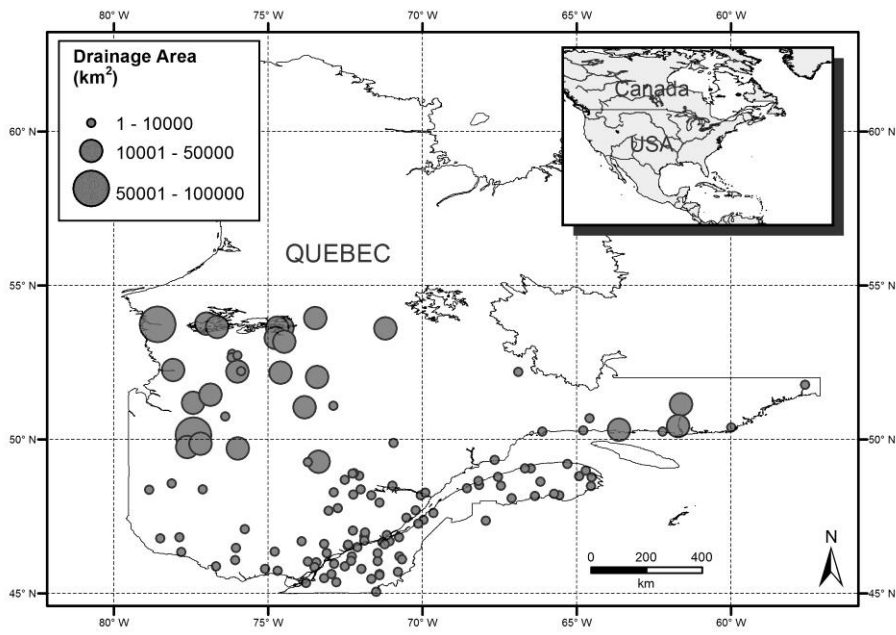
505 Figure 2. Normal probability plot for the station 023402.

506 Figure 3. Streamflow for the year 1970 at station 020802. Recession segments are identified  
507 with grey areas.

508 Figure 4. Plot of  $-dQ/dt$  versus  $Q$  for the station 030103.

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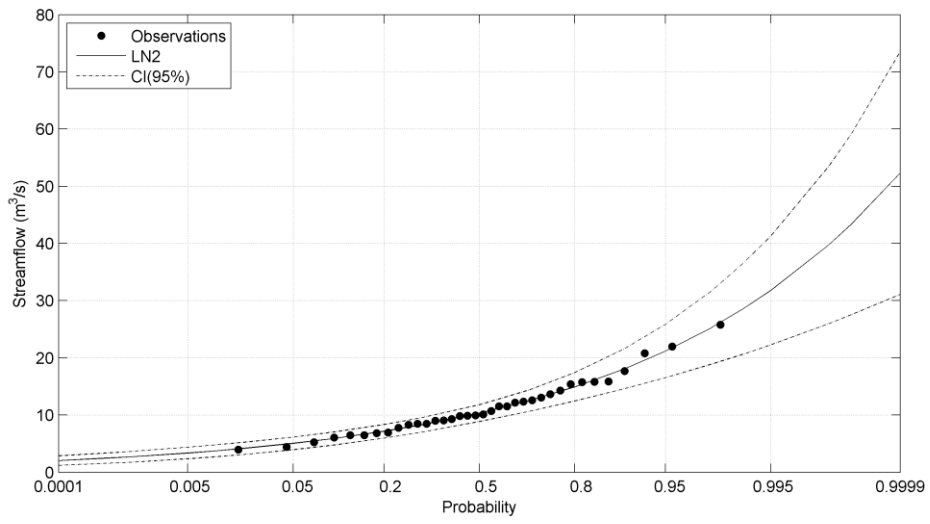


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Figure 1.

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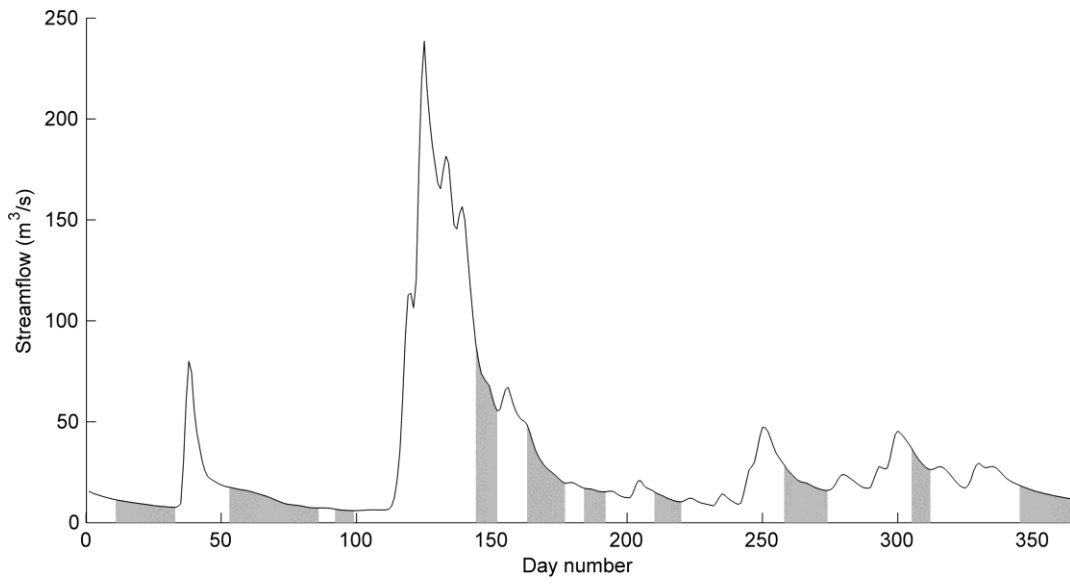
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Figure 2.

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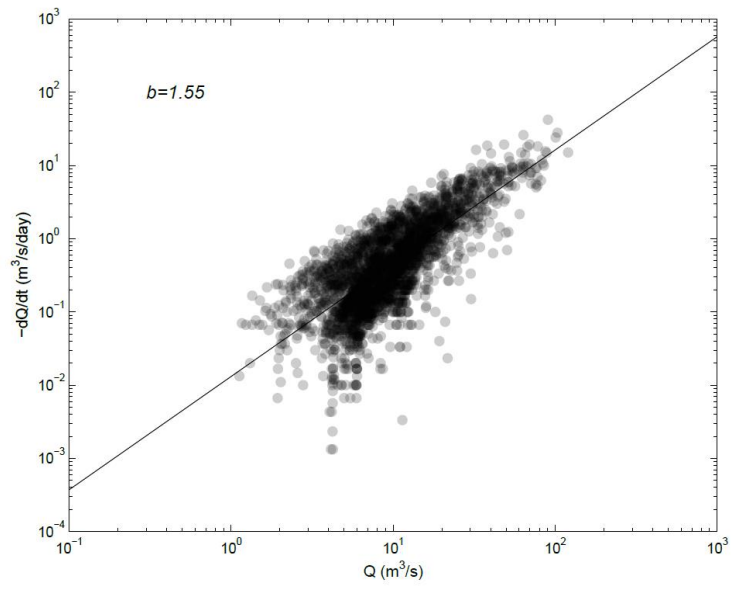
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Figure 3.

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Figure 4.

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