

1 Regional low-flow frequency analysis with a recession parameter from a non-
2 linear reservoir model

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27 **Abstract:** Several studies have shown that improvements in the regional prediction of low-
28 flow characteristics can be obtained through the inclusion of a parameter characterising
29 catchment baseflow recession. Usually, a linear reservoir model is assumed to define
30 recession characteristics used as predictors in regional models. We propose in this study to
31 adopt instead a non-linear model. Predictors derived from the linear model and the non-linear
32 model are used separately in low-flow regional models along with other predictors
33 representing physiographical and meteorological characteristics. These models are applied to
34 selected gaged catchments. Results show that better performances are obtained with the
35 parameter from the non-linear model. One drawback of using recession parameters for
36 regional estimation is that a streamflow record is required at the site of interest. However,
37 recession parameters can be estimated with short streamflow records. In this study, to
38 simulate the performances obtained at partially gaged catchments, the recession parameters
39 are estimated with very short streamflow records at target sites. Results indicate that, with a
40 streamflow record as short as one year, a model with a recession parameter from the non-
41 linear model leads to better performances than a model with only physiographical and
42 meteorological characteristics.

43

44 **Keywords:** Regional estimation; Low-flows; Recession analysis; Canonical correlation
45 analysis; Non-linear model; Reservoir model.

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47

48 **1. Introduction**

49 It is of major importance to engineers and water managers to properly estimate the
50 frequency of low-flow events, and their spatial and temporal evolution in the region of study
51 (Vogel and Kroll, 1992; Durrans et al., 1999; Smakhtin, 2001; Kroll et al., 2004; Khaliq et al.,
52 2008, 2009; Ouarda et al., 2008b; Fiala et al., 2010). Applications where this information is
53 needed include water supply, hydropower production, dilution of pollution discharge and
54 aquatic wildlife protection. The most commonly used low-flow statistic is the quantile $Q_{d,T}$
55 defined as the annual minimum average streamflow during d days with a return period of T
56 years. When a sufficient historical streamflow record is available at a given site, low-flow
57 statistics are obtained with a frequency analysis using the observed streamflow data.
58 However, when insufficient or no streamflow record is available, a regional approach needs to
59 be employed (Hamza et al., 2001; Ouarda et al., 2001; Kroll et al., 2004).

60 For the purpose of regional estimation, regression models are often used to estimate low-flow
61 quantiles with explanatory variables characterising physiographical catchment properties and
62 meteorological conditions. It has been demonstrated that explanatory variables representing
63 geological and hydrogeological characteristics have a strong influence on low-flow regimes
64 (Bingham, 1986; Tallaksen, 1989; Vogel and Kroll, 1992; Smakhtin, 2001; Kroll et al., 2004).
65 However, such variables are hard to establish and difficult to quantify (Demuth and
66 Hagemann, 1994). To address this issue, many authors have used baseflow recession
67 parameters as surrogate to these variables (Bingham, 1986; Tallaksen, 1989; Arihood and
68 Glatfelter, 1991; Vogel and Kroll, 1992, 1996; Demuth and Hagemann, 1994; Kroll et al.,
69 2004; Eng and Milly, 2007). This is justified by the fact that low flows result principally of
70 groundwater discharge into the stream during dry periods.

71 In studies where recession parameters were used to estimate low-flow statistics, a linear
72 reservoir model is always assumed. This approximation is used for convenience, but a non-
73 linear relation is more accurate in general (Brutsaert and Nieber, 1977; Whittenberg, 1994;
74 Chapman, 2003). In this study, we propose to use a recession parameter assuming the non-
75 linear reservoir model in a regional model along with other physiographical and
76 meteorological characteristics for the estimation of low-flow quantiles. Performances are
77 compared with those with a regional model including instead a parameter that assumes the
78 common linear reservoir model. The regional models are applied to a group of catchments in
79 the province of Quebec (Canada).

80 A major inconvenience of using recession parameters as predictors is that hydrological data is
81 needed at the site of interest. However, they can be estimated with a short streamflow time
82 series when it is available at the site of interest. A second objective of this paper is to evaluate
83 the potential of this method at such sites (referred to as partially gaged sites). For that, a
84 partially gaged case is simulated at the target site by estimating the recession parameters with
85 only few years of streamflow record data selected randomly from the complete streamflow
86 record.

87 Regional frequency analysis involves usually two steps: the identification of groups of
88 hydrologically homogeneous catchments and the regional estimation within each individual
89 region. In this study, canonical correlation analysis (CCA) is used to delineate the
90 homogenous regions. This method has been used with success for the regionalization of flood
91 quantiles (Ouarda et al., 2001), low-flow quantiles (Tsakiris et al., 2011) and water quality
92 characteristics (Khalil et al., 2011).

93

94 2. Recession curve modeling

95 Boussinesq (1877) conceptualised the problem of outflow into a penetrating stream
96 channel from an unconfined rectangular aquifer on a horizontal impermeable layer. Brutsaert
97 and Nieber (1977) demonstrated that several solutions to the Boussinesq problem assume the
98 following relation:

$$99 \quad \frac{dQ}{dt} = -aQ^b \quad (1)$$

100 where Q is the streamflow, t is the time, and a [$\text{m}^{3(1-b)}\text{s}^{b-2}$] and b [-] are constants.

101 The linear reservoir model in which $b = 1$ is often considered. The solution of Eq. (1) for the
102 outflow is then the simple exponential equation:

$$103 \quad Q_t = Q_0 e^{-at} \quad (2)$$

104 where Q_t is the outflow at time t and Q_0 the initial outflow. Eq. (2) in its exponential form is
105 often used to describe recession curves because of its simplicity. In that case, a characterises
106 the rate of recession. Many authors have used parameters derived from the linear model as
107 predictors in regression models. Vogel and Kroll (1992) and Kroll et al. (2004) used the
108 recession constant $K_b = \exp(-a)$. Eng and Milly (2007) rather used the parameter $\tau = a^{-1}$ to
109 which they referred as the long-term aquifer constant.

110 Although the linear reservoir model has been largely employed, the power-law model is more
111 appropriate. In several studies where non-linear equations have been fitted to recession
112 discharge data, it was found that the power-law model is more realistic (Moore, 1997;
113 Chapman, 1999, 2003; Wittenberg, 1999). Brutsaert and Nieber (1977) found that b takes
114 approximately the value of 1.5 over most of the ranges of low-flow rates. The value of the

115 exponent b ranged from 1.38 to 1.69 for 10 out of 11 catchments in Chapman (2003). In
 116 Wittenberg (1994) a mean value of 1.6 was obtained.

117 We propose in this study to use a parameter derived from the non-linear reservoir model
 118 instead of the usually used linear model. Because b is different from 1 in general, it is
 119 expected that the performances will be increased by the use of this optimised parameter in
 120 regional models. Wittenberg (1999) stated that a value of 1.5 is a typical value for average
 121 cases and suggested to calibrate the factor a with b fixed to this value. In this study, a is
 122 estimated with a fixed value of b for the whole study area estimated by the average of
 123 individual catchment values. To estimate b for a given catchment, a similar approach to Vogel
 124 and Kroll (1992) and Brutsaert and Lopez (1998) is used. The relation in Eq. (1) is
 125 approximated by:

$$126 \quad \frac{Q_t - Q_{t-1}}{\Delta t} = -a \left(\frac{Q_t + Q_{t-1}}{2} \right)^b \quad (3)$$

127 where Q_t and Q_{t-1} are the streamflow measurements at successive times Δt apart. By taking
 128 the logarithm, the following linearised equation is obtained:

$$129 \quad \ln(Q_{t-1} - Q_t) = \ln(a) + b \ln \left(\frac{Q_t + Q_{t-1}}{2} \right). \quad (4)$$

130 The parameter b along with the parameter a in Eq. (4) are estimated using a least square linear
 131 regression. Subsequently, given a fixed value of b , the least square estimator of a in Eq. (4) is
 132 given by:

$$133 \quad a = \exp \left\{ \frac{1}{d} \sum_{t=1}^d \left[\ln(Q_{t-1} - Q_t) - b \ln \left(\frac{Q_t + Q_{t-1}}{2} \right) \right] \right\} \quad (5)$$

134 where d is the number of pairs of consecutive streamflow values.

135

136 **3. Study area**

137 The regional proposed estimation models are applied to a network of 190 gaging
138 stations in the province of Quebec (Canada). Due to the seasonal variations specific to the
139 study area, we consider two distinct low-flow seasons corresponding to the summer and the
140 winter. In this study, we analyse the low-flow quantiles $Q_{7,2}$ and $Q_{7,10}$ corresponding to return
141 periods of $T = 2$ and 10 years for a duration $d = 7$ days, and the low-flow quantile $Q_{30,5}$
142 corresponding to a return period of $T = 5$ years for a duration $d = 30$ days for the summer and
143 winter seasons separately. The hydrological, physiographical and meteorological variables
144 used in the present case study came from a low-flow frequency analysis study by Ouarda et al.
145 (2005). The same database has also been used in Ouarda and Shu (2009) for low-flow
146 frequency analysis using artificial neural networks. Only stations with at least 10 years of
147 record data and corresponding to pristine basins were selected. The selected stations passed
148 the Kendall test for stationarity, the Wald-Wolfowitz test of independence, the Wilcoxon test
149 of homogeneity for the mean and the Levene test for homogeneity of the variance. As a result,
150 127 and 133 stations were selected for the summer season and the winter season respectively.
151 The locations of the gaging stations are presented in Fig. 1. The stations cover a large area in
152 the southern part of the province of Quebec (Canada) and are located between 45°N and
153 55°N. The area of the catchments ranges from 0.7 km² to 96,600 km² with a median value of
154 3077 km². The largest catchments are located in the northern part of the study region. The
155 average flow record size is 32 years of data. Winter mean temperatures for the study area
156 range from -10°C in the south to -21°C in the north and summer mean temperatures range
157 from 20°C in the south to 12°C in the north.

158 A set of physiographical and meteorological variables are available for each basin. These
159 variables are the basin area (AREA), the latitude of the gaging station (LAT), the mean slope
160 of the drainage area (MSLP), the percentage of the basin area occupied by lakes (PLAKE),
161 the percentage of the basin area covered by forest (PFOR), the mean annual degree days
162 below 0°C (DDBZ), the mean annual degree days below 0°C (DDH13), the average annual
163 precipitation (PTMA), the average summer-autumn liquid precipitation (PLMS), the average
164 number of days for which the mean temperature exceeds 27 °C (NDH27) and the mean curve
165 number (MCN) which is a soil characteristic. These variables are summarised in Table 1.

166 Low-flow quantiles corresponding to various return periods T and durations d were estimated.
167 Low-flow data at each station was fitted with an appropriate statistical distribution. The
168 distributions considered include the Generalized Extreme Value, Gumbel, Weibull, two-
169 parameter Lognormal, three-parameter Lognormal, Gamma, Pearson type III, Log-Pearson III
170 and Generalized Pareto distributions. To select the distribution that best fits the hydrological
171 data for each station, the Bayesian information criterion was used. Fig. 2 presents an example
172 of a frequency curve with the observed data on a normal probability plot. The distribution that
173 fits best the observations, the two-parameter Lognormal (LN2), is represented along with the
174 bounds of the 95% confidence interval.

175

176 **4. Study methodology**

177 **4.1. Recession analysis method**

178 The computation of recession characteristics at gaged sites is usually done through a
179 recession analysis. This involves the delineation of baseflow recession segments from
180 hydrographs and subsequently the computation of recession characteristics. In practice, the

181 interpretation of hydrographs is complicated by the fact that, during a recession period,
182 recharge events can often interrupt a recession and produce many recession segments of
183 different lengths. Another interpretative complication comes from the fact that the different
184 streamflow components, that are surface flow, interflow and baseflow, are difficult to quantify
185 at a given time. Given these considerations, various researchers have developed methods to
186 delineate baseflow recession segments from hydrographs.

187 Traditionally, graphical techniques are used for recession analysis. They are however
188 subjective and applicable only for a few analyses because they are time consuming. For a
189 large database, automated methods are preferred. Several methods have been proposed in the
190 literature. They usually take only decreasing portions of hydrographs in which starting and
191 duration criteria are defined. The minimal length of individual recession segments can usually
192 vary between 4 days and 10 days (Tallaksen, 1995). A portion at the beginning of recession
193 segments can also be removed to avoid the presence of surface flow.

194 The recession analysis method applied here is based on the procedure proposed by Vogel and
195 Kroll (1992) in which segments of only decreasing 3-day moving average are selected. Only
196 segments with a minimum of 10 days are considered. Furthermore, to minimise surface runoff
197 components, 30 % of the beginning of each segment is subtracted.

198 The recession parameter a_{nl} is defined for the non-linear reservoir model. It is computed at
199 each catchment with b fixed to b_{opt} , the optimal value for the whole study area. b_{opt} is
200 estimated by averaging the estimated values of b at each basin. The recession variable a_l for
201 the linear reservoir model is also estimated. In that case, b is set to unity ($b = 1$) for the whole
202 area.

203 **4.2. Delineation of homogenous regions with CCA**

204 Regionalization methods usually involve two steps: defining groups of homogeneous
205 stations and applying an information transfer method over the delineated regions. As in the
206 case of flood regionalization, grouping stations provides generally better estimates because
207 stations in the same group are expected to have similar hydrological responses. Certain
208 delineation methods allow defining geographically contiguous regions. This kind of approach
209 can involve the delineation on the basis of geographic considerations or on the basis of the
210 similitude in residuals obtained by a regression model (Smakhtin, 2001). In reality, two basins
211 can be hydrologically similar without being geographically close. Other methods allow
212 defining groups of catchments that are not necessarily contiguous. Delineation is then made
213 on the basis of the physiographic and climatic characteristics of the catchments. Multivariate
214 statistical analysis methods such as cluster analysis and principal component analysis are then
215 often used (Nathan and McMahon, 1990; Smakhtin, 2001).

216 Another promising multivariate approach is canonical correlation analysis (CCA). It has been
217 applied in the field of flood regionalization by Ouarda et al. (2000, 2001) and it has been
218 proven to be applicable for low flow regionalization in Tsakiris et al. (2011). This method
219 defines for each target station, a specific set of homogenous stations (neighbourhood). This
220 has the advantage of maximising the similarity between the neighbourhood catchments and
221 the target site. The neighbourhood approach was found in Ouarda et al. (2008a) to be superior
222 to approaches delineating fixed sets of stations for regional flood frequency analysis.

223 Optimal neighbourhoods need to be delineated for models where a CCA is involved. The
224 jackknife resampling procedure presented in Ouarda et al. (2001) is used. However, when a
225 neighbourhood is defined by the optimisation parameter, it may happen that the number of
226 stations in the neighbourhood is not large enough to be able to carry out the multiple
227 regression. The jackknife resampling procedure is modified in this study to include instead the

228 s stations with the lowest Mahalanobis distance. This ensures that estimations are obtained at
229 all stations of the study area.

230 **4.3. Regional models**

231 Overall, six regional models are defined depending on which explanatory variables are
232 used and whether neighbourhoods are delineated or not. For three models (ALL , ALL_ a_l
233 and ALL_ a_{nl}), the information transfer method is used with all the stations of the database
234 without delineation of neighbourhoods. The model ALL includes solely the physiographical
235 and meteorological variables. The ALL_ a_l and ALL_ a_{nl} models include in addition the
236 variables a_l and a_{nl} respectively. For three other models (CCA , CCA_ a_l and CCA_ a_{nl}),
237 neighbourhoods are delineated with CCA prior to the information transfer. The model CCA
238 includes solely the physiographical and meteorological variables and CCA_ a_l and CCA_ a_{nl}
239 include in addition the variables a_l and a_{nl} respectively. Models CCA and ALL , for which
240 no hydrological data at the target site is used, represent the ungaged case. Models CCA_ a_l ,
241 CCA_ a_{nl} , ALL_ a_l and ALL_ a_{nl} , for which the hydrological information available at the
242 target site is used, represent the gaged case.

243 The selection of the variables used for the CCA is based on the previous study of Ouarda et
244 al. (2005) where the CCA method was applied on the same study case for low-flow
245 frequency analysis. The hydrological variables included in the set of response variables are
246 the low-flow quantiles $Q_{7,2}$, $Q_{7,10}$ and $Q_{30,5}$. The physiographical and meteorological
247 variables included in the set of explanatory variables are AREA, PLAKE, NDH27 and MCN
248 for the summer low-flow quantiles and AREA, PLAKE, PFOR and DDBZ for the winter

249 quantiles. To ensure the normality, the low-flow quantiles, AREA and DDBZ are
 250 logarithmically transformed and PLAKE is transformed by a square root transformation.

251 For regional information transfer, the multiple regression model is used. Parameters are
 252 estimated with the least square error method. For each multiple regression model, the
 253 explanatory variables are selected with a stepwise regression analysis procedure applied to
 254 $Q_{7,2}$. The regression models obtained during the summer season for ALL or CCA ,
 255 ALL_ a_l or CCA_ a_l , and ALL_ a_{nl} or CCA_ a_{nl} are respectively given by:

$$256 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(\text{DDBZ}) + \theta_3 \log(\text{MCN}) \\ + \theta_4 \log(\text{PTMA}) + \theta_5 \log(\text{NDH27}) + \varepsilon \quad , \quad (6)$$

$$257 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(a_l) + \theta_3 \log(\text{PLMS}) \\ + \theta_4 \log(\text{DDH13}) + \theta_5 \log(\text{DDBZ}) + \theta_6 \log(\text{MCN}) + \varepsilon \quad , \quad (7)$$

$$258 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(a_{nl}) + \theta_2 \log(\text{AREA}) + \theta_3 \log(\text{PLMS}) \\ + \theta_4 \log(\text{DDBZ}) + \theta_5 \log(\text{NDH27}) + \theta_6 \log(\text{MCN}) + \varepsilon \quad , \quad (8)$$

259 where θ_i are the model parameters and ε are the error terms. Similarly, the regression models
 260 obtained during the winter season for ALL or CCA , ALL_ a_l or CCA_ a_l , and ALL_ a_{nl} or
 261 CCA_ a_{nl} are respectively given by:

$$262 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(\text{DDBZ}) + \theta_3 \log(\text{LAT}) + \theta_4 \log(\text{MCN}) + \varepsilon \quad , \quad (9)$$

$$263 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(a_l) + \theta_3 \log(\text{LAT}) + \theta_4 \log(\text{DDH13}) + \varepsilon \quad , \quad (10)$$

$$264 \quad \log(Q_{d,T}) = \theta_0 + \theta_1 \log(\text{AREA}) + \theta_2 \log(a_{nl}) + \theta_3 \log(\text{LAT}) + \theta_4 \log(\text{NDH27}) + \varepsilon \quad . \quad (11)$$

265 Explanatory variables in Eqs. 6-11 are ordered from the most significant to the least
 266 significant one. It can be observed that recession parameters represent very important

267 variables. The recession parameter is generally the most important variable after the basin
 268 area and a_{nl} is the most important variable for the summer season.

269 **4.4. Performance criteria**

270 To assess the performances of the regional models, a jackknife resampling procedure is
 271 performed. Each gaged site is successively considered ungaged and is removed from the
 272 database. A regional model is then applied to obtain an estimate of the quantiles at this target
 273 site with the remaining gaged sites. This operation is repeated for all sites of the database.
 274 Five indices are used to evaluate the performances (Ouarda and Shu, 2009): the Nash criterion
 275 (NASH), the root mean squared error (RMSE), the relative root mean squared error (rRMSE),
 276 the mean bias (BIAS), and the relative mean bias (rBIAS).

277 Performance criteria for models with a recession variable were adapted to represent the
 278 performances that can be achieved for the partially gaged case when recession variables are
 279 estimated with short streamflow series. The method applied here is similar to the one
 280 presented in Eng and Milly (2007). For that, the same jackknife method presented before is
 281 applied, but the recession parameter at the target site is estimated with data coming from N
 282 years selected randomly through the streamflow record at the target site. This operation is
 283 repeated 100 times for each target site and N is varied from 1 to 4 years. The performance
 284 indices for the partially gaged cases are defined by the following equations:

$$285 \quad \text{rRMSE}_N = \sqrt{\frac{1}{n} \sum_{i=1}^n \left(\frac{1}{100} \sum_{j=1}^{100} [(\hat{q}_{ij} - q_i) / q_i]^2 \right)}, \quad (12)$$

$$286 \quad \text{rBIAS}_N = \frac{1}{n} \sum_{i=1}^n \left(\frac{1}{100} \sum_{j=1}^{100} [(\hat{q}_{ij} - q_i) / q_i] \right) \quad (13)$$

287 where $\hat{q}_{i,j}$ is the estimate of q_i obtained at site i using the sample j .

288

289 **5. Results**

290 The recession analysis method presented in section 4.1 was applied to the gaged
291 catchments of the study area. Fig. 3 presents an example of a hydrograph at the station
292 020802 for the year 1970. Selected recession segments are identified with the grey areas
293 under the streamflow curve. It can be observed that several recessions occurred during the
294 year. The parameter b was estimated for each catchment. To illustrate the method, $-dQ/dt$ is
295 plotted against Q for the station 030103 on a log-log paper in Fig. 4. The slope of the line
296 estimated with the least-squares method gives an estimate of b for that catchment. The
297 optimal parameter b for the whole study area was obtained by averaging the values obtained
298 at all basins. The value of 1.66 for b_{opt} was obtained for this study area. This result is in
299 agreement with several studies where this parameter was estimated (See section 2.1).
300 Recession variables a_l and a_{nl} were computed for every station with b respectively fixed to 1
301 and 1.66.

302 Tables 2 and 3 present the performance indices obtained for the different regional models for
303 summer and winter low-flow quantile estimation respectively. Results show that adding a
304 recession variable to a regression model always improves significantly the performances. In
305 general, better performances are obtained with regression models including a_{nl} instead of a_l .
306 For instance, lower rRMSE and rBIAS are always obtained with a_{nl} instead of a_l . On the
307 other hand, for the summer season, better RMSE and NASH are obtained for ALL_a_l
308 compared to ALL_a_{nl} . Results show also that, in general, the delineation of neighbourhoods
309 with CCA improves the performances for summer quantiles. This is not the case for winter

310 quantiles where performances are generally very similar. This seems to indicate that the
311 overall level of homogeneity in the study region is higher for winter low-flows. Overall best
312 performances are obtained with the model $CCA_{a_{nl}}$ for the summer season and with
313 $ALL_{a_{nl}}$ and $CCA_{a_{nl}}$ for the winter season.

314 Results in Tables 2 and 3 are obtained under the assumption that the recession variable is
315 available at the target site. In real world cases, the target site is often either ungaged or
316 partially gaged. These results represent a sort of upper bound in terms of regional model
317 performance. Tables 4 and 5 present the performances that are obtained for the simulated
318 partially gaged case. They present the indices $rRMSE_N$ and $rBIAS_N$ for the regional models
319 when the recession variables at target sites are estimated using a given number N of years.
320 Results indicate that the $rRMSE_N$ decreases as N increases and converges to the value
321 obtained with the completely gaged cases for both seasons (see Tables 2 and 3). $rBIAS_N$
322 generally decreases as N increases but occasionally increases instead. This occurs more often
323 for winter quantiles with $CCA_{a_{nl}}$ and $ALL_{a_{nl}}$, although the biases are small in this cases.
324 To assess the improvements obtained by the use of a recession parameter at partially gaged
325 stations, performances of models that include a recession variable (CCA_{a_l} , $CCA_{a_{nl}}$,
326 ALL_{a_l} and $ALL_{a_{nl}}$) are compared to the performances obtained by their corresponding
327 ungaged models (CCA or ALL). For instance, $CCA_{a_{nl}}$ is compared with CCA . When a_{nl}
328 is included in the model, $rRMSE_N$ and $rBIAS_N$ are always better than the ungaged case even
329 when only one year of streamflow data ($N=1$) is used. On the other side, when a_l is included
330 in the model, more years are required to lead to better performances than the ungaged case.
331 Thus, in general, two years are required to obtain a better $rRMSE_N$ for both seasons. To

332 obtain improved $rBIAS_N$, generally 1 or 2 years are required for both seasons. However, for
333 CCA_{a_l} and the summer season, 4 years are required for $Q_{30,5}$ and more than 4 years are
334 required for $Q_{7,10}$. These results indicate clearly that it is beneficial to use recession
335 parameters at partially gaged sites in a regional low-flow frequency analysis model. Indeed,
336 quantile estimates are improved even when recession parameters are estimated based on a
337 very limited number of years of streamflow data. These results show also the importance of
338 using the non-linear model instead of the linear one as the performances are improved
339 significantly even with only one year of streamflow data compared to the ungaged case.

340

341 **6. Conclusions and future work**

342 In this paper, regional low-flow frequency analysis models that include recession
343 parameters as predictors are developed. Two different parameters are considered: the
344 recession coefficient a_l assuming the linear reservoir model and the recession coefficient a_{nl}
345 assuming a non-linear reservoir model where the exponent b is fixed to the estimated value of
346 1.66 for the study area.

347 The investigation of the appropriate predictors for low-flow statistics is carried out with
348 stepwise regression analysis and leads to the conclusion that the variables from recession
349 parameters are important explanatory variables. The study results clearly indicate that the
350 inclusion of a recession variable in a regional low-flow frequency analysis model improves
351 the performance of the regional estimator. Furthermore, the performances are significantly
352 better with models that include a recession variable from the non-linear reservoir model.

353 An inconvenience of using recession characteristics is that they can only be obtained for
354 gaged catchments. However, it is possible to estimate these parameters with a limited number
355 of hydrographs. This paper aims also to evaluate the performances obtained with recession
356 parameters estimated from very short streamflow records. Results of the application of
357 regional low-flow frequency models with hydrograph lengths ranging from 1 to 4 years show
358 that performances converge rapidly to those obtained when the parameters are estimated from
359 the complete data record. When the parameter from the non-linear model is included in a
360 regression model, the performances are better than those obtained without recession variable
361 even when only one year of streamflow data is used to estimate the recession parameter. This
362 shows the possibility of combining local hydrological information with regional information
363 at a partially gaged site in a regional model.

364 These results indicate that it is of interest to dedicate efforts to the development of improved
365 methods for the estimation of recession parameters. Improvements can result principally from
366 the selection of a proper reservoir model and from the recession analysis method. Better
367 reservoir models, in agreement with the real reservoir storage-outflow relationship should be
368 developed. Improved reservoir models, such as the ones that consider various loss and gain
369 sources that affect the streamflow could be used.

370 Other improvements can result from the development of enhanced recession analysis
371 methods. For instance, recession segments should be representative of baseflow recession
372 discharges, i.e. should represent portions of flow that are free of surface flow and interflow.
373 Stoelzle et al. (2013) compared three different methods for the extraction of recessions and
374 three methods for model fitting. They concluded that the roles of recession extraction
375 procedures and fitting methods for the parameterization of storage-outflow models are
376 complex. They also indicated that the interaction of the recession analysis components has

377 various effects on the derived recession characteristics. These conclusions imply that the
378 results obtained here are strongly associated to the specific recession analysis method used.
379 Future research efforts should focus on the identification of the recession analysis methods
380 that are the best adapted to low flow regionalization.

381 Other methods for the delineation of homogenous regions should also be considered. For
382 instance, methods based on seasonality characteristics should be very promising (See
383 Cunderlik et al., 2004a, 2004b; Ouarda et al., 2006). Future efforts should also focus on
384 improved modeling of the homogeneity of delineated regions and on the adoption of the
385 multivariate framework (Chebana and Ouarda, 2007, 2008, 2009) for the regional analysis of
386 low-flow characteristics.

387

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479

480 Table 1. Explanatory variables available for the study area.

Explanatory variable	Units	Notation
Drainage area	km ²	AREA
Mean slope of the drainage area	degree	MSLP
Percentage of forested area	%	PFOR
Percentage of lakes	%	PLAKE
Mean annual precipitation	mm	PTMA
Mean summer-fall precipitation	mm	PLMS
Mean annual degree-days < 0 °C	degree day	DDBZ
Mean annual degree-days > 13 °C	degree day	DDH13
Number of days where temperatures > 27 °C	day	NDH27
Mean curve number	-	MCN
Latitude of the gaging station	degree	LAT
Recession parameter (linear case, $b=1$)	s ⁻¹	a_l
Recession parameter (non-linear case, $b=b_{opt}$)	m ^{3(1-b)} s ^{b-2}	a_{nl}

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486 Table 2. Performances of regional models for summer low-flow quantile estimation.

Statistic	Quantile	Regional model					
		CCA	CCA_ a_l	CCA_ a_{nl}	ALL	ALL_ a_l	ALL_ a_{nl}
NASH	$Q_{30,5}$	0.940	0.960	0.969	0.905	0.945	0.930
	$Q_{7,2}$	0.936	0.978	0.982	0.904	0.961	0.939
	$Q_{7,10}$	0.917	0.961	0.978	0.878	0.951	0.939
RMSE	$Q_{30,5}$	41.64	34.05	29.90	52.41	39.83	44.99
	$Q_{7,2}$	51.71	30.46	27.75	63.37	40.35	50.33
	$Q_{7,10}$	41.34	28.47	21.27	50.16	31.79	35.46
rRMSE(%)	$Q_{30,5}$	43.84	35.12	30.25	48.31	43.20	34.06
	$Q_{7,2}$	44.57	30.88	24.35	48.18	37.72	26.81
	$Q_{7,10}$	51.81	45.28	38.66	57.71	50.83	40.13
BIAS	$Q_{30,5}$	-3.96	-0.40	-2.46	-4.08	-0.93	1.94
	$Q_{7,2}$	-4.17	-0.59	-1.77	-3.97	-0.64	3.02
	$Q_{7,10}$	-3.54	-0.85	-1.97	-3.60	-1.04	1.86
rBIAS(%)	$Q_{30,5}$	8.24	7.47	3.90	9.29	6.67	4.79
	$Q_{7,2}$	8.27	5.76	2.62	9.52	5.45	3.27
	$Q_{7,10}$	11.30	11.37	6.23	12.81	9.11	6.40

487 Bold values correspond to best performances.

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489

490 Table 3. Performances of regional models for winter low-flow quantile estimation.

Statistic	Quantile	Regional model					
		CCA	CCA_ a_l	CCA_ a_{nl}	ALL	ALL_ a_l	ALL_ a_{nl}
NASH	$Q_{30,5}$	0.877	0.940	0.957	0.872	0.938	0.959
	$Q_{7,2}$	0.877	0.949	0.964	0.873	0.947	0.967
	$Q_{7,10}$	0.874	0.957	0.966	0.868	0.957	0.969
RMSE	$Q_{30,5}$	19.62	13.65	11.61	20.01	13.88	11.29
	$Q_{7,2}$	21.86	14.14	11.82	22.23	14.40	11.27
	$Q_{7,10}$	18.08	10.50	9.37	18.47	10.56	8.92
rRMSE(%)	$Q_{30,5}$	35.97	29.84	22.94	37.11	30.72	23.50
	$Q_{7,2}$	32.92	25.35	18.54	33.53	25.86	19.16
	$Q_{7,10}$	42.17	36.41	30.13	43.40	37.18	28.23
BIAS	$Q_{30,5}$	-1.87	-1.71	-1.11	-2.61	-2.56	-1.26
	$Q_{7,2}$	-1.92	-1.79	-1.26	-2.77	-2.64	-1.15
	$Q_{7,10}$	-1.62	-1.25	-0.80	-1.97	-1.89	-0.44
rBIAS(%)	$Q_{30,5}$	6.19	4.56	3.30	5.83	4.00	2.47
	$Q_{7,2}$	5.67	3.70	2.43	5.20	3.08	1.74
	$Q_{7,10}$	7.59	5.67	4.24	7.50	5.29	3.27

491 Bold values correspond to best performances.

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493

494 Table 4. Performances of regional models for partially gaged basins (Summer low-flow
 495 quantile estimation).

Quantile	N	CCA_ a_l		CCA_ a_{nl}		ALL_ a_l		ALL_ a_{nl}	
		rRMSE _N	rBIAS _N						
$Q_{30,5}$	1	46.22	9.40	37.45	6.37	53.05	8.76	41.39	8.03
	2	40.16	8.59	34.41	6.38	47.29	7.48	38.28	7.45
	3	38.39	8.29	33.05	6.07	46.32	7.63	37.43	7.41
	4	37.89	8.20	32.68	5.87	45.96	7.48	36.87	7.49
$Q_{7,2}$	1	46.11	8.73	34.28	6.10	53.41	8.83	38.47	7.73
	2	37.32	6.94	29.46	5.38	44.63	6.92	32.81	6.67
	3	35.97	6.98	28.15	5.33	42.45	6.67	30.88	6.32
	4	34.54	6.89	26.88	4.84	41.79	6.45	30.52	6.44
$Q_{7,10}$	1	64.73	15.87	49.48	10.55	65.77	12.44	50.93	11.14
	2	53.41	13.37	44.65	9.51	57.76	11.31	46.37	9.99
	3	51.21	12.94	43.26	9.13	55.87	10.66	44.72	9.90
	4	50.29	12.99	42.12	8.87	54.99	10.43	43.69	9.60

496 Bold values correspond to performances surpassing the corresponding ungaged case model.

497

498 Table 5. Performances of regional models for partially gaged basins (Winter low-flow
 499 quantile estimation).

Quantile	N	CCA_ a_l		CCA_ a_{nl}		ALL_ a_l		ALL_ a_{nl}	
		rRMSE _N	rBIAS _N						
$Q_{30,5}$	1	36.65	5.58	27.35	0.21	38.76	5.43	28.94	-1.05
	2	32.44	4.91	25.10	-0.10	34.76	4.85	25.46	-1.88
	3	31.58	4.76	24.26	-0.28	33.14	4.30	24.95	-2.08
	4	31.38	4.85	23.76	-0.32	32.54	4.31	24.66	-2.09
$Q_{7,2}$	1	33.18	4.93	24.34	-0.71	35.17	4.67	24.62	-2.41
	2	28.87	4.30	21.18	-1.25	30.53	3.87	21.99	-2.85
	3	27.52	3.87	20.53	-1.28	28.67	3.47	20.93	-2.90
	4	27.05	3.93	19.94	-1.40	28.11	3.38	20.66	-3.05
$Q_{7,10}$	1	45.79	7.60	36.80	0.63	47.92	7.41	34.02	-1.29
	2	41.08	6.53	33.41	0.13	42.16	6.10	30.97	-1.79
	3	39.27	6.21	31.82	-0.14	40.84	5.94	30.29	-2.02
	4	38.41	5.88	31.65	-0.28	39.83	5.67	29.81	-2.07

500 Bold values correspond to performances surpassing the corresponding ungaged case model.

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503 **Figure captions**

504 Figure 1. Hydrometric stations of the study area.

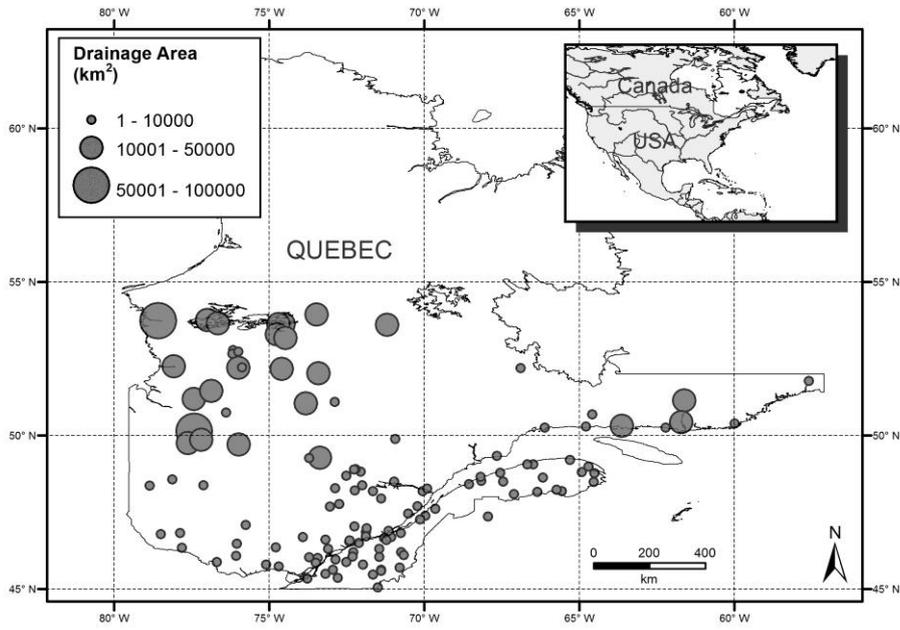
505 Figure 2. Normal probability plot for the station 023402.

506 Figure 3. Streamflow for the year 1970 at station 020802. Recession segments are identified
507 with grey areas.

508 Figure 4. Plot of $-dQ/dt$ versus Q for the station 030103.

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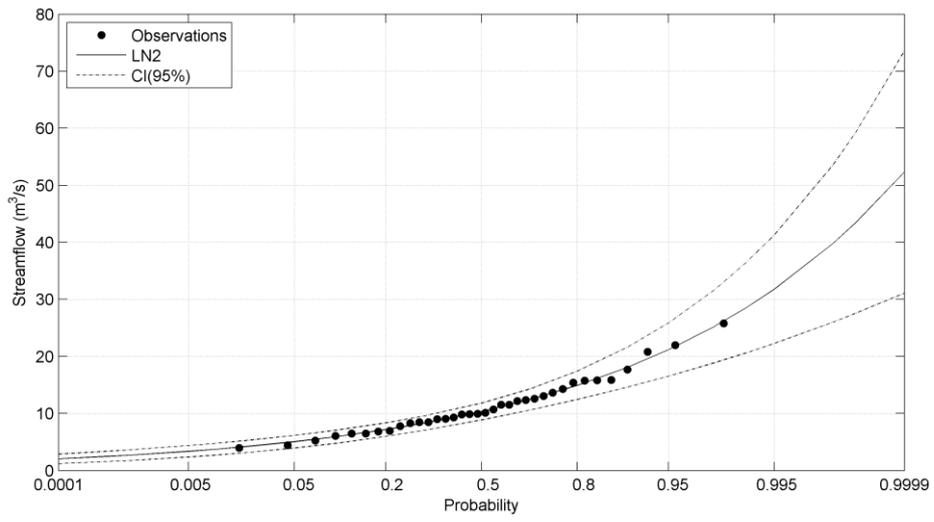


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Figure 1.

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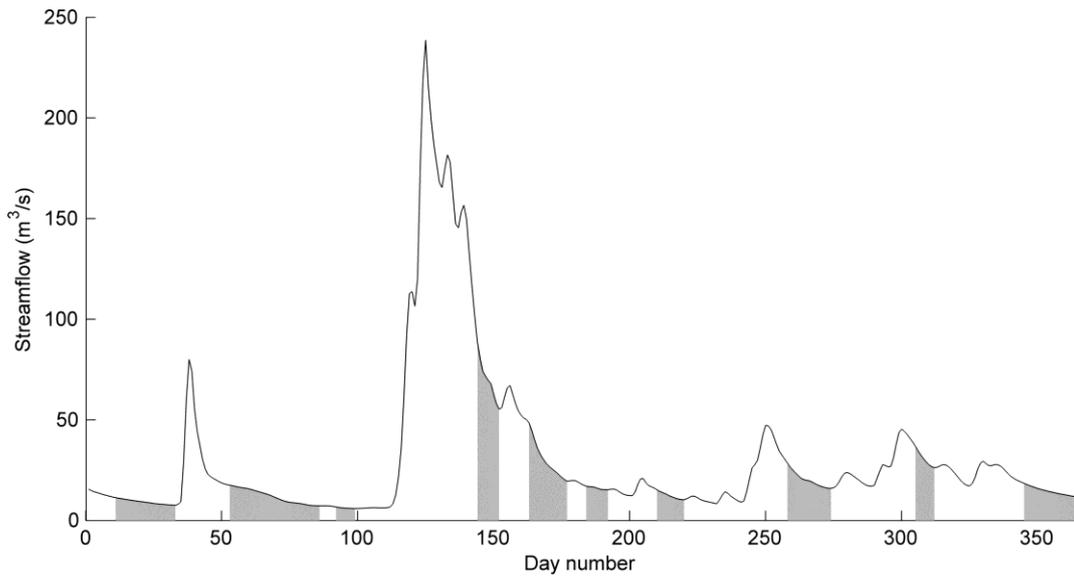
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Figure 2.

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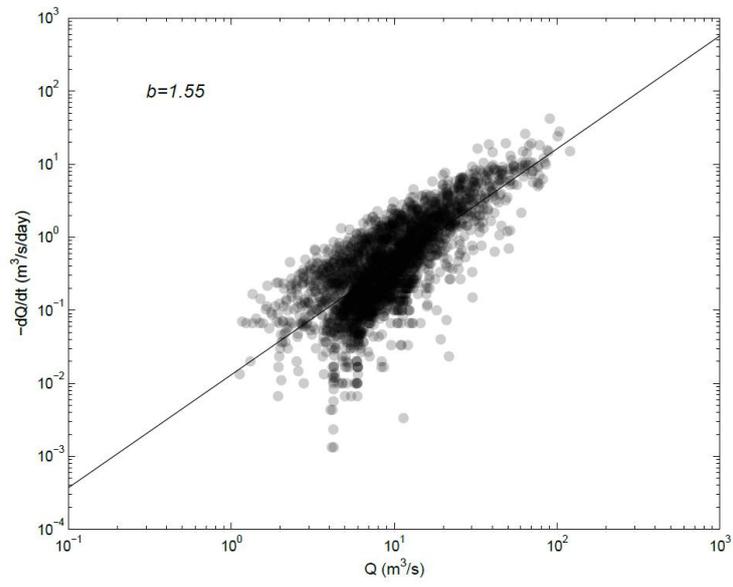
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Figure 3.

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Figure 4.

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