1 Effects of environmentally relevant residual levels of diluted bitumen on wild

fathead minnows (*Pimephales promelas*) 2

- Lauren Timlick^{1,2}, Lisa E. Peters¹, Sarah J. Wallace³, Heather Dettman⁴, R. Stephen Brown⁵, 3
- Johanna Mason⁵, Valerie S. Langlois³ and Vince Palace^{2*}
- ¹University of Manitoba, Winnipeg, MB
- 4 5 6 7 8 9 ²IISD – Experimental Lakes Area, Winnipeg, MB
- ³Institut national de la recherche scientifique (INRS), Centre Eau Terre, Environnement, Quebec City, QC
- ⁴National Resources Canada (NRCan), Devon, AB
- ⁵Queens University, Kingston, ON
- 10

11 **Corresponding author:**

- 12 Dr. Vince Palace, Ph. D.
- 13 International Institute for Sustainable Development - Experimental Lakes Area (IISD-ELA),
- 14 325-111 Lombard Ave, Winnipeg, MB, R3B 0T4, Canada
- vpalace@iisd-ela.org 15

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17 All authors contributed to the execution of the study. Study conception and design were led by

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26 Abstract

27 Transportation of crude oil across North America's boreal ecozone creates the potential for spills 28 in freshwater where less is known about the sensitivity of resident fish than for marine systems.

29 The sensitivity of wild fathead minnows (FHM) to residual concentrations (ppb range) of the water

30 accommodated fraction (WAF) of diluted bitumen (dilbit) was assessed by exposing them for 21

- 31 d followed by a 14 d depuration. Target concentrations were well below detection limits for GC-
- 32 MS, but were estimated by dilution factor (1:100,000 and 1:1,000,000 WAF:water) to contain less
- 33 than 0.0003 µg/L of polycyclic aromatic compounds. Confinement and handling stress caused by

34 transfer of wild fish into tanks much smaller than their natural range resulted in mortality and lower

35 body condition among all groups, but interactive effects of oil exposures still resulted in females

36 with smaller cortical alveolar oocytes, and males with larger testicular lobe lumen sizes. Additional

37 studies examining the compounded effects of stress and environmentally relevant oil exposures in

- 38 wild fishes are needed.
- 39

40 Keywords: fathead minnow, diluted bitumen, freshwater, histology, cypla

41 Introduction

42 Canada has the world's third largest crude oil reserves, mostly in the form of bitumen in Alberta's oil sands region. Diluted bitumen products (dilbit) are a commonly transported crude oil 43 44 consisting of 70-80% bitumen with 20-30% light oil diluent (e.g., naphtha-based condensate) 45 added to reduce overall viscosity allowing the product to be transported through transmission pipelines (Crosby et al. 2013; Alsaadi et al. 2018a). The use of pipelines to transport petroleum 46 47 across North America continues to increase (Natural Resources Canada 2017) and despite 48 advances in spill prevention, oil spills periodically occur in aquatic environments. The efficiency 49 and speed of oil spill cleanup operations is regulated in Canada by the Canada Energy Regulator 50 which mandates containment and oil recovery to commence within 72 h of discovering a spill 51 (CEPA 2015). These measures maximize oil removal from the affected waterbody in a relatively 52 short period of time but inevitably some oil constituents, in the low ppm (Noskov, 2018) to ppb 53 range (Agostinis, 2017), remain in the water even after a cleanup effort is completed.

54 Understanding how residual concentrations of oil affect aquatic biota after a spill cleanup 55 and delineating trajectories of recovery is critical. This study focuses on the potential toxicity of 56 minute concentrations of diluted bitumen on fathead minnows. Wild fathead minnows (FHM; Pimephales promelas) are used in toxicity testing because of their widespread distribution, well 57 58 characterized reproductive physiology and behavior, sexual dimorphism, sequenced genome, and 59 common use as a sentinel freshwater species (Ankley et al. 2010). Reproductive and overall health 60 in FHM exposed to two very dilute concentrations of dilbit water accommodated fraction (WAF) were assessed using meristics, histology, and targeted gene expression. Results from this study 61 62 will provide information for risk assessors regarding exposure and effects markers in wild FHM following the rehabilitation of a real-world spill site. 63

64 Materials and Methods

Adult fathead minnows, collected from a reference lake, were acclimated for 60 d in 40-L glass aquaria covered with opaque plastic sheets and cooled with external circulating water to stabilize temperature. During acclimation, 50% of the water was refreshed daily. Ammonia, dissolved oxygen, and temperature were recorded daily for the first 30 days, and then every other day when ammonia levels were maintained < 2 ppm. Beginning four days after capture, fish were fed *ad libitum* using TetrafinTM fish flakes. Uneaten food and feces were removed from each aquarium with a low flow siphon 10 min after food was introduced.

72 A low-energy WAF was prepared at CanmetENERGY Devon using Cold Lake Blend 73 (CLB) dilbit using an open wave tank approximating natural wave action where 8.97 kg of CLB 74 was applied to the surface of continuous waves of North Saskatchewan River water (1200 L). Prior 75 to oil addition to the water, North Saskatchewan River flood plain sediment (mesh size < 500microns) was added to an initial concentration of 2000 ppm. Water temperature was 15°C while 76 the air temperature was 21°C. The WAF sample was collected three hours after oil application 77 78 from a port on the side of the tank. The sample was shipped on ice to Winnipeg by courier and 79 stored in the dark at 5°C for 2 months prior to application.

The total polycyclic aromatic compound (TPACs) content of the WAF was determined using Gas Chromatograph Mass Spectrometry (GC-MS) at ALS Laboratories (Calgary AB). Analysis followed procedures adapted from the US-EPA (1996, 2018) with the exception that samples exceeded the recommended ALS holding time by 3 days. FHM were exposed to dilutions of 1:1 000 000 ("very low") or 1:100 000 ("low") of this WAF in water obtained from a reference lake in northwestern Ontario (IISD-ELA Lake 114, Playle 1987). Scanning spectrofluorometry (Quanta-Master Fluorescence Spectrometer, PTI Ltd., London, ON, Canada) was used as a 87 secondary method to assess TPACs (Adams et al. 2014) using an excitation wavelength of 300 nm 88 and emissions from 310-460 nm. All spectra were background corrected using 50:50 reference 89 lake water and 99% ethanol (%v/%v) and exposure concentrations were estimated against dilution 90 curves for CLB dilbit and WAFs. While volatiles (e.g. BTEX) were not measured in the exposure 91 water, due to the extended storage time and small applied amounts concentrations are expected to 92 be below detection limits for the majority of the exposure period (Stoyanovich et al. 2019).

After acclimation, fish were exposed to one of three exposures: reference, low exposure and very low exposure, all conducted in 40-L aquaria maintained at ~23°C. Water changes remained the same as during acclimation. After the 21-d exposure, a 2-week recovery phase began when breeding triplicates of 2 females and 1 male FHM were randomly selected from each treatment aquaria and moved to 9-L breeding chambers in a commercial zebrafish bioassay unit that provided circulating water (21-26°C, >8.0 mg/L DO, 6.5-7.5 pH). Breeding groups were isolated from external stimuli using an opaque curtain around the bioassay unit.

At the end of the recovery period, fish were anesthetized in 0.4 g/L tricaine methanesulfonate (MS-222) pH buffered (at 7.0) and sacrificed by severing the spine. Each fish was weighed, measured and dissected. Condition factor (K), liver somatic index (LSI), and hepatocyte volume index (HVI) were determined as measures of overall FHM health. Livers and gonads were weighed, and the gonads and half of each liver were fixed in 10% formalin pH buffered (at 7.0). The remaining half of the liver was flash frozen between slabs of dry ice and stored at -80 °C prior to gene expression analysis.

107 Histological slides were prepared at the Manitoba Agriculture Veterinary Diagnostic 108 Services laboratory and photographed at University of Manitoba Biomedical Services. Briefly, the 109 tissues were trimmed and embedded in paraffin and sectioned (7 μ m). They were dehydrated and 110 stained using hematoxylin and eosin (H&E) and mounted on microscope slides for analysis. 111 Digital images were obtained and analyzed using Zeiss Zen Blue software (Carl Zeiss, Brussels). 112 Three consecutive sections were made for each fish and from these, three non-overlapping images 113 were analyzed.

114 Female reproductive potential (Reference n = 5, Very Low n = 9, Low n = 6) was assessed 115 by the number, size, and developmental stages of oocytes (Fig. 1A-C), randomly selected from 116 microscopic field of view (1800 x 1300 μ m) using an overlaid 10x10 grid. Only cells with visible 117 nuclei were counted and measured to ensure similar measurements along the cross sections of the 118 oocytes.

119 Male reproductive health was assessed by measuring the diameter of 5 random 120 seminiferous tubules selected from three microscopic fields of view (355 x 265 μ m)and tubular 121 lumen and their ratio (Reference n = 4, Very Low n = 7, Low n = 7; Fig. 1D) and by determining 122 developmental stages of the testes (Ankley et al. 2006). Liver health was assessed using hepatocyte 123 volume indexes (Leatherland and Sonstegard 1984) using nine randomly selected areas of 100 μ m² 124 (5700 x 4200 μ m field of view) from each fish's liver (Reference n = 5m 5f, Very Low n = 7m 7f, 125 Low n = 7m 9f).

126 Targeted gene expression for phase I and phase II metabolism pathways were examined as 127 indicators of exposure to the WAF following methods described by Alsaadi et al. (2018b). Target 128 genes were cytochrome p450 (*cyp1a*; Alsaadi et al., 2018b) and glutathione-S-transferase (*gst*; 129 Mager et al. 2018) both normalized to the housekeeping genes 60S ribosomal protein L8 (*rp18*) 130 and elongation factor 1α (*ef1a*; Martyniuk and Denslow 2012). Levels of mRNA as fold changes 131 relative to the reference tank were assessed using a CFX96 Real Time System qPCR (BioRad, 132 Mississauga, ON CA) and all analyses were performed following the MIQE guidelines (Bustin et al. 2009). A standard curve in duplicate with a serial dilution (1:4) of pooled cDNA, no template controls, and no reverse transcriptase controls were included for each plate and considered acceptable with an efficiency of $100 \pm 10\%$ and $R^2 > 0.985$.

Heteroscedasticity and normality of the data were confirmed using a Levene's test
(homoscedasticity accepted if p>0.05) and by examining a Q-Q plot before using a one-way
ANOVA followed by Tukey's post hoc analysis to determine differences among treatment groups.

139 Non-parametric (e.g., oocyte counts) were assessed using Kruskal-Wallis followed by Mann-

140 Whitney U-Test to determine differences from the reference group. All statistical analyses were

performed and accompanying figures created using R Studio (2019), with statistical significance

142 accepted at p < 0.05.



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Fig. 1 Histological slides, stained with H&E, of different stages of oocytes and the structure of the seminiferous tubule in testes. Images are not to scale and all are from the reference treatment. A. Perinucleolar oocytes (identified by presence of nucleoli at the periphery of the nucleus), B. Cortical alveolar oocyte (identified by the appearance of yolk vesicles within the ooplasm), C. Vitellogenic oocyte (identified by obvious spherical yolk granules), Atretic oocytes (not shown) identified by compromised cell membrane D. Male testes with the perimeter of the seminiferous tubule (ST) and lumen (Lu) as well as the diameter of the germinal epithelium (GE) indicated in black.

150

151 **Results and Discussion**

152 The original WAF contained 26 µg/L TPACs (GC-MS analysis) but we chose to further 153 dilute this stock to develop exposures representative of residual PACs at a spill site after cleanup 154 and volatilization of lower molecular weight compounds. Because scanning spectrofluorometry 155 tended to overestimate TPACs relative to GC-MS analysis, it was used only to express proportions of WAF. The only instance where TPACs in the low tank were above detection limits for this 156 157 method indicated a concentration equivalent to ~4% WAF; all other points were non-detects. Early 158 life stages of fish are most sensitive to the effects of TPACs (McKim 1977) but concentrations 159 associated with effects are typically higher than those from the current study (e.g., $<1-18 \mu g/L$ TPAC) (Carls et al., 2008; Madison et al., 2017) and the EC50 for developmental malformations 160 161 in FHM embryos is 500 µg/L TPH-F (Alsaadi et al. 2018b).

There were a significant number of mortalities across all three treatments, especially during 162 163 acclimation (Fig. 2). No spawning occurred among any of the groups likely because of the stress 164 of confinement combined with prolonged reproductive energy output in this asynchronous spawning species (Unger and Sargent 1988; Divino and Tonn 2008; Pankhurst 2016). Condition 165 factor (K), a common measure of nutritional status, was significantly lower in fish from all three 166 167 treatments at the end of the study relative to reference fish (Fig. 3-A), which is common among 168 post-spawn fish (Nash et al., 2006). Higher or unchanged K has previously been reported in fish exposed to crude oil (Kavanagh et al. 2012; Van den Heuvel et al. 2012; Raine et al. 2017; Parrott 169 et al. 2019). Oil-exposed fish in this study tended to have lower K but the difference was not 170 171 significant. It is possible that the near 50% mortality caused preferential selection for more robust

172 fish to remain at the time of dissection. Additional studies are required to determine if wild fish 173 are more sensitive than laboratory cultures (Wendelaar Bonga 1997) such that low-level exposure

- 174 to dilbit may exacerbate confinement and reproductive stress.
- Higher liver somatic index (LSI) can be indicative of replete nutrition or exposure to
 contaminants and induced metabolism enzymes (Everaarts et al., 1993; Huuskonen & LindströmSeppä, 1995) but there were no consistent differences related to oil exposure (Fig. 3-B).



Fig 2 Cumulative percent survival of wild adult FHM during the acclimation, exposure, and depuration phases of the experiment.





As indicated by an asterisk (*), lake reference fish are significantly different from the reference treatment fish.



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 187 Fig 4 Proportion of oocytes (Panel A) and average diameter (Panel B) at each developmental stage of oocytes in female FHM, and the ratio of tubule to lumen area in male FHM (Panel C).

Hepatocyte volume index (HVI), indictive of liver cell size (Leatherland and Sonstegard 1984;
Palace et al. 2002) was also not affected (Fig. 3-C).

There are conflicting reports regarding the impacts of petroleum products on gonad 191 192 development in freshwater fish species with some studies reporting inhibited development 193 (Kavanagh et al. 2011, Tetreault et al., 2003) while another reported opposing results in different 194 species from the same systems (Van den Heuvel et al., 2012). Histology of ovaries and testes was 195 used to assess reproductive potential and development in fish from this study. Perinucleolar 196 oocytes were the most common stage among all treatments (Fig.4-A). Cortical alveolar cells from 197 the reference treatment were significantly larger than the low treatment oocvtes (p < 0.001) but 198 low treatment fish had the most vitellogenic oocytes. This may be indicative of more advanced 199 development among the low treatment but there were too few vitellogenic oocytes present in all 200 fish to compare statistically (Fig. 4-B). More than 80% of the testicular tissue in male fish from this study comprised a severe increase in the proportion of spermatogonia (Grade 4: Ankley et al. 201 202 2006). Similar to female FHM, low treatment fish were more developed than those of the very low 203 (p < 0.05) and reference (p < 0.001) fish (Fig. 4-C) based on the size of the lumen relative to the 204 seminiferous tubule.

Expression of genes responsive to oil exposure *(cyp1a* and *gst*; Alsaadi et al. 2018b) and linked to embryotoxicity (Madison et al., 2017; McDonnell et al., 2019) were measured in livers of fish from this study but no significant up-regulation in either gene was detected relative to the fish from the reference tank (Fig. 6).

209 This study provides important information regarding responses of wild fish to very dilute 210 ppb oil exposures after model spill cleanup in a pristine Canadian boreal lake. Studying impacts of contaminant exposures in wild fish at environmentally relevant concentrations is important 211 212 because they can be more sensitive than laboratory strains (Wendelaar Bonga 1997). All wild fish 213 from this study exhibited effects of confinement or handling stress, including high mortality, low 214 condition and failure to spawn. Even with these effects, we were still able to detect impacts on 215 gonad development in males and females exposed to the low oil concentrations in this study. 216 Ongoing work in our group will continue to examine the effects of post cleanup oil exposure on 217 wild fish health and reproduction.



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219 Fig 6 Relative mRNA level of *cyp1a* and *gst* in the livers of exposed FHM.

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230 Compliance with Ethical Standards

- 231 Study design and care regime for animals was approved under University of Manitoba Animal
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